

August 12, 2009

Mr. Richard W. Bertelson III Staff Attorney Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602 RECEIVED AUG 1 4 2009 PUBLIC SERVICE COMMISSION

Re: Case No. 2008-0069

Dear Mr Bertelson :

Enclosed is a copy of the safety audits performed at Shelby Energy from July 1, 2009 and July 31, 2009. This is done in accordance with Item 9 of the settlement agreement dated September 29, 2008.

If you have any questions or need further information please feel free to contact me at (502)643-2778 or by e-mail at jason@shelbyenergy.com.

Sincerely,

Jason Ginn

Safety & Loss Control Coordinator



RIGHT OF WAY FIELD INSPECTION FORM

Inspector: <u>Jason</u> Ginn Position: <u>Safety + Loss Gatt</u> Company: <u>Shelby Energy</u> Address: <u>G20 Old Finchville</u>Rd <u>Shelbyville Ky 40065</u> Phone#: <u>502-643-2778</u>

Weather Conditions: Clear Sunny 85°

Job Description: <u>Grounden Hing</u>

Row in Cross Country

Date: 7-7-09

Time:________

County: Shelby

Location: Hunters La

Name of Contractor Observed:

A+G

Crew Members:

Chris Bouglas Robert Peavey

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	. /			
Safety glasses	\checkmark			
Fall protection/harness				
Harness Attached to Boom				
Ear Plugs/ Ear Muffs				
Chaps				
Gloves				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs	,			
Flagman required/used			V	
Flag person properly equippe	ed			
Traffic cones in place				
Trucks Grounded				
Truck Chocks Used			· · · ·	

Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taker
Shelby Energy Decals				
In Place	·······			
Public Hazards Present				
Gaff Guards on Hooks				
	· · · · · · · · · · · · · · · · · · ·			
Notes/Comments:		······································		
Discussed w/ Cr	rens	Past	Fssues	: with Safety_
Crew understood				
were all usine	hea	aling	He	appropriate PPE
		······		
			/	
Job Site Findings Discussed	With Cre	w: YES		NO
				NO
				NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Job Site Findings Discussed Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes	No		NO
Corrective Actions Needed:	Yes xplain In 2	No Detail: 		NO
Corrective Actions Needed:	Yes xplain In 2	No Detail: 		NO



RIGHT OF WAY FIELD INSPECTION FORM

Inspector: Jason Gi	\sim \sim	
Position: Safety + Loss		
Company: Shelby En		
Address: 620 Old A	irehville Rd	/
Stelbyville Ky 400	65	
Phone#: 502 - 643 - 2		
Weather Conditions:	<u>_ 88°</u>	
Job Description: <u>Co Hing</u>	Row	
From Ground + C Brush		
Brush		
PPE Being Used	Yes	No
Hard hat		
Safety glasses		
Fall protection/harness		
Harness Attached to Boom		
Ear Plugs/ Ear Muffs		
Chaps		
Gloves		
Work Area Protection	Yes	N
Appropriate work signs		
rippi opriato work orgito		

Date: 7-7-09

Time: <u>2:00</u>

County: Trimble

Location: 421 N

Name of Contractor Observed: A + C =

Crew Members:

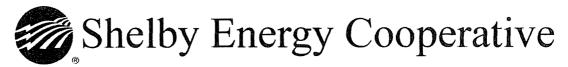
lony Tonnie

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses				
Fall protection/harness				
Harness Attached to Boom				
Ear Plugs/ Ear Muffs	\checkmark			
Chaps				
Gloves				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs				
Flagman required/used				
Flag person properly equipped	d			
Traffic cones in place				
Traffic cones in place Trucks Grounded				

Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	V			
In Place				
Public Hazards Present	780=441344444			
Gaff Guards on Hooks				

Notes/Comments:_

Discussed Safet, with Crew and Past Safety Issues. Gregore was wearing all Necessary PPE + Working Safe Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes_____ No ____ If Corrective Actions Taken Explain In Detail: Line Supervisor's Signature: Inspector's Signature:_ Safety & Loss Control Coordinator Signature:



Inspector: Jason Gin	N		Date:	-7-09
$\langle C \rangle \langle \rangle$	1 1		Time 55	5+0 5:00
Position: Safety + Loss (ontrol		County:_	Trimble
a 11 /				: GIN Creek
Company: She by Energ	1		Crew Me	mbers:
Address: 670 Old Finch She byville Ky 4006	RJ		Jost	- Lakes
Phone #: 502-643-277	8		1	R. I
			Drian	Binghan
Weather Conditions: <u>Sup</u>			Ched	
				<i>ff</i> -
			700 (
Job Description: <u>Satting France</u>	e/e	_		
on hillside	by hand			
	,			
		/		2044
Energized Work Being Prefe	ormed: YE	s_√	NO	2044 _ Truck#'s <u>3535</u> 1058
/				
Overhead V Underg	ground	v	oltage 7	200
			·	
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	and the second se			
Job procedure covered				
Energy control procedure			1	
PPE used			1	
Job hazards				
Emergency procedures		······	1	
Special precautions			1	
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			1	
Flagman required				
Flag person used		<u></u>	1	
Flag person properly equipped	d V		1	
Traffic cones in place			1	
Trucks Grounded				
Truck Chocks Used			1	
Personal Protective Grounds			<u> </u>	

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken			
Hard hat	1		1				
Safety glasses							
Rubber gloves	1						
Rubber sleeves							
FR Clothing							
Fall protection							
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken			
Gloves							
Sleeves							
Line hoses							
Blankets	/						
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken			
Shelby Energy Decals							
In Place							
Proper Clearances	/						
Rolling Grounds in Place							
Public Hazards Present							
Notes/Comments: Crew Dug Hole By Hand & set Pole on Hillside A-2-change out							
Job Site Findings Discussed With Crew: YES NO Corrective Actions Needed: Yes No If Corrective Actions Taken Explain In Detail:							
Line Supervisor's Signature:							



Inspector: <u>DAUID</u> Position: <u>Operations</u> Company: <u>SHalby</u> En Address: <u>620</u> <u>BID</u> Find SHalbgur [Ireg. Ky 4] Phone #: Weather Conditions: <u>Sun</u>	MANAGE RRGLJ 1101/11/10 10 10065	E r_ 30	Time: County: Location Crew Me	<u>J:00 P. M</u> <u>HENRY</u> : <u>HWY 202</u> mbers: <u>Y SHOUSE</u> <u>BURTON</u> <u>PHILIP BOD</u> E
Job Description: <u>۲ الی ج</u>		ΞS		N ACRES DARNEIL Truck#'s
Overhead <u><</u> Underg	round	\	/oltage/	1200
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	ed V			
Job procedure covered	V			
Energy control procedure	V			
PPE used	~			
Job hazards	2			
Emergency procedures				
Special precautions	\checkmark			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			V	
Flagman required			~	
Flag person used			V	
Flag person properly equipped	<u>d</u>			
Traffic cones in place			V	
Trucks Grounded		_		
Truck Chocks Used				
Personal Protective Grounds			~	

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken	
Hard hat	\checkmark				
Safety glasses	L				
Rubber gloves					
Rubber sleeves			V/		
FR Clothing					
Fall protection			~		
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken	
Gloves			V		
Sleeves			V		
Line hoses			V		
Blankets			~		
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken	
Shelby Energy Decals	\checkmark				
In Place					
Proper Clearances	\checkmark				
Rolling Grounds in Place					
Public Hazards Present			1		
	······································	······································			
Notes/Comments: Job Site Findings Discussed	d With Crev	w: YES	5	NO	
Corrective Actions Needed: If Corrective Actions Taken		No Detail:			
Line Supervisor's Signature Inspector's Signature:	in D	nto Lat			
Safety & Loss Control Coordinator Signature:					



