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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of May 17, 2026***2010-00142**

RECEIVED: 04/16/10

FILED: 04/16/10

FINAL: 05/09/11

REOPENED:

SUSPENSION DATE:

CASE NATURE: Alleged Violation of a Commission Order and Failure to Timely File Its Tariffed Gas Cost Adjustment

CASE CODE

Investigation - Rates

UTILITIES:

Elam Utility Company, Inc.

HEARINGS:

08/17/10 Formal Hearing

INDEX OF EVENTS:

11/29/10 Wilma B. Ison Sorrell's Affidavit

11/04/10 Reminder Letter

09/22/10 Order Entered:

(1) The suspension of the balance of the civil penalty assessed in Case No. 2009-00362 against Ms. Ison-Sorrell, personally and as an officer of Elam, is revoked. The balance of the civil penalty is due and payable within 30 days of the date of this Order.

(2) Ms Ison-Sorrell is assessed a civil penalty of \$2,500 for her willful failure to timely file Elam's 2010 second quarter GCA. The civil penalty shall be paid within 30 days of the date of this Order.

(3) Ms. Ison-Sorrell is assessed a civil penalty of \$2,500 for her willful failure to file a response to the Commission's Order of April 16, 2010. The civil penalty shall be paid within 30 days of the date of this Order.

(4) Elam is dismissed as a party to this case.

08/03/10 Order Entered: (1) Elam and Wilma B. Sorrell, formerly Wilma B. Ison, personally & as President of Elam, shall appear before PSC August 17, 2010 at 10:00 a.m., EDT, in Hearing Room 1 of PSC's offices concurrent with and for purpose of: a. Presenting evidence concerning failure to timely file Elam's second quarterly GCA & why suspended civil penalty of \$7,500 should not be due & payable from Wilma B. Sorrell, formerly Wilma B. Ison, and for showing cause why Elam and Wilma B. Sorrell, jointly and severally, should not be subject to penalties prescribed in KRS 278.990(1); and b. Presenting evidence concerning failure to file written response within 20 days of the Order of April 16, 2010 and showing cause why Elam & Wilma B. Sorrell, formerly Wilma B. Ison, should not be subject to the penalties prescribed in KRS 278.990(1). (2) PSC does not favor motions for continuance and will grant them only when made in writing & states compelling reasons. Request to cancel or postpone hearing shall be by motion filed at least one week before the hearing. (3) Corporate entities are advised that, under KY law, a corporation, its employees, and any representatives who are not licensed to practice law in KY are not permitted to make objections, examine, or cross-examine witnesses in administrative hearings. (4) A certificated copy of the April 16, 2010 Order in this case is appended hereto. (5) Within 10 days of the date of this Order, Elam and Wilma B. Sorrell, formerly Wilma B. Ison, shall

04/16/10 Order Entered:

(1) Elam and Wilma B. Ison, both personally and as President of Elam, shall appear before the Commission on August 17, 2010 at 10:00 a.m. EDT for the purpose of presenting evidence concerning their failure to timely file Elam's second quarterly GCA and to pay the balance of a civil penalty as ordered and for showing case why Elam and Wilma B. Ison, jointly and severally, should not be subject to the penalties prescribed in KRS 278.990(1).

(2) Commission does not favor motions for continuance and will grant them only when such a motion is filed at least one week before the hearing is scheduled to commence and states compelling reasons for granting the motion. (3) Corporate entities are advised that, under Kentucky law, a corporation, its employees, and any representatives who are not licensed to practice law in Kentucky are not permitted to make objects, examine, or cross-examine witnesses in administrative hearings. (4) A certified copy of the final Order in Case No. 2009-00362 dated December 16, 2009 is appended hereto. (5) Within 20 days of the date of this Order, Elam and Wilma B. Ison shall each file a written response to the Commission concerning the allegations contained herein. (6) Any motion requesting an informal conference to consider any matter that would aid in the handling or disposition of this proceeding shall be filed with the Commission no later than 20 days from the date of this Order. (7) Record of the formal

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hearing shall be by videotape.

Total Number of Cases: 1