

DOC11R

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of August 09, 2025***2006-00472**

RECEIVED: 01/29/07

FILED: 02/06/07

FINAL: 07/07/08

REOPENED: 12/27/07

SUSPENSION DATE:

CASE NATURE: Historical Test Period

**CASE CODE**

Wholesale Rate Adjustment

**UTILITIES:**

East Kentucky Power Cooperative, Inc.

INTERVENORS: AG/Howard/KIUC/Sanders

**HEARINGS:**

02/26/08	Informal Conference
09/05/07	Formal Hearing
08/31/07	Informal Conference
03/22/07	Formal Hearing
03/14/07	Formal Hearing
03/06/07	Formal Hearing

**INDEX OF EVENTS:**

02/11/13	POST CASE: EKPC response to Order
12/20/12	EKPC's Monthly Financial Information
11/29/12	POST CASE: EKPC's response to Order monthly reports
08/20/12	POST CASE: East Kentucky Power Coop response to PSC Order concerning financial information
03/20/12	POST CASE: EKPC's Financial Information Pursuant to Order in Case No. 2006-00472
05/20/11	POST CASE: EKPC's Financial Information Pursuant to Order in Case No. 2006-00472
01/27/11	POST CASE: EKPC's Monthly financial information
08/20/09	EKPC's Monthly Financial Information
07/20/09	EKPC's Monthly Financial Information
06/19/09	EKPC's Financial Information in Response to PSC Order
05/20/09	EKPC's Financial Information in Response to PSC Order
04/22/09	EKPC's Financial Information in Response to PSC Order
03/20/09	EKPC's Monthly Financial Information
02/13/09	EKPC's Expense Report
07/07/08	Order Entered: KIUC proposal to utilize the demand related revenue allocation approach to allocate the 19.0 million revenue increase is denied
06/17/08	KIUC's Inquiry When a Final Order is Expected to be Issued.
04/25/08	KIUC's Response to Rehearing Data Request of PSC Staff
04/24/08	EKPC's response to staff's rehearing data request
04/10/08	Rehearing Data Request of Commission Staff to East Kentucky Power Cooperative, Inc. and Kentucky Industrial Utility Customers, Inc.; Responses due 4/24
04/04/08	Order Entered: Procedural schedule set forth in appendix A shall be followed in this case: Any requests for a hearing in this matter shall be submitted in the manner discussed in this Order
03/18/08	Supplemental Direct Testimony of Kevin C. Higgins on behalf of the Kentucky Industrial Utility Customers, Inc.
03/05/08	Memorandum dated 3/4/08 for Informal Conference of 2/26/08; Comments, if any, due within five days of receipt of letter
02/19/08	Commission Staff's Notice of Informal Conference on Feb 26 at 11:00 am in Conference Room 1
02/14/08	EKPC report as directed by the Commission's Order dated December 5, 2007.
12/27/07	Order entered:
	KIUC's petition for rehearing on the issue of revenue allocation is granted.
12/20/07	EKPC's Comments regarding KIUC's Petition for Rehearing
12/20/07	EKPC's Revised Tariff
12/13/07	KIUC Petition for Rehearing
12/05/07	Order entered: (1) Rates proposed by EKPC are denied; (2) April 1, 2007 interim increase in revenues of \$19.0 million is approved as final increase in revenues authorized in this proceeding. No change to EKPC's base rates is necessary; (3) Allocation of the \$19.0 million increase in revenues and resulting

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of August 09, 2025*

rate design as determined in 04/01/07 Order are reasonable and are approved. Allocation and rate design changes proposed by KIUC are denied; (4) EKPC shall, within 20 days of date of this Order, file its revised tariff sheets reflecting the termination of the Economic Development Rider; (5) Changes proposed by Sierra Club to EKPC's QF tariffs are denied; (6) EKPC shall file an application to update the avoided costs reflected in QF tariff no later than 03/31/08, as described in this Order; (7) Proposal of Sierra Club to adopt statistical recoupling is rejected; (8) Financial monitoring established by ordering paragraph 6 of 4/01/07 Order is continued, subject to modifications described in this Order; (9) EKPC shall continue to monitor its financial condition and shall file its next base rate case when conditions warrant, but it no event shall such filing be made later than 9 months after Spurlock 4 generating station goes into service. In the event EKPC's financial condition permits it to refrain from filing a rate case until after Spurlock 4 goes on line, the test year shall include 6 months of Spurlock 4 operations

