

RECEIVED OCT 1 5 2009 PUBLIC SERVICE COMMISSION

October 13, 2009

Mr. Richard W. Bertelson III Staff Attorney Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602

Re: Case No. 2008-0069

Dear Mr Bertelson :

Enclosed is a copy of the safety audits performed at Shelby Energy from September 1, 2009 and September 30, 2009. This is done in accordance with Item 9 of the settlement agreement dated September 29, 2008.

If you have any questions or need further information please feel free to contact me at (502)643-2778 or by e-mail at jason@shelbyenergy.com.

Sincerely,

Jason Ginn

Safety & Loss Control Coordinator



RIGHT OF WAY FIELD INSPECTION FORM

Inspector: DAVID MARTIN

Position: DPRPATIONS MANAGRA

Company: SHR 16- FNRpg 4 Address: 620 OID Fine Hon 1/2 RD

SHR/by u. IR My 40065

Phone#: 502 633 4420

Weather Conditions: <u>SUNNY</u>

Date: <u>9-2-09</u>

Time: // ; 20

County: <u>SIFE</u> / by

Location: CHRISTUMNBELRE RD

Name of Contractor Observed:

A + G TREE SERVICE

Crew Members:

KONNIE OBANION BRIAN LEWIS

Job Description: TRAMMING OUT

OF Bucket tpuck

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	~			
Safety glasses	~			
Fall protection/harness	~			
Harness Attached to Boom	V			
Ear Plugs/ Ear Muffs	\checkmark			
Chaps			~	
Gloves	<i>i</i> ⁄			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			~	
Flagman required/used				
Flag person properly equipped			~	
Traffic cones in place			V	
Trucks Grounded			V	
Truck Chocks Used	V			

Shelby Energy Decals ✓	
Public Hazards Present ✓ Gaff Guards on Hooks ✓	
Gaff Guards on Hooks	
Gaff Guards on Hooks	
Notes/Comments:	
IARANAAIIIIIAIII9°	
	an a
Job Site Findings Discussed With Crew: YES N	0
Corrective Actions Needed: Yes No	
Corrective Actions Needed: Tes NO	
If Corrective Actions Taken Explain In Detail:	
Line Supervisor's Signature: Konnie OBomin	
$\left(\right) = \left(\left \right \right) = \left(\left \left \right \right \right)$	
Inspector's Signature: David Martin	
	7
Safety & Loss Control Coordinator Signature:	
curry & cost control coordinator orginature.	



CONTRACTOR FIELD INSPECTION FORM

Inspector: DAVIS MA	RTTN		Date: 9	-10-09
				2:35
Position: DEKATION	IANAGIZ.	R	County:	HENRY
/			Location	HENRY 202
Company: SHELby Few	FRGY		Crew Me	
Address: 620 OID FINC		\mathcal{P}		
SHALDYVILLE, VAL 4	0065		A-1 HAN	CASSEN
<u>5 Hrc/byvil(z, Vu, 4</u> Phone #: <u>502 633-442</u>	0			
		,	Tim	BURTON
Weather Conditions:	NA			
	7	•	JANIE	KISER
			America	
	0 (BRAD	PARNEL (
Job Description: FRAMING	POIR		-	
ON GROUND '			JOHN	AKRIRS
			_	1. 11
			SAM	Mulling CallagHRA Truck#'s
		/	DAUID	GALLAGHRA
Energized Work Being Prefo	rmed: YE	ES	NO	Truck′#'s
	round	V	/oltage _7	200
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	d 🗸			
Job procedure covered				
Energy control procedure	<u> </u>			
PPE used				
Job hazards	<u> </u>			
Emergency procedures				
Special precautions	V			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taker
Appropriate work signs	V			
Flagman required			\checkmark	
Flag person used			V	
Flag person properly equipped	1		V	
Traffic cones in place				
Trucks Grounded				
Truck Chocks Used	V			
Personal Protective Grounds				

