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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of June 30, 2024***2014-00201**

RECEIVED: 06/13/14

FILED: 06/24/14

FINAL: 12/04/14

REOPENED:

SUSPENSION DATE:

CASE CODE

Financing

Transfer / Sale / Purchase / Merger

Accounting Deferral

UTILITIES:

Duke Energy Kentucky, Inc.

Duke Energy Kentucky, Inc.

HEARINGS:

10/30/14 Formal Hearing

09/24/14 Informal Conference

INDEX OF EVENTS:

- 12/05/19 Final Order Entered: 1. This case is opened to address certain outstanding petitions for confidential treatment. 2. The petitions for confidential treatment listed in Appendix B to this Order are granted. 3. The granting of these petitions shall not be cited as precedent in other proceedings before the Commission. 4. All movants shall review the petitions to determine if the material for which confidential treatment was requested still warrants confidential treatment. 5. A movant shall inform the Commission in writing, and filed in the original case file, if it determines that the information contained in a petition that is granted by this Order no longer warrants confidential treatment. 6. The Commission shall make available for inspection, upon request, any materials that a movant determines no longer warrants confidential treatment. 7. This case is closed and removed from the Commission's docket.
- 07/15/19 Order Entered: 1. Duke Kentucky's petition for confidential protection for the designated material is granted. 2. The designated material shall not be placed in the public record or made available for public inspection for ten years. 3. Use of the material in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Duke Kentucky shall inform the Commission if the material in question becomes publicly available or no longer qualifies for confidential treatment. 5. If a non-party to this proceeding requests to inspect the material granted confidential treatment by this Order and the period during which the material has been granted confidential treatment has not expired, then Duke Kentucky shall have 30 days from receipt of written notice of the request to demonstrate that the material still falls within the exclusions from disclosure requirements established in KRS 61.878. If Duke Kentucky is unable to make such demonstration, the requested material shall be made available for inspection. Otherwise, the Commission shall deny the request for inspection. 6. The Commission shall not make the requested material available for inspection for 30 days following an Order finding that the material no longer qualifies for confidential treatment in order to allow Duke Kentucky to seek a remedy afforded by law.
- 04/22/15 Order Entered: 1. Movant's Petition for Confidential Protection is hereby granted. 2. The materials contained in Duke's response to Post-Hearing Data Request made at the hearing held on October 30, 2014, are hereby granted confidential protection and will not be placed in the public record or made available for public inspection for a period of ten years from the date of this Order, or upon further Orders of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Movant shall inform the Commission if the materials granted confidential protection become publicly available or no longer qualify for confidential treatment. 5. If a non-party to this proceeding requests to inspect materials granted confidential treatment by this Order and the period during which the materials have been granted confidential treatment has not run, Movant shall have 20 days from receipt of written notice of the request to demonstrate that the materials still fall within the exclusions from disclosure requirements established in KRS 61.878. If Movant is unable to make such demonstration, requested materials shall be made available for inspection. Otherwise, Commission shall deny request for inspection. 6. The Commission shall not make materials available for inspection for 20 days following an Order finding materials no longer qualify for confidential treatment in order to allow Movant to seek remedy afforded by law.
- 04/22/15 Order Entered: 1. Movant's Petition for Confidential Protection is hereby granted. 2. The materials contained in Duke's responses to Staff's First Request, Items 3, 4, 10, 20, 23, 24, 25, 29, and 30, are

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hereby granted confidential protection and will not be placed in the public record or made available for public inspection for a period of ten years from the date of this Order, or upon further Orders of this Commission. 3. Use of the materials in question in any Commission proceeding shall be in compliance with 807 KAR 5:001, Section 13(9). 4. Movant shall inform the Commission if the materials granted confidential protection become publicly available or no longer qualify for confidential treatment. 5. If a non-party to this proceeding requests to inspect materials granted confidential treatment by this Order and the period during which the materials have been granted confidential treatment has not run, Movant shall have 20 days from receipt of written notice of request to demonstrate the materials still fall within the exclusions from disclosure requirements established in KRS 61.878. If Movant is unable to make such demonstration, the requested materials shall be made available for inspection. Otherwise, the Commission shall deny request for inspection. 6. The Commission shall not make materials available for inspection for 20 days following an Order finding materials no longer qualify for confidential treatment in order to allow Movant to seek a remedy afforded by law.

