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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 18, 2024***2021-00283**

RECEIVED: 07/07/21

FILED: 07/07/21

FINAL: 09/23/21

REOPENED:

SUSPENSION DATE:

CASE CODE

Transfer / Sale / Purchase / Merger

UTILITIES:

Delta Natural Gas Company, Inc.

INDEX OF EVENTS:

02/06/23 POST CASE: Monica Braun Delta Natural Gas Company, Inc. Notice of Completion

01/06/23 POST CASE: Monica Braun Delta Natural Gas Company, Inc. Status Report

12/07/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. Status Report

11/07/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. Status Report

10/06/22 POST CASE: Mary Ellen Wimberly Delta Natural Gas Company, Inc. October 2022 Status Report

09/06/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. Status Report

08/22/22 Order Entered: 1. Joint Applicants' motion for confidential treatment is granted. 2. The designated material granted confidential treatment by this Order shall not be placed in the public record or made available for public inspection for five years or until further Order of this Commission. 3. Use of the designated material granted confidential treatment by this Order in any Commission proceeding shall comply with 807 KAR 5:001, Section 13(9). 4. Joint Applicants shall inform the Commission if the designated material granted confidential treatment by this Order becomes publicly available or no longer qualifies for confidential treatment.

08/04/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. August 2022 Status Report

07/05/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. July Status Report

06/03/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. June Status Report

05/04/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. May 2022 Status Report

04/04/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. Status Report

03/03/22 POST CASE: Monica H. Braun Delta Natural Gas Company, Inc. March 2022 Status Report

09/23/21 Final Order Entered: 1. Delta's motion to submit this matter for a decision on the written record is granted. 2. The proposed corporate reorganization that will result in the indirect acquisition of control of Delta by LDC Funding, upon the merger of LDC Holdings into LDC Funding, as described in the application, is approved. 3. The Joint Applicants shall notify the Commission in writing within seven days of the reorganization being completed. If it has not been completed within six months of the date of this Order, the Joint Applicants shall provide a written status report to the Commission every 30 days thereafter. 4. Any material revision to the proposed reorganization shall require approval by the Commission in order for the amendment to be effective. 5. Following the completion of the approved corporate reorganization, the Joint Applicants shall not transfer ownership of, or control, or the right to control Delta, by sale of assets, transfer of stock, or otherwise, or abandon the same, without prior approval of the Commission. 6. This case is closed and removed from the Commission's docket.

08/26/21 Monica Braun Joint Applicants Motion to Submit Case on Record

08/26/21 Monica H. Braun Joint Applicants Response to Second Request for Information

08/18/21 COMMISSION STAFF'S SECOND REQUEST FOR INFORMATION TO ESSENTIAL UTILITIES, INC., LDC FUNDING, LLC, LDC HOLDING LLC, PNG COMPANIES LLC, AND DELTA NATURAL GAS COMPANY, INC.

08/09/21 Monica Braun Joint Applicants Responses to Commissions Staff First Request for Information

07/28/21 COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION TO ESSENTIAL UTILITIES INC., LDC FUNDING, LLC, LDC HOLDING LLC, PNG COMPANIES, LLC, AND DELTA NATURAL GAS COMPANY, INC.

07/22/21 Order Entered: 1. This case shall be continued for 60 additional days, up to and including November 4, 2021. 2. The procedural schedule set forth in the Appendix to this Order shall be followed. 3. a. Responses to requests for information shall be appropriately bound, tabbed, and indexed, with the original in paper medium and an electronic version to the Commission. Electronic documents shall be in portable document format (PDF), shall be searchable, and shall be appropriately bookmarked. Each response shall include the name of the witness responsible for responding to the questions related to the information provided. b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or an association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the

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entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry. c. A party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or,

though correct when made, is now incorrect in any material respect.

07/08/21

No deficiency letter

07/07/21

Monica Braun Joint Applicants Application

07/02/21

Acknowledge Receipt of Filing

07/02/21

Delta Natural Gas Company, Inc. Notice of Intent to File an Application for a Corporate Reorganization
Using Electronic Filing Procedures

Total Number of Cases: 1