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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of March 23, 2026***2012-00096**

RECEIVED: 05/31/12

FILED: 05/31/12

FINAL: 01/23/14

REOPENED: 05/16/13

SUSPENSION DATE:

CASE CODEConstruct
Electronic Case**UTILITIES:**

Kentucky-American Water Company

INTERVENORS: Barberie-LFUCG/Spenard-

HEARINGS:10/16/12 Formal Hearing
10/03/12 Teleconference**INDEX OF EVENTS:**

04/30/14 Kentucky-American Water Company Ordering Paragraphs 4,5 Report and Petition for Confidential Protection

01/23/14 Order Entered:
1. Kentucky-American's Petition for Rehearing is denied.
2. Twenty-three days after the day of this Order, the materials in Items 3 and 65 of Kentucky-American's Response to Commission Staff's First Request for Information shall be placed in the public record.

08/22/13 David Edward Spenard OAG notice of withdrawal and substitution of counsel

08/09/13 Kentucky-American Water Company Ordering Paragraph 7 Report

06/19/13 Order Entered: Kentucky-American's petition for rehearing is granted for the purpose of allowing the Commission additional time to address the merits of its petition.

05/16/13 Monica H. Braun Petition for Rehearing

04/25/13 Order Entered: (1) Kentucky American's petition for confidential protection is granted in part and denied in part. (2) The materials in Items 2 and 44(a) of Kentucky American's Response to Commission Staffs First Request for information shall not be placed in the public record or made available for public inspection until the Commission otherwise orders. (3) Kentucky American shall inform the Commission if any of the materials in Items 2 and 44(a) of Kentucky American's Response to Commission Staffs First Request for Information become publicly available or no longer qualify for confidential treatment. (4) If a non-party to proceeding requests to inspect materials granted confidential treatment by this Order, Kentucky American shall have 20 days from receipt of written notice of request to demonstrate that materials still fall within the exclusions from disclosure requirements established in KRS 61.878. If Kentucky American is unable to make such demonstration, the requested materials shall be made available for inspection. (5) Kentucky American's petition for confidential protection for the materials in Items 3 and 65 of Kentucky American's Response to Commission Staffs First Request for Information is denied. (6) The materials in Items 3 and 65 of Kentucky American's Response to Commission Staffs First Request for Information shall not be placed in the public record for 23 days following the date of this Order to allow Kentucky American to seek any remedy afforded by law.

03/25/13 Kentucky-American Water Company Notice

03/19/13 David Edward Spenard Attorney Generals Motion for Reconsideration

02/28/13 Final Order Entered: (1) Kentucky-American is granted a Certificate to construct the Northern Division Connection Project facilities as set forth in its application. (2) Kentucky-American shall obtain the approval of the Commission prior to performing any additional construction not expressly authorized by this Order. (3) Any deviation from the construction approved shall be undertaken only with the prior approval of the Commission. (4) Kentucky-American shall furnish documentation of total costs of project including cost of construction and all other capitalized costs, including, but not limited to, engineering, legal, and administrative expenses, within 60 days of the date construction is substantially completed. (5) Kentucky-American shall file a copy of "as-built" drawings and certified statement the construction has been satisfactorily completed in accordance with contract plans and specifications within 60 days of substantial completion of construction certificated herein. (6) Kentucky-American shall require construction be inspected under general supervision of a licensed professional engineer, with a Kentucky registration in civil or mechanical engineering, to ensure construction work is

DOC11R

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completed in accordance with contract drawings and specifications, and in conformance with best practices of construction trades involved in project. (7) Kentucky-American shall notify Commission seven days prior to actual start of construction and at 50 percent completion point.

12/14/12 Memorandum dated 12/14/2012 for Informal Conference of 10/03/2012; Comments, if any, due within five days

11/15/12 David Edward Spenard Attorney Generals post-hearing brief

11/15/12 Kentucky-American Water Company KAWs Post-Hearing Brief

11/14/12 Lexington-Fayette Urban County Government Post Hearing Brief

10/26/12 Kentucky-American Water Company KAWs Responses to Hearing Data Requests

10/19/12 Notice of Filing Hearing Documents

10/15/12 Monica H. Braun Proof of Legal Publication of Hearing

10/03/12 Commission Staff's Notice of Telephone Conference Call

09/28/12 Kentucky-American Water Company KAWs Supplemental Responses to Item 67 of Commission Staffs First Set of Information Requests, Item 6 of Attorney Generals First Set of Information Requests, and Supplement to Exhibit C of KAWs Application.

09/18/12 Order Entered: (1) Notice is given to Frankfort-Franklin County Planning Commission and Owenton Planning and Zoning Commission that the Commission will conduct a hearing in the above-styled matter on October 16, 2012 to consider Kentucky-American Water Company's application to construct certain water storage and transmission facilities in these counties. Any planning commission may submit written comments to the Commission regarding these proposed facilities or may request intervention in these proceedings. (2) The Executive Director shall serve a copy of this Order upon Frankfort-Franklin County Planning Commission and Owenton Planning and Zoning Commission.