 \checkmark

V

V

CO-OP CREW FIELD INSPECTION FORM

Date: 7-9-09 Time: 2:30 P.M Inspector: DAVID MARTIN Position: OPERATIONS MANAGER County: TRIMBIR Location: 471 + MARTINI, LN Company: <u>SHELby ENERGY</u> Address: 1020 DID FINCHAILLE P Crew Members: <u>Stribyville, Ry 40065</u> Phone #:____ MIKE CLARK DALK THOMAS Weather Conditions: <u>Cloudy</u> Nail RAIZOR BENTON BOHANNON Job Description: Replacing JUMPERS ON AIRBREAK Switch Energized Work Being Preformed: YES // NO Truck#'s /3 Overhead Underground Voltage 7200 No N/A If No--Corrective Action Taken Job Briefing Yes Conducted before job & Signed V Job procedure covered \sim Energy control procedure ~ ~ PPE used 1/ Job hazards $\overline{\nearrow}$ Emergency procedures $\overline{\mathcal{V}}$ Special precautions If No--Corrective Action Taken N/A Work Area Protection Yes No V Appropriate work signs 1 Flagman required

 $\frac{\nu}{\nu}$

Flag person used

Traffic cones in place Trucks Grounded

Truck Chocks Used

Flag person properly equipped

Personal Protective Grounds

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses	V			
Rubber gloves	~			
Rubber sleeves				
FR Clothing				
Fall protection	\checkmark			
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	V			
Sleeves	\checkmark			
Line hoses			1	
Blankets				

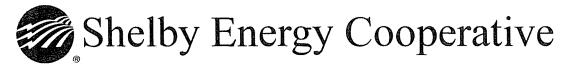
Notes/Comments:_____

Job Site Findings Discussed With Crew: YES NO
Corrective Actions Needed: Yes No
If Corrective Actions Taken Explain In Detail:
Line Supervisor's Signature:
Inspector's Signature: Laved Month
Safety & Loss Control Coordinator Signature:



Inspector: Jason Gin	ىم ى		Date: <u>9-7</u>			
	1 . 1		Time: 7	1:00 pm		
Position: Safety + Loss Control			County: <u>Henry</u> Location: <u>421</u>			
, , , , , , , , , , , , , , , , , , ,			Location:	421 N		
Company: Shalby Gne	57		Crew Men	•		
Company: <u>Shelby</u> <u>Ene</u> Address: <u>620</u> <u>010</u> <u>Fine</u> <u>Shelbyville</u> Ky <u>400</u> Phone #: <u>502-643-277</u>	.kville		Jim	Bucton		
<u></u>				_		
Phone #: 502-645-21			Phill;	p Biddle		
Weather Conditions: <u>Summ</u>	<u>78°</u>			AcRes		
			Bru	A Burnell		
Job Description: Change ins	6-1					
Job Description: Changeing out in Cross Cou						
	ntry_					
Energized Work Being Prefo Overhead Underg	round		oltage _7	_ Truck#'s 」」のい		
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken		
Conducted before job & Signe	d V					
Job procedure covered	V					
Energy control procedure		······				
PPE used	V					
Job hazards			1			
Emergency procedures						
Special precautions						
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken		
Appropriate work signs						
Flagman required						
Flag person used						
Flag person properly equipped	1					
Traffic cones in place	~					
Trucks Grounded	V					
Truck Chocks Used			<u> </u>			
Personal Protective Grounds						

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses	V	<u></u>	1	
Rubber gloves				
Rubber sleeves	2			
FR Clothing				
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	V			
Sleeves				
Line hoses				
Blankets				
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	V			
In Place				
Proper Clearances	V			, L,
Rolling Grounds in Place				
Public Hazards Present				
				· · · ·
Notes/Comments: <u>Crew</u>	Vol King		pins 9	great Job
Job Site Findings Discussed	d With Crev	w: YES		NO
Corrective Actions Needed:	Yes	No	\checkmark	
If Corrective Actions Taken	Explain In I	Detail:		
	······			
Line Supervisor's Signature	: SC	and the		
	AN	-		
Inspector's Signature:	12		<u> </u>	А
			// /	2
Safety & Loss Control Coord	dinator Sig	nature.	5/	
	-			
		6-		



