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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of January 27, 2026***2001-00092**RECEIVED: 05/04/01 FILED: 06/06/01 FINAL: 01/31/02 REOPENED:  
SUSPENSION DATE:

CASE NATURE: HISTORICAL TEST PERIOD

**CASE CODE**

General Rates

**UTILITIES:**

The Union Light, Heat and Power Company

INTERVENORS: AG

**HEARINGS:**

11/29/01	Formal Hearing
11/28/01	Formal Hearing
11/27/01	Formal Hearing
10/18/01	Formal Hearing
06/21/01	Informal Conference

**INDEX OF EVENTS:**

03/18/16	POST CASE: Duke Energy Kentucky, Inc.'s Financial Statements and Auditors Report
11/14/14	POST CASE: Duke Energy Kentucky, Inc. Third Quarterly 2014 Jurisdictional Financial Statements Per Order
08/14/14	POST CASE: Duke Energy Kentucky Second Quarter 2014 Jurisdictional Financial Statement
11/13/13	POST CASE: Duke Energy Kentucky Third Quarter 2013 Jurisdictional Financial Statement
08/14/13	POST CASE: Duke Energy Kentucky, Inc. Second Quarter 2013 Financial Statements Per Order
11/15/12	POST CASE: Duke Energy 3rd Qtr 2012 Financial Statements
11/14/12	POST CASE: Duke Energy 3rd Qtr Financial Statements per Order (Fax)
12/26/02	Letter regarding Quarterly Financial Statements should go to Annual Report Branch instead of case file
08/14/02	Response to Order of January 31,02 Quarterly financial statement
05/15/02	Notification of withdrawal of tariffs filed on April 23,02
05/01/02	Response to Order of January 31,02
04/26/02	Tariff
04/23/02	Tariff
04/08/02	Response to Order of January 31,02
03/22/02	Tariff
03/14/02	Order granting and denying rehearing in part; approves rates
03/06/02	Response to the AG motion to strike
03/05/02	Response to AG application for rehearing
02/28/02	Notice of objection & motion to strike
02/28/02	Accounting entries
02/27/02	AG'S response to ULH&P'S application for rehearing
02/26/02	Copies of study from the Journal of Applied Meteorology
02/22/02	Motion for rehearing
02/21/02	Motion for rehearing
02/15/02	Order entered nunc pro tunc; the amount of gross revenues to be produced by the new rates is \$98,421,745
02/01/02	Final Order denying proposed rates; approves proposed revisions to Rate IT, Rate FT, and Rider X and proposed cancellation of Rate SSZ; approves proposed Rate AS, Rate DGS, Rate FRAS, Rate GCAT, Rate GTS, Rate IMBS, and Rate SSIT; denies proposed AMRP Rider
01/17/02	Letter in response to Commission's January 14, 2002 order
01/15/02	Order entered; if ULH&P wishes to exercise its rights to place the proposed rates into effect subject to refund, it shall do so pursuant to the provisions of the statute.
12/18/01	Brief
12/18/01	Brief of ULH&P
12/18/01	Brief
12/13/01	Transcript filed for Hearing on Nov 28,01 Volume no 1-2

