

RECEIVED

APR 21 2016

PUBLIC SERVICE
COMMISSION

Letter of Transmittal

CMW inc.

To: Kentucky Public Service Commission
Attn: Linda Faulkner
211 Sower Blvd
Frankfort, KY 40602
502-564-3940

Date:	April 15, 2016
Project:	MCUD Ph 4 Improvements PSC Case No. 2014-00132
Project No.:	12068.02

Attn: Linda Faulkner

- We are sending: Attached Under separate cover via UPS Ground
- Plans Specifications Copy of Letter
- Change Order Prints Samples
- Shop Drawings Estimates

Copies	Date	Description
1	Dec, 2015	As-Built Plans
1	Dec, 2015	Letters of Certification
1		Final Cost Breakdown

These items are transmitted as checked below:

- For Your Use No exceptions taken For Your Files
- As requested Note Markings See remarks below
- For review and comment Resubmit copies bearing corrections noted Return copies bearing signatures
- For approval

Remarks:

Copies To:

File

CMW, INC.

Signed: Patrick Deming, PE

If enclosures are not as indicated, please notify us at once.

CMW inc. Certificate of Substantial Completion

Project: Madlson County Utilities District
(MCUD) Phase 4 Improvements

Project Number: 12068.02

To Owner: Madison County Utilities District

Contract For: Waterline Replacement

Date of Issuance: October 7, 2015

Contract Date: July 1, 2014

Contractor: Clay Pipeline, Inc.
70 Fox Hollow Road
Manchester, KY 40962

Project or Designated Portion shall include:

All Work.

The Work performed under this Contract has been reviewed and found to the Engineer's best knowledge and understanding, information and belief, to be substantially complete. Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so the Owner can occupy or utilize the Work for its intended use. The date of Substantial Completion of the Project or the portion thereof designated above is hereby established as May 20, 2015 which is also the date of commencement of applicable warranties required by the Contract Documents, except as stated below: Warranty applies to all work

A list of items to be completed or corrected is attached hereto. The failure to include any items on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

ENGINEER

BY

DATE

The Contractor will complete or correct the Work on the list of items attached hereto by October 29, 2015 within 162 calendar days from the above Date of Substantial Completion.

CONTRACTOR

BY

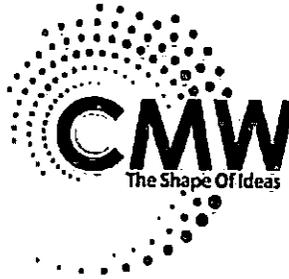
DATE

The Owner accepts the Work or designated portion thereof as substantially complete and will assume full possession thereof on May 20, 2015.

OWNER

BY

DATE



CONSULTING ENGINEER'S
CERTIFICATE OF COMPLETION

Per the Assistance Agreement between the Kentucky Infrastructure Authority and the Madison County Utilities District that pertains to the project covered by the Drinking Water State Revolving Fund loan number DWL#13005, F13-023, this certificate signed by the consulting engineer confirms that the following activities are complete.

1. The Madison County Utilities District (MCUD) Phase 4 Improvements project and all other facilities in connection therewith have been acquired, constructed, equipped and installed in accordance with approved plans and specifications.

A handwritten signature in black ink, appearing to read 'Patrick Deming', is written over a horizontal line.

Consulting Engineer
Patrick Deming, PE
CMW, Inc.

12-15-2015

Date



LOAN RECIPIENT'S

CERTIFICATE OF COMPLETION

Per the Assistance Agreement between the Kentucky Infrastructure Authority and the Madison County Utilities District that pertains to the project covered by the Drinking Water State Revolving Fund loan number DWL#13005, F13-023, this certificate signed by the authorized representative of the Governmental Agency confirms that the following activities are complete.

1. The Madison County Utilities District (MCUD) Phase 4 Improvements project construction has been completed and I certify that payment has been made for all labor, services, materials, supplies, machinery and equipment included in the above referenced assistance agreement.
2. All other facilities necessary in connection with the project have been acquired, constructed, equipped and installed and all costs and expenses incurred in connection therewith have been paid.
3. The project and all other facilities in connection therewith have been acquired, constructed, equipped and installed to my satisfaction.



Manager

12-15-15

Date

Patrick Deming

From: Patrick Deming
Sent: Friday, April 15, 2016 1:21 PM
To: 'Faulkner, Linda (PSC)'
Subject: RE: PSC Case No. 2014-00132 / MCUD Ph 4
Attachments: MCUD Const Certification.pdf; Substantial Completion Form.pdf; CMW Const Certification.pdf

Hello Linda. Attached are PDF copies of the certified letters of completion we have on file for the MCUD Ph 4 project (PSC Case No. 2014-00132). We will forward hard copies of these along with hard copies of the as-built drawings to you for close out. Below is a breakdown of the final project costs:

<u>Cost Classification</u>	<u>Costs</u>
Land Appraisals, Easements (3)	\$ 27,441.44
Planning (5)	\$ 5,000.00
CMW Engineering Fee Design – 80% (6)	\$ 55,736.00
CMW Engineering Fee Construction (7)	\$ 10,450.50
CMW Engineer Fee Inspection (8)	\$ 34,797.75
CMW Engineer Fee Other - Loan Admin (9)	\$ 8,750.00
HMB Engineer Fee (7, 8 & 9)	\$ 16,333.00
Construction (10)	\$ 809,329.30
Miscellaneous (12)	\$ 4,046.69
TOTAL:	\$ 971,884.67

*Final Project Costs.
See Attached Dec 15, 2015
Final Pay Request as well.*

Please let me know if you need anything else

Thanks,

Patrick Deming, PE
Civil Engineer

CMW Architects & Engineers
Architecture • Engineering • Interior Design • Landscape Architecture
a: 400 East Vine Street, Suite 400, Lexington, Kentucky 40507
o: (859) 254-6623 Ext. 149 f: (859) 259-1877

e: pdeming@cmwaec.com w: www.cmwaec.com

From: Faulkner, Linda (PSC) [mailto:Linda.Faulkner@ky.gov]
Sent: Tuesday, April 12, 2016 10:47 AM
To: Patrick Deming <pdeming@cmwaec.com>
Subject: PSC Case No. 2014-00132

Good Morning Patrick.

Can you give me an update on the water line replacement project for Madison District referred to as "MCUD Improvements Phase 4"? It appears that Kerry Odle was the initial

FUND F

EXHIBIT B

REQUEST FOR PAYMENT WITH RESPECT TO
ASSISTANCE AGREEMENT DATED June 18, 2014 / No. F13-023

Request Number: 8

Dated: December 15, 2015

Send original to: 5th of month
Brandi Armstrong
Kentucky Infrastructure Authority
1024 Capital Center Drive
Suite 340
Frankfort, Kentucky 40601

And a copy to: 5th of month
Amber Vaughn
Division of Water
Water Infrastructure Branch
SRF & SPAP Section
200 Fair Oaks Lane, 4th Floor
Frankfort, Kentucky 40601

From: Madison County Utilities District ("Governmental Agency")

Gentlemen:

The above-identified Governmental Agency has entered into an Assistance Agreement with the Kentucky Infrastructure Authority (the "Authority") for the planning, design, and construction of facilities described in the Assistance Agreement as the "Project."

Pursuant to the Assistance Agreement, we here by certify that we have incurred the following expenses in connection with the Project and that the Authority's funding share of these expenses is in the amount so denoted in this request totaling **\$0.00**.

Documentation supporting the expenses incurred and identified per this request are attached.

ELIGIBLE PROJECT EXPENSES INCURRED

Cost <u>Classification (Budget Line No.)</u>	Expenses This <u>Request</u>	Expenses To <u>Date</u>
Administrative Expense (1)	\$ 0.00	\$ 0.00
Legal Expense (2)	\$ 0.00	\$ 0.00
Land Appraisals, Easements (3)	\$ 0.00	\$ 27,441.44
Relocation Expenses & Payments (4)	\$ 0.00	\$ 0.00
Planning (5)	\$ 0.00	\$ 5,000.00

Engineering Fee Design – 80% (6)	\$ 0.00	\$ 55,736.00
CMW Engineering Fee Construction (7)	\$ 386.67	\$ 10,450.50
CMW Engineer Fee Inspection (8)	\$ 1,287.52	\$ 34,797.75
CMW Engineer Fee Other - Loan Admin (9)	\$ 139.12	\$ 8,750.00
HMB Engineer Fee (7, 8 & 9)	\$ 604.32	\$ 16,333.00
Construction (10)	\$ 17,434.00	\$ 809,329.30
Equipment (11)	\$ 0.00	\$ 0.00
Miscellaneous (12)	\$ 0.00	\$ 4,046.69
TOTALS:	\$ 19,851.63	\$ 971,884.67

ALLOCATION OF FUNDING FOR EXPENSES

<u>Funding Source</u>	<u>Portion of Expenses This Request</u>	<u>Portion of Expenses Total to Date</u>
KIA	\$ 0.00	\$ 856,528.00
MCUD	\$ 19,851.63	\$ 115,356.67
TOTALS:	\$ 19,851.63	\$ 971,884.67

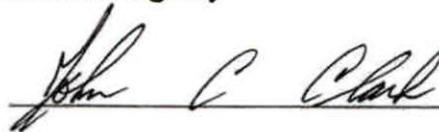
The Governmental Agency certifies it has also paid Project expenses or has submitted requisitions to the applicable funding sources for Project expenses, which have not been identified in any previous Request for Payment, as follows:

<u>Funding Source</u>	<u>Amount of Payment or Requisition</u>	<u>Date of Payment or Requisition</u>
NA	\$ 0.00	\$ 0.00

Respectfully submitted,

Madison County Utilities District
Governmental Agency

By



Title Manager

2014-132

CONTAINS

LARGE OR OVERSIZED

MAPS

RECEIVED ON:

April 21st, 2016