# The Helein Law Group, LLC

Telecommunications E Commerce Technology Corporate & Finance Trademarks Proprietary Rights Complex Litigation General Business Law

3

8180 Greensboro Drive Suite 700 McLean, VA 22102

(703) 714-1300 (Telephone) (703) 714-1330 (Facsimile) mail@thlglaw.com

Writer's Direct Dial Number (703) 714-1313 RECERED

Writer's E-mail Address 4

April 20, 2004

MUNIC 21PLACE COMMENSION

VIA OVERNIGHT DELIVERY

Tom Dorman, Executive Director Kentucky Public Service Commission 211 Sower Boulevard Frankfort, Kentucky 40601 RECEIVED

APR 2 1 2004

PUBLIC SERVICE COMMISSION

Re: United American Technology, Inc. and PromiseVision Technology, Inc.

Dear Mr. Dorman:

United American Technology, Inc. ("UAT") and PromiseVision Technology, Inc. ("PV") (together, "the Parties"), through undersigned counsel, hereby advise the Kentucky Public Service Commission ("Commission") of an Asset Purchase Agreement ("APA") between the Parties whereby PV has agreed to sell and UAT has agreed to purchase PV's assets, including its Kentucky customers and telecommunications license. The proposed transaction will be completed once the Parties have obtained regulatory approvals, where required. As explained below, consummation of the proposed transaction will not change the rates, terms or conditions of services currently provided by PV to its Kentucky customers. Therefore, other than UAT's name replacing PV's on end user bills, the proposed transaction will be entirely transparent to PV's customers.

Upon consultation with Commission staff, it was determined that the appropriate procedure for obtaining Commission approval of the transaction involved filing this letter with the Executive Director and including in said letter a description of the proposed transaction, information regarding each of the Parties, a copy of the acquiring company's Secretary of State Certificate of Authority, and a revised PV tariff reflecting changes to the acquiring company's name. Wherefore, the Parties respectfully submit an original and three (3) copies of this letter and attachments for filing with the Commission. Please date-stamp and return the enclosed extra copy of this filing in the enclosed self-addressed stamped envelope.

Kentucky Public Service Commission April 20, 2004 Page 2

The Parties further state as follows:

### I. <u>THE PARTIES</u>

### A. United American Technology, Inc. ("UAT")

UAT is a privately-held corporation, organized and existing under the laws of the State of Oklahoma. UAT is registered as a foreign corporation with the Kentucky Secretary of State and is in good standing. *See* Exhibit A.

UAT's main office and contact information are as follows:

United American Technology, Inc. 900 N.E. 63<sup>rd</sup> Street, Suite 100 Oklahoma City, OK 73105 Tel: 405-418-0340 Fax: 405-840-9002 Toll-Free: 800-394-2611 E-mail: tom@uatnow.com

UAT is well-qualified, managerially, technically and financially, to assume control of PV's telecommunications operations in Kentucky. UAT's officers are experienced managers and have experience providing resold telecommunications services. *See* Exhibit B. UAT also has substantial financial wherewithal and access to ample capital. *See* Exhibit C.

#### B. PromiseVision Technology, Inc. ("PV")

PV is a privately-held corporation organized and existing under the laws of the State of Oklahoma. PV's main office and contact information are as follows:

PromiseVision Technology, Inc.

*Currently located at:* 1050 East 2<sup>nd</sup> Street, #212 Edmond, OK 73034 Tel: 405-418-0341 Fax: 405-418-0344 E-mail: <u>sandi@ionet.net</u> Previously located at: 12211 N. Pennsylvania Oklahoma City, OK 73120

In Kentucky, PV is authorized to provide intrastate interexchange services pursuant to a tariff on file with the Commission, which became effective on November 19, 1999.