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| 12/31/14 | POST CASE: Duke Energy Kentucky, Inc. Notification of Acquisition Completion |
| 12/18/14 | POST CASE: Duke Energy Kentucky, Inc. Original Letter Filed with Commission by David Samford |
| 12/17/14 | POST CASE: Letter from Duke Energy concerning letter of Dec 17, 2014 |
| 12/04/14 | Final Order Entered: (1) Duke Kentucky's request to acquire the remaining 31 percent interest in East Bend and to assume the liabilities associated with the East Bend acquisition is approved subject to the provisions of the Stipulation set forth in the Appendix. (2) The Stipulation, including the Rider PSM tariff proposed to implement the terms of the Stipulation, is approved. (3) Within seven days after the closing of the East Bend transaction, Duke Kentucky shall file written notification to the Commission detailing the status of the transaction. (4) Within 20 days of the closing of the East Bend transaction, Duke Kentucky shall file with this Commission, using the Commission's electronic Tariff Filing System, revised Rider PSM tariff sheets setting out the revisions approved herein and reflecting that they were approved pursuant to this Order. (5) Any documents filed pursuant to ordering paragraph 3 of this Order shall reference the number of this case and shall be retained in the utility's general correspondence file. Nothing contained herein shall be construed as a finding of value for any purpose or as a warranty on the part of the Commonwealth of Kentucky or any agency thereof as to the securities authorized herein. |
| 11/12/14 | Duke Energy verification page of James Northrup |
| 11/06/14 | Duke Energy Kentucky, Inc.'s Responses to Commission Staff's Post Hearing Data Requests |
| 11/05/14 | Notice of Filing Hearing Documents |
| 10/28/14 | Duke Energy affidavits attesting to publication of notice of Hearing |
| 10/09/14 | Duke Energy and AG Joint Motion to Accept Stipulation and Leave to Supplement Record with Additional Testimony in Support of Stipulation |
| 10/06/14 | Order Entered: A hearing in this matter shall be held on Thursday, October 30, 2014, at 10:00 a.m. Eastern Daylight Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky. 2. The official record of these proceedings shall be by video only. 3. Duke Energy Kentucky, Inc. shall publish notice of the scheduled hearing in accordance with 807 KAR 5:001, Section 9(2). 4. Any request to cancel or postpone this hearing shall be made by motion filed with the Commission at least one week before the hearing is scheduled to commence. |
| 09/30/14 | Memorandum dated 9/30/2014 for Informal Conference of 9/24/2014; Comments, if any, due within five days of receipt |
| 09/18/14 | Order Entered: (1) Duke Kentucky's motion for an informal conference is granted. (2) An informal conference will be scheduled on Wednesday, September 24, 2014, at 11:00 a.m., EDT, in CR 1 at the Commission's offices in Frankfort, KY for the purpose of discussing the status of this case and determining any issues to be raised at a hearing in this matter. |
| 09/18/14 | Duke Energy Notice of filing |
| 09/15/14 | Duke Energy motion for an informal conference |
| 08/29/14 | Duke Energy Kentucky's Responses to Attorney General's Second Set of Data Requests and Petition for Confidential Treatment |
| 08/29/14 | Duke Energy Kentucky Inc.'s Response to Commission Staff's Second Set of Data Requests and Petition for Confidential Treatment |
| 08/19/14 | COMMISSION STAFF'S SUPPLEMENTAL REQUEST FOR INFORMATION TO DUKE ENERGY KENTUCKY, INC. |

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08/19/14 OAG Attorney General's Supplemental Data Requests
08/11/14 Duke Energy Kentucky Affidavit
08/08/14 Duke Energy KY Responses to PSC Staffs First Set of Data Requests
08/08/14 Duke Energy KY Responses to OAGs First Set of Data Requests
Duke Energy KY Petition for Confidential Treatment
07/28/14 OAG Initial Data Requests Public Redacted Version
07/25/14 Commission Staff's Initial Request for Information to Duke Energy Kentucky, Inc.
07/25/14 Order Entered: the motion is granted, and the Attorney General is hereby made a party to these proceedings.
07/16/14 Order Entered: Duke Kentucky's request for approval and authorization to assume certain liabilities in connection with the East Bend acquisition is continued beyond the 60-day period specified in KRS 278.300(2).
2. The procedural schedule set forth in the Appendix attached hereto and incorporated herein shall be followed.
07/09/14 Attorney General's Motion to Intervene
06/24/14 Deficiency cured letter
06/24/14 Duke Energy Kentucky, Inc. Supplemental Compliance Filing
06/20/14 Deficiency letter, information due 7/10
06/13/14 Acknowledge Receipt of Filing
06/13/14 Duke Energy Kentucky, Inc. Application for (1) A CPC&N Authorizing the Acquisition of the Dayton Power & Light Company's 31% Interest in the East Bend Generating Station; (2) Approval of Duke Energy Kentucky, Inc.'s Assumption of Certain Liabilities in Connection with the Acquisition; (3) Deferral of Costs Incurred as Part of the Acquisition; and (4) All Other Necessary Waivers, Approvals, and Relief

Total Number of Cases: 1