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# Case Management System - KY Public Service Commission

*Monitoring Compliance Cases Before the Commission as of February 05, 2026*

**2002-00277**

RECEIVED: 07/22/02 FILED: 07/22/02 FINAL: 03/24/08 REOPENED:  
SUSPENSION DATE:

CASE NATURE: RWE Aktiengesellschaft, Thames Water Aqua Holdings GMBH, & American Water Works (Electronic case)

**CASE CODE**

Monitoring Compliance  
Electronic Case

**UTILITIES:**

Kentucky-American Water Company

**INDEX OF EVENTS:**

11/13/09 Kentucky-American Water Company Condition 34 Report  
 08/18/09 Thames Water Aqua Holdings' Notice of Condition 34 Reports  
 08/17/09 Kentucky-American Water Company Condition 34 Report (Original & Electronic File Receipt)  
 08/14/09 Kentucky-American Water Company Condition 34 Report  
 07/06/09 Kentucky-American Water Company Condition 28 Report (Original & Electronic File Receipt)  
 07/06/09 Kentucky-American Water Company Condition 28 Report  
 07/02/09 Kentucky-American Water Company Condition 28 Report  
 05/18/09 Kentucky-American Water Company Condition 34 Report  
 05/15/09 Kentucky-American Water Company Condition 34 Report  
 04/01/09 Kentucky-American Water Company Multiple Condition Filings (Originals and Electronic File Receipt)  
 03/31/09 Kentucky-American Water Company Multiple Condition Filings  
 02/12/09 Kentucky-American Water Company Condition 30 Report (Original & Electronic File Receipt)  
 02/11/09 Kentucky-American Water Company Condition 30 Report  
 02/06/09 Kentucky-American Water Company Condition 28 Report  
 02/06/09 Kentucky-American Water's Condition 28 Report (Original/Electronic File Receipt)  
 11/20/08 Kentucky-American Water's Condition 28 Report (hard copy)  
 11/19/08 Kentucky-American Water Company Condition 28 Report  
 11/14/08 KAW's Condition 34 Report (Hard Copy) and Electronic Case File Receipt  
 11/14/08 Kentucky-American's condition 34 report  
 08/07/08 Kentucky-American Water's Request  
 08/06/08 Kentucky-American's Request  
 08/06/08 Kentucky-American's Request for Approval of Payment of Dividend  
 07/18/08 Kentucky-American Water's Notice  
 07/18/08 Kentucky-American Water Co - Condition 23 Notice  
 05/19/08 Thames Water's Notice of Condition 64 Reports - Quarterly Reports (hard copy)  
 05/16/08 KAW Notice of Condition 34 Reports (hard copy)  
 05/15/08 Kentucky-American Notice of Condition 34 Report  
 05/09/08 Kentucky-American's Request for Approval of Payment of Dividend (original)  
 05/07/08 Kentucky-American's Request for Approval of Payment of Dividend  
 05/07/08 Thames Water Aqua Holdings Request  
 04/01/08 Thames Water Aqua Holding's Notice of Condition 35 Filing (original)  
 04/01/08 Thames Water Aqua Holdings' Notice of Condition 31 Filing (original)  
 04/01/08 Thames Water Aqua Holdings' Notice of Ordering Paragraph 10 Report (original)  
 04/01/08 Thames Water Aqua Holdings' Notice to Ordering Paragraph 9 (original)  
 04/01/08 Thames Water Aqua Holdings' Notice of Condition 37 Filing  
 04/01/08 Thames Water Aqua Holdings' Notice of Condition 48 Response  
 04/01/08 Thames Water Aqua Holdings' Notice of Ordering Paragraph 8 and Condition 19 Report  
 04/01/08 Thames Water Aqua Holdings' Notice of Condition 34 Reports  
 03/31/08 Kentucky-American Multiple Notices/Reports  
 03/24/08 Order entered:  
 (1) This case is closed and removed from the Commission's docket.  
 (2) The Executive Director shall place any future filings in the appropriate utility's general correspondence file or shall docket the filing as a new proceeding.  
 03/14/08 Kentucky-American Water's Condition 30 Report (hard copy)

