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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of June 25, 2026***2016-00026**

RECEIVED: 01/29/16

FILED: 02/09/16

FINAL: 08/08/16

REOPENED:

SUSPENSION DATE:

**CASE CODE**

Construct  
 Surcharge - Environmental  
 Environmental - Compliance Plan

**UTILITIES:**

Kentucky Utilities Company

**HEARINGS:**

06/15/16 Formal Hearing  
 06/14/16 Formal Hearing  
 06/09/16 Informal Conference  
 05/26/16 Public Meeting

**INDEX OF EVENTS:**

04/30/26 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report  
 01/30/26 POST CASE: Andrea M. Fackler Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report  
 10/30/25 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report  
  
 07/30/25 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report  
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 10/29/21 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

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07/30/21 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

04/30/21 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

01/29/21 POST CASE: Michael E. Hornung Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

10/30/20 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

07/30/20 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

04/30/20 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

01/30/20 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

12/05/19 Final Order Entered: 1. This case is opened to address certain outstanding petitions for confidential treatment. 2. The petitions for confidential treatment listed in Appendix B to this Order are granted. 3. The granting of these petitions shall not be cited as precedent in other proceedings before the Commission. 4. All movants shall review the petitions to determine if the material for which confidential treatment was requested still warrants confidential treatment. 5. A movant shall inform the Commission in writing, and filed in the original case file, if it determines that the information contained in a petition that is granted by this Order no longer warrants confidential treatment. 6. The Commission shall make available for inspection, upon request, any materials that a movant determines no longer warrants confidential treatment. 7. This case is closed and removed from the Commission's docket.

10/30/19 POST CASE: Michael E. Hornung Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

07/30/19 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

04/30/19 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

01/30/19 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

10/30/18 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

07/30/18 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

04/30/18 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for KU

01/30/18 POST CASE: Rick E. Lovekamp Kentucky Utilities Company The 2016 ECR Plan Quarterly Status Update Report for Kentucky Utilities Company.

10/30/17 POST CASE: Derek A Rahn Kentucky Utilities Company The 2016 ECR Plan Quarterly Status Update Report for Louisville Gas and Electric Company ("LGE") and Kentucky Utilities Company ("KU").

07/28/17 POST CASE: Derek Rahn Kentucky Utilities Company The 2016 ECR Plan Quarterly Status Update Report for Louisville Gas and Electric Company ("LGE") and Kentucky Utilities Company ("KU").

04/28/17 POST CASE: Rick E. Lovekamp Kentucky Utilities Company 2016 ECR Plan Quarterly Status Update Report for LGE and Kentucky Utilities Company KU

01/30/17 POST CASE: Rick E. Lovekamp Kentucky Utilities Company The 2016 ECR Plan Quarterly Status Update Report for Louisville Gas and Electric Company ("LGE") and Kentucky Utilities Company ("KU").

10/28/16 POST CASE: Derek Rahn Kentucky Utilities Company The 2016 ECR Plan Quarterly Status Update Report for Louisville Gas and Electric Company ("LGE") and Kentucky Utilities Company ("KU").

09/27/16 Order Entered: 1. KU's petition for confidential protection for its responses to Staff's First Request, Items 28-32, is granted. 2. The materials set forth in KU's responses to Staff's First Request, Items 28-32, shall not be placed in the public record or made available for public inspection for a period of five years, or until further Orders of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. KU shall inform the Commission if the materials in question become publicly available or no longer qualify for confidential treatment. 5. If a non-party to

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this proceeding requests to inspect materials granted confidential treatment by this Order and the period during which the materials have been granted confidential treatment has not run, then KU shall have 20 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61 .878. If KU is unable to make such demonstration, the requested materials shall be made available for inspection. Otherwise, the Commission shall deny the request for inspection. 6. The Commission shall not make the requested materials available for inspection for 20 days following an Order finding that the materials no longer qualify for confidential treatment in order to allow KU to seek a remedy afforded by law.