11/20/07 Reports of East Kentucky Power Coop in response to Order of April 1, 07  
 10/22/07 East Kentucky Power's response to the Commission Order dated 4/1/07  
 10/05/07 Brief of Cumberland Chapter of the Sierra Club  
 10/05/07 Post Hearing brief of East Kentucky Power  
 10/05/07 Joint Post Hearing brief of East Kentucky Power, AG, KIUC  
 09/20/07 Transcript filed for Hearing on September 5, 07  
 09/14/07 Responses of East Kentucky Power Coop  
 09/14/07 East Kentucky Power Coop response to Memo in regard to informal conference  
 09/12/07 Memorandum dated Sept 12, 2007 for Informal Conference of August 29 2007, ; Comments, if any, due within 5 days of receipt of this letter.  
 08/31/07 Joint stipulation and recommendation filed on behalf of East Kentucky Power Coop  
 08/29/07 Staff Notice of Informal Conference on 8/31/07 at 9:30 a.m. in Conf. Room 1  
 08/22/07 Response to Order of East Kentucky Power  
 08/20/07 Rebuttal testimony of EKPC  
 08/09/07 Responses of the Kentucky Industrial Utility Customers to the first data request of Commission Staff (with electronic copy)  
 08/09/07 Responses of the Kentucky Industrial Utility Customers to the first data request of EKPC (with electronic copy)  
 08/08/07 Sierra Club Cumberland Chapter's responses to the first information request of EKPC  
 08/08/07 Sierra Club Cumberland Chapter's responses to the first information request of the Commission Staff  
 07/25/07 EKPC's information requests to the Cumberland Chapter of the Sierra Club  
 07/25/07 First Data Request of Commission Staff to the Cumberland Chapter of the Sierra Club; response due 8/8  
 07/25/07 EKPC's information requests to Kentucky Industrial Utility Customers  
 07/25/07 First Data Request of Commission Staff to Kentucky Industrial Utility Company, Inc.; response due 8/8/07  
 07/20/07 EKPC's monthly financial reports  
 07/09/07 Order entered revising 6/29/07 procedural schedule  
 07/06/07 Direct Testimony of Kevin C. Higgins on behalf of Kentucky Industrial Utility Consumers  
 07/06/07 Direct Testimony and Exhibits of Lane Kollen on behalf of the Kentucky Industrial Utility Customers  
 07/02/07 EKPC's motion for an additional postponement of the filing date for intervenor testimony  
 06/29/07 Prepared testimony of the Cumberland Chapter of the Sierra Club  
 06/29/07 Order entered approving new procedural schedule  
 06/27/07 Letter to Charles Lile granting EKPC's petition for confidentiality filed 6/13/07  
 06/25/07 EKPC's motion to postpone filing date for the intervenor testimony  
 06/22/07 Reports of EKPC  
 06/22/07 Cumberland Chapter of the Sierra Club's notice of substitution of counsel  
 06/19/07 Order entered that the Sierra Club's motion for the Commission to reverse its Order of 2/13/07 is denied; The Commission's Order of 2/13/07 is modified as set forth herein  
 06/13/07 EKPC's responses to Commission Staff's fourth data request (with electronic copy)  
 06/13/07 EKPC's responses to the third set of data requests of Kentucky Industrial Utility Customers (with electronic copy)  
 06/13/07 EKPC's responses to the second data request of the Cumberland Chapter of the Sierra Club  
 06/07/07 Letter to Charles Lile granting EKPC'S petition for confidentiality filed May 15, 2007 for protection of response to KIUC request No 1.68 of its second data request and EKPC'S response to PSC staff request No 34 of staff's third data request.