## Case Management System - KY Public Service Commission

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03/13/08	Kentucky-American's Condition 30 Report
02/19/08	Thames Water and American Water Works Report of Merger Costs (hard copy)
02/15/08	Kentucky-American's Report of Merger Costs
02/15/08	Thames Water Aqua Holdings and American Water Works' Report of Merger Costs
02/11/08	Order Entered: Each party may within 20 days of the date of this Order advise the PSC in writing of its position on why this proceeding should not be closed and removed from the PSC active docket
12/20/07	Order entered: Kentucky-American's December 31, 2007 common stock dividend of \$1,912,217 is approved.
11/15/07	Kentucky-American's Request for Payment of Dividend
11/15/07	Kentucky-American's Notice of Condition 34 Report
11/15/07	KAWS Notice of Condition 34 Report (physical copy)
11/15/07	KAWS Request for Payment of Dividend (physical copy)
11/09/07	Kentucky-American's Condition No. 23 Notice
11/09/07	Kentucky-American's Condition No. 23 Notice (Physical Copy)
09/27/07	Kentucky-American Water Company's Condition 29 Notice
09/20/07	FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Condition 29 Notice
09/10/07	Order entered approving Kentucky-American's September 27, 2007 common stock dividend of \$1,050,152
08/15/07	FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Notice of Condition 34 Reports Quarterly Reports
08/15/07	FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Report of Merger Costs
08/14/07	FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Petitioners Report
08/06/07	FilerFullName:Lindsey W. Ingram III; OnBehalfOf: -- Dividend Request
08/06/07	Electronic filing of Kentucky-American Water Company's request for approval
05/15/07	Electronic filing of Kentucky-American Condition 34 Report
04/02/07	Electronic Filing for Multiple Notices for Kentucky-American Water
02/15/07	Electronic filing of Kentucky American Water report of Merger Costs
12/01/06	Order entered: Kentucky-American's December 4, 2006 common stock dividend of \$1,253,913 is approved
11/15/06	Electronic filing of Kentucky-American Water Company's Notice of Condition 34 Reports
11/10/06	Electronic filing of Kentucky-American Water Company's request of the payment of a dividend on common stock on December 4, 2006, in the amount of \$1,253,912.80
08/16/06	Notice of condition 34 reports Quarterly reports
08/15/06	Electronic filing of Report of Merger Costs and Condition 34 Report
05/19/06	Final Order Joint Applicants' application for Commission approval of Kentucky-American's December 6, 2004 Third Quarter Dividend of \$391,848 is denied
03/31/06	Electronic filing of Multiple Notices.
03/31/06	Notice of response to ordering paragraph 9 from applicants
03/31/06	Notice of Condition 37 Filing-RWE Subsidiaries and Affiliates of Thames Water Aqua Holdings GmbH, RWE Aktiengesellschaft, American Water Works Company, Inc., Thames Water Aqua U.S. Holdings, Inc. and Kentucky-American Water Company
03/31/06	Notice of condition 31 filing from Applicants
03/31/06	Notice of condition 10 report capital & operation & maintenance budgets for Kentucky American Water
03/31/06	Notice of best practices implementation ordering paragraph 8 and condition 19 from applicants
03/31/06	Notice of Condition 34 Filing- Annual Reports of Kentucky-American Water Company
03/31/06	Notice of condition 48 response from applicants
03/31/06	Notice of Condition 34 Reports - Annual Reports of Thames Water Aqua Holdings GmbH, RWE Aktiengesellschaft, American Water Works Company, Inc., Thames Water Aqua U.S. Holding, Inc. and Kentucky-American Water Company
01/03/06	Electronic Filing - KY-American notice
01/03/06	Notice from Kentucky-American Water
12/16/05	Electronic filing - KY-American Response to Information Request
12/16/05	Response of Kentucky American Water Co to PSC staff request for information dated Dec 9, 05
12/13/05	Commission Staff's Request for Information to Kentucky-American Water Company; response due 12/16/05
12/07/05	Electronic filing: -- Request for Dividend
12/07/05	Request for PSC approval from Kentucky American Water Co & several other companies
12/05/05	Electronic Filing of Kentucky-American Water Company's Notice of the change of the credit rating of American Water Corp.

