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**Case Management System - KY Public Service Commission***Regular Cases Before the Commission as of June 16, 2026***2021-00190**

RECEIVED: 06/01/21

FILED: 06/01/21

FINAL: 01/25/22

REOPENED: 01/13/22

SUSPENSION DATE: 12/31/21

**CASE CODE**

General Rates

Tariffs

Other

**UTILITIES:**

Duke Energy Kentucky, Inc.

**HEARINGS:**

10/20/21 Formal Hearing

10/18/21 Formal Hearing

10/12/21 Public Meeting

10/12/21 Public Meeting

**INDEX OF EVENTS:**

- 03/31/26 POST CASE: Minna Sunderman Larisa M. Vaysman Duke Energy Kentucky, Inc.s 2025 Annual Report of Late Payment Fee Waivers
- 03/31/25 POST CASE: Minna Sunderman Larisa Vaysman Duke Energy Kentucky, Inc.s 2024 Annual Report of Late Payment Fee Waivers
- 04/01/24 POST CASE: Minna Sunderman Larisa Vaysman Duke Energy Kentucky, Inc.s 2023 Annual Report of Late Payment Fee Waivers and Amended 2022 Report
- 12/15/23 Order Entered: 1. Duke Kentucky's October 15, 2021 petition for confidential treatment is granted in part and denied in part. 2. Duke Kentucky's request for confidential treatment for the designated material in Tab 35; Spiller Testimony, Exhibit ABS-2; and Stewart Testimony, Exhibit JJS-4(a), is granted. 3. Duke Kentucky's request for confidential treatment for Stewart Testimony, Exhibit JJS-4(b) and (c), is denied. 4. The designated material contained in Tab 35; Spiller Testimony, Exhibit ABS-2; and Stewart Testimony, Exhibit JJS-4(a), that is granted confidential treatment by this Order shall not be placed in the public record or made available for public inspection for 20 years or until further Order of this Commission. 5. Use of the designated material granted confidential treatment by this Order in any Commission proceeding shall comply with 807 KAR 5:001, Section 13(9). 6. Duke Kentucky shall inform the Commission if the designated material granted confidential treatment becomes publicly available or no longer qualifies for confidential treatment.
- 04/21/23 POST CASE: Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s 2022 Annual Report of Late Payment Fee Waivers
- 05/04/22 POST CASE: Minna Sunderman Duke Energy Kentucky, Inc. Duke Energy Kentucky, Inc.s Submittal of Confidential Information Denied Confidential Treatment
- 04/14/22 Order Entered: 1. The July 14, 2021 motion for confidential treatment is granted in part and denied in part. 2. Duke Kentucky's request for confidential treatment for the designated material in Item 6, Item 15, Item 57, Item 19, Item 19(d), Item 39, and Item 43 is granted.
- 03/18/22 POST CASE: Minna Sunderman Duke Energy Kentucky, Inc. Duke Energy Kentucky, Inc.s Submittal of Additional Confidential Information Denied Confidential Treatment
- 02/25/22 Order Entered: 1. Duke Kentucky's June 18, 2021 motion for confidential treatment is granted in part and denied in part. 2. Duke Kentucky's request for confidential treatment for the designated material in Item 6 and Item 16 is granted.
- 01/25/22 Order Entered: 1. Duke Kentucky's motion for rehearing and clarification is granted. 2. Duke Kentucky is authorized to charge a \$5 bad check fee to electric and combined account customers. 3. Duke Kentucky is authorized to waive a late payment fee for residential electric or combined account customers for whom a pledge of payment has been received from an authorized agency. 4. Duke Kentucky is authorized to establish a regulatory asset for the late payment charges waived as a result of receipt of a pledge or notice of low-income assistance from an authorized agency for both residential electric or combined account customers between the date of this Order and Duke Kentucky's next general rate case. 5. The regulatory asset related to late payment charge waivers approved in this case is for accounting purposes only. 6. The amount, if any, of the regulatory asset authorized in this Order that is to be amortized and included in rates, shall be determined in Duke Kentucky's next

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rate case. 7. Within 20 days of the date of this Order, Duke Kentucky shall file with the Commission, using the Commission's electronic Tariff Filing System, new tariff sheets setting forth the rates, charges, and modifications approved or as required in this Order, and reflecting their effective date and that they were authorized by this Order. 8. This case is closed and removed from the Commission's docket.

