



Steven L. Beshear  
Governor

Leonard K. Peters  
Secretary  
Energy and Environment Cabinet

Commonwealth of Kentucky  
**Public Service Commission**  
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David L. Armstrong  
Chairman

James W. Gardner  
Vice Chairman

Linda Breathitt  
Commissioner

December 16, 2014

**PARTIES OF RECORD**

RE: West Carroll Water District  
Case No. 2013-00041

Attached is documentation of the total cost of the project approved in Case No. 2013-00041 APPLICATION OF WEST CARROLL WATER DISTRICT FOR A CERTIFICATE OF CONVENIENCE AND NECESSITY FOR THE CONSTRUCTION OF WATER INFRASTRUCTURE IMPROVEMENTS. These documents were e-mailed to me on December 14, 2014 by Mark Askin of Strand Associates, Inc.

Sincerely,

A handwritten signature in blue ink that reads "Linda Faulkner".

Linda Faulkner  
Filings Director

# PAYMENT APPLICATION

<p>TO: Carrollton Utilities P.O. Box 269 Carrollton, KY 41008 Attn:</p> <p>FROM: C&amp;H/M Excavating, Inc. 3687N Co Rd 500E Milan, IN 47031</p> <p>FOR:</p>	<p>PROJECT NAME AND LOCATION: Carrollton Contract 1 Water Distribution Upgrades P.O. Box 269 2256th St Carrollton, KY41008</p> <p>ARCHITECT: Strand Associates, Inc. 325 West Main St, Suite 710 Louisville, KY 40202</p>	<p>APPLICATION # 8</p> <p>PERIOD THRU: 11/05/2014</p> <p>PROJECT #s: Contract 1-2012</p> <p>DATE OF CONTRACT: 06/26/2013</p>	<p>Distribution to:</p> <p><input type="checkbox"/> OWNER</p> <p><input type="checkbox"/> ARCHITECT</p> <p><input type="checkbox"/> CONTRACTOR</p> <p><input type="checkbox"/></p> <p><input type="checkbox"/></p>
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## CONTRACTOR'S SUMMARY OF WORK

Application is made for payment as shown below.  
Continuation Page is attached.

1. CONTRACT AMOUNT	\$998,123.05
2. SUM OF ALL CHANGE ORDERS	(\$229,547.55)
3. CURRENT CONTRACT AMOUNT (Line 1 +/- 2)	\$768,575.50
4. TOTAL COMPLETED AND STORED (Column G on Continuation Page)	\$768,575.50
5. RETAINAGE:	
a. 0.00% of Completed Work (Columns D + E on Continuation Page)	\$0.00
b. 0.00% of Material Stored (Column F on Continuation Page)	\$0.00
Total Retainage (Line 5a + 5b or Column I on Continuation Page)	\$0.00
6. TOTAL COMPLETED AND STORED LESS RETAINAGE (Line 4 minus Line 5 Total)	\$768,575.50
7. LESS PREVIOUS PAYMENT APPLICATIONS	\$603,789.01
8. PAYMENT DUE	\$164,786.49
9. BALANCE TO COMPLETION (Line 3 minus Line 6)	0.00

SUMMARY OF CHANGE ORDERS	ADDITIONS	DEDUCTIONS
Total changes approved in previous months	\$5,608.00	(\$283,753.20)
Total approved this month	\$48,597.65	\$0.00
TOTALS	\$54,205.65	(\$283,753.20)
NET CHANGES	(\$229,547.55)	

Contractor's signature below is his assurance to Owner, concerning the payment herein applied for, that: (1) the Work has been performed as required in the Contract Documents, (2) all sums previously paid to Contractor under the Contract have been used to pay Contractor's costs for labor, materials and other obligations under the Contract for Work previously paid for, and (3) Contractor is legally entitled to this payment.

CONTRACTOR: C&H/M Excavating, Inc.

By: Jeff Meinders Date: 12-10-14  
Jeff Meinders/President

State of: IN  
County of: Ripley

Subscribed and sworn to before me this 10th day of Dec 2014

Notary Public: Janice Hastie

My Commission Expires: 12-11-15



## ARCHITECT'S CERTIFICATION

Architect's signature below is his assurance to Owner, concerning the payment herein applied for, that: (1) Architect has inspected the Work represented by this Application, (2) such Work has been completed to the extent indicated in this Application, and the quality of workmanship and materials conforms with the Contract Documents, (3) this Application for Payment accurately states the amount of Work completed and payment due therefor, and (4) Architect knows of no reason why payment should not be made.