Inspector: ROBERT THOP	rnton			-15-09
Position: SAFETY Coope.			Time: County:_	10:10 Henry : Radcliff Rd
Company: NOLIN BECC			Crew Me	
Address: 411 RING RD			τ ,	Lakac
ELIZABE THTOWN KY 4	2701		-7021	~ Lakes
Phone #: <u>270. 763. 7438</u>			Bar	~ Lakes Bingham
Weather Conditions: <u>CLOVE</u>	Y			d
			Scott	
Job Description: <u>/ Pole Tra</u>				
Energized Work Being Pref Overhead				
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Sign	ed 🗸			
Job procedure covered				-
Energy control procedure				
PPE used	1			
Job hazards	✓			
Emergency procedures				
Special precautions	\checkmark			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs	_			
Flagman required				
Flag person used			1	
Flag person properly equippe	ed			
Traffic cones in place	1			
Trucks Grounded	1			
Truck Chocks Used	\checkmark		/	
Personal Protective Grounds	1	1		

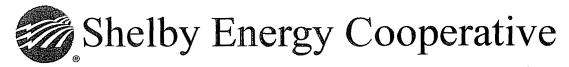
PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat			1	
Safety glasses				
Rubber gloves		1		
Rubber sleeves	······································		1	
FR Clothing			ļ ,	
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves			\checkmark	
Sleeves				
Line hoses				
Blankets				
Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals				
In Place				
Proper Clearances				
Rolling Grounds in Place				
Public Hazards Present				
<u>No</u> Iseuers. Job Site Findings Discussed Corrective Actions Needed: If Corrective Actions Taken	Yes	No		NO
		111		
Line Supervisor's Signature				
Inspector's Signature:	bul I	harle	7.15.09	$\overline{\Lambda}$.
Safety & Loss Control Coord	dinator Sig	gnature:	505-1	
		\mathcal{U}		



CO-OP CREW FIELD INSPECTION FORM

Inspector: Bill Massa	/			-23-09
Dention S AI T I	.1			100 am
Position: Safet, Instru	don		County:_	Trinble
- 101-0			Location	
Company: <u>KAEC</u>			Crew Me	mbers: Mitre Clart
Address:			Vale	inomas
Louisville, Fry				
Louisville, Ky Phone #: 502,523 54	07			
	0		lim	Nolpert
Weather Conditions: Cloc	dy		~	
			Jame	5 Crume
			Ren	Volpert s Crume ji Bahayion
Job Description: $3 < NG_{4}$			~~~~	
Job Description: 3 Spar				
-p: mary sap				and the second
Moved Transfor	mer			
		. /		
Energized Work Being Prefo	rmed: YE	s	NO	_ Truck#'s <u>// + 22_</u>
				1 7 1-
Overhead V Underg	round	V	oltage	1.2 h
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	d 🗸			
Job procedure covered	10			
Energy control procedure	V			
PPE used	V			
Job hazards	V.			
Emergency procedures	V			
Special precautions	Ĺ			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			V	
Flagman required			K	
Flag person used			V	
Flag person properly equipped	1		V	
Traffic cones in place			V	· · · · · · · · · · · · · · · · · · ·
Trucks Grounded			1	
Truck Chocks Used				
Personal Protective Grounds			V	

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	~			
Safety glasses	\checkmark			
Rubber gloves	\mathcal{V}			
Rubber sleeves	V			
FR Clothing	1			
Fall protection	V			
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	V			
Sleeves	V			
Line hoses	V			
Blankets	V			
Job Site Findings Discussed	allen et de Allen ander an en an de Allen et de Al			NO
Corrective Actions Needed:	Yes	No	/	
If Corrective Actions Taken	Explain in	Detail:		
	11		2	
Line Supervisor's Signature	://h	e K	Le -	
Inspector's Signature:	Ell U	laney	/	4/
Safety & Loss Control Coord	dinato <mark>r</mark> Sig	nature:	Ļ	r br
			1	



