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October 28, 2016

HAND DELIVERED

Talina R. Matthews
Executive Director
Kentucky Public Service Commission
211 Sower Blvd
Frankfort, KY 40601

RECEIVED

OCT 28 2016

PUBLIC SERVICE
COMMISSION

Re: Kentucky American Water -- Case No. 2015-00400

Dear Ms. Matthews:

In accordance with Ordering Paragraph 3 of the Commission's January 19, 2016 Order in the above-referenced matter, Kentucky American Water hereby notifies the Commission of a November 15, 2016 planned issuance of debt in the amount of \$5,000,000. The certification required pursuant to Ordering Paragraph 3 of that Order is enclosed. Should you have any questions, please do not hesitate to contact me.

Very truly yours,

Stoll Keenon Ogden PLLC

A handwritten signature in blue ink that reads "Lindsey W. Ingram III".

Lindsey W. Ingram III

Enclosure

010311.003026/4571685.1

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

RECEIVED

OCT 28 2016


PUBLIC SERVICE
COMMISSION

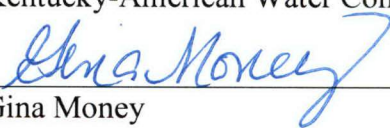
IN THE MATTER OF:)
)
APPLICATION OF KENTUCKY-AMERICAN) CASE NO. 2015-00400
WATER COMPANY FOR ISSUANCE)
OF INDEBTEDNESS AND CONTINUED)
PARTICIPATION WITH AMERICAN WATER)
CAPITAL CORP.)

CERTIFICATION OF NICK ROWE AND GINA MONEY

In accordance with Ordering Paragraph 3 of the Commission's January 19, 2016 Order in this matter, this is to notify the Commission of Kentucky American Water's planned November 15, 2016 issuance of debt in the amount of \$5,000,000. Nick Rowe and Gina Money hereby certify that the issuance will be in the amount of \$5,000,000, and that the issuance will not cause an excess beyond the total amount of \$12,250,000 authorized in the Order.

Date: October 20, 2016



Nick Rowe
President
Kentucky-American Water Company


Gina Money
Treasurer
Kentucky-American Water Company

Commonwealth of Kentucky)
) :ss
County of Fayette)

The foregoing instrument was acknowledged before me this 20th day of October, 2016, by Nick Rowe in his capacity as President of Kentucky-American Water Company.

Peggy A. Slone
Notary Public

My Commission expires: 10-3-2020

Commonwealth of Kentucky)
) :ss
County of Fayette)



The foregoing instrument was acknowledged before me this 20th day of October, 2016, by Gina Money in her capacity as Treasurer of Kentucky-American Water Company.

Peggy A. Slone
Notary Public

My Commission expires: 10-3-2020

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