08/18/16 POST CASE: Derek Rahn Kentucky Utilities Company Kentucky Utilities Company ("KU") request for a change in the timing for filing the status update reports and the proposed ES Forms to conform to the Commission's final Order dated August 8, 2016.

08/08/16 Final Order Entered: 1. KU is granted a CPCN for Project 36, the construction of Phase II of the landfill at Brown as described in KU's application. 2. KU is granted a CPCN for Project 40 which consists of the closure of five surface impoundments and construction of a process-water system at Ghent as described in KU's application. 3. KU is granted a CPCN for Project 41 which consists of the closure of two surface impoundments and construction of a process-water system at Trimble County as described in KU's application. 4. KU is granted a CPCN for Project 42 which consists of the closure of one surface impoundment and construction of a process-water system at Brown as described in KU's application. 5. KU's request for a declaratory order that no CPCNs are needed for the closure of surface impoundments at Green River, Pineville, and Tyrone is denied. 6. KU is granted three CPCNs for Project 39, one each for the closure of surface impoundments at Green River, Pineville, and Tyrone. 7. KU's 2016 Plan, consisting of Projects 36, 37, 38, 39, 40, 41 and 42, is approved. 8. The proposed revisions and additions to KU's monthly ES forms are approved as modified by the Settlement Agreement with the effective date of the revisions approved as requested. 9. KU shall use a 9.8 percent ROE in the ECR mechanism for 2016 Plan. 10. All provisions of Settlement Agreement attached hereto and incorporated herein as the Appendix, except as set forth in ordering paragraphs 5 and 9, are approved.

06/28/16 Kendrick R. Riggs of Stoll Keenon Ogden PLLC Kentucky Utilities Company KU-LGEs Post-Hearing Brief

06/23/16 Order Entered: KU's petition to deviate from the notice requirements of 807 KAR 5:001, Section 9(2)(b)(2), is granted.

06/21/16 Christopher M. Garrett Kentucky Utilities Company Kentucky Utilities Company Responses to Post Hearing Data Requests

06/21/16 LW Cook Office of the Attorney General Affidavit pertaining to pending settlement

06/21/16 Notice of Filing Hearing Documents

06/20/16 Memorandum dated 6/20 for Informal Conference of 6/17; Comments, if any, due within 5 days of receipt

06/17/16 Kendrick R. Riggs of Stoll Keenon Ogden PLLC Kentucky Utilities Company Signature page of AG to Settlement Agreement

06/13/16 Derek A Rahn Kentucky Utilities Company Kentucky Utilities Company's and Louisville Gas and Electric Company's (collectively, the "Companies") Joint Motion and Settlement Testimony of Robert M. Conroy.

06/13/16 Derek A Rahn Kentucky Utilities Company A Notarized Affidavit attesting to the publication of the Notice of Public Hearing for said case in the list of newspapers.

06/07/16 Chris Garrett Kentucky Utilities Company Kentucky Utilities Company Rebuttal Testimony

06/07/16 Chris Garrett Kentucky Utilities Company Kentucky Utilities Company Errata Filing

06/06/16 Order Entered: 1. KU's motion for an informal conference is granted. 2. An informal conference is scheduled for Thursday, June 9, 2016, at 11 :00 a.m. Eastern Daylight Time, for the purpose of discussing any issues in this matter and to engage in possible settlement discussions. 3. Commission Staff will contact the parties to this matter by electronic mail to provide details for participation by telephone.

06/02/16 Kendrick R. Riggs of Stoll Keenon Ogden PLLC Kentucky Utilities Company KUs letter confirming change in date of informal conference

06/01/16 Michael L. Kurtz, Esq., Kentucky Industrial Utility Customers KIUCs Response to the Commission Staffs Request for Information

05/31/16 Minutes of Information Session and Public Meeting Held May 26, 2016

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05/31/16 Suzanne Givan Public Comment

05/27/16 Kendrick R. Riggs of Stoll Keenon Ogden PLLC Kentucky Utilities Company KUs Request for Informal Conference

05/18/16 Commission Staff's Request for Information to Kentucky Industrial Utility Customers, Inc.

