

Laurel County Water District No. 2

THIRTY NINE TEN SOUTH LAUREL RD.

London, Kentucky 40744

PHONE 606/878-2494



Linda Faulkner
Filings Division Director
Public Service Commission
211 Sower Blvd
Frankfort, KY 40602-0615

RE: Case No. 2015-00341

Dear Ms. Faulkner:

Please find enclosed 5 copies of each ARF Form-3 signed by the other commissioners and the general manager that you requested in your letter dated October 22, 2015, a copy of which is enclosed.

If you need any additional information, please let me know.

Sincerely,

Wanda Smith

Wanda Smith
Office Manager/Accountant

RECEIVED

NOV 6 2015

PUBLIC SERVICE
COMMISSION



Steven L. Beshear
Governor

Leonard K. Peters
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission
211 Sower Blvd.
P.O. Box 615
Frankfort, Kentucky 40602-0615
Telephone: (502) 564-3940
Fax: (502) 564-3460
psc.ky.gov

James W. Gardier
Chairman

Daniel E. Logsdon Jr.
Vice Chairman

October 22, 2015

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PUBLIC SERVICE
COMMISSION

Wanda Smith
Office Manager/Accountant
Laurel County Water District #2
3910 South Laurel Road
London, KY 40744

RE: Laurel County Water District #2
Case No. 2015-00341 - Filing Deficiencies

The Commission Staff has reviewed the application in the above case. This filing is rejected for the reasons set forth below.

Filing deficiencies pursuant to:

- (1) 807 KAR 5:076, Section 4(1)(h) – Completed ARF Form-3 for each member of board of directors/commissioners, CEO or general manager, ownership of 10% or more.

The statutory time period in which the Commission must process this case will not commence until the above-mentioned required information is filed with the Commission. You are requested to file this information within 10 days of the date of this letter.

If you need further assistance, please contact my staff at 502-564-3940.

Sincerely,

Linda Faulkner
Filings Division Director

LF/rcs

RECEIVED

NOV 6 2015

PUBLIC SERVICE
COMMISSION

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between Laurel County Water District #2 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Rush's Transmission	Transmission repairs	\$5,505.00
Amy Cupp	Uniform T-shirts	\$250.00

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.
- Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the supplemental page entitled "Employees Related to Utility Officials."

Ronnie Ball
(Print Name)


(Signed)

Secretary / Commissioner
(Position/Office)

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF Laurel

Subscribed and sworn to before me by Ronnie Ball
(Name)

this 2nd day of November, 2015.

Cheryl Gray
NOTARY PUBLIC
State-at-Large

EMPLOYEES RELATED TO UTILITY OFFICIALS
LAUREL COUNTY WATER DISTRICT #2

EMPLOYEE

Carlos M. Scarbrough
(Meter Reader)

RELATED TO

David Hughes, Superintendent/General Manager thru
9/30/15 (Father-in-law of Carlos M. Scarbrough)

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Kenneth Fisher
(Print Name)

Kenneth Fisher
(Signed)

General Manager / Superintendent
(Position/Office)

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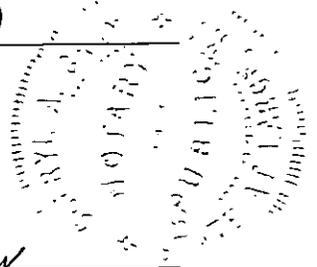
COMMONWEALTH OF KENTUCKY

COUNTY OF Laurel

Subscribed and sworn to before me by Kenneth Fisher
(Name)

this 2nd day of November, 2015.

Cheef Gray
NOTARY PUBLIC
State-at-Large



EMPLOYEES RELATED TO UTILITY OFFICIALS
LAUREL COUNTY WATER DISTRICT #2

EMPLOYEE

Carlos M. Scarbrough
(Meter Reader)

RELATED TO

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David Moore
(Print Name)


(Signed)

Commissioner
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Laurel

Subscribed and sworn to before me by David E. Moore
(Name)

this 2nd day of November, 2015.

Cheryl Gray
NOTARY PUBLIC
State-at-Large



EMPLOYEES RELATED TO UTILITY OFFICIALS
LAUREL COUNTY WATER DISTRICT #2

EMPLOYEE

Carlos M. Scarbrough
(Meter Reader)

RELATED TO

David Hughes, Superintendent/General Manager thru
9/30/15 (Father-in-law of Carlos M. Scarbrough)

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Kenneth Finley
(Print Name)


(Signed)

Vice-President / Treasurer / Commissioner
(Position/Office)

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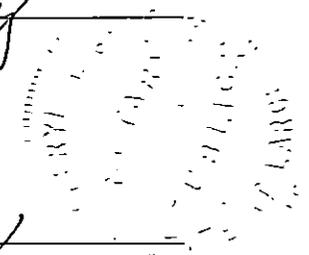
COMMONWEALTH OF KENTUCKY

COUNTY OF Larue

Subscribed and sworn to before me by Kenneth Finley
(Name)

this 2nd day of November, 20 15.

Chad Gray
NOTARY PUBLIC
State-at-Large



EMPLOYEES RELATED TO UTILITY OFFICIALS
LAUREL COUNTY WATER DISTRICT #2

EMPLOYEE

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(Meter Reader)

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Tom Baker III
(Print Name)


(Signed)

Commissioner
(Position/Office)

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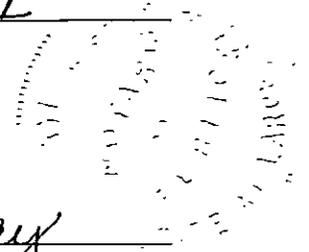
COMMONWEALTH OF KENTUCKY

COUNTY OF Laurel

Subscribed and sworn to before me by Tom Baker III
(Name)

this 2nd day of November, 2015.

Cheryl Gray
NOTARY PUBLIC
State-at-Large



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LAUREL COUNTY WATER DISTRICT #2

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