## Case Management System - KY Public Service Commission

*Monitoring Compliance Cases Before the Commission as of February 05, 2026*

12/05/05 Notice of change of credit rating of American Water Corp to A by Standard & Poors  
 11/18/05 Electronic filing-- Condition 34 Reports  
 11/18/05 Notice of Condition 34 Reports from Kentucky-American Water  
 08/15/05 Electronic filing-- Notice of Condition 34 Reports  
 08/15/05 Electronic filing-- Report of Merger Costs  
 08/15/05 Notice of Condition 34 Reports from Kentucky-American Water  
 08/15/05 Report of Merger Costs from Kentucky-American Water  
 03/31/05 Electronic filing of Kentucky-American Water Company -- Multiple notices.  
 03/31/05 Notice of condition 48 response  
 03/31/05 Notice of condition 34 reports Annual reports  
 03/31/05 Notice of condition 35 filing KAWCS annual reports  
 03/31/05 Notice of condition 31 filing  
 03/31/05 Notice of best practices implementation ordering paragraph 8 and condition 19  
 03/31/05 Notice of condition 37 filing RWE subsidiaries & affiliates  
 03/31/05 Notice of response to Ordering paragraph 9  
 03/31/05 Notice of condition 10 report capital & operation & maintenance budgets  
 02/14/05 Report of merger costs Thames & American  
 12/13/04 Final Order entered; approves Kentucky-American's proposed 12/6/04 common stock dividend of \$391,848  
 11/16/04 Notice of condition 34 - quarterly reports  
 11/15/04 Electronic filing Kentucky-American Water Company -- Notice of Condition 34 Reports -- Quarterly Reports  
 10/22/04 Electronic filing Kentucky-American Water Company -- Request for approval of dividend on common stock by December 6, 2004  
 10/22/04 Joint request for PSC approval of payment of a dividend on common stock  
 10/06/04 Final Order entered: (1) Kentucky-American's declared dividend is consistent with dividend policy that existed prior to RWE's acquisition of control and is not unusual or extraordinary; (2) There is no evidence that proposed dividend poses any significant risk to Kentucky-American's ability to provide reliable service; (3) Kentucky-American's proposed 9/20/2004 common stock dividend of \$611,282 should be approved  
 08/13/04 Electronic filing Kentucky-American Water Company -- Notice of Condition 34 Reports - Quarterly Reports  
 08/13/04 KY-American original notice of condition 34 quarterly reports  
 08/09/04 Electronic filing Kentucky-American Water Company -- Notice and Request concerning various dividends.  
 08/09/04 Original of KY-American Request PSC's approval and Notice of payment of a dividend made by American Water Works  
 07/21/04 Electronic filing Kentucky-American Water Company -- Condition 28 Notice  
 06/29/04 KY-American and etc Notice of payment of dividend  
 06/28/04 Electronic filing Kentucky-American Water Company -- Notice of dividend payment.  
 05/21/04 Electronic Filing Kentucky-American Water Company's Notice of Condition 34 Reports - Quarterly Reports  
 05/21/04 Notice of condition 34 reports - quarterly reports  
 05/12/04 Electronic filing Kentucky-American Water Company -- Amended Notice of Bond Issuance  
 05/12/04 Kentucky-American amended notice of bond issuance  
 05/11/04 Electronic filing Kentucky-American Water Company -- Notice of Bond Issuance  
 05/11/04 KY-American notice of bond issue  
 03/31/04 Electronic filing Kentucky-American Water Company -- Notices  
 03/31/04 Electronic filing Kentucky-American Water Company -- Notice of Condition 10 Report  
 03/31/04 Notice of condition 37 filing RWE subsidiaries & affiliates  
 03/31/04 Notice of conditions 35 filing- KAWC'S annual reports  
 03/31/04 Condition Notice 10, ordering paragraph & condition 19 notice, ordering paragraph 9 notice, condition 31 notice, condition 48 notice, condition 34 notice, condition 3 notice & condition 37 notice - 34,35,37 are to large to file electronically so CD are furnished  
 03/04/04 KY-American notice of dividend payment  
 03/02/04 Electronic filing Kentucky-American Water Company -- Notice of dividend payment and read first document.  
 02/26/04 Electronic filing Kentucky-American Water Company -- Notice of Bond Issuance