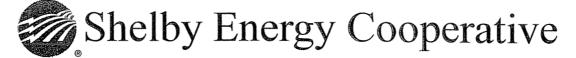
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V		1	
Safety glasses	V			
Rubber gloves			V	
Rubber sleeves				
FR Clothing	V			
Fall protection			V	
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves			V	
Sleeves			V	
Line hoses				
Blankets				
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	\mathcal{V}			
In Place				
Proper Clearances	\checkmark			
Rolling Grounds in Place				
Public Hazards Present				
Job Site Findings Discussed Corrective Actions Needed: If Corrective Actions Taken I	Yes	No	-	NO
Line Supervisor's Signature	Alla &	Jan Mart	1	



CONTRACTOR FIELD INSPECTION FORM

Inspector: Jeson 6	、 ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	Date: 9-15-09			
			Time: 5		
Position: Scherry (mor			County:	Trimble	
			Location:	Hunters Heights	
Company: Shelby Ene	.rs.y		Crew Men	nbers:	
Address: 620 Old Fin		2	-		
Shelbyville Ky 4	0065		7024	- Lakes	
Phone #: 502-643 - 2	778				
			Brian	Birgham	
Weather Conditions:	84°		~	•	
			Scott	-	
			Chad		
Job Description: Change in A-1- Hot	D. A		0119		
Job Description: <u>Sugar in</u>) 001				
A-1- Ho1			·		
·					
		*		مربق المربق ا	
Overhead Underg	round	V	/oltage <u>72</u>	<u> </u>	
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken	
Conducted before job & Signed					
Job procedure covered	~				
Energy control procedure	/				
PPE used	/				
Job hazards	1				
Emergency procedures					
Special precautions					
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs			/		
Flagman required			~		
Flag person used			/		
Flag person properly equipped	!				
Traffic cones in place		1			
Trucks Grounded		1			
Truck Chocks Used	1		1		
Personal Protective Grounds		1			

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken			
Hard hat			1				
Safety glasses							
Rubber gloves							
Rubber sleeves							
FR Clothing							
Fall protection	1						
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken			
Gloves							
Sleeves				· · · ·			
Line hoses							
Blankets	6						
Miscelaneous Observations		No	N/A	If NoCorrective Action Taken			
Shelby Energy Decals	. V.			-			
In Place							
Proper Clearances							
Rolling Grounds in Place							
Public Hazards Present							
		:					
Notes/Comments: All Crew Members Working Sife After Mormal Buisness hours							
Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail:							
Line Supervisor's Signature:							
Inspector's Signature:							
Safety & Loss Control Coordinator Signature:							
		-					



CONTRACTOR FIELD INSPECTION FORM

Inspector: W. ANDERSON

Position: VICE PRESIDENT & MGR. ENGR.

Company: <u>SHELBY ENERGY COOP</u> Address: <u>620 OLO FINCHVILLE RD</u> <u>SHELBYVILLE, KY 40065</u>

Phone #: (502) 633-0942

Job Description: SINGLE PHASE CONDUCTOR REPLACEMENT • • •'

Date: SEPT. 22, 2009 Time: 14 = 10County: SHELBY Location: REED LANE

Name of Contractor Observed:

ELLIOT

Crew Members:

SHANNAN GILBERT

GEORGE HOLLOWAY

STEVE TERRELL

			·. · /	R7099 1146	
Energized Work, Being Preformed: YES NO Truck#'s_ 3010					
Overhead Undergr	ound	V	oltage <u>14</u>	4 KV SINGLE PHASE	
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken	
Conducted before job & Signed					
Job procedure covered	\checkmark				
Energy control procedure	\checkmark			TAP DE-ENERGIZED AT HAIN	
PPE used		AS NEED	Ð	LINE AND GROUNDED	
Job hazards	/				
Emergency procedures			1		
Special precautions					
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs					
Flagman required				·	
Flag person used					
Flag person properly equipped					
Traffic cones in place					
Trucks Grounded	_				
Truck Chocks Used					
Personal Protective Grounds	\checkmark				

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat				
Safety glasses			<u>بر</u> [
Rubber gloves				DE-ENERGIZED
Rubber sleeves	1			* *
FR Clothing	1			
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves				
Sleeves				
Line hoses	-			•
Blankets				
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals				
In Place				
Proper Clearances			/	
Rolling Grounds in Place				
Public Hazards Present				
		· .		
			1	
•				1