**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of August 09, 2025*

05/31/07 Third set of data requests of Kentucky Industrial Utility Customers, INC.  
 05/30/07 Reports of East Kentucky Power in response to Order of April 1, 07  
 05/30/07 Fourth Data Request of Commission Staff to East Kentucky Power Cooperative, Inc.; response due 6/13/07  
 05/30/07 Second data request from Cumberland Chapter of the Sierra Club for information to EKPC  
 05/17/07 Certificate of William A. Bosta in regard to the responses of EKPC to Commission's Staff's Third Data Request, dated May 1, 2007 (Fax)  
 05/15/07 Response to KIUC second set of data request, Response to AG initial requests for information issued pursuant to the procedural schedule of April 18, 07, Response to the first data request of the Cumberland Chapter of the Sierra Club, Response to PSC staff third data request from East Kentucky Power Coop  
 05/03/07 EKPC plan of correction this information if filed to complete response to No 1 of data requests made in course of Hearing on March 22, 07  
 05/02/07 Third Data Request of Commission Staff to East Kentucky Power Cooperative, Inc.; response due 5/15/07  
 05/01/07 AG initial request for information issued pursuant to the procedural schedule of April 18, 07  
 05/01/07 KIUC Customers second set of data requests to EKPC  
 05/01/07 First data request of the Cumberland Chapter of the Sierra Club  
 04/20/07 Response and objections of East Kentucky Power Coop to Cumberland Chapter of Sierra Club motion to include tariffs related to qualified cogeneration and small power production facilities  
 04/18/07 Motion to include tariffs related to qualified cogeneration & small power production facilities  
 04/18/07 Order entered; procedural schedule set forth in Appendix A shall be followed:  
 5/30/07 - Supplemental requests to East Kentucky due  
 6/13/07 - East Kentucky responses due  
 6/27/07 - Intervenor testimony due  
 7/12/07 - Requests to Intervenors due  
 7/26/07 - Intervenors responses due  
 8/09/07 - East Kentucky rebuttal testimony due  
 9/05/07 - Public hearing to begin at 9:00 a.m., in HR 1 of PSC's office  
 9/28/07 - Briefs, if any due  
 04/11/07 Tariff of East Kentucky Power Coop updated to reflect the interim rate relief granted by the PSC on April 1, 07  
 04/02/07 Order entered that EKPC shall be granted an increase in its base rates by the sum of \$19.0 million on an annualized basis for service rendered on and after 4/1/07; Within 10 days of the date of this Order, EKPC shall file its tariffs reflecting the \$19.0 million annualized increase granted herein  
 03/27/07 Interim rate relief hearing brief of East Kentucky Power Coop  
 03/27/07 Brief of the Cumberland Chapter of the Sierra Club Re interim financial relief  
 03/27/07 Brief of AG  
 03/27/07 Brief of Cumberland Chapter of the Sierra Club Re interim financial relief  
 03/27/07 Response to PSC staff data request at Hearing on March 22, 07 from East Kentucky Power  
 03/27/07 Order entered East Kentucky's proposed rates suspended up to and including August 31, 2007  
 03/26/07 Transcript filed for Hearing on March 22, 07  
 03/21/07 Order entered granting intervention to Sierra Club  
 03/20/07 Settlement agreement affidavits of publication and tearsheets EKPC 2007 budget  
 03/19/07 Financial statements of East Kentucky Power Coop for the Year ended Dec 31, 06  
 03/16/07 Order entered parties shall be fully prepared to present at the scheduled hearing evidence on the potential impairment of EKPC's credit and operations that may result from a denial of EKPC's request to place the proposed rates into effective prior to September 1, 2007  
 03/16/07 E-mail confirming that parties will place a conference call to Commission Staff on Friday, March 16, 2007 at 10:00 a.m. to report on the status of negotiations between the parties on a proposed settlement agreement regarding interim rates in 2006-00472  
 03/14/07 Transcript filed for Hearing on March 6, 07  
 03/09/07 Order entered a public hearing shall resume at 10:30 a.m., EDT on March 22, 2007 in Hearing Room 1 of the Commission's offices, East Kentucky shall file with the Commission evidence of its notice of publication of the hearing on or before March 20, 2007  
 03/02/07 Testimony of Lane Kollen filed on behalf of the KIUC Customers  
 02/27/07 East Kentucky Power response to AG request for information  
 02/27/07 East Kentucky Power response to KIUC first data request

## Case Management System - KY Public Service Commission

*Regular Cases Before the Commission as of August 09, 2025*

02/27/07	East Kentucky Power response to PSC staff second data request
02/22/07	Response to objections to full intervention by Cumberland Chapter of the Sierra Club
02/20/07	Initial request for information from Attorney General to East Kentucky Power
02/20/07	Second data request of PSC staff to East Kentucky Power Coop Inc; response due 2/27/07
02/20/07	First set of data request of Kentucky Industrial Utility Customers to East Kentucky Power Cooperative
02/19/07	Order entered granting intervention to Attorney General
02/19/07	Response and Objections of East Kentucky Power to Cumberland Chapter of the Sierra Club petition to intervene
02/19/07	Order entered granting intervention to KIUC
02/14/07	Letter to Charles Lile granting East Kentucky Power's petition for confidentiality filed February 5, 2007 for protection of EKPC's response to data request nos. 6 and 13 in Commission Staff's first data requested dated 12/5/06
02/13/07	Order entered; EKPC's request for deviation is granted; application considered filed February 6, 2007; EKPC's motion to shorten notice period is denied as moot; procedural schedule set forth in Appendix A shall be followed
02/12/07	Petition to intervene of Cumberland Chapter of Sierra Club
02/09/07	Letter to Charles Lile granting East Kentucky Power's petition for confidentiality filed January 29, 2007 for protection of Exhibit F of application
02/06/07	Response to filing deficiencies of East Kentucky Power
02/06/07	Responses to PSC staff first data request PSC request 6 attachment
02/05/07	Amended petition to intervene from KIUC
02/05/07	Petition for confidential from East Kentucky Power Coop
02/02/07	Deficiency Letter, Information Due 2/19/2007
01/30/07	Acknowledge Receipt of Filing
01/29/07	Application of East Kentucky Power Cooperative for a General Adjustment of Electric Rates
01/29/07	Petition for Confidential Treatment of Information contained in Exhibit F of the Application
12/08/06	Notice of East Kentucky Power Board of Directors advising the wholesale rate application will not be filed by December 11, 2006 but will instead plan to file the application sometime in early 2007, to support an April 1, 2007 effective date. Pass-through applications or notices will be filed by EKPC Member Systems in conjunction with the filing of EKPC's application
12/07/06	Petition to intervene from Gallatin Steel Co
12/06/06	Motion to intervene of AG
12/05/06	First Data Request of Commission Staff to East Kentucky Power Cooperative, Inc.; Response due 12/19/2006
11/14/06	Acknowledge Receipt of Filing
11/13/06	Notice of Intent to file a wholesale rate application for East Kentucky Power Cooperative on or after December 11, 2006

---

Total Number of Cases: 1