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*Monitoring Compliance Cases Before the Commission as of February 05, 2026*

02/16/04	Joint petitioners Report of Merger Costs in response to Order paragraph 11 & condition 36 of the Order of Dec 20, 02 in case 2002-317
02/16/04	Electronic Filing Kentucky-American Water Company -- Report of Merger Costs
12/16/03	Electronic filing Notice of dividend payment by American Water Works Company, Inc.
12/16/03	Joint petitioners notice of a dividend payment on December 15, 03
11/25/03	Final Order approving Kentucky-American's 12/15/2003 common stock dividend of \$1,238,239
11/14/03	Electronic Filing Notice of Financial Reports for January through September, 2003 of RWE AG
11/14/03	Notice of Financial Reports on behalf of Thames Water; RWE Aktiengesellschaft; American Water; KY-American for January through September, 2003
10/29/03	Electronic Filing Request for Approval of Dividend Payment Kentucky American Water
10/29/03	Request for Commission approval of payment filed by Kentucky-American
10/21/03	Kentucky-American Water Company's Notice of Management Personnel Change
10/21/03	Joint Petitioners Notice of Management Personnel Change
09/08/03	Order entered approving Kentucky-American's 9/22/2003 common stock dividend of \$752,348
08/22/03	Joint petitioners amended notice with audited statements of Kentucky American Water CO
08/13/03	Notice of Dividend payment & notice referencing RWE AG internet site for a copy of the first half of fiscal 2003 report to shareholders pursuant to Order of December 20, 02 in case 2002-00317
08/12/03	Kentucky American Water Co request for PSC approval of the payment of a dividend on common stock on Sept 22, 03 pursuant to condition 32 of the Order of December 20, 02 in case 2002-00317
08/08/03	Notice of quarterly shareholders report in response to May 30,02 Order
07/22/03	Kentucky-American notice of compliance with condition 28 filed electronically
07/22/03	Joint Petitioners Condition 28 Notice
05/29/03	Joint petitioners Condition 28 Notice,Kentucky American Water, Thames Water Aqua Holdings , Thames Water Aqua US Holdings, RWE Aktiengesellschaft, American Water Works
05/29/03	Electronic filing of KY-American of its Notice of Compliance with Condition 28
05/23/03	Electronic filing from KY-American of its Notice of Compliance with Conditions 28 and 29
05/22/03	Joint Petitioners' Conditions 28 and 29 Notice.
05/14/03	Kentucky American Water Company's notice of management change
05/14/03	KY-American Electronically filed notice of management personnel change
03/31/03	Notice of Condition 35 filing from KAWC
03/28/03	Notice of response to Ordering paragraph 9 of Thames Water, American Water Works, Kentucky-American
03/28/03	Notice of condition 34 reports - Annual Reports
03/28/03	Notice of condition 10 report Capital & Operation & Maintenance Budgets
03/28/03	Notice of condition 37 filing RWE subsidiaries & affiliates
03/14/03	Notice of savings & cost tracking mechanism of Thames Water Aqua Holdings, American Water Works Co, Kentucky American Water Co ( after 4 filing)
03/14/03	Notice of attempted appointment of process agent for limited purposes of Thames Water Aqua Holdings, American Water Works Co, Kentucky American Water
02/28/03	Joint Petitioners notice of Financial Reports
02/11/03	Report on Merger costs
02/05/03	Notice of management personnel changes
02/05/03	Joint petitioners notice of debt issue by RWE AG
01/13/03	Response to ordering paragraph 14 of PSC Order of December 20, 02
01/03/03	Notice of filing Maryland, New York, New Jersey, Indiana, Arizona & California final Orders
12/11/02	Notice of filing Illinois final Order
09/10/02	Notice of filing New Mexico & Pennsylvania final Orders
09/06/02	Report of Expenditure Levels for Economic Development
09/05/02	Report of merger costs & RWE AG quarterly shareholder report
08/23/02	Objection & response to AG first request for information
08/07/02	AG first request for information
07/23/02	Order establishing case; all parties in Case No. 2002-00018 are made parties to this proceeding
07/22/02	Copy of letter from Kentucky American Water
07/22/02	Copy of letter from Lexington Fayette Urban County Council