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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of May 13, 2026***2016-00152**

RECEIVED: 04/25/16

FILED: 04/25/16

FINAL: 05/25/17

REOPENED:

SUSPENSION DATE:

**CASE CODE**

Construct

Accounting Deferral

**UTILITIES:**

Duke Energy Kentucky, Inc.

Duke Energy Kentucky, Inc.

**INTERVENORS:****HEARINGS:**

12/08/16	Formal Hearing
12/01/16	Formal Hearing
11/21/16	Informal Conference
11/09/16	Informal Conference

**INDEX OF EVENTS:**

08/05/22	POST CASE: Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Annual Program Reporting
07/15/21	POST CASE: Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Annual Program Reporting
07/23/20	POST CASE: Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s 1) Annual Program Reporting and 2) Semi-Annual Reporting
02/13/20	POST CASE: Deborah Gates Rocco O. DAscenzo Duke Energy Kentucky, Inc.s Semi-Annual Reporting - from July 2019 through the end December 2019
12/05/19	Final Order Entered: 1. This case is opened to address certain outstanding petitions for confidential treatment. 2. The petitions for confidential treatment listed in Appendix B to this Order are granted. 3. The granting of these petitions shall not be cited as precedent in other proceedings before the Commission. 4. All movants shall review the petitions to determine if the material for which confidential treatment was requested still warrants confidential treatment. 5. A movant shall inform the Commission in writing, and filed in the original case file, if it determines that the information contained in a petition that is granted by this Order no longer warrants confidential treatment. 6. The Commission shall make available for inspection, upon request, any materials that a movant determines no longer warrants confidential treatment. 7. This case is closed and removed from the Commission's docket.
08/13/19	POST CASE: Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s 1) Annual Program Reporting and 2) Semi-Annual Reporting
03/29/19	POST CASE: Rocco DAscenzo Minna Rolfes-Adkins Duke Energy Kentucky, Inc.s Semi-Annual Reporting
01/10/19	POST CASE: Minna Rolfes-Adkins Rocco DAscenzo Duke Energy Kentucky, Inc.s Submission of Non-Confidential Information
01/03/19	Order Entered: 1. Duke Kentucky's petition for confidential protection for its responses to Staff's First Request, Items 32 and 34, is granted. 2. The designated materials shall not be placed in the public record or made available for public inspection for a period of 20 years, or until further Orders of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Duke Kentucky shall inform the Commission if the materials in question become publicly available or no longer qualify for confidential treatment. 5. If a non-party to this proceeding requests to inspect the materials granted confidential treatment by this Order and the period during which the materials have been granted confidential treatment has not expired, Duke Kentucky shall have 20 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61.878. If Duke Kentucky is unable to make such demonstration, the requested materials shall be made available for inspection. Otherwise, the Commission shall deny the request for inspection. 6. The Commission shall not make the designated materials available for inspection for 20 days following an Order finding that the materials no longer qualify for confidential treatment in order to allow Duke Kentucky to seek a

## Case Management System - KY Public Service Commission

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remedy afforded by law.

01/02/19 Order Entered: 1. Duke Kentucky's petitions for confidential protection for the Schneider Testimony and for Duke Kentucky's responses to Staff's Post-Hearing Request, Items 7 and 10 are granted. 2. The designated materials shall not be placed in the public record or made available for public inspection for a period of 20 years, or until further Orders of this Commission. 3. Use of the material in question in any Commission proceeding shall be in compliance with 807 KAR 5:001 , Section 13(9). 4. Duke Kentucky shall inform the Commission if the material in question becomes publicly available or no longer qualifies for confidential treatment. 5. If a non-party to this proceeding requests to inspect the material granted confidential treatment by this Order and the period during which the material has been granted confidential treatment has not expired, Duke Kentucky shall have 20 days from receipt of written notice of the request to demonstrate that the material still falls within the exclusions from disclosure requirements established in KRS 61.878. If Duke Kentucky is unable to make such demonstration, the requested material shall be made available for inspection. Otherwise, the Commission shall deny the request for inspection. 6. The Commission shall not make the requested material available for inspection for 20 days following an Order finding that the material no longer qualifies for confidential treatment in order to allow Duke Kentucky to seek a remedy afforded by law.