CERTIFIED AMOUNT..... \$164,786.49

(If the certified amount is different from the payment due, you should attach an explanation. Initial all the figures that are changed to match the certified amount.)

ARCHITECT: D Clay Kelly Date: 12/15/14

Neither this Application nor payment applied for herein is assignable or negotiable. Payment shall be made only to Contractor, and is without prejudice to any rights of Owner or Contractor under the Contract Documents or otherwise.

CONTINUATION PAGE

PROJECT: Carrollton Contract 1  
Water Distribution Upgrades

APPLICATION #: 8  
DATE OF APPLICATION: 11/07/2014  
PERIOD THRU: 11/05/2014  
PROJECT #s: Contract 1-2012

Payment Application containing Contractor's signature is attached.

ITEM #	WORK DESCRIPTION	SCHEDULED AMOUNT		COMPLETED WORK		STORED MATERIALS (NOT IN D OR E)	TOTAL COMPLETED AND STORED (D + E + F)	% COMP (G / C)	BALANCE TO COMPLETION (C-G)	RETAINAGE (If Variable)
		QTY	\$ AMT	AMOUNT PREVIOUS PERIODS	AMOUNT THIS PERIOD					
		11 Line A	Items No 1 - No 13 \$132,537.70 PER Each	1.00	\$132,537.70					
12 Line C	Items No. 22 - No 31 \$72,133.00 PER Each	1.00	\$72,133.00	0.00	\$0.00	\$0.00	\$0.00	0%	\$72,133.00	
13 Line D	Items No 32 - No 39 \$61,495.50 PER Each	1.00	\$61,495.50	0.00	\$0.00	\$0.00	\$0.00	0%	\$61,495.50	
14 Line B	3"PVC SDR 21 class 200 pipe \$6.08 PER Ft.	4.500.00	\$27,360.00	4,424.00	\$26,897.92	\$0.00	\$26,897.92	98%	\$462.08	
15 Line B	Tie-in to existing wtr mains \$2,247.00 PER Each	1.00	\$2,247.00	1.00	\$2,247.00	\$0.00	\$2,247.00	100%	\$0.00	
16 Line B	3" C.I. AWWA NRS gate valve & box \$871.00 PER Each	2.00	\$1,742.00	2.00	\$1,742.00	\$0.00	\$1,742.00	100%	\$0.00	
17 Line B	3" blowoff hydrant for all size mains \$2,038.00 PER Each	1.00	\$2,038.00	1.00	\$2,038.00	\$0.00	\$2,038.00	100%	\$0.00	
18 Line B	3/4" service pipe \$10.00 PER Ft.	100.00	\$1,000.00	50.00	\$500.00	\$0.00	\$500.00	50%	\$500.00	
19 Line B	Crushed rock on trench service \$10.00 PER Ft.	30.00	\$300.00	40.00	\$400.00	\$0.00	\$400.00	133%	(\$100.00)	
20 Line B	Asphalt patch \$45.00 PER Ft.	30.00	\$1,350.00	20.00	\$900.00	\$0.00	\$900.00	67%	\$450.00	
SUB-TOTALS			\$302,203.20	\$34,724.92	\$0.00	\$0.00	\$34,724.92	11%	\$267,478.28	

