

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of June 24, 2026***2011-00433**

RECEIVED: 10/31/11

FILED: 10/31/11

FINAL: 08/30/12

REOPENED:

SUSPENSION DATE: 04/30/12

**CASE CODE**

Alternative Rate Filing Adjustment

**UTILITIES:**

Coolbrook Utilities, LLC

**HEARINGS:**

05/10/12 Formal Hearing  
 04/03/12 Formal Hearing  
 03/21/12 Formal Hearing  
 03/16/12 Informal Conference  
 02/29/12 Informal Conference

**INDEX OF EVENTS:**

04/01/13 POST CASE: Coolbrook Utilities, LLC Notice  
 09/19/12 POST CASE: Coolbrook Utilities Tariff  
 09/07/12 Order Entered: nunc pro tunc, that Coolbrook Utilities, LLC shall file with the Commission no later than September 19, 2012 a revised tariff sheet that reflects the monthly fee of \$32.31 for service approved in our Order of August 30, 2012.  
 08/30/12 Final Order Entered: 1) Coolbrook's proposed monthly rate for service is denied. 2) Coolbrook's proposed monthly surcharge is denied. 3) Coolbrook is authorized to assess a monthly fee of \$32.31 for service provided on and after the date of this Order. 4) Coolbrook is authorized to assess a monthly surcharge of \$3.00 for a period of 12 months subject to the conditions set forth in ordering paragraphs 5 through 10. 5) Coolbrook shall not commence assessment and collection of the surcharge until the inflow and infiltration study has been performed and a copy of that study has been filed with the Commission. 6) Coolbrook shall file with the Commission no more than 45 days and no less than 30 days prior to the first billing of the surcharge a revised tariff sheets that reflects the monthly surcharge. 7) Coolbrook shall bill the surcharge as a separate line item on customer bills. 8) Every 90 days following commencement of the billing of the surcharge, Coolbrook shall file with the Commission a written report stating the amount of the surcharge billed and collected monthly while the surcharge has been in effect. 9) If, within 180 days of the date of this Order, Coolbrook has not entered into a contract for the performance of an inflow and infiltration study and that study has not begun, authorization for the monthly surcharge is vacated and Coolbrook shall immediately notify the Commission in writing.  
 06/01/12 Notice of Filing Hearing Documents  
 05/30/12 Post Hearing Brief of Coolbrook Utilities  
 05/29/12 Coolbrook Utilities Post Hearing Brief (FAX)  
 05/25/12 Attorney General's Post-Hearing Brief  
 05/18/12 Coolbrook Utilities Answers to Post Hearing Information Requests  
 05/09/12 Order Entered: (1) Coolbrook's Motion for Identification of Issues is granted. (2) The issues identified in this Order will be addressed at the scheduled hearing in this matter.  
 05/07/12 Letter identifying Commission Staff testifying at scheduled hearing  
 05/04/12 AG's Response to Coolbrook Utilities, LLC's Motion for Identification of Issues  
 04/30/12 Coolbrook's Motion for Identification of Issues  
 04/04/12 Order Entered: The hearing previously scheduled in this matter for April 3, 2012 is postponed and shall now begin at 9:00 a.m., Eastern Daylight Time, on May 10, 2012. The location of the hearing remains unchanged. 2. Coolbrook Utilities, LLC ("Coolbrook Utilities") shall publish notice of the rescheduled hearing in accordance with 807 KAR 5:076, Section 11 3. Coolbrook Utilities bears the burden of demonstrating the reasonableness of its proposed rates and shall be prepared to address questions related to the reasonableness of those rates at the scheduled hearing. 4. All provisions of the Commission's prior orders in this proceeding that do not conflict with the provisions of this Order remain in full effect.  
 04/03/12 Letter to Parties Filing E-mail Traffic into Record  
 03/28/12 Coolbrook Utilities, LLC's List of Witnesses (Original)  
 03/28/12 Coolbrook Utilities, LLC's List of Witnesses (FAX)  
 03/26/12 Order Entered: Coolbrook's request for an informal Conference and postponement is denied

