## COMMONWEALTH OF KENTUCKY BEFORE THE PUBLIC SERVICE COMMISSION

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## COMMISSION STAFF'S FIRST REQUEST FOR INFORMATION TO JOINT APPLICANTS

Brandenburg Communications Corporation ("Brandenburg Communications"), and Brandenburg Telephone Company ("Brandenburg Telephone") (collectively, "Joint Applicants"), pursuant to 807 KAR 5:001, are to file with the Commission the original and ten copies of the following information, with a copy to all parties of record. The information requested herein is due on or before June 28, 2017. Responses to requests for information shall be appropriately bound, tabbed and indexed. Each response shall include the name of the witness responsible for responding to questions related to the information provided.

Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or the person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

Joint Applicants shall make timely amendment to any prior response if they obtain information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. For any request to which the Joint Applicants fail or refuse to furnish all or part of the requested information, they shall provide a written explanation of the specific grounds for their failure to completely and precisely respond.

Careful attention shall be given to copied material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When applicable, the requested information shall be separately provided for total company operations and jurisdictional operations. When filing a paper containing personal information, Joint Applicants shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

- 1. Provide a copy of the probated will of Mr. Joseph D. Tobin, Jr. ("Mr. Tobin").
- 2. Describe the duties and responsibilities that Mr. Tobin had in regard to the day-to-day operations of Brandenburg Telephone, and who will succeed Mr. Tobin in the performance of these duties.
- 3. Provide the names, duties, and relative experience of current Brandenburg

  Telephone management employees who are to remain in place.
- 4. As the General Manager of Brandenburg Telephone, describe Allison T. Willoughby's duties and responsibilities in the day-to-day operations and management.

- 5. As a current shareholder in Brandenburg Communications, which is the sole shareholder of Brandenburg Telephone, will Allison T. Willoughby's duties and responsibilities in the day-to-day operations and management of Brandenburg Telephone change if the Commission approves the distribution of additional shares to her from Mr. Tobin's estate that will increase her ownership to more than ten percent? Explain the answer in full detail.
- 6. Provide Joseph D. Tobin, Ill's role in Brandenburg Telephone and describe his duties and responsibilities in the day-to-day operations and management.
- 7. As a current shareholder in Brandenburg Communications, will Joseph D. Tobin, Ill's duties and responsibilities in the day-to-day operations and management of Brandenburg Telephone change if the Commission approves the distribution of additional shares to him from Mr. Tobin's estate that will increase his ownership to more than ten percent? Explain the answer in full detail.
- 8. Provide Tom H. Tobin's role in Brandenburg Telephone and describe his duties and responsibilities in the day-to-day operations and management.
- 9. As a current shareholder in Brandenburg Communications, will Tom H. Tobin's duties and responsibilities in the day-to-day operations and management of Brandenburg Telephone change if the Commission approves the distribution of additional shares to him from Mr. Tobin's estate that will increase his ownership to more than ten percent? Explain the answer in full detail.
- 10. Refer to the Application, page 7, where the Joint Applicants state that Allison T. Willoughby, Joseph D. Tobin III, and Tom H. Tobin have been long-time shareholders of Brandenburg Communications.

- a. Provide how long each individual has been a shareholder of Brandenburg
   Communications.
- b. Describe how Allison T. Willoughby, Joseph D. Tobin III, and Tom H. Tobin each individually possess the financial, technical, and managerial ability to provide reasonable service to Brandenburg Telephone ratepayers.
- 11. Joint Applicants are requesting the approval of distribution of Brandenburg Communications shares to six individuals who did not previously own shares: Mr. Tobin's widow Ruth Crawford, and five grandchildren.
  - a. Provide the role that each individual has with Brandenburg Telephone, and any duties and responsibilities in the day-to-day operations and management.
  - b. If the Commission approves the distribution of shares to these six individuals, will their roles and/or duties and responsibilities in the day-today operations and management at Brandenburg Telephone change? Explain the answer in full detail.
  - c. Describe how each of the six individuals that did not previously own shares possess the financial, technical, and managerial ability to provide reasonable service to Brandenburg Telephone ratepayers.

Talina R. Mathews

**Executive Director** 

Kentucky Public Service Commission

P.O. Box 615

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cc: Parties of Record

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