## Case Management System - KY Public Service Commission

*Regular Cases Before the Commission as of January 27, 2026*

12/10/01 Responses to the Attorney General's and Commission Staff's Hearing Requests  
11/26/01 Response to Motion to Strike/fax  
11/26/01 Response to Motion to Strike.  
11/21/01 Motion to Strike and Notice of Objection  
11/21/01 Updated response to first data request of Commission Staff, Item 38  
11/21/01 Updated response to first data request of Commission Staff  
11/19/01 Discounted Cash Flow Analysis  
11/13/01 Request to various newspapers for publication of the hearing notice  
11/13/01 Rebuttal Testimony of John Steffen  
11/13/01 Rebuttal Testimony of William Ginn  
11/13/01 Rebuttal Testimony of Dr. Roger A. Morin  
11/13/01 Rebuttal Testimony of Paul G. Smith  
11/07/01 Informal Conference Memorandum  
11/01/01 Response to first data request of Commission Staff to the Attorney General  
11/01/01 Errata Sheet for Testimony of Dr. Carl G.K. Weaver  
11/01/01 Response to request for information by ULH&P  
10/30/01 Informal Conference Memorandum  
10/29/01 Source data for exhibit Ram-4 fax  
10/26/01 PSC Staff First Data Request to AG; response due 11/1/2001  
10/18/01 Request for information  
10/10/01 2000 and 2001 Value Line Investment Survey  
10/10/01 Faxed letter regarding case  
10/04/01 Data sources for schedules of Dr. Carl G.K. Weaver  
10/04/01 Testimony of Galligan, Henkes, and Weaver  
10/03/01 Responses to the discovery  
09/20/01 Responses of the Union Light Heat & Power Co to the third set of Data Request of the Commission Staff  
09/20/01 ULH&P response to attorney general second set of data request  
09/19/01 Order entered; denies SEC's motion for reconsideration  
09/18/01 Updated response to first data request of Commission Staff  
09/05/01 PSC Third Data Request; response due 9/20/2001  
09/04/01 Order rescheduling 11/27/2001 hearing to 11/28/2001  
08/31/01 Amendment to supplemental request for information to ULH&P  
08/31/01 Amendment to supplemental request for information by the AG to ULH&P  
08/31/01 Amendment to supplemental request for information to ULH&P  
08/30/01 Supplemental request for information to ULH&P  
08/28/01 RESPONSE TO MOTION OF STAND ENERGY TO RECONSIDER  
08/24/01 MOTION TO RECONSIDER LEAVE TO INTERVENE  
08/20/01 RESPONSE TO SECOND SET OF DATA REQUESTS  
08/20/01 RESPONSE TO NUMBER 21 AND FIRST PAGE OF RESPONSE NUMBER 47  
08/16/01 RESPONSE TO FIRST OF DATA REQ OF AG  
08/14/01 RESPONSE TO FIRST DATA REQUEST OF COMMISSION STAFF  
08/09/01 Order setting forth procedural schedule.  
08/09/01 Order denying SEC's motion to intervene.  
07/31/01 RESPONSE TO MOTION OF ULH&P FOR AN EXTENSION OF TIME  
07/30/01 RESPONSE TO MOTION OF STAND ENERGY TO INTERVENE  
07/30/01 AMENDED MOTION & RESTATED MOTION  
07/26/01 MOTION FOR EXTENSION OF TIME TO RESPOND TO DATA  
07/24/01 Motion for Leave to Intervene  
07/13/01 Order sent amending and supplementing procedural schedule.  
07/10/01 Data Request; response due 7/30/2001  
07/10/01 REQUEST FOR INFORMATION (DAVID SPENARD/AG)  
07/03/01 IC Memo mailed to parties of record.  
06/21/01 Order setting procedural schedule; suspends proposed rates to 12/5/2001  
06/11/01 AFFIDAVIT & PROOF OF PUBLICATION (JOHN FINNIGAN CINERGY)  
06/08/01 Commission Staff's Notice of Informal Conference on 6/21/2001 at 10:00 in CR 2.  
06/07/01 NEWSPAPER NOTICES (JOHN FINNIGAN/CINERGY)  
06/06/01 SUPPLEMENT TO NOTICE AND STATEMENT (JOHN FINNIGAN/ULH&P)

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06/05/01 PROTEST RATE INCREASE (THOMAS L. BIEGER)  
05/23/01 RESPONSES TO FIRST DATA REQUEST (ANITA SCHAFER/CINERGY)  
05/22/01 Deficiency letter sent, response due 6/6/2001  
05/17/01 Response to Nerissa Baker re: rate increase concerns.  
05/15/01 PROTEST LETTER (NERISSA BAKER)  
05/11/01 Order granting Attorney General intervention  
05/09/01 CORRECTION TO NOTICE AND STATEMENT (ROBERT WATT/ULH&P)  
05/08/01 MOTION TO INTERVENE (DAVID SPENARD/AG)  
05/04/01 FILING REQUIREMENTS.VOLUME 1-6 (UNION LIGHT HEAT AND POWER)  
04/25/01 Data Request; response due 5/23/2001  
04/10/01 Acknowledge Receipt of Notice of Intent  
03/30/01 NOTICE OF INTENT TO FILE RATE APPLICATION (HISTORICAL TEST PERIOD) (SHERRIE RUTHERFORD/ULH&P)

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Total Number of Cases: 1