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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of April 12, 2016***2015-00178**

RECEIVED: 06/15/15

FILED: 06/15/15

FINAL: 02/04/16

REOPENED:

SUSPENSION DATE:

CASE NATURE: Alleged Failure to Comply with 49 CFR § 191.9(A)

**CASE CODE**

Investigation - Service

**UTILITIES:**

Carrollton Utilities

**HEARINGS:**

01/20/16 Formal Hearing  
 12/10/15 Informal Conference  
 09/15/15 Formal Hearing  
 09/10/15 Informal Conference

**INDEX OF EVENTS:**

02/04/16 Final Order Entered: 1. Carrollton's Second Motion to Dismiss is granted. 2. Carrollton's Motion to Schedule Depositions is denied as moot.  
 3. This matter shall be closed and removed from the Commission's docket.

01/11/16 Order Entered: 1. The Commission's December 22, 2015 Order requiring the filing of a witness list by January 13, 2016, is suspended indefinitely.  
 2. The January 20, 2016 hearing in this matter is suspended to a date to be determined.

12/22/15 Order Entered: Carrollton shall file with the Commission a list of all witnesses that it intends to call at the January 20, 2016 Hearing, and a summary of each witness's expected testimony no later than Wednesday, January 13, 2016.

12/17/15 Carrollton Utilities Motion to Dismiss or Schedule Depositions  
 12/14/15 Memorandum dated 12/11/2015 for Informal Conference of 12/11/2015; Comments, if any, due within five days of receipt

12/01/15 L. Allyson Honaker and David Samford Notice of Entry of Appearance on Behalf of Carrollton Utilities  
 11/17/15 Order Entered: 1. Carrollton's motion for an informal conference is granted. 2. An informal conference shall be held in this matter on Thursday, December 10, 2015, at 10:00 a.m. Eastern Standard Time, at the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky.

11/12/15 Carrollton Utilities Request for IC  
 11/02/15 Order Entered: Carrollton's motion to dismiss is denied.  
 2. Carrollton shall appear on January 20, 2016, at 9:00 a.m., Eastern Standard Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky, for the purpose of presenting evidence concerning the alleged violations of 49 CFR § 191.9(a), and showing cause why it should not be subject to the penalties prescribed in KRS 278.992(1) for the alleged violation.

09/17/15 Carrollton Utilities Supplement to IC Memorandum  
 09/10/15 Memorandum dated 9/10/2015 for Informal Conference of 9/10/2015; Comments, if any, due within five days of receipt

09/08/15 Carrollton Utilities Response to Information Request  
 08/27/15 Commission Staff's First Request for Information to Carrollton Utilities  
 08/18/15 Order Entered: 1. Carrollton's motion to dismiss is deferred for future consideration. 2. Carrollton's motion to suspend the September 15, 2015 Hearing and schedule an informal conference is granted. 3. The September 15, 2015 Hearing in this matter is continued generally to a date to be determined. 4. An informal conference shall be held on September 10, 2015, at 10:00 a.m. EDT, at the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky 40602.

08/04/15 Carrollton Utilities Response to Order and Motion to Suspend Hearing and Schedule IC  
 07/30/15 Order Entered: 1. Carrollton's motion for an extension of time to file a response to the Commission's June 15, 2015 Order and request an informal conference is granted. 2. Carrollton shall submit to the Commission a written response to the allegations contained in the Investigation Report and the alleged regulatory violations as set forth in the Commission's June 15, 2015 Order no later than August 10, 2015. 3. Any requests for an informal conference with Commission Staff shall be set forth in writing and filed with the Commission no later than August 10, 2015.

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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of April 12, 2026*

07/27/15 Carrollton Utilities Tim Pearson Affidavit  
07/27/15 Carrollton Utilities Motion for Extension to File  
07/27/15 Carrollton Utilities Bill Osborne Affidavit  
06/15/15 Order Entered: 1. Carrollton shall submit to the Commission, within 20 days of the date of this Order, a written response to the allegations contained in the Investigation Report and the alleged regulatory violation as set forth in the findings above. 2. Carrollton shall appear on September 15, 2015, at 10:00 a.m., Eastern Daylight Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard in Frankfort, Kentucky, for the purpose of presenting evidence concerning the alleged violation of 49 CFR § 191.9(a), and showing cause why it should not be subject to the penalties prescribed in KRS 278.992(1) for the alleged violation. 3. The September 15, 2015 hearing shall be recorded by videotape only. 4. The Investigation Report in the Appendix to this Order is made a part of the record in this case. 5. Any requests for an informal conference with Commission Staff shall be set forth in writing and filed with the Commission within 20 days of the date of this Order.

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Total Number of Cases: 1