Notes/Comments: WORK OBSERVED - CHANGE OUT CONPLICTOR UN 2-SPAH SUNGLE PHASE TAP - TRANSFERING AT DEADEND FOLE W/ TRANSFORMER AND YO TRIPLEX OVERHEAD SERVICE - CONSUMER NOT HOME - DECISION MADE TO PERFORM WORK DE-ENERGIZED NO INCONVENIENCE TO CONSUMER 543 REED LN. HETER # 18335

Job Site Findings Discussed With Crew:	YES	NO	
Corrective Actions Needed: Yes	No		
If Corrective Actions Taken Explain In Det	ail:		

Line Supervisor's Signature:



CONTRACTOR FIELD INSPECTION FORM

Inspector: Jason Ginn	/		Date:	1-29-09
Position: Safety + Locs C	outrol		Time:	10:30 Shelby Read LN
Company: Shelly Energy Address: 620 Old Finch	ille RD		Crew Me	mbers: Ellist
Stelbyville Ky 4006	5		<u> </u>	mon bilbert
Phone #: 502-643 - 27	<u>18</u>		61.	
Weather Conditions: <u>کس</u> مہ	y68		Georg	e Holloway
Job Description: <u>Setting</u> New pole	٩			
Energized Work Being Prefo	round	V	oltage <u>//</u>	1.4
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	d v		<u> </u>	
Job procedure covered				
Energy control procedure				
PPE used				
Job hazards			 	
Emergency procedures	<i>\</i>			
Special precautions			L	
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs				
Flagman required				
Flag person used				
Flag person properly equipped	d		1	
Traffic cones in place				
Trucks Grounded				
Truck Chocks Used				/
Personal Protective Grounds				