Kentucky Public Service Commission April 20, 2004 Page 3

#### II. <u>CONTACT INFORMATION</u>

Questions or inquiries concerning this filing may be directed to the following counsel for the Parties:

Jonathan S. Marashlian, Esq. The Helein Law Group, LLP 8180 Greensboro Drive, Suite 700 McLean, Virginia 22102 (703) 714-1313 (Tel) (703) 714-1330 (Fax) JSM@thlglaw.com (Email)

### III. DESCRIPTION OF THE TRANSACTIONS

On December 29, 2003, the Parties entered into an Asset Purchase Agreement ("APA"), whereby PV agreed to sell and UAT agreed to purchase all of PV's assets. Closing of the APA is contingent upon obtaining regulatory approvals of the transaction, where required. Pending closing of the APA in each relevant jurisdiction, UAT will manage PV's assets pursuant to a Management Agreement.

Upon obtaining regulatory approval, PV customers will be provided at least thirty (30) days' notice prior to the closing of the transaction. This notice will inform PV customers that: (1) after 30 days UAT will become their new long distance provider, (2) they may elect a different carrier without incurring any charges within the 30-day period, (3) the only change they will see on their bill is UAT's name replacing PV's and (4) all rates, terms and conditions they currently enjoy will not change.

To reflect the name change that will result from the proposed transaction, UAT submits its Kentucky P.S.C. Tariff No. 2. *See* Exhibit D. Tariff No. 2 cancels and replaces in its entirety, PromiseVision Technology, Inc.'s Tariff No. 1. Tariff No. 2 contains the services, rates, terms and conditions applicable to customers who took service pursuant to PromiseVision Technology, Inc.'s Tariff No. 1 and will serve as UAT's initial Kentucky tariff.

#### IV. PUBLIC INTEREST CONSIDERATIONS

The Parties respectfully submit that the proposed transaction serve the public interest. In particular, the Parties submit that (1) UAT holds the managerial, technical, and financial qualifications to acquire control of PV; (2) the transaction will benefit competition in the Kentucky telecommunications market by enabling UAT to assume direct and ultimate responsibility to the customers it is currently serving under a Management Agreement thereby allowing UAT to grow as an Kentucky Public Service Commission April 20, 2004 Page 4

effective competitor in Kentucky; and (3) the transaction will assure that there is no disruption of service and will be virtually transparent to existing PV customers.

### V. <u>CONCLUSION</u>

Wherefore, the Parties respectfully request that the Commission take such actions as are necessary to transfer PV's intrastate telecommunications authority to UAT and approve UAT's proposed P.S.C. Tariff No. 2.

Respectfully submitted,

٩ Jonathan S. Marashlian

The HELEIN LAW GROUP, LLP 8180 Greensboro Drive, Suite 700 McLean, Virginia 22102 (703) 714-1313 (Tel) (703) 714-1330 (Fax) JSM@thlglaw.com (Email)

# EXHIBIT A

UAT Certificate of Authority from Kentucky Secretary of State

# COMMONWEALTH OF KENTUCKY TREY GRAYSON

# SECRETARY OF STATE



0583311.09 LLawrence P101 Trey Grayson Secretary of State Received and Filed 04/08/2004 12:26:29 PM Fee Receipt: \$90.00

### **APPLICATION FOR CERTIFICATE OF AUTHORITY**

Pursuant to the provisions of KRS Chapter 271B, 273 or 274, the undersigned hereby applies for authority to transact business in Kentucky on behalf of the corporation named below and for that purpose submits the following statements:

1.	The corporation is $(\boxed{\checkmark})$ a business corporation $(\boxed{\bigcirc})$	on (KRS 271B). a professional ser				273).				
2.	The name of the corporation is United American Technology, Inc.									
3.	The name of the corporation to be used in Kentucky is United American Technology, Inc.									
٨	Oklahoma is the state or country under whose law the corporation is incorporated.									
- <del>1</del> . 5.		is the date of incorporation and the period of duration is <b>Perpetual</b> .								
6.	The street address of the corporation's principal office is 900 N.E. 63rd Street, Suite 100, Oklahoma City, Oklahoma 73105									
7.	. The street address of the corporation's registered office in Kentucky is 400 West Market Street, Suite 1800, Louisville, Kentucky 40202									
	and the name of the registered agent at that office National Registered Agents, I			City		State	Zip Code			
8.	The names and usual business addresses of the									
	President John Bachman						OK 73105			
CEC	Vice Resident Tom Anderson		900 NE	63rd St.	#100,	OK City,	OK 73105			
~/_#_	Secretary									
	Treasurer									
	Directors John Bachman 900 NE 63rd St. #100, OK City OK 73105									
		(Attach a continuatio	on sheet, if necessa	ary)						
	If a professional service corporation, all the indivi- than the secretary and treasurer are licensed in or a professional service described in the statement	one or more states	s or territorie	s of the Unite	the direct d States c	tors, and all c or District of C	of the officers other Columbia to render			
10	). A certificate of existence duly authenticated by t	he Secretary of S	State accomp	anies this ap	plication.					
11	. This application will be effective upon filing, unle	ess a delayed effe	ective date ar	nd/or time is s	pecified:	(Delaved offe	ctive date and/or time)			
			Z	m	Ci	n				
			Tom	Anderso	n, CEC	$\dot{\mathbf{D}}$	•			
			Date:	3-,	Type or Print Nar 24 -	ne & Title	, 2004			
l, _	National Registered Agents Type or print name of registered agent	, Inc.	, consent to	serve as the re		gent on behalf o	of the corporation.			
			Jackie	Sorman	ASS ADD OF Print Name		Secretary			
ss	SSC-101 (7/98) (See attached sheet for instructions)									

# EXHIBIT B

**UAT Management Bios** 

#### **Professional Resume**

# **Tom Anderson**

#### Summary

Mr. Anderson brings to United American Technology, Inc. over 10 years of experience in the telecommunications industry. Throughout his 10 plus years in the industry, Mr. Anderson has held several top management positions. Mr. Anderson's experiences range from sales to operations to senior management. Under Mr. Anderson's leadership, United American Technology, Inc. looks forward to providing quality telecommunications services to its customers and future growth and expansion.

#### **Employment Experience**

United American Technology, Inc. - Chief Executive Officer, 2003 - present

As CEO of UAT, I am responsible for overseeing, managing and guiding the dayto-day business of the company.

PromiseVision Technology, Inc. – Director of Operations, 1999 – 2003

As Director of Operations of PromiseVision, I was responsible for coordinating technical, administrative, regulatory and business operations of the company.

AmeriVision Communications, Inc. – Vice President of Sales, 1993 – 1998

As Vice President of Sales of AmeriVision Communications, Inc., I managed several major customer accounts, including: Concerned Women for America, Christian Broadcasting Network, Christian Coalition, Trinity Broadcasting Network and Jay Sekulow Live. My duties included day-to-day supervision of customer sign ups and complaints. I was responsible for providing detailed reports of daily activities on behalf of various organizations. In addition, as Vice President of Sales, I was responsible for overseeing a staff of sales people.

#### Educational Background

University of Central Oklahoma Bachelor's Degree – Graduating class of 1992

#### **Professional Resume**

# John Bachman

#### Summary

Mr. Bachman is the founder and President of United American Technology, Inc. Mr. Bachman is a successful entrepreneur, having established, managed, and grown several business ventures in various fields for over 25 years. Mr. Bachman's experiences range from sales to operations to senior management. Mr. Bachman has a demonstrated ability to take unexciting, unprofitable companies and turn them into successful and marketable enterprises. Under Mr. Bachman's leadership, United American Technology, Inc. looks forward to providing quality telecommunications services to its customers and future growth and expansion.

#### **Employment** Experience

United American Technology, Inc. - President, 2003 - present

As President of UAT, I am responsible for overseeing, managing and guiding the day-to-day business of the company.

