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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of December 19, 2025***2015-00143**

RECEIVED: 06/30/15

FILED: 07/20/15

FINAL: 01/15/16

REOPENED:

SUSPENSION DATE: 01/18/16

CASE CODE

General Rates

UTILITIES:

Northern Kentucky Water District

HEARINGS:

12/03/15 Formal Hearing

11/19/15 Informal Conference

INDEX OF EVENTS:

01/12/17 POST CASE: Lindsey Rehtin Northern Kentucky Water District Phase Two - Legal Ad and Affidavit

04/26/16 POST CASE: Lindsey Rehtin Northern Kentucky Water District Portions of Asset Management Program Report

04/08/16 Order Entered: 1. Movant's motion for confidential protection of the AMP is granted in part and denied in part. 2. Pursuant to KRS 61.878(1)(m), the AMP, excluding the cover page, table of contents, and Executive Summary, is granted confidential protection and shall not be placed in the public record or made available for public inspection for an indefinite period of time, or until further Order of the Commission. 3. The AMP cover page, table of contents pages i-vii, and Executive Summary through page E-19, do not fall within the scope of KRS 61 .878 and are therefore denied confidential protection. Pursuant to 807 KAR 5:001, Section 13(5), these pages shall not be placed in the public record for the period permitted to request rehearing or bring an action for review. 4. Use of the materials granted confidential treatment in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 5. Movant shall inform the Commission if the materials granted confidential treatment become publicly available or no longer qualify for confidential treatment. 6. If a non-party to this proceeding requests to inspect materials granted confidential treatment by this Order, the Movant shall have 20 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61.878.

01/15/16 Final Order Entered: 1. NKWD's proposed rates are approved. 2. The Phase 1 rates as set forth in Appendix A to this Order are approved for service rendered by NKWD on and after the date of this Order. 3. The Phase 2 rates as set forth in Appendix B to this Order are approved for service rendered by NKWD effective on the first anniversary of the date of this Order. 4. One month prior to the effective date of the Phase 2 rates, NKWD shall publish notice as set forth in Appendix C to this Order. 5. Within 45 days of publishing notice required in ordering paragraph 4, NKWD shall file proof of notice as required pursuant to 807 KAR 5:076, Section 5(3). 6. Within 20 days of the date of this Order, using the Commission's electronic Tariff Filing System, NKWD shall file with the Commission revised tariff sheets that reflect the approved Phase 1 and Phase 2 rates. 7. Any documents filed pursuant to ordering paragraph 5 of this Order shall reference the number of this case and shall be retained in the utility's general correspondence file.

12/17/15 Jack Bragg Responses to Staff Data Request Post Hearing

12/04/15 Notice of Filing Hearing Documents

12/02/15 Order Entered: 1. NKWD's motion to excuse Constance Heppenstall from testifying at the December 3, 2015 hearing is granted. 2. NKWD's motion to limit the scope of the December 3, 2015 hearing is denied. 3. NKWD's motion to take the case under submission without a hearing is denied.

12/01/15 Jack Bragg Affidavit of Publication

11/30/15 Memorandum dated 11/24/2015 for Informal Conference of 11/19/2015; Comments, if any, due within five days of receipt

11/25/15 Jack Bragg Revision to Data Request 3 Q1 Schedule 2

11/23/15 Jack Bragg Additional Information Requested at Conference

11/20/15 Lindsey Rehtin Notice of Hearing

11/18/15 Order Entered: Informal conference scheduled on November 19, 2015, will start at 1:30 p.m. Eastern Standard Time, rather than 10:00 a.m. as established by the Commission's August 10, 2015 Order.

Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of December 19, 2025*

11/16/15 Order Entered: 1. A hearing in this matter shall be held on December 3, 2015, at 9:00 a.m., Eastern Standard Time, at the offices of the Public Service Commission, 211 Sower Boulevard, Frankfort, Kentucky. 2. Northern Kentucky Water District shall publish notice of the hearing in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov." At the time publication is requested, Northern Kentucky Water District shall forward a duplicate of the notice and request to the Commission. 3. The official record of this hearing shall be by video only.

10/19/15 Lindsey Rehtin Responses to Third Data Request

10/16/15 Order Entered: 1. Northern District's request for an extension of time is granted. 2. Northern District's responses to Staff's Third Request are due on or before October 19, 2015. 3. The remaining scheduling dates established by the Commission's August 10, 2015 Order remain unchanged.

10/06/15 Jack Bragg Motion for Extension of Time to File Responses to Data Request Three

09/28/15 COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION
TO NORTHERN KENTUCKY WATER DISTRICT

09/17/15 Lindsey Rehtin Legal Ads and Affidavit

09/14/15 Lindsey Rehtin Responses to Second Data Request

08/27/15 Commission Staff's Second Request for Information to Northern Kentucky Water District

08/10/15 Order Entered: 1. NKWD's proposed rates are suspended for five months, up to and including January 18, 2016. 2. a. Responses to requests for information in paper medium shall be appropriately bound, tabbed and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided. Any request for information from the Commission Staff shall be responded to as if set forth in a Commission Order. b. Each response shall be under oath or, for representatives of a public or private corporation, a partnership, an association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry. c. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. d. For any request to which a party fails to furnish all or part of the requested information, the party shall provide a written explanation of the specific grounds for its failure to completely and precisely respond. e. A party submitting a paper containing personal information shall encrypt or redact the paper so personal information cannot be read.

07/22/15 Deficiency Cured Letter

07/20/15 Lindsey Rehtin Additional information re: revenue requirement

07/17/15 Lindsey Rehtin Response to filing deficiency

07/16/15 Deficiency Letter, Information Due 7/26

07/14/15 Jack Bragg Responses to Staff First Data Request

06/30/15 Jack Bragg Cover Letter, Application and Exhibits

06/05/15 Commission Staff's First Request for Information to Northern Kentucky Water District

05/01/15 Acknowledge Receipt of Filing

05/01/15 Northern Kentucky Water District Notice of Intent to File Application for a General Rate Increase Using Electronic Filing Procedures

Total Number of Cases: 1