05/04/16 Michael L. Kurtz, Esq., Kentucky Industrial Utility Customers Direct Testimony and Exhibits of Lane Kollen on behalf of Kentucky Industrial Utility Customers, Inc. (KIUC)

05/02/16 Order Entered: 1. A hearing in this matter shall be held on Tuesday, June 14, 2016, at 9:00 a.m. Eastern Daylight Time, at the offices of the Public Service Commission, 211 Sower Boulevard, Frankfort, Kentucky. 2. Kentucky Utilities Company ("KU") shall give notice of the hearing in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov." At the time the notice is mailed or publication is requested, KU shall forward a duplicate of the notice and request to the Commission. 3. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9)(a), the record of the formal hearing in this matter shall be by digital video recording.

04/27/16 Response Letters to James David Burton, Rayburn Doss, Diane Robinson, Anna Lee Saylor, and Lynn Shenault

04/20/16 Derek Rahn Kentucky Utilities Company Kentucky Utilities Companys ("KU") responses to the Commission Staffs Second Request for Information dated April 8, 2016.

04/20/16 Derek Rahn Kentucky Utilities Company Kentucky Utilities Companys ("KU") responses to the Kentucky Industrial Utility Customers, Inc. s Second Set of Data Requests dated April 8, 2016.

04/20/16 Derek Rahn Kentucky Utilities Company Kentucky Utilities Companys ("KU") responses to the Attorney Generalss Supplemental Data Requests dated April 8, 2016.

04/19/16 Derek Rahn Kentucky Utilities Company Kentucky Utilities Companys ("KU") supplemental responses to the Commission Staffs Initial Request for Information dated March 11, 2016.

04/08/16 Michael L. Kurtz Kentucky Industrial Utility Customers, Inc.s (KIUC) 2nd Set of Data Requests to Kentucky Utilities Company

04/08/16 LW Cook OAGs Supplemental Data Requests

04/08/16 Commission Staff's Second Request for Information to Kentucky Utilities Company

04/05/16 Order Entered: KU's petition to deviate from the filing requirements of 807 KAR 5:001, Section 13(2)(a)(3), is granted.

04/05/16 Order Entered: KU's petition to deviate from the notice requirements of 807 KAR 5:011, Section 8(2)(b)(3), is granted.

03/24/16 Derek Rahn Enclosed please find and accept for filing Kentucky Utilities Companys ("KU") Responses to the Commission Staffs Initial Request for Information dated March 11, 2016.

03/24/16 Derek Rahn Enclosed please find and accept for filing Kentucky Utilities Companys ("KU") Responses to the Kentucky Industrial Utility Customers, Inc. s First Set of Data Requests dated March 11, 2016.

03/24/16 Derek Rahn Enclosed please find and accept for filing Kentucky Utilities Companys ("KU") Responses to the Attorney Generals Initial Data Requests dated March 11, 2016.

03/23/16 Order Entered: Mr. Phillips's requests to intervene and be exempt from KU's proposed environmental surcharge increase are denied.

