

Copy: Bill Higdon, WDCWD

416 W. THIRD ST. • P.O. BOX 535 • OWENSBORO, KENTUCKY 42302 270-683-7558 • FAX 270-683-9277

RECEIVED

TRANSMITTAL LETTER

AUG 2 1 2006

PUBLIC SERVICE COMMISSION

То:	Michael F. Burford, Director of Filings Div. Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602	Date: August 18, 2006 RE: Case No. 2004-00415 West Daviess County Water District Panther Hill Tank Project							
We are	sending you: [X] Attached/Enclosed [] Hand Delivered	[] Faxed							
The foll	owing items: [] Plans [] Specificatio [] Survey Plat [] Description [] Drainage Information	ns [] Technical Drawing [X] Requested Information []							
Item No	o. <u>Number of Copies</u>	Description Copy of 12/9/05 Project Completion Documents & Electronic Drawing File as provided to KIA (per project requirements)							
Transm	ittal Information Forwarded: [] For your review/comment [] For your information [] For your use [] For your approval [X] Per your request [] For signature [] For your file	 [] For your action [] Approved as submitted [] Approved as noted [] Returned for revisions [] Additional information requested [] Discuss with me [] 							
Comme	nts: Apologies for not copying your offic	e at that time. Call if you need additional information.							
James R	Riney, PE, PS								



270-683-7558 • FAX 270-683-9277

TRANSMITTAL LETTER

	John E. Covington III	Date: December 9, 2005	December 9, 2005					
	KY Infrastructure Authority 1024 Capital Center Drive Suite 340 Frankfort, KY 40601	RE: West Daviess County Water District Panther Hill Tank WX21059009						
We are	sending you: [X] Attached/Enclosed [] Hand Delivered	[] Faxed	[] Faxed					
The following items: [] Plans [] Specifications [] Technical Drawing [] Survey Plat [] Description [] Requested Information [] Drainage Information []								
Item No	Number of Copies	Description						
1	1	Certificate of Completion (Exhibit 9) with copy signed AGC Certificate of Substantial Completing						
		Expense Ledger						
2	1	Expense Ledger						
2	1	Expense Ledger Final Design Plan in AutoCAD DWG on a CD						

Comments:

James R. Riney, PE, PS

Copy: Bill Higdon, Manager

Debby Milton, KIA w/copies

EXHIBIT 8

GRANT ASSISTANCE AGREEMENT REQUEST FOR PAYMENT

WX21059009

West Daviess County Water District

Request # 3 (Final)						
Dated 9 December 2005						
ÿ						
Pursuant to the Agreement between the Kentucky Infrastructure Authority, and the Grantee, we, the undersigned, hereby certify that the Grantee has completed all requirements in the Grant Assistance Agreement necessary to receive funds and request the Authority to pay the amount shown:						
5	52,500					
The Grantee commits to expend all funds in accordance with the terms and conditions of the Grant Assistance Agreement and all applicable laws and regulations.						
Project Ädministrator:	Risey					
Authorized Representative: (M	WM Thernysen lust be designated by Resolution)					

Request for Payment will be processed monthly. Requests must be received before the 10th of each month and must be consistent with Project Budget.

Send Request for Payments to: Mr. John E. Covington, III

Kentucky Infrastructure Authority

375 Versailles Road

Frankfort, Kentucky 40601

EXHIBIT 9

CERTIFICATE OF COMPLETION

WX21059009

West Daviess County Water District

Pursuant to the Agreement between the Kentucky Infrastructure Authority and West Daviess County Water District (the Grantee) for the Project as described in **Exhibit 1** of the Agreement, this certificate, signed by the authorized official and the Project Administrator of the Grantee confirms that the following activities are complete.

- The Project construction has been completed and payment has been made to all vendors and/or contractors for labor, services, materials, supplies, machinery and equipment included in the above referenced Project.
- All lands, easements, rights of ways, permits or other facilities necessary in connection with the Project have been acquired, constructed, equipped and installed and all costs and expenses incurred in connection therewith have been paid.
- 3. The Project is complete and is available for the provision of services which are expected to commence on or about <u>31 October 2005</u>.

