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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of August 09, 2025***2012-00072**

RECEIVED: 06/29/12

FILED: 07/11/12

FINAL: 12/20/12

REOPENED:

SUSPENSION DATE: 12/31/12

**CASE CODE**

General Rates  
Financing  
Electronic Case

**UTILITIES:**

Northern Kentucky Water District

INTERVENORS: Spenard - AG

**HEARINGS:**

11/20/12 Formal Hearing  
11/09/12 Informal Conference  
10/12/12 Informal Conference

**INDEX OF EVENTS:**

07/02/13 Jack Bragg Final Version Plan of Finance Order 12/20/2012  
03/05/13 Order Entered: (1) Appendices B and C to the Order of December 20, 2012 are stricken and the documents appended to this Order and labeled as Appendices B and C are substituted in their place. (2) All other provisions of the Order of December 20, 2012, shall remain in full force and effect.  
01/08/13 Jack Bragg Final tariffs per rate order and annual update of subdistrict rates  
12/20/12 Order Entered: The provisions of the Stipulation and Recommendation, a copy<sup>14</sup> of which is appended to this Order as Appendix A, are approved and adopted. 2. The rates as set forth in Appendix B to this Order are approved for water service that Northern District renders on and after January 1, 2013. 3. The rates as set forth in Appendix C to this Order are approved for water service that Northern District renders on and after January 1, 2014. 4. Within 20 days of the date of this Order, Northern District shall file with the Commission revised tariff sheets that reflect the approved rates. 5. Northern District is authorized to issue no more than \$28,165,000 of water district general revenue bonds within the interest rate and payment period set forth in its application. 6. The proceeds on the bond issuance authorized herein shall be used only for the purposes set forth in Northern District's application. This copy includes the parties' letter of November 16, 2012 regarding the proposed bond issuance 7. Within 30 days of the sale of the proposed bonds, Northern District shall file with the Commission the final version of its Plan of Finance in the same format as found in Exhibit A of Northern District's application. 8. If the sale of the proposed bonds has not occurred within 180 days of this Order, Northern District shall so advise the Commission in writing and shall further advise the Commission of the reasons for the delay in the sale and the expected date of sale. 9. As of t  
11/16/12 Jack Bragg Stipulation Bond Letter  
11/16/12 Jack Bragg Tariff Attachment to Settlement  
10/30/12 Order Entered: (1) The Joint Motion is granted in part and denied in part. (2) The hearing scheduled in this matter for November 20, 2012 is postponed generally. (3) Any decision to cancel the hearing in this matter shall be made after the Commission completes its review of the "Stipulation and Recommendation".  
10/19/12 Jack Bragg Motion and Stipulation  
10/02/12 Order Entered:  
1. An informal conference shall be held in the Commission's offices in Frankfort, Kentucky, for the purpose of considering the possibility of settlement, the simplification of issues, and any other matters that may aid in the disposition of this case, beginning at 11 :00 a.m., Eastern Daylight Time, on October 12, 2012.  
2. A hearing for the purpose of taking evidence and argument on Northern Kentucky Water District's ("NKWD") application shall be held on November 20, 2012, beginning at 10:00 a.m., Eastern Standard Time, at the offices of the Public Service Commission, 21 1 Sower Boulevard, Frankfort, Kentucky.  
3. Not less than seven nor more than 21 days prior to the hearing, NKWD shall give notice of the time, place, and purpose of the scheduled hearing in this matter one time in a newspaper of general circulation in all areas in which it provides water service.  
4. Pursuant to KRS 278.360, the record of the formal hearing in this matter shall be by videotape.

