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Case Management System - KY Public Service Commission*Regular Cases Before the Commission as of April 26, 2026***2011-00097**

RECEIVED: 03/22/11

FILED: 03/29/11

FINAL: 08/18/11

REOPENED:

SUSPENSION DATE:

CASE NATURE: Alleged Failure to Comply with KRS 278.042

CASE CODE

Investigation - Service

UTILITIES:

Louisville Gas and Electric Company

HEARINGS:

08/16/11 Formal Hearing

05/16/11 Informal Conference

INDEX OF EVENTS:

12/19/11 POST CASE: LG&E's Redacted Safety Audit Report

08/18/11 Acknowledgement of Payment of Penalty

08/17/11 LG&E's Submission of Compliance for Settlement Agreement

07/22/11 Order Entered: The Joint Stipulation of Facts and Settlement Agreement is adopted and approved in its entirety as a complete resolution of all issues in this case. 2. LG&E is assessed a civil penalty of \$32,500.00. 3. LG&E shall pay \$15,000.00 of the \$32,500.00 civil penalty within 30 days of the date of this Order by cashier's check or money order payable to the Kentucky State Treasurer and mailed or delivered to the Office of General Counsel, Kentucky Public Service Commission, 21 1 Sower Boulevard, Post Office Box 61 5, Frankfort, Kentucky 40602.

4. The remaining \$17,500.00 of the civil penalty is suspended on the condition that LG&E abide by the terms contained in the Settlement Agreement and provide all required documentation within the time allotted. 5. Within 30 days of the date of entry of this Order approving the Settlement Agreement, LG&E shall provide to the Commission documentation on the training given as a result of this incident, including the type of training, who attended, and how this training will be implemented into the training program on a regular basis. 6. LG&E currently performs safety audits of its substation crews. Within 30 days of the date of entry of this Order approving the Settlement Agreement, LG&E shall provide to the Commission copies of the safety audits performed on all LG&E Louisville substations for the three month period prior to the date of the accident and for the three month period following the date of the accident. 7. LG&E shall

06/15/11 Letter to enter Stipulation of Facts and Settlement Agreement into the record

05/24/11 Memorandum dated 5/20/11 for Informal Conference of 5/16/11; Comments, if any, due within seven days of receipt

05/04/11 Commission Staff's Notice of Informal Conference

04/18/11 LG&E's Response to Commission Staff's 032911 Order and Motion to Suspend Hearing and Schedule IC

03/29/11 Order Entered:

(1) LG&E shall submit to the Commission within 20 days of the date of this Order, a written response to the allegations contained in the Report.

(2) LG&E shall appear on August 16, 2011 at 10:00 a.m. in Hearing Room 1 of the Commission's offices for the purpose of presenting evidence concerning the alleged violations of KRS 278.042 and of showing cause why it should not be subject to the penalties prescribed in KRS 278.990 (1) for these alleged violations.

(3) At the scheduled hearing, LG&E shall also present evidence on the adequacy, safety, and reasonableness of its practices.

(4) The August 16, 2011 hearing shall be recorded by videotape only.

(5) The Report attached as an Appendix to this Order is made a part of the record in this case.

(6) Any requests for an informal conference shall be set forth in writing and filed with the Commission within 20 days of the date of this Order.