		A O.
Project Administrator:	James R. Riney, PE, PS	All arry
Authorized Official:	A. M. Thompson, Chair	am Theryson
Date: 12/8/05		
Acceptance of Certificate	e of Completion by Kentuc	ky Infrastructure Authority:
Ву:		
Date:		

THE ASSOL MED GENERAL CONTRACTORS OF AMERICA



CERTIFICATE OF SUBSTANTIAL COMPLETION

Definition of Substantial Completion

The date of Substantial Completion of Work or designated portion thereof is the date when construction is sufficiently complete, in accordance with the Contract Documents, as modified by any Change Orders agreed to by the parties, so that the work or designated portion thereof is available for use by the Owner.

CONTRACTOR: Pittsburg Tank & Tower Co.	♦ Project No.: <u>WX21059009</u>								
TO (Owner): West Daviess County Water Distric	t • Date of Issuance: November 7, 2005								
Project or Designated Area Shall Include									
Panther Hill Tank - 500,00 gallon elevated	Project: Panther Hill Tank								
welded steel tank, connection line and	Address: 3815 KY 554								
appurtenances	Another/Engineer: James R. Riney								
	Contract for: West Daviess County Water District								
The work under this Contract has been reviewed and found to be substantially complete. The Date of Substantial Completion is hereby established as 31 October 2005 , which is also the date of commencement of warranties 4 and guarantees required by the Contract Documents. A list of items to be completed or corrected, prepared by the Contractor or the Architect/Engineer, or both, is appended hereto. Corrections or changes called for in this list will be made within days from the date of this Certificate. Signing 4 of this Certificate of Substantial Completion by the Owner in no way alters the responsibility of the Contractor to complete all of the work in accordance with the Contract Documents, including untested or deferred work.									
By Russy Date: 11/8/05	By Jump Dix CFO Date: 11/14/05								
The Owner accepts the Work or designated portion thereof as substantially complete and will assume full possession thereof at midnight (time) on 31 October 2005 (date). Any responsibility of the Contractor to provide equipment operation, maintenance, heat, utilities and security under the Contract Documents shall terminate at the stated hour on the stated date.									
Date	OWNER: West Daviess County Water District								
of final inspection.	Bill Higdon, Manager								
	Authorized Representative								