01/13/22 David S. Samford Duke Energy Kentucky, Inc. Motion for Rehearing or Clarification  
 01/11/22 POST CASE: Minna Sunderman Duke Energy Kentucky, Inc. Duke Energy Kentucky, Inc.s Submittal of Denied Confidential Information  
 12/28/21 Final Order Entered: 1. The rates and charges proposed by Duke Kentucky are denied. 2. The Joint Stipulation, attached to this Order as Appendix A (without exhibits), is approved with the modification discussed in this Order. 3. The rates and charges as set forth in appendix C to this Order are approved as fair, just and reasonable rates for Duke Kentucky, and these rates and charges are approved for service rendered on and after the date of this Order. 4. Duke Kentucky's request to increase its reconnection fee to \$90 is approved. 5. Duke Kentucky's bad check charge is reduced to \$5. 6. Duke Kentucky's request to add a field collection charge to its tariff is denied. 7. Duke Kentucky shall file a lead/lag study in its next general rate case and in all general rate cases it files until further Order by the Commission. 8. Duke Kentucky shall file with its next general rate case formal cost support for its residential late payment charge. 9. Duke Kentucky shall add a provision to its tariff to waive late payment charges for residential customers who receive a pledge or notice of low-income assistance from an authorized agency. 10. Duke Kentucky shall file an annual report with the Commission indicating the number and dollar amount of late payment charges waived as a result of receipt of a pledge or notice of low-income assistance from an authorized agency.  
 12/13/21 Order Entered: 1. Duke Kentucky's June 1, 2021 petition for confidential treatment is granted in part and denied in part. 2. Duke Kentucky's request for confidential treatment for the designated material in Tab 35, Spiller Testimony Exhibit ABS-2, and Stewart Testimony Exhibit JJS-4(a) and (c) is granted.  
 11/10/21 David S. Samford Duke Energy Kentucky, Inc. Post-Hearing Brief  
 11/10/21 Larry Cook Kentucky Office of the Attorney General OAGs Corrected Post-Hearing Brief  
 11/10/21 Larry Cook Kentucky Office of the Attorney General OAGs Post-Hearing Brief  
 11/04/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Responses to Commission Staffs Post Hearing Request for Information  
 11/04/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s 2nd Supplemental Response to Commission Staffs First Request for Information  
 10/28/21 Notice of Filing Hearing Documents  
 10/22/21 Response E-mail to Jeannine Bell Smith  
 10/20/21 David S. Samford Duke Energy Kentucky, Inc. Counsels Affidavits in Support of Stipulation and Settlement Agreement  
 10/20/21 COMMISSION STAFF'S POST-HEARING REQUEST FOR INFORMATION TO DUKE ENERGY KENTUCKY, INC.  
 10/20/21 Larry Cook Kentucky Office of the Attorney General OAG Affidavit Regarding Tendered Settlement  
 10/19/21 Order Entered: 1. The Attorney General's motion for leave to file the corrected testimony of Lane Kollen is granted. 2. Post-hearing data requests, if any, shall be filed on or before October 21, 2021. 3. Responses to post-hearing data requests shall be filed on or before November 4, 2021. 4. The parties may file their respective memorandum briefs in support of the Stipulation and Settlement Agreement on or before November 10, 2021. 5. The parties may file their respective response briefs in support of the Stipulation and Settlement Agreement on or before November 22, 2021. 6. This case shall stand submitted for a decision by the Commission effective 12:01 a.m., November 23, 2021.  
 10/15/21 Minna Sunderman Duke Energy Kentucky, Inc. Duke Energy Kentucky, Inc.s Newspaper Customer Notice of Public Hearing  
 10/15/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc. hereby submits it Base Period Update in the Kentucky Rate Case  
 10/14/21 Minna Sunderman Rocco DAscenzo Corrected versions of Excel Spreadsheets to STAFF-DR-03-023 and Stipulation Attachments C and D  
 10/08/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky Inc. hereby submits a Proposed Final Stipulation Recommendation, Motion for Approval of Joint Stipulation and Supplemental Testimony of Sarah Lawler in Support of the Stipulation

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10/08/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc., hereby submits Rebuttal Testimony  
10/08/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Supplemental Responses to Commission Staffs First Request for Information

10/06/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc. hereby submits Supplemental Response to AGs 1st set data request AG-DR-01-046

10/01/21 Larry Cook Kentucky Office of the Attorney General OAGs Responses to Data Requests of the Public Service Commission Staff and of Duke Energy Kentucky, Inc.

09/28/21 Minna Sunderman Duke Energy Kentucky, Inc. Duke Energy Kentucky, Inc.s Request for Newspaper Publication of Hearing Notice

09/20/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Interrogatories and Requests for Production of Documents Propounded upon the Attorney General

09/16/21 COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION TO ATTORNEY GENERAL  
09/14/21 Larry Cook Kentucky Office of the Attorney General OAGs Corrected Direct Testimony of Lane KOLLEN

09/13/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Responses to Commission Staffs Fourth Request for Information

09/07/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc hereby submits the supplemental affidavit of Lesley Quick for the Staff Third request for information

09/07/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky Inc. hereby submits Lesley Quicks supplemental verification page to AGs second request for information

09/07/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky Inc. hereby submits a supplemental affidavit for David Raiford for Staffs supplemental request for information.

09/01/21 Larry Cook Kentucky Office of the Attorney General OAGs Direct Testimony  
08/31/21 COMMISSION STAFF'S FOURTH REQUEST FOR INFORMATION TO DUKE ENERGY KENTUCKY, INC.