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		QTY	\$ AMT	AMOUNT PREVIOUS PERIODS	AMOUNT THIS PERIOD					
		21 Line B	Clean up & restoration		\$4,500.00					
	\$1.00 PER Ft.	4,500.00		0.00	4,474.00	0.00	4,474.00		26.00	
40 Line E	6" PVC SDR 21 class 200 pipe		\$105,761.25	\$111,169.80	\$0.00	\$0.00	\$111,169.80	105%	(\$5,408.55)	
	\$10.71 PER Ft.	9,875.00		10,380.00	0.00	0.00	10,380.00		-505.00	
41 Line E	6 DIP pipe		\$78,250.00	\$77,905.70	\$0.00	\$0.00	\$77,905.70	99%	\$344.30	
	\$31.30 PER Ft.	2,500.00		2,489.00	0.00	0.00	2,489.00		11.00	
42 Line E	4" PVC SDR 21 class pipe		\$1,404.00	\$819.00	\$0.00	\$0.00	\$819.00	58%	\$585.00	
	\$23.40 PER Ft.	60.00		35.00	0.00	0.00	35.00		25.00	
43 Line E	Tie-in to existing wtr mains 6" by 6" MJ		\$2,668.00	\$2,668.00	\$0.00	\$0.00	\$2,668.00	100%	\$0.00	
	\$2,668.00 PER Each	1.00		1.00	0.00	0.00	1.00		0.00	
44 Line E	Tie-in to existing wtr mains 4" by 4" MJ		\$2,420.00	\$2,420.00	\$0.00	\$0.00	\$2,420.00	100%	\$0.00	
	\$2,420.00 PER Each	1.00		1.00	0.00	0.00	1.00		0.00	
45 Line E	Tie-in to existing wtr mains 6" by 3" MJ		\$2,316.00	\$2,316.00	\$0.00	\$0.00	\$2,316.00	100%	\$0.00	
	\$2,316.00 PER Each	1.00		1.00	0.00	0.00	1.00		0.00	
46 Line E	Tie-in to existing wtr mains cut into 4"		\$2,936.00	\$5,872.00	\$0.00	\$0.00	\$5,872.00	200%	(\$2,936.00)	
	\$2,936.00 PER Each	1.00		2.00	0.00	0.00	2.00		-1.00	
47 Line E	6" CI gate valve & box		\$6,594.00	\$9,420.00	\$0.00	\$0.00	\$9,420.00	143%	(\$2,826.00)	
	\$942.00 PER Each	7.00		10.00	0.00	0.00	10.00		-3.00	
48 Line E	4" CI gate valve & box		\$1,730.00	\$4,325.00	\$0.00	\$0.00	\$4,325.00	250%	(\$2,595.00)	
	\$865.00 PER Each	2.00		5.00	0.00	0.00	5.00		-3.00	
SUB-TOTALS			\$510,782.45	\$251,640.42	\$4,474.00	\$0.00	\$256,114.42	50%	\$254,668.03	

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A ITEM #	B WORK DESCRIPTION	C SCHEDULED AMOUNT		D COMPLETED WORK		F STORED MATERIALS (NOT IN D OR E)	G TOTAL COMPLETED AND STORED (D + E + F)	H % COMP (G / C)	I BALANCE TO COMPLETION (C-G)	RETAINAGE (If Variable)
		QTY	\$ AMT	AMOUNT PREVIOUS PERIODS	AMOUNT THIS PERIOD					
49 Line E	6" blow off hydrant \$2,937.00 PER Each	1.00	\$2,937.00	\$2,937.00	0.00	\$0.00	\$2,937.00	100%	\$0.00	
50 Line E	Automatic air release valve assembly & box \$610.00 PER Each	1.00	\$610.00	\$610.00	0.00	\$0.00	\$610.00	100%	\$0.00	
51 Line E	Stream Crossing with 12" PVC cover pipe \$69.00 PER Ft	30.00	\$2,070.00	\$2,070.00	0.00	\$0.00	\$2,070.00	100%	\$0.00	
52 Line E	Special creek crossing meter box \$2,840.00 PER Each	2.00	\$5,680.00	\$5,680.00	0.00	\$0.00	\$5,680.00	100%	\$0.00	
53 Line E	Stream Crossing with 16" directional drill \$281.00 PER Ft	300.00	\$84,300.00	\$101,160.00	0.00	\$0.00	\$101,160.00	120%	(\$16,860.00)	
54 Line E	12" steel cover pipe \$123.00 PER Ft	150.00	\$18,450.00	\$18,450.00	0.00	\$0.00	\$18,450.00	100%	\$0.00	
55 Line E	Crushed rock on trench surface \$10.00 PER Ft	230.00	\$2,300.00	\$2,720.00	0.00	\$0.00	\$2,720.00	118%	(\$420.00)	
56 Line E	Asphalt patch \$45.00 PER Ft	100.00	\$4,500.00	\$2,880.00	0.00	\$0.00	\$2,880.00	64%	\$1,620.00	
57 Line E	Cleanup & restoration \$1.00 PER Ft	12,435.00	\$12,435.00	\$0.00	12,904.00	\$0.00	\$12,904.00	104%	(\$469.00)	
58 Line G	4" DIP \$24.24 PER Ft	2,410.00	\$58,418.40	\$58,636.56	0.00	\$0.00	\$58,636.56	100%	(\$218.16)	
SUB-TOTALS			\$702,482.85	\$446,783.98	\$17,378.00	\$0.00	\$464,161.98	65%	\$238,320.87	