Media Partners of America - Co-owner

Media Partners of America is one of the fasted growing advertising companies in the state of Oklahoma. As co-owner of Media Partners of America, UAT will be given unrivaled sales and marketing channels and opportunities. For example, at Media Partners of America:

• Marketing and Advertising – We create efficient and effective marketing campaigns aimed at the specific target audience.

• Television and Radio Ad Placement - With our years of media negotiating experience, our clients enjoy peace of mind. They know that we have represented their companies in a wonderful way, providing them with the most efficient media campaign possible.

• Newspaper, Magazine & Billboard Ad Placement - Our Clients can expect efficient print ad placement and creative, eye-catching designs.

• Script Writing - We work closely with our Partner Clients during this process to ensure we convey the proper advertising message to the public.

#### Hearing Aid Industry Experience

Mr. Bachman also has over 25 years of experience in various facets of the hearing aid business. At one point, Mr. Bachman was responsible for over \$30 million a year in hearing aid business.

# EXHIBIT C

~

**UAT Financial Information** 

### United American Technology, Inc. Balance Sheet December 31, 2003

### ASSETS

Current Assets Checking - BancFirst 6003980 Operating - Local #717235915 Checking - BancFirst Sweep Cash - Local OK C.D. A/R - Tom Anderson A/R - Willeta Thompson A/R - Willeta Thompson A/R - Ron Taylor A/R - Edwin Perez A/R - LEC Billing A/R - Direct Bill A/R - Residual Carriers Employee Advances	\$	1,996,974.48 $569.08$ $3,776.84$ $15,000.00$ $45,000.00$ $82,000.00$ $17,710.00$ $8,000.00$ $6,740.43$ $5,999.67$ $1,998.53$ $5,976.15$		
Total Current Assets				2,189,745.18
Property and Equip nent Furniture and Fixtures Equipment Automobiles	_	25.000.00 46,000.00 9,000.00		80,000.00
Total Property and Equipment				00,000.00
Other Assets Deposits Market Share Inventory		1,020.00 451,700.00		
Total Other Assets				452,720.00
Total Assets			\$	2,722,465.18
Current Liabilities Accounts Payable A/P - Quest A/P - Amex A/P - P/R Accrual N/P - Promisevision Merger N/P - POK	S	LIABILITIE 146.56 129,811.42 5,360.94 6,856.57 149,623.98 2,000.00 3,891.62	.5 A.N	DCAPITAL
A/P - TIS N/P - John Bachman (ST) N/P - Nazerene Church (ST) N/P - NEC Phone Equip (ST) N/P - Equip Citics (ST) N/P - Equip Wells Fargo (ST) N/P - Local OK Bank (ST) N/P - Local OK - Auto (ST) N/P - Local OK - C.D. (ST)		2,800.00 23,750.00 52,778.80 792.83 126.29 65,580.25 8,253.85 12,394.52		
N/P - John Bachman (ST) N/P - Nazerene Church (ST) N/P - NEC Phone Equip (ST) N/P - Equip Citiccrp (ST) N/P - Equip Wells Fargo (ST) N/P - Local OK Bank (ST) N/P - Local OK - Auto (ST)		2,800.00 23,750.00 52,778.80 792.83 126.29 65,580.25 8,253.85		464,167.63
N/P - John Bachman (ST) N/P - Nazerene Church (ST) N/P - NEC Phone Equip (ST) <sup>h</sup> N/P - Equip Citiccrp (ST) N/P - Equip Wells Fargo (ST) N/P - Local OK Bank (ST) N/P - Local OK - Auto (ST) N/P - Local OK - C.D. (ST)		2,800.00 23,750.00 52,778.80 792.83 126.29 65,580.25 8,253.85		464,167.63
N/P - John Bachman (ST) N/P - Nazerene Church (ST) N/P - NEC Phone Equip (ST) N/P - Equip Citicorp (ST) N/P - Equip Wells Fargo (ST) N/P - Local OK Bank (ST) N/P - Local OK - Auto (ST) N/P - Local OK - C.D. (ST) Total Current Liabilities		2,800.00 23,750.00 52,778.80 792.83 126.29 65,580.25 8,253.85		464,167.63 0.00