09/18/12 Order Entered: (1) A hearing for the purpose of taking evidence and argument of Kentucky-American's application shall be held on October 16, 2012, beginning at 10:00 a.m., EDT, at the offices of the Public Service Commission, 211 Sower Blvd, Frankfort, KY. (2) Kentucky-American shall give notice of the time, place, and purpose of the scheduled hearing in this matter in a newspaper of general circulation in all areas in which it provides water service one time not less than seven nor more than 21 days prior to the hearing. (3) Pursuant to KRS 278.360, the record of the formal hearing in this matter shall be by videotape.

08/20/12 Kentucky-American Water Company KAWs responses to Attorney Generals Supplemental Request for Information, Commission Staffs Supplemental Request for Information and LFUCGs Request for Information.

08/06/12 Commission Staff's Second Request for Information to Kentucky-American Water Company

08/06/12 Lexington-Fayette Urban County Government Lexington-Fayette Urban County Governments Supplemental Requests for Information

08/06/12 David Edward Spenard Attorney Generals Supplemental Request for Information

07/23/12 Kentucky-American Water Company KAWs Amended Responses to AG First Set of Data Requests

07/23/12 Kentucky-American Water Company KAWs Petition for Confidential Treatment and Responses to Staff and AG First Requests for Information

07/09/12 Commission Staff's First Request for Information to Kentucky-American Water Company

07/09/12 Order Entered: (1) LFUCG's motion to intervene is granted. (2) LFUCG shall accept the existing procedural schedule. (3) LFUCG shall be entitled to the full rights of a party and, pursuant to the provisions of the Commission's Order of March 20, 2012, shall be served with electronic notice of the issuance of all Commission Orders issued after the date of this Order and of all documents filed by any party to this proceeding.

07/06/12 David Edward Spenard AGs first request for information to KAW

07/05/12 Lexington-Fayette Urban County Government Motion for Full Intervention and Waiver

07/02/12 Kentucky-American Water Company Direct Testimony of Lance E. Williams

06/19/12 Order Entered: (1) The procedural schedule set forth in the Appendix to this Order shall be followed in this proceeding. (2) Responses shall be indexed and include name of witness. Each response shall be under oath or accompanied by signed certification of the preparer. A party shall make timely amendment to any prior response if it obtains information which indicates the response was incorrect when made or, though correct when made, is now incorrect in any material respect. For any request to which a party refuses to furnish all or part of requested information, party shall provide written explanation of specific grounds for its failure to completely and precisely respond. (3) At any hearing, neither opening statements nor summarization of direct testimony shall be permitted. (4) Kentucky-American shall give notice of time, place, and purpose of hearing in this matter in newspaper of general circulation in all areas in which it provides water service one time not less than 7 nor more than 21 days prior to hearing. (5) Pursuant to KRS 278.360, the record of formal hearing shall be by

DOC11R

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videotape. (6) Commission Staff shall make a written exhibit list and shall file this list with Commission with all exhibits and a copy of the video transcript of the hearing. (7) Nothing contained herein shall prevent Commission from entering future Orders in this matter.

06/11/12 Order Entered: (1) The Attorney General's motion is granted. (2) The Attorney General shall be entitled to the full rights of a party and shall be served with the Commission's Orders after the date of this Order. (3) The Attorney General shall comply with all provisions of the Commission's Order of March 20, 2012 related to the service and electronic filing of documents.

06/08/12 City of Owenton's Letter of Support RE: KAW

06/06/12 No deficiency letter

05/31/12 Kentucky-American Water Company Application and supporting materials

03/30/12 Kentucky-American Water Company KAW Statement of Waiver

03/20/12 Order Entered: Kentucky-American's request for a deviation from 807 KAR 5:00I to permit the use of electronic filing procedures in this proceeding is granted upon the condition that Kentucky-American files with the Commission within 10 days of the date of this Order a written waiver of all rights to service of Commission orders by United States mail. Within 10 days of the date of this Order, Kentucky-American shall file a statement as to whether it or its agents are capable of receiving electronic transmissions. If, within 10 days of the date of this Order, Kentucky-American fails to file with the Commission a written waiver of all rights to service of Commission orders by United States mail, ordering paragraphs 1 through 19 are vacated and Kentucky- American's request for deviation is denied.

03/19/12 AG's Motion to Intervene and Witten Notice Regarding Electronic Filing

03/15/12 Acknowledge Receipt of Filing

03/15/12 Kentucky-American Water Company's Notice of Intent to File Application for a CPC&N Authorizing Construction of the Northern Division Connection and Request to File Application Electronically

Total Number of Cases: 1