09/07/18 POST CASE: Deborah Gates Rocco DAscenzo Case No. 2016-00152 - Duke Energy Kentucky, Inc. submits 1) Annual Program Reporting and 2) Semi-Annual Reporting

03/29/18 POST CASE: Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s Semi-Annual Reporting

06/01/17 POST CASE: Adele M. Frisch Rocco DAscenzo Duke Energy Kentuckys Notice of Acceptance of Commissions Modifications to Stipulation

06/01/17 POST CASE: Attorney General Notice of Acceptance of Commission Modifications to Stipulation

05/25/17 Final Order Entered: 1. The Stipulation attached hereto as the Appendix is conditionally approved and Duke Kentucky is conditionally granted a CPCN to install AMI infrastructure for its electric and combination customers and AMR infrastructure for its gas-only customers subject to Duke Kentucky and the AG jointly or individually filing within seven days of the date of this Order a statement accepting the Commission's modifications to the Stipulation as set forth in Ordering Paragraphs 2, 3, and 4 below. 2. Duke Kentucky shall use a 15-year depreciable life for its gas modules. 3. Duke Kentucky is not authorized to file a Gas Cost/Benefit Tracker mechanism prior to filing its next gas base gas base rate case. 4. Any request for cost recovery of the gas-related infrastructure approved in this case shall be included by Duke Kentucky in its next gas base rate case. 5. Duke Kentucky's request to deviate from 807 KAR 5:006, Section 7(5)(b), is denied as unnecessary. 6. Duke Kentucky's request to deviate from 807 KAR 5:006, Section 14(3), as it relates to Duke Kentucky's proposed AMI Project is granted. 7. Within 20 days of the date of this Order, Duke Kentucky shall file with this Commission, using the Commission's electronic Tariff Filing System, its Electric AMI Opt-Out Tariff Rider AMO, setting out the rates approved herein and reflecting that they were approved pursuant to this Order.

03/08/17 Joseph Davidson Public Comment

02/22/17 Michell Weiner Et Al Public Comments

02/20/17 Response Letters

02/14/17 Lisa Meserve Public Comment

02/08/17 Cheryl Kennedy Public Comment

02/07/17 David Carpenter, MD Et Al Public Comment

01/24/17 Lana Gallop Public Comments

01/13/17 Nancy A Stadlander Public Comments

12/29/16 Jon Coker Public Comment

12/22/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 Staff Post Hearing First Set Data Requests

12/13/16 Commission Staff's Post-Hearing Request for Information to Duke Energy Kentucky, Inc.

12/12/16 Notice of Filing Hearing Documents

12/09/16 Adele M. Frisch Rocco DAscenzo 2016-00152 - Duke Energy Kentucky, Inc. submits dated signature page of the Stipulation and Recommendation filed on December 6, 2016.

12/07/16 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s affidavit and newspaper notices for new hearing date.

12/06/16 Adele M. Frisch Rocco DAscenzo 2016-00152 - Duke Energy Kentucky, Inc.s Testimony in Support of Settlement of Peggy Laub

12/06/16 Adele M. Frisch Rocco DAscenzo 2016-00152 - Duke Energy Kentucky, Inc.s Stipulation and Recommendation

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12/06/16 Adele M. Frisch Rocco DAscenzo Duke Energy Kentucky, Inc.s request for newspaper publication for new hearing date.

11/22/16 Memorandum dated 11/22/2016 for Informal Conference of 11/21/2016; Comments, if any, due within seven days of receipt

11/22/16 Order Entered: 1. The Motion to Reschedule the hearing previously set for December 1, 2016, is granted. 2. The hearing in this matter shall be held on Thursday, December 8, 2016, at 9:00 a.m. Eastern Standard Time, at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky. 3. Duke Energy Kentucky, Inc. ("Duke Kentucky") shall give notice of the hearing in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSG website, psc.ky.gov." At the time the notice is mailed or publication is requested, Duke Kentucky shall forward a duplicate of the notice and request to the Commission. 4. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9)(a), the record of the formal hearing in this matter shall be by digital video recording.

11/21/16 Commission Staff's Notice of Informal Conference

11/17/16 LW Cook Office of Attorney General Joint Motion of Duke Energy of Kentucky, Inc. and Attorney General to Reschedule Hearing, and for Expedited Treatment

11/15/16 Memorandum dated 11/10/2016 for Informal Conference of 11/09/2016; Comments, if any, due within five days of receipt

11/15/16 Order Entered: 1. The joint motion of the AG and Duke Kentucky for an informal conference is granted. 2. An informal conference is scheduled for Wednesday, November 9, 2016, at 1 :30 p.m. Eastern Standard Time, for the purpose of discussing the status of the case and possible settlement and resolution of any issues in this matter. 3. Commission Staff will contact the parties to this matter by electronic mail to provide details for participation by telephone.

11/11/16 Adele M. Frisch Rocco O. DAscenzo Duke Energy Kentuckys request for newspaper notice of hearing

11/07/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 Joint Motion for Informal Conference

10/19/16 Order Entered: 1. A hearing in this matter shall be held on Thursday, December 1, 2016, at 9:00 a.m. Eastern Standard Time, at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky. 2. Duke Energy Kentucky, Inc. ("Duke Kentucky") shall give notice of the hearing in compliance with 807 KAR 5:001, Section 9( 2)(b). In addition, the notice of hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov." At the time the notice is mailed or publication is requested, Duke Kentucky shall forward a duplicate of the notice and request to the Commission. 3. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9)(a), the record of the formal hearing in this matter shall be by digital video recording.