#### United American Technology, Inc. Balance Sheet December 31, 2003

\$

Capital	
Common A	100.00
Common B	100.00
Paid-in Capital	2,000,000.00
Net Income	258,097.55

2,258,297.55

Total Liabilities & Capital

2,722,465.18

### United American Technology, Inc. Income Statement For the Twelve Months Ending December 31, 2003

		Ū.			
Revenues	Current Month			Year to Date	
Direct Bill Revenue	\$ 0.00	0.00	\$	0.00	0.00
Revenue - Lec	 <b>6</b> ,740.43	2.59	4	6,740.43	0.00 2.59
Bonus Fees	620.00	0.24		620.00	0.24
Sales Unlimited Residual	3,497.75	1.35		3,497.75	1.35
Sales #3	0.00	0.00		0.00	0.00
Interest Income	1.24	0.00		7.55	0.00
Market Share	248,700.00	95.72		248,700.00	95.71
MGMT Fee Incorr e	270.00	0.10		270.00	0.10
Finance Charge Income	0.00	0.00		0.00	0.00
Shipping Charges Reimbursed	0.00	0.00		0.00	0.00
Sales Returns and Allowances	0.00	0.00		0.00	0.00
Sales Discounts	 0.00	0.00		0.00	0.00
Total Revenues	 259,829.42	100.00		259,835.73	100.00
Cast of Salar					
Cost of Sales Cost of Goods Sold #1	0.00	0.00		0.00	0.00
Cost of Goods Sold #2	0.00	0.00		0.00	0.00
COGS - Carrier Fees	0.00	0.00		0.00	0.00
COGS - Internet Ser. Fees	90.73	0.00		90.73	0.00
Cost of Sales-Salaries and Wag	0.00	0.00		0.00	0.00
Cost/Sales-Telemarket Con Lab	368.28	0.00		368.28	0.00
Cost of Sales-Postage	0.00	0.00		0.00	0.00
COGS-Supplies Expense-Telemark	0.00	0.00		0.00	0.00
COGS - PRINTING EXPENSE	0.00	0.00		0.00	0.00
COGS-Prof Serv-Telecomm Exp	0.00	0.00		0.00	0.00
COGS-Security Expense	0.00	0.00		0.00	0.00
COGS-Switch Fee:	0.00	0.00		0.00	0.00
Cost of Sales-DB Tax Process	0.00	0.00		0.00	0.00
COGS - Telemarket Acct. Fee	0.00	0.00		0.00	0.00
COGS-Advertising. Telemarketing	0.00	0.00		0.00	0.00
COGS-Rent or Lease Expense	0.00	0.00		0.00	0.00
Purchase Returns and Allowance	0.00	0.00		0.00	0.00
Purchase Discounts	 0.00	0.00		0.00	0.00
Total Cost of Sales	 459.01	0.18		459.01	0.18
Gross Profit	 259,370.41	99.82		259,376.72	99.82
Expenses					
Default Purchase Expense	0.00	0.00		0.00	0.00
Advertising Expense	200.00	0.08		200.00	0.08
Amortization Expense	0.00	0.00		0.00	0.00
Auto Expenses	0.00	0.00		0.00	0.00
AUTO EXPENSES (P/R)	0.00	0.00		0.00	0.00
Bad Debt Expense	0.00	0.00		0.00	0.00
Bank Charges Service Fees	144.79	0.06		150.94	0.06
Cash Over and Short	<24.02> 0.00	<0.01> 0.00		<24.02> 0.00	<0.01> 0.00
	0.00	0.00		0.00	0.00
Charitable Contributions Exp Commissions and Bees Exp	0.00	0.00		0.00	0.00
Depreciation Expense	0.00	0.00		0.00	0.00
Dues and Subscriptions Exp	0.00	0.00		0.00	0.00
Employee Benefit Programs Exp	0.00	0.00		0.00	0.00
Freight Expense	0.00	0.00		0.00	0.00
Gifts Expense	0.00	0.00		0.00	0.00
Income Tax Expense	0.00	0.00		0.00	0.00
•	For Manage	ment Purn	0565 0-		