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		QTY	\$ AMT	AMOUNT PREVIOUS PERIODS	AMOUNT THIS PERIOD					
59 Line G	4" PVC SDR 14 pipe		\$28,390.80	\$28,872.00	\$0.00	\$0.00	\$28,872.00	102%	(\$481.20)	
	\$16.04 PER Ft.	1,770.00		1,800.00	0.00	0.00	1,800.00		-30.00	
60 Line G	4" PVC DR 18 pipe		\$18,509.40	\$18,509.40	\$0.00	\$0.00	\$18,509.40	100%	\$0.00	
	\$10.17 PER Ft.	1,820.00		1,820.00	0.00	0.00	1,820.00		0.00	
61 Line G	Tie-in to existing wtr mains		\$919.00	\$919.00	\$0.00	\$0.00	\$919.00	100%	\$0.00	
	\$919.00 PER Each	1.00		1.00	0.00	0.00	1.00		0.00	
62 Line G	4" C.I. Gate valve & box		\$1,730.00	\$6,055.00	\$0.00	\$0.00	\$6,055.00	350%	(\$4,325.00)	
	\$865.00 PER Each	2.00		7.00	0.00	0.00	7.00		-5.00	
63 Line G	Stream crossing with crushed stone		\$4,050.00	\$4,500.00	\$0.00	\$0.00	\$4,500.00	111%	(\$450.00)	
	\$45.00 PER Ft.	90.00		100.00	0.00	0.00	100.00		-10.00	
64 Line G	10" steel cover pipe		\$3,750.00	\$3,750.00	\$0.00	\$0.00	\$3,750.00	100%	\$0.00	
	\$125.00 PER Ft.	30.00		30.00	0.00	0.00	30.00		0.00	
65 Line G	3/4" service pipe		\$5,125.00	\$574.00	\$0.00	\$0.00	\$574.00	11%	\$4,551.00	
	\$10.25 PER Ft.	500.00		56.00	0.00	0.00	56.00		444.00	
66 Line G	Crushed rock on trench service		\$1,000.00	\$2,150.00	\$0.00	\$0.00	\$2,150.00	215%	(\$1,150.00)	
	\$10.00 PER Ft.	100.00		215.00	0.00	0.00	215.00		-115.00	
67 Line G	Asphalt patch		\$900.00	\$1,080.00	\$0.00	\$0.00	\$1,080.00	120%	(\$180.00)	
	\$45.00 PER Ft.	20.00		24.00	0.00	0.00	24.00		-4.00	
68 Line G	Cleanup & restoration		\$6,000.00	\$0.00	\$6,039.00	\$0.00	\$6,039.00	101%	(\$39.00)	
	\$1.00 PER Ft.	6,000.00		0.00	6,039.00	0.00	6,039.00		-39.00	
SUB-TOTALS			\$772,857.05	\$513,193.38	\$23,417.00	\$0.00	\$536,610.38	69%	\$236,246.67	

CONTINUATION PAGE

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		QTY	\$ AMT	AMOUNT PREVIOUS PERIODS	AMOUNT THIS PERIOD					
69 Line H	3" PVC SDR 21 class 200 pipe		\$25,704.00	\$28,929.60	\$0.00	\$0.00	\$28,929.60	113%	(\$3,225.60)	
	\$10.08 PER Ft.	2,550.00		2,870.00	0.00	0.00	2,870.00		-320.00	
70 line H	Tie-in to existing wtr mains		\$2,997.00	\$2,997.00	\$0.00	\$0.00	\$2,997.00	100%	\$0.00	
	\$2,997.00 PER Each	1.00		1.00	0.00	0.00	1.00		0.00	
71 Line H	3" blow off hydrant		\$2,038.00	\$2,038.00	\$0.00	\$0.00	\$2,038.00	100%	\$0.00	
	\$2,038.00 PER Each	1.00		1.00	0.00	0.00	1.00		0.00	
72 Line H	8" steel cover pipe		\$3,720.00	\$3,720.00	\$0.00	\$0.00	\$3,720.00	100%	\$0.00	
	\$124.00 PER Ft.	30.00		30.00	0.00	0.00	30.00		0.00	
73 Line H	3/4" service pipe		\$1,025.00	\$1,291.50	\$0.00	\$0.00	\$1,291.50	126%	(\$266.50)	
	\$10.25 PER Ft.	100.00		126.00	0.00	0.00	126.00		-26.00	
74 Line H	Crushed rock on trench surface		\$200.00	\$880.00	\$0.00	\$0.00	\$880.00	440%	(\$680.00)	
	\$10.00 PER Ft.	20.00		88.00	0.00	0.00	88.00		-68.00	
75 Line H	Cleanup & restoration		\$2,550.00	\$0.00	\$2,996.00	\$0.00	\$2,996.00	117%	(\$446.00)	
	\$1.00 PER Each	2,550.00		0.00	2,996.00	0.00	2,996.00		-446.00	
76	Kings Ridge Rd Pump Station		\$96,227.00	\$57,736.20	\$38,490.80	\$0.00	\$96,227.00	100%	\$0.00	
	\$96,227.00 PER Each	1.00		0.60	0.40	0.00	1.00		0.00	
77	Gilgal Rd Pump Station		\$90,805.00	\$54,483.00	\$36,322.00	\$0.00	\$90,805.00	100%	\$0.00	
	\$90,805.00 PER Each	1.00		0.60	0.40	0.00	1.00		0.00	
78	Change Order #1		(\$3,500.00)	\$0.00	(\$3,500.00)	\$0.00	(\$3,500.00)	100%	\$0.00	
	(\$3,500.00) PER Each	1.00		0.00	1.00	0.00	1.00		0.00	
SUB-TOTALS			\$994,623.05	\$665,268.68	\$97,725.80	\$0.00	\$762,994.48	77%	\$231,628.57	