WEST DAVIESS COUNTY WATER DISTRICT WX21089009

BUDGET

BUDGET					_		_		_	_	_			
Cost Class	fication	Totals	1 - Admin	2 Legal	3 Land	4 Relocation	5 Planning	6 Eng. Design	7 Eng Const.	8 Eng. Inspec.	9 Construct.	10 Equip.	11 Conting.	12 Other
	Budget	636,500.00	28,000.00	5,000:00			9,000.00	42,000.00		34/800.00	437/600:00	Equip.	70,000.00	September 1
	Expended	534,538.10	8,000.00	100.00			9,000.00	34,000.00	8,500.00	30,000.00	436,780:00	<u> </u>	8,158.10	
	Balance	101,963.90	20,000:00	4,900.00	•	•	•	8,000.00	1,800.00	4,800.00	820.00	-	61,843.90	-
maloter			_	_			_		_	_	_		44	
INVOICE		T-4-1	1 -	2	3	4	5	6	7	8	9	10	11	12 Other
Date 05/31/03	Vender HRG, PLLC	Total Invoice 4,485,00	Admin.	Legal	Land	Relocation	Planning	Eng. Design 4:485:00	Eng Const.	Eng. Inspec.	Construct.	Equip.	Conting.	Uther
06/15/03	HRG, PLLC	2.085.00	-				1,000.00	1,055.00						
08/15/03	HRG, PLLC	1,482.00	•				1,000.00	1,482.00						
10/15/03	HRG, PLLC	2,668.00	1,000.00					1,668.00						
12/31/03	HRG, PLLC	1,930.00	100.00					1,830.00						
12/31/03	Ed Peters	160.00	100.00					1,030.00					160:00	
12/31/03	Lowe's	49.50	-										49.50	
01/31/04	HRG, PLLC	1,700.00						1,700.00					40.50	
01/31/04	Yager Materials	484.09	_					1,100.00					464.09	
01/31/04	Owensboro Concrete Co	119.50	_										119.50	
01/31/04	Lee Masonry	31.80	_										31.80	
03/15/04	OMPC	50.00	_	50.00									0.1,00	
03/15/04	Henson Testing	4,500.00	-	55.05									4,500.00	
04/30/04	E Louis Johnson	SD.00	-	50.00									4,000.00	
04/30/04	Lanham Dozer Service	700.00	•	00.00									700.00	
05/15/04	HRG, PLLC	8,340.00	2,100.00				80,008	5,440.00					1,04,00	
05/15/04	Yager Materials	250.51	2, (22.52				000,00	0,-1-10.00					250.51	
07/31/04	HRG, PLLC	1,260.00	150.00					1,110.00					200.01	
08/15/04	HRG, PLLC	1,900.00	150.00					1,750.00						
09/15/04	HRG, PLLC	2,900.00	-					2,900.00						
10/15/04	Messenger inquirer	265.50	-					2,000.00					265,50	
10/31/04	HRG, PLLC	8,100.00	-					8,100.00					200,00	
11/15/04	HRG, PLLC	2,100.00						2,100.00						
12/15/04	HRG, PLLC	200.00	-					200.00						
12/31/04	Messenger inquirer	88.50	_					200.20					88,50	
01/15/05	HRG, PLLC	400.00	_					400.00						
02/15/05	HRG. PLLC	2,300.00	-					2,300.00						
03/15/05	HRG, PLLC	1,750.00	100.Q0					1,650.00						
03/31/05	Pittsburg Tank	132,684.50						,,000.00			132,664.50			
04/15/05	HRG, PLLC	3,850,00	•					3,850.00			102,00 1100			
05/15/05	HRG, PLLC	5,410.00	100.00				900.00	*,	910.00	3,500.00				
04/30/05	Pittsburg Tank	92,785.50							5.2.00	-,50	92,785.50			
06/15/05	HRG, PLLC	7,610.00	1,100.00						1,310.00	5,200.00	,			
07/15/05	HRG, PLLC	15,730.00	.,						3,880.00	14,850.00				
07/31/05	Pittsburg Tank	111,123.00	-						4,000,00	1010000	111,123.00			
08/15/05	HRG. PLLC	1,250,00	-						800,00	450,00	,			
09/15/05	HRG, PLLC	830.00	-							830.00				
10/15/05	Pittsburg Tank	38,747.00	-								36,747.00			
10/15/05	Yager Materials	1,466.70	-								3		1,486.70	
10/31/05	Ploneer Water Quality Control	60.00	-								•		60.00	
11/15/05	Pittsburg Tank	19,782.00	_					7			19,782.00			
11/30/05	HRG, PLLC	11,270.00	3,200.00				6,300.00	(8,000,00)	1,600.00	8,170.00				
11/30/05	Pittsburg Tank	43,678.00	-					. ,			43,678.00			
	-	•	_											
		•	_											

- B. The Grantee may request receipt of Grant funds as follows:
 - 1. After completion of items in Sections 3. A. 1. and 2. above and full execution of the Agreement the Authority, upon receipt of Request for Payment **Exhibit 8**, will release up to and not to exceed 85 percent of the Grant award under the following conditions:
 - a. The Grantee has demonstrated the ability to manage the Project.
 - b. The Grantee agrees to keep a project expense ledger in the format prescribed by the Authority and submit to the Authority the expense ledger and/or a copy in electronic format upon request by the Authority and upon completion of the Project.
 - 2. The remaining 15 percent balance of the Grant will be released after the following conditions have been met:
 - a. The Grantee submits the fully executed Certificate of Completion once all Project construction related activities are complete. **Exhibit 9**.
 - b. The Grantee submits to the Authority the expense ledger and/or a copy in electronic format upon completion of the Project.
 - c. The Grantee submits to the Authority, Final Design Plans in an AutoCAD Drawing File Format (DWG), referenced to the appropriate (North or South) Kentucky State Plane Coordinate System (NAD83-Survey Feet) on a Compact Disc (CD). If there is a significant deviation from the Final Design Plan during construction, As-built plans shall also be provided to the Authority in the same format.
- C. The Grantee shall perform and/or cause to be performed all necessary acts (consistent with KRS 45A and in accordance with applicable laws) to plan, design and construct the Project including: the procurement of land, easements and rights of way; professional services, including but not limited to architectural and engineering services; construction contractor(s); and equipment and/or materials.
- D. The Grantee shall obtain all necessary permits, licenses and approvals from the appropriate federal, state, and/or local governmental entities prior to construction of the Project. Further, the Grantee shall require all construction contractors to pay wages pursuant to applicable prevailing wage rates (federal or state) for all work relating to the subject Project.
- E. The Grantee shall include <u>Exhibit 10</u> in the engineer's contract for this project and remuneration of engineering services will be based on the fee **schedule** included in the exhibit.