For Management Purposes Only

### United American Technology, Inc. Income Statement For the Twelve Months Ending December 31, 2003

	Current Month		Year to Date	
Insurance Expense	161.77	0.06	161.77	0.06
Workers Comp Insurance	0.00	0.00	0.00	0.00
Interest Expense	111.42	0.04	111.42	0.04
Laundry and Clear ing Exp	0.00	0.00	0.00	0.00
Legal and Professional Expense	0.00	0.00	0.00	0.00
Professional Fees - Computer E	0.00	0.00	0.00	0.00
Licenses Expense	0.00	0.00	0.00	0.00
Loss on NSF Checks	0.00	0.00	0.00	0.00
Maintenance Expense	0.00	0.00	0.00	0.00
Meals and Entertainment Exp	0.00	0.00	0.00	0.00
Office Expense	0.00	0.00	0.00	0.00
Payroll Tax Expense	0.00	0.00	0.00	0.00
SUTA - P/R Tax Expense	0.00	0.00	0.00	0.00
FUTA - P/R Tax Expense	0.00	0.00	0.00	0.00
PRIVILEDGE TAX	0.00	0.00	0.00	0.00
Contract Labor	270.00	0.10	270.00	0.10
Advisory Fee	0.00	0.00	0.00	0.00
Other Taxes	0.00	0.00	0.00	0.00
Postage Expense	0.00	0.00	0.00	0.00
Printing	146.56	0.06	146.56	0.06
Rent or Lease Exp - ADMIN	0.00	0.00	0.00	0.00
Repairs Expense	262.50	0.10	262.50	0.10
Supplies Expense	0.00	0.00	0.00	0.00
Computer/IT Expense	0.00	0.00	0.00	0.00
Telephone Expense	0.00	0.00	0.00	0.00
Travel Expense	0.00	0.00	0.00	0.00
Salaries Expense	0.00	0.00	0.00	0.00
Wages Expense	0.00	0.00	0.00	0.00
Utilities Expense	0.00	0.00	0.00	0.00
Other Expense	0.00	0.00	0.00	0.00
Purchase Disc-Expense Items	0.00	0.00	0.00	0.00
Gain/Loss on Sale of Assets	0.00	0.00	0.00	0.00
Total Expenses	1,273.02	0.49	1,279.17	0.49
Net Income	\$	99.33 \$	258,097.55	99.33

#### AFFIDAVIT

STATE OF OKLAHOMA Verreilan6 ) COUNTY OF

SS

Personally appeared before the undersigned, and officer duly authorized to administer

oaths, Tom Anderson, who first being duly sworn, deposes and says:

- I am of lawful age;
- I am Chief Executive Officer of United American Technology, Inc.,
- I have reviewed the unaudited balance sheet and income statement of United American Technology, Inc. for the year ended December 31, 2003, and I affirm they are true and accurate.

I do solemnly swear under penalty of perjury.

(Signature of Affiant)

Subscribed and sworn before me, this

<u>\_\_\_\_\_</u> day of <u>March</u>, 2004.



(SEAL)

My Commission Expires 4400 14

# EXHIBIT D

-

UAT Proposed P.S.C. Tariff No. 2

### United American Technology, Inc.

900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105

(800) 394-2611

### RATES, RULES AND REGULATIONS for FURNISHING

### RESALE TELECOMMUNICATIONS SERVICES

### Filed with the

### PUBLIC SERVICE COMMISSION OF KENTUCKY

This tariff contains the descriptions, regulations, and rates applicable to the furnishing of telecommunications services provided by United American Technology, Inc. between points within the Commonwealth of Kentucky.