RIGHT OF WAY FIELD INSPECTION FORM

Inspector: Bill Massey
Position: Safety Instructor
Company: <u>KAEC</u>
Address: 4515 Bishophn.
Louisville, Ky
Phone#: 502-523-5409
Weather Conditions: (10, 11

Date: 7-23-09

Time: /: 30 рм

County: Trinble

Location: Mc Corol LN

Name of Contractor Observed:

Ad ()

Crew Members:

lony Clark

Job Description: 11000

Trimming

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses	\checkmark			
Fall protection/harness				
Harness Attached to Boom				
Ear Plugs/ Ear Muffs	V			
Chaps				
Gloves	V			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			1/	
Flagman required/used				
Flag person properly equipped	1			
Traffic cones in place			K	
Trucks Grounded			K	
Truck Chocks Used	\checkmark			~

Miscelaneous Observations	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	\checkmark			
In Place				
Dublic Lienaule Dressut		·	ļ	
Public Hazards Present	······			
Notes/Comments:				
Job Site Findings Discussed	Nith Cra			NO
Job Sile Findings Discussed		W: TES	<u> </u>	
Corrective Actions Needed:	Vos	No		
Conective Actions Reeded.	1 63	NO		
If Corrective Actions Taken E	xolain In	Detail:		
				tanan ang tanan ang tang pangkan kanan ang tang tang pangkan kanan kanan kanan tang tang pangkan kanan kanan ka
Crew worki	na Sa	foli		
<u>مەلەر بەيلەر كەرىمى لەكەر بىيە بىيە تەرىپىيە بىيە تەرىپىيە بىيە تەرىپىيە بىيە تەرىپىيە بىيە تەرىپىيە تەرىپىيە ت</u>	1	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		
				·····
		111	1	
	N			
Line Supervisor's Signature:_	- Cof	M		
	C			
	2001	M		
Inspector's Signature:/	<u>IUX V</u>	ILan.	y	
			$O \Lambda$	\wedge
Safety & Loss Control Coordi	nator Sia	inaturo	11/1	1
Salety a LUSS CONTOI COOPA	natur əlç		₩ <u>, </u>	
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CONTRACTOR FIELD INSPECTION FORM

Inspector: <u>Bill Massey</u>
Position: Safety Instructor
Company: <u>Ethick</u> KAEC Address:
Phone #: 502 523-5407
Weather Conditions: <u>Cloudy</u>
Job Description: <u>Removing</u> Transformert Service

Date:_	7-23-09						
Time:	3:00 pm						
Count	y: Shelby						
Location:							
Crew I	Members:						

Elliot Wally Showse Jim Buston Philip Biddle Juhn Ackes Brad Darnell

Energized Work Being Preformed:	YES_	NO	Truck#'s

Overhead Underground Voltage 7.2

Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signed	IV			
Job procedure covered	V			
Energy control procedure	\checkmark			
PPE used	V			
Job hazards	V			
Emergency procedures	V			
Special precautions	V			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs				
Flagman required			1 in	
Flag person used			1	
Flag person properly equipped			2	
Traffic cones in place				
Trucks Grounded	V		1	
Truck Chocks Used	V			
Personal Protective Grounds			V	

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	1/			
Safety glasses	1			
Rubber gloves	1/			
Rubber sleeves	V			
FR Clothing	V			
Fall protection	\mathcal{V}			
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	\checkmark			
Sleeves	V			
Line hoses	V			
Blankets			V	
Miscelaneous Observations	Yes	No	Ń/A	If NoCorrective Action Taken
Shelby Energy Decals	V			
In Place	V,			
Proper Clearances	\checkmark			
Rolling Grounds in Place				
Public Hazards Present		V	· ·	
Job Site Findings Discussed Corrective Actions Needed: If Corrective Actions Taken E	Yes	No		NO
	11/2			
Line Supervisor's Signature: Inspector's Signature: \Box		ma grill	uter /	
Safety & Loss Control Coord		nature:	AL	
-			,,,,,,, _	