03/16/16 Response E-mails to Jack Morris and H.R. Pace

03/14/16 Sandra Deprejna Public Comment

03/11/16 LW Cook OAG Initial Data Requests

03/11/16 Michael L. Kurtz Kentucky Industrial Utility Customers (KIUC) 1st Set of Data Requests to Kentucky Utilities Company

03/11/16 COMMISSION STAFF'S INITIAL REQUEST FOR INFORMATION TO KENTUCKY UTILITIES COMPANY

03/10/16 Rick E. Lovekamp KUs Certificate of Completed Notices and Petition to Deviate from notice requirements

03/10/16 Kendrick R. Riggs of Stoll Keenon Ogden PLLC Response of KU to Stephen Phillips Request to Intervene

03/07/16 Stephen Phillips Request to Intervene

03/03/16 Kendrick R. Riggs Letter to the Commission Requesting Confidential Information Time Period

03/03/16 James D Burton Public Comment

03/02/16 Anonymous Public Comment

03/01/16 Order Entered: The motion of the Attorney General to intervene is granted.  
2. The Attorney General shall be entitled to the full rights of a party and shall

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be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order.

3. The Attorney General shall comply with all provisions of the Commission's regulations, 807 KAR 5:001, Section 8, related to the service and electronic filing of documents.

4. Pursuant to 807 KAR 5:001, Section 8(9), within seven days of entry of this Order, the Attorney General shall file a written statement with the Commission that:

a. Certifies that it, or its agent, possesses the facilities to receive electronic transmissions; and

b. Sets forth the electronic mail address to which all electronic notices and messages related to this proceeding should be served.

02/26/16

Order Entered: 1. The procedural schedule set forth in the Appendix to this Order shall be followed. 2.

a. Responses to requests for information in paper medium shall be appropriately bound, tabbed and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with an original in paper medium and an electronic version to the Commission. b. Each response shall be answered under oath, or for representatives of a public or private corporation or a partnership or an association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry. c. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. d. For any request to which a party fails or refuses to furnish all or part of the requested information, that party shall provide a written explanation of the specific grounds for its failure to completely and precisely respond. e. A party filing a paper containing personal information shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

02/25/16

Diane Robinson Public Comment

02/24/16

Lynn Shenault Public Comment

02/22/16

Response E-mail to Kevin Cahill

02/22/16

Michael L. Kurtz Written Statement of Kentucky Industrial Utility Customers (KIUC) in Response to Commissions Order of Procedure.

02/22/16

Rayburn Doss and Anna Lee Saylor and Public Comments

02/19/16

Lawrence W. Cook Motion to Intervene

02/16/16

Deficiency Cured Letter

02/11/16

KU and LGE Addition to Service List of Kendrick R. Riggs

02/09/16

Order Entered: 1. The motion of KIUC to intervene is granted. 2. KIUC shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order. 3. KIUC shall comply with all provisions of the Commission's regulations, 807 KAR 5:001, Section 8, related to the service and electronic filing of documents. 4. Pursuant to 807 KAR 5:001, Section 8(9), within seven days of entry of this Order, KIUC shall file a written statement with the Commission that: a. Certifies that it, or its agent, possesses the facilities to receive electronic transmissions; and b. Sets forth the electronic mail address to which all electronic notices and messages related to this proceeding should be served.

02/09/16

Derek A Rahn Revisions to the Application of Kentucky Utilities Company for Certificates of Public Convenience and Necessity and Approval of Its 2016 Compliance Plan for Recovery by Environmental Surcharge, Case No. 2016-00026.

Revisions to the Application of Louisv

02/05/16

Deficiency letter, information due 2/15

02/03/16

Michael L. Kurtz Kentucky Industrial Utility Customers, Inc. (KIUC) Motion to Intervene

01/29/16

Derek A Rahn The Application of Kentucky Utilities Company for Certificates of Public Convenience and Necessity and Approval of its 2016 Compliance Plan for Recovery by Environmental Surcharge.

01/04/16

Acknowledge Receipt of Filing

12/29/15

Kentucky Utilities Company Notice of Intent to File Application for Approval of (1) An Amended Environmental Compliance Plan; (2) A Revised Surcharge to Recover the Costs of This Amended

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Plan; and (3) Certificates of Public Convenience and Necessity Pursuant to KRS 278.020(1) for the Construction of Necessary Environmental Equipment Using Electronic Filing Procedures

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Total Number of Cases: 1