### NOTES:

- 1. United American Technology, Inc.'s Tariff No. 2 cancels and replaces in its entirety, PromiseVision Technology, Inc.'s Tariff No. 1.
- 2. This Tariff No. 2 contains the services, rates, terms and conditions applicable to customers who took service pursuant to PromiseVision Technology, Inc.'s Tariff No. 1.

Issued:

Effective:

### **CHECK SHEET**

Pages of this tariff, as indicated below, are effective as of the date shown at the bottom of the respective pages. Original and revised pages, as named below, comprise all changes from the original tariff and are currently in effect as of the date on the bottom of this page.

PAGE	REVISION	PAGE	REVISION
1	Original *	26	Original *
2	Original *	27	Original *
3	Original *	28	Original *
4	Original *	29	Original *
5	Original *	30	Original *
6	Original *	31	Original *
7	Original *	32	Original *
8	Original *	33	Original *
9	Original *	34	Original *
10	Original *	35	Original *
11	Original *	36	Original *
12	Original *	37	Original *
13	Original *	38	Original *
14	Original *	39	Original *
15	Original *	40	Original *
16	Original *		
17	Original *		
18	Original *		
19	Original *		
20	Original *		
21	Original *		
22	Original *		
23	Original *		
24	Original *		
25	Original *		

\* - indicates those pages includes with this filing

### Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105 Effective:

# TABLE OF CONTENTS

Check Sheet	2
Table of Contents	
Application of Tariff	4
Symbols	5
Tariff Format	6
Section 1 - Technical Terms and Abbreviations	7
Section 2 - Rules and Regulations	11
Section 3 - Description of Services and Rates	26
Section 4 - Miscellaneous Services	38
Section 5 - Promotions	39
Section 6 - Contract Services	40

Issued:

Effective:

### **APPLICATION OF TARIFF**

The regulations, rules and conditions set forth in this Tariff apply to the provision of intrastate public telecommunications services furnished within the Commonwealth of Kentucky by United American Technology, Inc. subject to the jurisdiction of the Kentucky Public Service Commission.

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105 Effective:

### SYMBOLS

The following are the only symbols used for the purposes indicated below:

- (C) To signify a changed listing, rule or condition which may affect rates or charges.
- (D) To signify discontinued material, including a listing, rate, rule or condition.
- (I) To signify an increase in rates or charges.
- (M) To signify material relocated from or to another part of this Tariff with no change in text, rate, rule or condition.
- (N) To signify new material, including a listing, rate, rule or condition.
- (**R**) To signify a reduction in rates or charges.
- (T) To signify a change in the wording of the text, but no change in rate, rule or condition.
- (X) To signify a correction or reissued matter.

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105 Effective:

United American Technology, Inc.

## TARIFF FORMAT

- A. **Page Numbering** Page numbers appear in the upper right corner of the page. Pages are numbered sequentially. However, new sheets are occasionally added to the tariff. When a new sheet is added between sheets already in effect, a decimal is added. For example, a new sheet added between sheets 14 and 15 would be 14.1.
- **B. Page Revision Numbers** Revision numbers also appear in the upper right corner of each page. These numbers are used to determine the most current sheet version on file with the KY PSC. For example, the 4th revised Page 14 cancels the 3rd revised Page 14.
- C. **Paragraph Numbering Sequence** There are seven levels of paragraph coding. Each level of coding is subservient to its next higher level:
  - 2. 2.1. 2.1.1. 2.1.1.A. 2.1.1.A.1. 2.1.1.A.1.(a). 2.1.1.A.1.(a).I.
- **D.** Check Sheets When a tariff filing is made with the KCC, an updated Check Sheet accompanies the tariff filing. The Check Sheet lists the sheets contained in the tariff, with a cross reference to the current revision number. When new sheets are added, the Check Sheet is changed to reflect the revision. All revisions made in a given filing are designated by an asterisk (\*). There will be no other symbols used on this sheet if these are the only changes made to it (i.e., the format, etc. remain the same, just revised revision levels on some sheets.)