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| 09/27/12 | Jack Bragg Cost Update   |
| 09/06/12 | Jack Bragg Responses to Third Data Request   |
| 08/24/12 | Commission Staff's Third Request for Information to Northern Kentucky Water District   |
| 08/17/12 | David Edward Spenard Attorney Generals Supplemental Written Statement Regarding Electronic Filings   |
| 08/16/12 | Jack Bragg Request for change to the schedule.   |
| 08/14/12 | Jack Bragg NKWD responses to Commissions second data request.  |
| 08/14/12 | Jack Bragg Copy of Legal Ads and Affidavits.   |
| 08/09/12 | Jack Bragg Rate Case cost update.  |
| 07/31/12 | Commission Staff's Second Request For Information to Northern Kentucky Water District  |
| 07/20/12 | Order Entered: (1) NKWD's proposed rates re suspended from August 1, 2012 up to and including December 31, 2012. (2) NKWD's financing application is continued generally in accordance with KRS 278.300(2). (3) The procedural schedule set forth in the Appendix to this Order shall be followed in this proceeding. a. Responses to requests for information shall be appropriately indexed and shall include the name of the witness responsible for responding to the questions related to the information provided. b. Each response shall be under oath or accompanied by a signed certification. The person signing response shall acknowledge response is true and accurate to best of knowledge, information, and belief. (4) At any hearing in this matter, neither opening statements, summarization of direct testimony nor surrebuttal testimony shall be permitted. (5) NKWD shall give notice of the time, place, and purpose of the scheduled hearing in this matter in a newspaper of general circulation in all areas in which it provides water service one time not less than 7 nor more than 21 days prior to the hearing. (6) Pursuant to KRS 278.360, the record of the formal hearing in this matter shall be by videotape. (7) Commission Staff shall make a written exhibit list and shall file this list with the Commission with all exhibits and a copy of the video transcript of the hearing. (8) When filing documents, all parties shall observe the provisions of the Commission's Order of March 8, 2012. |
| 07/13/12 | Jack Bragg Responses to Data Request of 3-27-2012  |
| 07/11/12 | Order Entered: (1) NKWD's request to deviate from 807 KAR 5:001, Sections 6 and 11(2)(a) is granted. (2) NKWD's application is considered filed as of the date of this Order. (3) Nothing contained in this Order shall preclude the Commission from requiring the production of information regarding NKWD's financial condition or operations since December 31, 2011.   |
| 07/05/12 | Order Entered: (1) The Attorney General's motion is granted. (2) The Attorney General shall be entitled to the full rights of a party and shall be served with the Commission's Orders after the date of this Order. (3) The Attorney General shall comply with all provisions of the Commission's Order of March 8, 2012 related to the service and electronic filing of documents.   |
| 06/29/12 | Jack Bragg Rate Application Case 2012-00072  |
| 06/07/12 | Notice of Amendment to Commission Staff's First Request for Information to Northern Kentucky Water District  |
| 03/27/12 | Commission Staff's First Request For Information to Northern Kentucky Water District   |
| 03/19/12 | AG's Motion to Intervene and Written Statement Regarding Electronic Filing   |
| 03/13/12 | Jack Bragg Waiver for electronic documents   |
| 03/08/12 | Order Entered: NKWD's request for deviation from 807 KAR 5:001 to permit the use of electronic filing procedures in this proceeding is granted upon the condition that NKWD files with the Commission within 10 days of the date of this Order a written waiver of all rights to service of Commission orders by United States mail. When a conflict exists between the procedures set forth in 807 KAR 5:001 and those established in this Order, the parties shall comply with this Order. All pleadings, documents and exhibits shall be filed by uploading electronic version of document using Commission's E-Filing System. The filing party shall also file one original and one paper copy with the Commission. Within 10 days of date of this Order, NKWD shall file statement as to whether it, or its agents, are capable of receiving electronic transmissions. If within 10 days of the date of this Order, NKWD fails to file a written waiver of all rights to service of Commission orders by United States mail, ordering paragraphs 1 through 18 are vacated and NKWD's request for deviation is denied.   |
| 03/01/12 | Acknowledge Receipt of Filing  |
| 03/01/12 | Northern Kentucky Water District Notice of Intent to File Application for a General Rate Increase Using a Historical Test Period and Request for Deviation to File Electronically  |