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of June 24, 2026*

03/23/12 Attorney General's Notice Regarding Commission Staff Report  
03/19/12 Coolbrook Utilities, LLC's Objections to Commission Staff's Report  
03/05/12 Notice of Filing of Commission Staff Report  
02/28/12 Coolbrook Utilities Response to data request  
02/23/12 Coolbrook Utilities Response to DR RE Time Tracking Entries  
02/13/12 Coolbrook Utilities Answers to Commission Staff's 1st Request for Information  
02/03/12 Order Entered:  
1. Coolbrook's Motion for Extension of Time is granted in part and denied in part.  
2. Coolbrook shall file its responses to Commission Staffs First Request for Information with the Commission no later than February 13, 2012.  
3. The procedural schedule set out in the Commission's Order of January 20, 2012 is vacated in its entirety and is replaced with the procedural schedule set forth in this Order.  
4. No later than March 5, 2012, Commission Staff shall file with the Commission and serve upon all parties of record a report containing its findings and recommendations on Coolbrook's application.  
5. The parties shall file with the Commission any written comments on and any objections to the findings and recommendations contained in the Commission Staff Report no later than March 19' 2012.  
6. A party's failure to file a written objection to a finding or recommendation contained in the Staff Report by March 19, 2012 shall be deemed as agreement with that finding or recommendation.  
7. Each party shall file with the Commission, no later than March 26, 2012, a list of the witnesses that it intends to call at the scheduled hearing and a summary of each witness's expected testimony.  
8. A formal hearing shall be held in this matter beginning at 10:00 a.m., on April 3, 2012 at the Commission's offices.  
9. Coolbrook shall publish notice of the scheduled hearing.  
10 . Pursuant to KRS 278.360, the record of the formal hearing shall be by videotape.

02/01/12 Coolbrook Utilities Motion for Extension of Time  
01/20/12 COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION TO COOLBROOK UTILITIES, LLC.  
01/17/12 Notice of Entry of Appearance of Counsel  
01/17/12 Coolbrook Utilities, LLC's Copies of Entries from Daily Activity Log Book  
01/11/12 Order Entered: 1. No later than February 22, 2012, Commission Staff shall file with the Commission and serve upon all parties of record a report containing its findings and recommendations on Coolbrook's application. 2. The parties shall file with the Commission any written comments on and any objections to the findings and recommendations contained in the Commission Staff Report no later than March 7, 2012. Any party may file with such submission any additional evidence for the Commission's consideration. 3. A party's failure to file a written objection to a finding or recommendation contained in the Staff Report by March 7, 2012 shall be deemed as agreement with that finding or recommendation. 4. An informal conference shall be held in this matter on March 16, 2012 at 10:00 a.m., Eastern Standard Time, at the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky for the purpose of discussing the findings and recommendations contained in the Commission Staff report and any objections of the parties to those findings and recommendations. 5. Each party shall file with the Commission, no later than March 26, 2012, a list of the witnesses that it intends to call at the scheduled hearing and a summary of each witness's expected testimony. 6. A formal hearing shall be held in this matter beginning at 10:00 a.m., Eastern Daylight Time, on April 3, 2012 at the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky. 7. Coolbrook shall publish notice of the sched

12/02/11 Order Entered: that the motion is granted, and the Attorney General is hereby made a party to these proceedings.

11/30/11 Attorney General's Motion to Intervene  
11/29/11 Order Entered: 1. This proceeding is established to investigate the reasonableness of Coolbrook's proposed rates. 2. Coolbrook's proposed rates are suspended for a period of five months, from December 1,201 1 through April 30,2012. 3. No later than February 1, 2012, Commission Staff shall file with the Commission and serve upon all parties of record a report containing its findings and recommend at ions on Coolbrook's application . 4. The parties shall file with the Commission their written comments on and any objections to the findings and recommendations contained in the Commission Staff Report no later than February 15, 2012, or 14 days after the date of the filing of the Commission Staff Report, whichever occurs earlier. Any party may file with such submission any

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of June 24, 2026*

additional evidence for the Commission's consideration. 5. A party's failure to file written objections to a finding or recommendation contained in the Staff Report by February 15, 2012, or 14 days after the date of the filing of the Commission Staff Report, whichever occurs earlier, shall be deemed as agreement with that finding or recommendation. 6. No later than February 15, 2012, or 14 days after the date of the filing of the Commission Staff Report, whichever occurs earlier, each party shall notify the Commission in writing whether this matter may be submitted for decision based upon the existing record and without hearing. 7. An informal conference shall be held in this matter on February 29, 2012 a

11/09/11

Jannette Riddle Public Comments

11/07/11

Order Entered: (1) The social security numbers, employer tax identification numbers, and loan identification numbers contained in Coolbrook's application shall be afforded confidential treatment. (2) The Executive Director shall remove Coolbrook's application from the Commission's public files and shall substitute a copy in which all social security numbers, employer tax identification numbers, and loan identification numbers have been redacted.

11/04/11

No Deficiency Letter

11/04/11

Coolbrook Utilities Notice of Hand Delivery of Rate Case Filing to AG on 103111

10/31/11

Acknowledge Receipt of Filing

10/31/11

ALTERNATIVE RATE ADJUSTMENT FILING OF COOLBROOK UTILITIES, LLC

Total Number of Cases: 1