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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 02, 2026***2005-00231**

RECEIVED: 06/17/05

FILED: 07/14/05

FINAL: 12/12/05

REOPENED:

SUSPENSION DATE:

CASE NATURE: Phase 8: Water Lines

CASE CODE

Financing
 Construct
 Surcharge

UTILITIES:

Bullock Pen Water District

INDEX OF EVENTS:

12/27/05 Amended tariffs from Bullock Pen

12/12/05 Final Order entered: (1) Bullock Pen's proposed monthly surcharges of \$15 and \$14.37 for customers who receive water service through Phase 8 and Phase 10 water main extension projects are denied; (2) Bullock Pen is authorized to assess each customer served through Phase 8 water main extension project a monthly surcharge of \$11.46 subject to conditions set forth; (3) Bullock Pen is authorized to assess each customer who is served through Phase 10 water main extension project a monthly surcharge of \$10.72 subject to conditions set forth; (4) Bullock Pen shall cease collection of Phase 8 Surcharge 25 years from date of Order or upon Bullock Pen's satisfaction of all obligations under agreement with KRWFC to borrow \$514,000, whichever occurs first. Upon reasonable notice to Bullock Pen, Commission may order termination of Phase 8 Surcharge at an earlier date; (5) Bullock Pen shall cease collection of the Phase 10 Surcharge customers 25 years from date of Order or upon Bullock Pen's satisfaction of all obligations under agreement with KRWFC to borrow \$290,000, whichever occurs first. Upon reasonable notice to Bullock Pen, the Commission may order termination of Phase 10 Surcharge at an earlier date; [continued on new entry]

12/12/05 [continued entry #1 for Final Order of 12/12/2005] (6) On 5/01/2007, and each year thereafter so long as Bullock Pen assesses each surcharge, it shall adjust level of each surcharge using same net revenue formula as included in this Order to reflect number of customers as of 12/31 of the preceding year who receive water service through respective water main extension projects. In no event, however, shall level of the Phase 8 Surcharge exceed \$11.46 per month or level of the Phase 10 Surcharge exceed \$10.72 per month; (7) At least 30 days prior to adjusting either surcharge amount, Bullock Pen shall file revised tariff sheet that includes restated surcharge amount(s) and that conforms to the requirements. Bullock Pen shall file with such sheet all calculations that support restated amount(s); (8) Bullock Pen shall deposit proceeds of each surcharge in separate reserve trust accounts and invest proceeds until they are needed only in securities or instruments that United States government issues or guarantees. Proceeds of each surcharge, together with any interest or other earnings from trust account, shall be used only to service debt instruments that Bullock Pen issued to finance respective water main extension project; (9) Bullock Pen shall identify surcharge as separate line item on bills to customers who are served through Phase 8 or Phase 10 water main extension projects; [continued on new entry]

12/12/05 [continued entry #2 for Final Order of 12/12/2005] (10) Beginning in 2007, for period from date of this Order until 12/31/2006, and for each calendar year thereafter in which surcharge is effective, Bullock Pen shall submit with annual financial and statistical report required by regulation, a written report; (11) All payments made by customers who receive water service through Phase 8 and Phase 10 water main extensions shall first be applied toward respective surcharge before being applied to Bullock Pen's general operations; (12) Within 20 days of Order, Bullock Pen shall revise its filed rate schedules to contain approved surcharges and description of areas subject to these surcharges. At minimum, this description shall include map of the general area and listing of all streets and roadways subject to respective surcharge; (13) Bullock Pen shall maintain a current description of areas subject to approved surcharges in its filed rate schedules so long as these surcharges are effective; (14) All persons who receive water service through Phase 8 or Phase 10 water main extensions or through water mains that are laterals to or extensions of those facilities shall be assessed respective surcharge

08/25/05 Bullock Pen Water District's response to Commission's Interrogatories

08/17/05 Interim Order entered: (1) Bullock Pen is granted a CPCN to construct proposed waterworks project as set forth; (2) Bullock Pen's application for surcharge to existing rate with respect to Phase 8 and Phase 10 is continued generally. Nothing contained in Order shall be construed as approval of assessment of

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proposed surcharge; (3) Bullock Pen is authorized to enter into proposed loan agreements with KRWFC. Bullock Pen shall use the proceeds from loan authorized only for purpose of financing proposed project; (4) Bullock Pen shall obtain approval from Commission prior to performing any additional construction not expressly authorized; (5) Any deviation from construction approved shall be undertaken only with prior approval; (6) Bullock Pen shall furnish documentation of the total costs of this project, within 60 days of date that construction is substantially completed; (7) Bullock Pen shall require construction to be inspected under general supervision of a professional engineer with a Kentucky registration in civil or mechanical engineering; (8) Bullock Pen shall file copy of "as-built" drawings and certified statement that construction has been satisfactorily completed in accordance with contract plans and specifications within 60 days of substantial completion of the construction certificated.

08/10/05	Commission Staff's Interrogatories and Requests for Production of Documents; response due 8/23/05
07/18/05	Deficiency Cured Letter
07/14/05	Supplemental filing Ordered by the PSC on July 1, 05 from Bullock Pen WD
07/01/05	Order entered: Bullock Pen's request for permission to deviate from filing requirements of Administrative Regulation 807 KAR 5:001, Section 6, is granted
07/01/05	Deficiency Letter, Information Due 7/18/05
06/17/05	Application of Bullock Pen Water District for a CPCN to Construct and Finance Improvement Projects and to Implement Surcharges to its Existing Rates Pursuant to KRS 278.020 and KRS 278.300
06/17/05	Acknowledge Receipt of Application

Total Number of Cases: 1