08/24/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc. hereby submits AGs Supplemental 2nd set responses (Excel format)

08/24/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc. hereby submits Supplemental Responses to Attorney Generals 2nd set of Request for Information

08/18/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky Inc. submits its response to the Attorney Generals Second Request for Information

08/18/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky. Inc. submits its Response to Commission Staffs Third Request for Information

08/04/21 Larry Cook Kentucky Office of the Attorney General OAGs Supplemental Data Requests  
08/04/21 COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION TO DUKE ENERGY KENTUCKY, INC.

07/15/21 Minna Sunderman Duke Energy Kentucky, Inc. Duke Energy Kentucky, Inc.s Newspaper Notices and Affidavit Attesting to the Publication of its Request for Approval of an Adjustment of Natural Gas Rates

07/14/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Responses to the Attorney Generals First Request for Information - Excel Files

07/14/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Responses to the Attorney Generals First Request for Information

07/14/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Responses to Commission Staffs Second Request for Information - Excel Files

07/14/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Responses to Commission Staff Second Request for Information

07/01/21 Order Entered: 1. The procedural schedule issued on June 9, 2021, is stricken and replaced with the procedural schedule attached as an Appendix to this Order. 2. All other provisions of the June 9, 2021 Order not in conflict with this Order shall remain in effect.

06/30/21 Larry Cook Kentucky Office of the Attorney General OAGs Initial Data Requests  
06/29/21 COMMISSION STAFF'S SECOND REQUEST FOR INFORMATION TO DUKE ENERGY KENTUCKY, INC.

06/23/21 Order Entered: 1. A hearing in this matter shall be held on Monday, October 18, 2021, at 9 a.m. Eastern Daylight Time, and continue on Tuesday, October 19, 2021, and Wednesday, October 20, 2021, in the Richard Raff Hearing Room at the offices of the Public Service Commission at 211 Sower Boulevard, Frankfort, Kentucky. 2. Duke Energy Kentucky, Inc. (Duke Kentucky) shall give notice of the hearing in compliance with 807 KAR 5:001, Section 9(2)(b). In addition, the notice of

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hearing shall include the following statement: "This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov." At the time the notice is mailed or publication is requested, Duke Kentucky shall forward a duplicate of the notice and request to the Commission. 3. Pursuant to KRS 278.360 and 807 KAR 5:001, Section 9(9), a digital video transcript shall be made of the hearing.

06/18/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky Inc.s response to Commission Staffs first request for information

06/18/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky Incs response to Commission Staffs first request for information (upload #2)

06/18/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc.s response to Commission Staffs first request for information

06/09/21 Order Entered: 1. Duke Kentucky's proposed rates are suspended for six months, up to and including December 31, 2021. 2. The procedural schedule set forth in the Appendix to this Order shall be followed. 3. a. Responses to requests for information in paper medium shall be appropriately bound, tabbed and indexed, with the original and an electronic version to the Commission. Electronic documents shall be in portable document format (PDF), shall be searchable, and shall be appropriately bookmarked. Each response shall include the name of the witness responsible for responding to the questions related to the information provided. b. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or an association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

06/04/21 Order Entered: 1. The motion of the Attorney General to intervene is granted. 2. The Attorney General shall be entitled to the full rights of a party and shall be served with the Commission's Orders and with filed testimony, exhibits, pleadings, correspondence, and all other documents submitted by parties after the date of this Order. 3. The Attorney General shall comply with all provisions of the Commission's regulations, 807 KAR 5:001, Section 8, related to the service and electronic filing of documents. 4. Pursuant to 807 KAR 5:001, Section 8(9), within seven days of entry of this Order, the Attorney General shall file a written statement with the Commission that: a. Certifies that it, or its agent, possesses the facilities to receive electronic transmissions; and b. Sets forth the electronic mail address to which all electronic notices and messages related to this proceeding should be served.

06/03/21 Minna Sunderman Rocco DAscenzo Duke Energy Kentucky, Inc.s Application for Authority to Adjust Natural Gas Rates, Approval of New Tariffs, and For All Other Required Approvals and Relief

06/03/21 No deficiency letter

06/02/21 Lawrence Cook Kentucky Office of the Attorney General OAG Motion to Intervene

06/01/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky Incs Testimony verification pages for the Application

06/01/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Incs Application (Upload 4)

06/01/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc.s Application (Upload 3)

06/01/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc.s Application (Upload 2)

06/01/21 Deborah Gates Rocco DAscenzo Duke Energy Kentucky, Inc.s Application for Authority; to Adjust Natural Gas Rates, Approval of New Tariffs and For All Other Required Approvals and Relief

05/28/21 COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION TO DUKE ENERGY KENTUCKY, INC.

04/30/21 Acknowledge Receipt of Filing

04/30/21 Duke Energy Kentucky, Inc. Notice of Intent to File an Application for: 1) an Adjustment of the Natural Gas Rates; 2) Approval of New Tariffs, and 3) All Other Required Approvals, Waivers, and Relief Using Electronic Filing Procedures

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Total Number of Cases: 1