CONTINUATION PAGE

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Water Distribution Upgrades

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		QTY	\$ AMT	AMOUNT PREVIOUS PERIODS	AMOUNT THIS PERIOD					
79	Change Order #2 (\$266,166.20) PER Each	1.00	(\$266,166.20)	0.00	\$0.00	0.00	\$0.00	0%	(\$266,166.20)	
80	Change Order #3 (\$14,087.00) PER Each	1.00	(\$14,087.00)	0.00	(\$14,087.00)	0.00	(\$14,087.00)	100%	\$0.00	
81	Change Order #4 \$1,908.00 PER Each	1.00	\$1,908.00	\$1,908.00	\$0.00	\$0.00	\$1,908.00	100%	\$0.00	
82	Change Order #5 \$3,700.00 PER Each	1.00	\$3,700.00	\$3,700.00	\$0.00	\$0.00	\$3,700.00	100%	\$0.00	
83	Change Order #6 \$3,000.00 PER Each	1.00	\$3,000.00	\$0.00	\$3,000.00	\$0.00	\$3,000.00	100%	\$0.00	
84	Change Order #7 \$5,485.00 PER Each	1.00	\$5,485.00	\$0.00	\$5,485.00	\$0.00	\$5,485.00	100%	\$0.00	
85	Change Order #8 Reimbursements \$40,112.65 PER Each	1.00	\$40,112.65	\$0.00	\$40,112.65	\$0.00	\$40,112.65	100%	\$0.00	
TOTALS			\$768,575.50	\$670,876.68	\$132,236.45	\$0.00	\$803,113.13	104%	(\$34,537.63)	



August 20, 2014

Strand Associates, Inc.®
Waterfront Plaza
325 West Main Street, Suite 710
Louisville, KY 40202
(P) 502-583-7020
(F) 502-583-7026

CHANGE ORDER NO. 7

PROJECT: Countywide Underserved Project Water Distribution Upgrades
OWNER: Carrollton Utilities
CONTRACT: 1-2012
CONTRACTOR: C&H/M Excavating Inc.

Description of Change

Table with 3 columns: Item, Description, Amount. Row 1: 1a Revisions at Kings Ridge Pump Station - addition of 18" culvert pipe and gravel. (ADD) \$5,485.00. Row 2: TOTAL VALUE OF THIS CHANGE ORDER: (ADD) \$5,485.00

Contract Price Adjustment

Table with 2 columns: Description, Amount. Row 1: Original Contract Price \$998,123.05. Row 2: Previous Change Order Adjustments -\$275,145.20. Row 3: Adjustment in Contract Price this Change Order \$5,485.00. Row 4: Current Contract Price including this Change Order \$728,462.85

Contract Completion Date Adjustment

Table with 2 columns: Description, Days. Row 1: Original Contract Completion Date (January 22, 2014) Contract Start + 180 days. Row 2: Contract Completion Date Adjustments due to previous Change Orders 98 days. Row 3: Contract Completion Date Adjustments due to this Change Order 138 days. Row 4: Current Contract Completion Dates including all Change Orders (September 15, 2014) Contract Start + 416 days

This document shall become a supplement to the Contract and all provisions will apply hereto.

