Steven L. Beshear Governor

Earl Hall

Leonard K. Peters Secretary Energy and Environment Cabinet



Commonwealth of Kentucky
Public Service Commission

211 Sower Blvd. P.O. Box 615 Frankfort, Kentucky 40602-0615 Telephone: (502) 564-3940 Fax: (502) 564-3460 psc.ky.gov

November 10, 2009

David L. Armstrong Chairman

> James Gardner Vice Chairman

Charles R. Borders
Commissioner

RE: Case No 2009-00422

Filing Deficiencies

Black Mountain Utility District

609 Four Mile Road Baxter, KY 40806-8437

The Commission staff has reviewed your application in the above case. This filing is rejected for the reasons set forth below.

1. Filing deficiencies pursuant to 807 KAR 5:001:

807 KAR 5:001: Section 6(4) Mortgages: Amount of Indebtedness Secured

807 KAR 5:001: Section 6(4) Mortgages: Date of Execution

807 KAR 5:001: Section 6(4) Mortgages: Name of Mortgagee or Trustee

807 KAR 5:001: Section 6(4) Mortgages: Name of Mortgagor

807 KAR 5:001: Section 6(4) Mortgages: Sinking Fund Provisions

807 KAR 5:001: Section 6(5) Bonds: Amount Authorized

807 KAR 5:001: Section 6(5) Bonds: Amount Issued

807 KAR 5:001: Section 6(5) Bonds: Date of Issue

807 KAR 5:001: Section 6(5) Bonds: Date of Maturity

807 KAR 5:001: Section 6(5) Bonds: Description of Each Class Issued

807 KAR 5:001: Section 6(5) Bonds: How Secured

807 KAR 5:001: Section 6(5) Bonds: Interest Paid in Last Fiscal Year

807 KAR 5:001: Section 6(5) Bonds: Name of Utility Who Issued

807 KAR 5:001: Section 6(6) Notes Outstanding: Amount

JD/tw



807 KAR 5:001: Section 6(6) Notes Outstanding: Date of Issue

807 KAR 5:001: Section 6(6) Notes Outstanding: In Whose Favor

807 KAR 5:001: Section 6(6) Notes Outstanding: Interest Paid in Last Fiscal Yr.

807 KAR 5:001: Section 6(6) Notes Outstanding: Maturity Date

807 KAR 5:001: Section 6(6) Notes Outstanding: Rate of Interest

807 KAR 5:001: Section 6(7) Other Indebtedness: Description of Any Assumption of Indebtedness by Outside Party (i.e., any transfer)

807 KAR 5:001: Section 6(7) Other Indebtedness: Description of Each Class

807 KAR 5:001: Section 6(7) Other Indebtedness: How Secured

807 KAR 5:001: Section 6(7) Other Indebtedness: Interest Paid in Last Fiscal Yr.

807 KAR 5:001: Section 6(8) Rate and amount of dividends paid during the five (5) previous fiscal years and the amount of capital stock on which dividends were paid each year.

807 KAR 5:001: Section 6(9) Detailed income statement and balance sheet.

The statutory time period in which the Commission must process this case will not commence until the above-mentioned information is filed with the Commission. If your filing contains a proposed effective date, the rejection of your filing for reasons of deficiencies voids that proposed effective date. When you file the required information to correct the deficiencies, you may refile your proposed tariff with a new proposed effective date that is at least 30 days from the date you file the required information. You are requested to file 10 copies of this information within 15 days of date of this letter. If you need further assistance, please contact my staff at 502/564-3940.

Sincerely.

Jeff Derouen

Executive Director





Steven L. Beshear Governor

Leonard K. Peters Secretary Energy and Environment Cabinet

Honorable John N. Hughes 124 West Todd Street Frankfort, KY 40601



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Sincerely,

Jeff Derouen

Executive Director