Hard hat Image: Constraint of the second	ctive Action Taken	If NoCorr	N/A	No	Yes	PPE Being Used
Rubber gloves r Rubber sleeves FR Clothing r FR Clothing Fall protection r FR Clothing Sleeves geed Steeded Sleeves geed Steeded Blankets geed Steeded Blankets geed FR Clothing Proper Clearances r FR Clothing Fall protection FR Clothing All PPE Public Hazards Present r FR Clothing Notes/Comments: Grew Goodes cover up Stefa Using Rubber (Goodes cover up Job Site Findings Discussed With Crew: YES NO If Corrective Actions Taken Explain In Detail:					\checkmark	
Rubber gloves r Rubber sleeves FR Clothing r FR Clothing Fall protection r FR Clothing Sleeves geod State Line hoses geod FR Clothing Blankets geod FR Clothing Frequences r FR Clothing In Place r FR Clothing Proper Clearances r r Public Hazards Present r FR Clothing Notes/Comments: Grew Goodis t cover up Stefa Usin Rubber (Goodis t cover up Job Site Findings Discussed With Crew: YES NO NO If Corrective Actions Taken Explain In Detail: S S						Safety glasses
FR Clothing / / ////////////////////////////////////					V	
Fall protection V If No-Correct Rubber Goods Inspections Yes No N/A If No-Correct Gloves Good If No-Correct Steeves Good If No-Correct Steeves Good If No-Correct Steeves Good If No-Correct Blankets Good If No-Correct Steeves If No-Correct Shelby Energy Decals In Place In Place In Proper Clearances If No-Correct Proper Clearances V In Place If No-Correct If No-Correct Public Hazards Present V If Corrective Actions Place If Covercing If Covercing Job Site Findings Discussed With Crew: YES No If Corrective Actions Taken Explain In Detail:						Rubber sleeves
Fall protection V If No-Correct Rubber Goods Inspections Yes No N/A If No-Correct Gloves Good Good Good Good Good Good Sleeves Good					V,	FR Clothing
Gloves good Sleeves good Line hoses good Blankets good Miscelaneous Observations Yes No N/A If NoCorrect Shelby Energy Decals						Fall protection
Sleeves God	ctive Action Taken	If NoCorr	N/A	No	Yes	Rubber Goods Inspections
Sleeves God Line hoses Served Blankets Gord Miscelaneous Observations Yes No N/A If NoCorrect Shelby Energy Decals Image: Correct to the second to the					5000	Gloves
Line hoses Good Blankets Food Blankets Food Miscelaneous Observations Yes No N/A If NoCorrect Shelby Energy Decals V For Proper Clearances V For Proper Clearances V For Proper Clearances V For Public Hazards Present For Present For Present For Public Hazards Present For Present For Present For Public Hazards Present For Public Hazards Present For Public Hazards Present For Present F						Sleeves
Blankets Good No N/A If NoCorrect Shelby Energy Decals V V V V In Place V V V V Proper Clearances V V V V Rolling Grounds in Place V V V V Public Hazards Present V V V V Notes/Comments: Crew V V V V Sefe Using Rubber Goods V V V Job Site Findings Discussed With Crew: YES NO NO V V Job Site Findings Discussed With Crew: YES NO V V V If Corrective Actions Needed: Yes No V V V V Line Supervisor's Signature: Suff Suff Suff Muth V V Inspector's Signature: Suff Suff Muth Muth Muth V V V V V V V V V V V						Line hoses
Shelby Energy Decals In Place In Place Image: Shelby Energy Decals Proper Clearances Image: Shelby Energy Decals Rolling Grounds in Place Image: Shelby Energy Decals Public Hazards Present Image: Shelby Energy Decals Notes/Comments: Image: Group Shelby Energy Decals Notes/Comments: Image: Group Shelby Energy Decals Notes/Comments: Image: Group Shelby Energy Decals Stefe Using Stefe Using Stefe Using Stefe Using Rubber Goodels Stefe Using Stefe No Stefe No Corrective Actions Taken Explain In Detail: Stefe U						Blankets
In Place In Place Proper Clearances V Rolling Grounds in Place V Public Hazards Present V Notes/Comments: Grew Sefe Usin Rubber Goods Job Site Findings Discussed With Crew: YES No	ctive Action Taken	If NoCorr	N/A	No	⁷ Yes	Miscelaneous Observations
Proper Clearances V V Rolling Grounds in Place V V Public Hazards Present V V Notes/Comments:						Shelby Energy Decals
Rolling Grounds in Place Image: Constraint of the second seco					,	In Place
Public Hazards Present Image: Comments: Crew Wearing All PPE + wo Sefe · Using Rubber Goods + cover up Job Site Findings Discussed With Crew: YES NO Image: Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail: Image: Corrective Signature: Inspector's Signature: Inspector's Signature:			-		V	Proper Clearances
Notes/Comments: Grew Weaking All PPE + wo Sefe Using Rubber Goods + cover up Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail:	······································					Rolling Grounds in Place
Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail: Line Supervisor's Signature: Statute Inspector's Signature: Action Act			~			Public Hazards Present
Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail: Line Supervisor's Signature: Statute Inspector's Signature: Action Act						
Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail: Line Supervisor's Signature: Statute Inspector's Signature: Additional Additiona Additional Additional						
Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail: Line Supervisor's Signature: Statute Inspector's Signature: Action Act			l			······································
Corrective Actions Needed: YesNo If Corrective Actions Taken Explain In Detail: Line Supervisor's Signature: Solution Inspector's Signature: Action Act						
Inspector's Signature:		NO		No	Yes	Corrective Actions Needed:
α			1	Im	: <u>\$</u>	Line Supervisor's Signature
						CA
Safety & Loss Control Coordinator Signature:				nature:	dinator Sig	Safety & Loss Control Coor