RECOMMENDED

Signature of D. Clay Kelly, ENGINEER-Strand Associates, Inc. Date: 9-10-14

APPROVED

Signature of Jeff Maulder, CONTRACTOR-C&H/M Excavating Inc. Date: 9-2-14

APPROVED

OWNER-Carrollton Utilities Date

MCA:01\S\ALOU\5100--5199\5104\007\Wrd\ct 1-2012\change orders\CO-07.docx



**Strand Associates, Inc.**  
 Waterfront Plaza  
 325 West Main Street, Suite 710  
 Louisville, KY 40202  
 (P) 502-583-7020  
 (F) 502-583-7026

November 24, 2014

**CHANGE ORDER NO. 8**

**PROJECT:** Countywide Underserved Project Water Distribution Upgrades  
**OWNER:** Carrollton Utilities  
**CONTRACT:** 1-2012  
**CONTRACTOR:** C&H/M Excavating, Inc.

**Description of Change**

1a	Various revisions due to construction see attached letter.	(ADD)	\$5,575.02
1b	Stream Crossing with Directional Drilling, additional linear feet installed.	(ADD)	\$16,860.00
1c	4-Inch C.I. gate valve & box, additional ones installed.	(ADD)	\$6,107.48
1d	3-Inch PVC water main, additional linear feet installed.	(ADD)	\$3,225.60
1e	6-Inch PVC water main, additional linear feet installed.	(ADD)	\$5,408.55
1f	4-Inch Tie-Ins, additional ones installed.	(ADD)	\$5,408.55
<b>TOTAL VALUE OF THIS CHANGE ORDER:</b>		<b>(ADD)</b>	<b>\$40,112.65</b>

**Contract Price Adjustment**

Original Contract Price	\$998,123.05
Previous Change Order Adjustments	-\$269,660.20
Adjustment in Contract Price this Change Order	\$40,112.65
<b>Current Contract Price including this Change Order</b>	<b>\$768,575.50</b>

**Contract Completion Date Adjustment**

Original Contract Completion Date (January 22, 2014)	Contract Start	+ 180 days
Contract Completion Date Adjustments due to previous Change Orders		236 days
Contract Completion Date Adjustments due to this Change Order		91 days
<b>Current Contract Completion Dates including all Change Orders (December 15, 2014)</b>	<b>Contract Start</b>	<b>+ 507 days</b>

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Carrollton Utilities - C&H/M Excavating Inc.  
Contract 1-2012, Change Order No. 8  
Page 2  
November 24, 2014

This document shall become a supplement to the Contract and all provisions will apply hereto.

**RECOMMENDED**

D. Coay Kelly \_\_\_\_\_ 12/5/14  
ENGINEER-Strand Associates, Inc.® Date

**APPROVED**

J. J. Menden \_\_\_\_\_ 11-25-14  
CONTRACTOR-C&H/M Excavating Inc. Date

**APPROVED**

\_\_\_\_\_  
OWNER-Carrollton Utilities Date



October 24, 2014

Strand Associates, Inc.  
Mark Askin

**RE: Request for reimbursement**

Gil Gal Rd

Cost to relocate valve at Robert Perry property. Home owner complained about valve location in yard.

3 crew hrs @ 225.00/hr                      675.00

Hwy 42

Cost to relocate flushing device at Dennis Hawkins property. Home owner complained about flushing device location

3 crew hrs @ 225.00/hr                      675.00

Cost to mobilize crew to Gil Gal Rd to make hot tap, excavate main, size of main was different from what was shown on plans.

3 crew hrs @ 345.00/hr                      1035.00

10/2/13

Nora Lane repair time  $\frac{3}{4}$  "service line that was mismarked 75-80' off near Nora Lane and Gil Gal Rd.

2 crew hrs @ 345.00/hr                      690.00

11/19/13

Crew time Grillin Station time repairing broken gas lines that did not have tracer wire

2.25 crew hrs                      388.13

11/20/13

Crew time Alice Marsh property Hwy 42 water lines not marked or were not present.

1.5 crew hrs                      258.75

3687 North County Road 500 East – Milan, IN. 47031

[chm.excavating@gmail.com](mailto:chm.excavating@gmail.com)

812.654.2030 Phone

812.654.9986 Fax



10/21/13

Hwy 42 time to repair water service line in Alice Marsh horse pasture

½ crew hrs                      86.25

10/26/13

Hwy 42 time repair water service line Old Carlise Rd to motor cycle shop

¾ crew hrs                      129.38

10/27/13

Hwy 42 time to repair 4" water main at 2 locations on Wendell Kinman property.