10/13/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 - In the Matter of the Application of Duke Energy Kentucky, Inc. for (1) a Certificate of Public Convenience and Necessity Authorizing the Construction of an Advanced Metering Infrastructure; (2) Request for Accounting Treatment; and (3

09/28/16 Order Entered: The AG's motion to dismiss is denied.  
2. Duke Kentucky's motion to amend the procedural schedule and for leave to file supplemental/rebuttal testimony is granted.  
3. The current procedural schedule, as established by the Commission's Order of May 5, 2016, is amended to allow Duke Kentucky an opportunity to file its supplemental/rebuttal testimony no later than fifteen days from the date of this Order.  
4. All provisions of the Commission's May 5, 2016 Order not in conflict with this Order shall remain in full force and effect.

08/19/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 Duke Energy Kentucky, Inc.s Motion to Amend the Procedural Schedule and for Leave to File Supplemental/Rebuttal Testimony

08/15/16 LW Cook Office of the Attorney General Affidavit

08/15/16 LW Cook Office of the Attorney General OAG Responses to Data Requests of the PSC Staff and Duke Energy Kentucky, Inc.

08/01/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 Duke Energy Kentucky, Inc.s First Set of Interrogatories and Requests for Production of Documents Propounded Upon the Attorney General

07/29/16 Commission Staff's First Request for Information to the Attorney General of the Commonwealth of Kentucky

07/27/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 - In the Matter of the Application of Duke Energy Kentucky, Inc. for (1) a Certificate of Public Convenience and Necessity Authorizing the Construction of an Advanced Metering Infrastructure; (2) Request for Accounting Treatment; and (3

07/20/16 LW Cook Office of the Attorney General OAG Motion to Dismiss Without Prejudice

07/18/16 LW Cook Kentucky Office of the Attorney General Attorney Generals Pre-Filed Written Direct Testimony

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07/07/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 Original executed affidavit of Jeffrey R. Bailey to be supplemented

07/05/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 In the Matter of the Application of Duke Energy Kentucky, Inc. for (1) a Certificate of Public Convenience and Necessity Authorizing the Construction of an Advanced Metering Infrastructure; (2) Request for Accounting Treatment; and (3)

07/05/16 Adele M. Frisch Rocco DAscenzo Duke Energy Kentuckys responses to Staffs 2nd Set of Data Requests

06/20/16 LW Cook Kentucky Office of the Attorney General Attorney Generals Supplemental Data Requests

06/17/16 Commission Staff's Second Request for Information to Duke Energy Kentucky, Inc.

06/07/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 In the Matter of the Application of Duke Energy Kentucky, Inc. for (1) a Certificate of Public Convenience and Necessity Authorizing the Construction of an Advanced Metering Infrastructure; (2) Request for Accounting Treatment; and (3)

06/07/16 Adele M. Frisch Rocco DAscenzo Duke Energy Kentuckys responses to Attorney Generals First Set of Data Requests

05/23/16 Commission Staff's First Request for Information to Duke Energy Kentucky, Inc.

05/23/16 LW Cook Office of the Attorney General Attorney Generals Initial Data Requests

05/17/16 Order Entered: The motion of the Attorney General to intervene is granted.

05/10/16 LW Cook Office of the Attorney General Motion to Intervene and Notice of Waiver of Service by U.S. Mail

05/10/16 LW Cook Office of the Attorney General Motion to Intervene and Notice of Waiver of Service by U.S. Mail

05/05/16 Order Entered: 1. The procedural schedule set forth in the Appendix to this Order shall be followed. 2. a. Responses to requests for information in paper medium shall be appropriately bound, tabbed, and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with an original in paper medium and an electronic version to the Commission. b. Each response shall be answered under oath, or for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry. c. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. d. For any request to which a party fails or refuses to furnish all or part of the requested information, that party shall provide a written explanation of the specific grounds for its failure to completely and precisely respond. e. A party filing a paper containing personal information shall, in accordance with 807 KAR 5:001, Section 4(10) , encrypt or redact the paper so that the personal information cannot be read.

04/28/16 No Deficiency Letter

04/25/16 Deborah Gates Rocco DAscenzo Case No. 2016-00152 In the Matter of the Application of Duke Energy Kentucky, Inc. for (1) a Certificate of Public Convenience and Necessity Authorizing the Construction of an Advanced Metering Infrastructure; (2) Request for Accounting Treatment; and (3)

04/12/16 Acknowledge Receipt of Filing

04/12/16 Duke Energy Kentucky, Inc. Notice of Intent to File Application for (1) A Certificate of Public Convenience and Necessity Authorizing the Construction of an Advanced Metering Infrastructure; (2) Request for Accounting Treatment; and (3) All Other Necessary Waivers, Approval, and Relief Using Electronic Filing Procedures

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Total Number of Cases: 1