CONTRACT	OR FIE	LD INS	SPECT	ION FORM	
Inspector: Jeso N Gin,	J		Data: 9-	29-09	
			Time:		
Position: Safely Coul			County:_		
Position:			Location	: 202	
Company: Shelby Ener	~ .		Crew Me		
Address: 620 Old Line	27			inders.	
	VI/IC AC		Ala	Lassin	
<u>Yhelbyville Ky</u> Phone #: 502 - 64 (3 - 2-	78		Alun		
Phone #: <u>502 - 6 - 13 - 2</u> -	[[0		Jim	Bur to N	
Weather Conditions: 54 n	1 600		Bran	1 Barne 11	
	<u></u>		~	/	
Jamie Kiser					
Job Description: Laying wire Jon Akers					
			Dw	nd Gallager	
Energized Work Being Preformed: YES NO Truck#'s Overhead Underground Voltage 7200					
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken	
Conducted before job & Signe	d V				
Job procedure covered	V				
Energy control procedure	~				
PPE used					
Job hazards					
Emergency procedures	\checkmark				
Special precautions					
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken	
Appropriate work signs	V				
Flagman required					
Flag person used			V		
Flag person properly equipped	d	(1		
Traffic cones in place					
Trucks Grounded					
Truck Chocks Used				-	
Personal Protective Grounds					

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	N			
Safety glasses				
Rubber gloves	\checkmark			
Rubber sleeves	/			
FR Clothing	V,			
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves				
Sleeves	V,			
Line hoses				
Blankets				
Miscelaneous Observations	Yes	No	N/Ą	If NoCorrective Action Taken
Shelby Energy Decals	~			
In Place				· · · · · · · · · · · · · · · · · · ·
Proper Clearances				
Rolling Grounds in Place				
Public Hazards Present				
Job Site Findings Discussed				NO
Corrective Actions Needed:	Yes	No	\checkmark	
If Corrective Actions Taken	Explain In	Detail:		
		<u> </u>		
Line Supervisor's Signature			7	
Inspector's Signature:				~
Safety & Loss Control Coord	dinator Sig	nature:	[]	/
		Ø		



RIGHT OF WAY FIELD INSPECTION FORM

Inspector: Jason Ginn Position: Sufety + Loss (04 trol Company: Shelly Enorgy Address: 620 Old Finclyille Rd Shelbyville Ky 40065

Phone#: 502-643 - 2778

Weather Conditions: Sunny 65°

Job Description: Climbing + Side

Frimming ROW

Date: 1-30-09

Time: <u>9:30</u>

County: Shelly

Location: Steve Wood

Name of Contractor Observed:

Atb

Crew Members:

Ronnie Obannon Bijan Lewis Ryan Lewis

Yes	No	N/A	If NoCorrective Action Taken
	<u>,</u>		
IV I			
Traff			
Yes	No	N/A	If NoCorrective Action Taken
ed /			
		/	
	Yes	Yes No	Yes No N/A

Miscelaneous Observations	Yes	No	N/A	If No-Co	rective Action Taken
Shelby Energy Decals		IAO		11 14000	Tective Action Taken
In Place			-		
Public Hazards Present	/				
Gaff Guards on Hooks					

Notes/Comments:	<u>-</u>	**************************************	L		
1	4	~	- ^	•	. /.
6 veryone	Working)	ofe	4	Werking
Notes/Comments: Everyone Hogethar					
10get not		Land and the owner of the second s	Charles Construction and the construction of a state of the construction of the constr	a managang mangang kanang k	NI 347 TAUNA AN AN
	*****				латрылагарынын панынын марар тарар арыл арын арын арын арын арын арын арын арын
			\sim	B. 1. 499	
Job Site Findings Discussed	I with Crev	N: YES		NO	
Corrective Actions Needed:	Yes	No			
If Corrective Actions Taken I	Explain In I	Detail:			
					······································
					12711/1-11411/1-11-1
	<u> </u>				alende als brettens en comme a seconda da para p
Line Supervisor's Signature:	Ponnie	e ob			
Line Supervisor's Signature:	Ronnie	e oß	enia		
Line Supervisor's Signature:	fonnie	e ob	enia		
	fonnie //	e. 0B	conice		
Line Supervisor's Signature:	fonnie	e. 0B			
	Connie	e. 0B	enia 1	·	
Inspector's Signature:	P	1	enia //	2	
	P	1	enice //	2	
Inspector's Signature:	P	1	enice //	2	
Inspector's Signature:	P	1	enia //	2	