6 crew hrs                      1637.51

**Total \$5575.02**

Sincerely,

Jeff Meinders, President

C&H/M Excavating, Inc.

3687N Co Rd 500E

Milan, IN 47031

812-654-2030 P

812-654-9986 F

chm.excavating@gmail.com

3687 North County Road 500 East – Milan, IN. 47031

[chm.excavating@gmail.com](mailto:chm.excavating@gmail.com)

812.654.2030 Phone

812.654.9986 Fax

**PAYMENT APPLICATION**

TO:	PROJECT NAME AND LOCATION:	Carrollton Contract 2 Water Treatment Plant Upgrades P.O. Box 269 2256th St Carrollton, KY 41008	APPLICATION #	5	Distribution to:
FROM:	ARCHITECT:	Strand Associates, Inc. 325 West Main St, Suite 710 Louisville, KY 40202	PERIOD THRU:	11/05/2014	<input type="checkbox"/> OWNER
Attn:			PROJECT #s:	Contract 2-2012	<input type="checkbox"/> ARCHITECT
C&H/M Excavating, Inc. 3687N Co Rd 500E Milan, IN 47031			DATE OF CONTRACT:	06/26/2013	<input type="checkbox"/> CONTRACTOR
FOR:					<input type="checkbox"/>

**CONTRACTOR'S SUMMARY OF WORK**

Application is made for payment as shown below.  
Continuation Page is attached.

1. CONTRACT AMOUNT	\$394,441.95
2. SUM OF ALL CHANGE ORDERS	\$2,016.12
3. CURRENT CONTRACT AMOUNT (Line 1 +/- 2)	\$396,458.07
4. TOTAL COMPLETED AND STORED (Column G on Continuation Page)	\$396,458.07
5. RETAINAGE:	
a. 0.00% of Completed Work (Columns D + E on Continuation Page)	\$0.00
b. 0.00% of Material Stored (Column F on Continuation Page)	\$0.00
Total Retainage (Line 5a + 5b or Column I on Continuation Page)	\$0.00
6. TOTAL COMPLETED AND STORED LESS RETAINAGE (Line 4 minus Line 5 Total)	\$396,458.07
7. LESS PREVIOUS PAYMENT APPLICATIONS	\$322,152.98
8. PAYMENT DUE	\$74,305.09
9. BALANCE TO COMPLETION (Line 3 minus Line 6)	\$0.00

SUMMARY OF CHANGE ORDERS	ADDITIONS	DEDUCTIONS
Total changes approved in previous months	\$3,825.00	(\$3,500.00)
Total approved this month	\$1,691.12	\$0.00
<b>TOTALS</b>	<b>\$5,516.12</b>	<b>(\$3,500.00)</b>
<b>NET CHANGES</b>	<b>\$2,016.12</b>	

Contractor's signature below is his assurance to Owner, concerning the payment herein applied for, that: (1) the Work has been performed as required in the Contract Documents, (2) all sums previously paid to Contractor under the Contract have been used to pay Contractor's costs for labor, materials and other obligations under the Contract for Work previously paid for, and (3) Contractor is legally entitled to this payment.

CONTRACTOR: C&H/M Excavating, Inc.

By: Jeff Meinders Date: 11-25-14  
Jeff Meinders/President

State of: IN

County of: Ripley

Subscribed and sworn to before

me this 25th day of Nov 2014

Notary Public: Janice Hastie

My Commission Expires: 12-16-15



**ARCHITECT'S CERTIFICATION**

Architect's signature below is his assurance to Owner, concerning the payment herein applied for, that: (1) Architect has inspected the Work represented by this Application, (2) such Work has been completed to the extent indicated in this Application, and the quality of workmanship and materials conforms with the Contract Documents, (3) this Application for Payment accurately states the amount of Work completed and payment due therefor, and (4) Architect knows of no reason why payment should not be made.

CERTIFIED AMOUNT..... \$ 74,305.09

(If the certified amount is different from the payment due, you should attach an explanation. Initial all the figures that are changed to match the certified amount.)

ARCHITECT:

By: A Clay Kelly Date: 12/5/14

Neither this Application nor payment applied for herein is assignable or negotiable. Payment shall be made only to Contractor, and is without prejudice to any rights of Owner or Contractor under the Contract Documents or otherwise.

CONTINUATION PAGE

PROJECT: Carrollton Contract 2  
Water Treatment Plant Upgrades

APPLICATION #: 5  
DATE OF APPLICATION: 11/07/2014  
PERIOD THRU: 11/05/2014  
PROJECT #s: Contract 2-2012

Payment Application containing Contractor's signature is attached.

A ITEM #	B WORK DESCRIPTION	C SCHEDULED AMOUNT		D COMPLETED WORK		E AMOUNT THIS PERIOD	F STORED MATERIALS (NOT IN D OR E)	G TOTAL COMPLETED AND STORED (D + E + F)	H % COMP (G / C)	I BALANCE TO COMPLETION (C-G)	RETAINAGE (If Variable)
		QTY	\$ AMT	AMOUNT PREVIOUS PERIODS	AMOUNT THIS PERIOD						
1	Water Treatment Plant Upgrades		\$394,441.95	\$354,122.76	\$40,319.19	\$0.00	\$394,441.95	100%	\$0.00		
	\$394,441.95 PER LS	1.00		0.90	0.10	0.00	1.00		0.00		
2	Change Order #1		(\$3,500.00)	\$0.00	(\$3,500.00)	\$0.00	(\$3,500.00)	100%	\$0.00		
	(\$3,500.00) PER LS	1.00		0.00	1.00	0.00	1.00		0.00		
3	Change Order #2		\$3,825.00	\$3,825.00	\$0.00	\$0.00	\$3,825.00	100%	\$0.00		
	\$3,825.00 PER LS	1.00		1.00	0.00	0.00	1.00		0.00		
4	Change Order #3 Reimbursement		\$1,691.12	\$0.00	\$1,691.12	\$0.00	\$1,691.12	100%	\$0.00		
	\$1,691.12 PER LS	1.00		0.00	1.00	0.00	1.00		0.00		
TOTALS			\$396,458.07	\$357,947.76	\$38,510.31	\$0.00	\$396,458.07	99%	\$0.00		



**Strand Associates, Inc.**  
 Waterfront Plaza  
 325 West Main Street, Suite 710  
 Louisville, KY 40202  
 (P) 502-583-7020  
 (F) 502-583-7026

November 24, 2014

**CHANGE ORDER NO. 3**

PROJECT: Countywide Underserved Project Water Treatment Upgrade  
 OWNER: Carrollton Utilities  
 CONTRACT: 2-2012  
 CONTRACTOR: C&H/M Excavating, Inc.

**Description of Change**

1a Materials needed for completion of project not supplied by (ADD) \$1,691.12  
 Tonka, see attached letter.

TOTAL VALUE OF THIS CHANGE ORDER: (ADD) \$1,691.12

**Contract Price Adjustment**

Original Contract Price	\$394,441.95
Previous Change Order Adjustments	\$325.00
Adjustment in Contract Price this Change Order	\$1,691.12
Current Contract Price including this Change Order	\$396,458.07

**Contract Completion Date Adjustment**

Original Contract Completion Date (March 23, 2014)	Contract Start	+ 240 days
Contract Completion Date Adjustments due to previous Change Orders		38 days
Contract Completion Date Adjustments due to this Change Order		229 days
Current Contract Completion Dates including all Change Orders (December 15, 2014)	Contract Start	+ 507 days

This document shall become a supplement to the Contract and all provisions will apply hereto.

**RECOMMENDED**

D. Clay Kelly 12/5/14  
 ENGINEER - Strand Associates, Inc.® Date

**APPROVED**

[Signature] 11-25-14  
 CONTRACTOR - C&H/M Excavating, Inc. Date

**APPROVED**

\_\_\_\_\_  
 OWNER - Carrollton Utilities Date



October 24, 2014

Strand Associates, Inc.  
Mark Askin

**RE: Request for reimbursement Div II**

Material not supplied by Tonka that was purchased locally as not to cause delays in the assembly of plant equipment, invoices attached to previous letter dated 9/30/14.

Ferguson	749.43
Toole & Rose Supp.	<u>941.69</u>
<b>Total</b>	<b>1691.12</b>

Sincerely,  
Jeff Meinders, President  
C&H/M Excavating, Inc.  
3687N Co Rd 500E  
Milan, IN 47031  
812-654-2030 P  
812-654-9986 F  
[chm.excavating@gmail.com](mailto:chm.excavating@gmail.com)

3687 North County Road 500 East – Milan, IN. 47031

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