Issued:

Effective:

## SECTION 1 - TECHNICAL TERMS AND ABBREVIATIONS

Certain terms used generally throughout this tariff, particularly those for specialized common carrier communication channels furnished by the Company over its facilities are defined below:

Access - Access to UAT's services are provided by one or more or a combination of the following methods: presubscription in equal access areas, direct access, 800, 950 and 10XXX dialing sequences.

Access Code - A sequence of numbers that, when dialed, connect the caller to the provider of services associated with that sequence.

Authorization Code - A numerical code, one or more of which may be assigned to a Customer, to enable the Company to identify the origin of the Service User so the Company may rate and bill the call. All Authorization Codes shall be the sole property of the Company and no Customer shall have any property or other right or interest in the use of any particular Authorization Code. Automatic Numbering Identification ("ANI") may be used as or in connection with the Authorization Code.

Authorized User - A person or entity that accesses the Company's services. An Authorized User is responsible for compliance with this tariff.

Automatic Numbering Identification (ANI) - A type of signaling provided by a local exchange telephone company that automatically identifies the local exchange line from which a call originates.

Issued:

Effective:

### SECTION 1 - TECHNICAL TERMS AND ABBREVIATIONS, (CONT'D.)

**Billed Party** - The person or entity responsible for payment of the Company's Service(s): For a Direct Dialed Call, the person or entity responsible for payment is the Customer responsible for payment for local telephone service at the telephone used to originate an intrastate call. In the case of a Traveler Card call or Phone Home Card call, the person or entity responsible for payment is the Customer of record of the Traveler Card or Phone Home Card used.

**Calling Card Call** - A Direct Dialed call for which charges are billed not to the originating telephone number, but to a LEC or interexchange carrier calling card.

**Central Office** - A Local Exchange Carrier switching system where Local Exchange Carrier customer station loops are terminated for purposes of interconnection to each other and to trunks.

**Channel** - The term "Channel" denotes a path for electrical transmission between two or more points, the path having a band width designed to carry voice grade transmission.

Common Carrier - A company or entity providing telecommunications services to the public.

**Customer** - The term "Customer" denotes the person, partnership, association, joint stock company, trust, corporation, or governmental entity or any other entity that is responsible for payment of charges and for compliance with this tariff.

**Customer - Provided Facilities** - The term "Customer - Provided Facilities" denotes all communications facilities provided by the Customer and/or Authorized User other than those provided by the Company.

Issued:

Effective:

### SECTION 1 - TECHNICAL TERMS AND ABBREVIATIONS, (CONT'D.)

**Direct Dialed Call** - An intrastate telephone call that is automatically completed and billed to the telephone number from which the call originated without the automatic or live assistance of an operator.

**Equal Access** - Has the meaning given that term in Appendix B of the <u>Modification of Final</u> Judgment entered August 24, 1982, in United States v. Western Electric, Civil Action No. 82-0192 (United States District Court, District of Colombia), as amended by the Court in its orders issued prior to October 17, 1990.

**Equal Access Code** - An access code that allows the public to obtain an equal access connection to the carrier associated with that code.

**Exchange** - The term "Exchange" denotes a unit established by the Local Exchange Carrier for the administration of communications service in a specified area that usually embraces a city, town or village and its environs. It consists of one or more Central Offices together with the associated facilities used in furnishing communications service within that area.

**Intrastate Message Telecommunications Service ("MTS")** - The term "Intrastate Message Telecommunications Services" denotes the furnishing of direct dialed intrastate switched service to the Customer for the completion of long distance voice and dial-up low speed data transmissions over voice grade channels between points wholly within Kentucky.

**Local Exchange Carrier ("LEC")** - The term "Local Exchange Carrier" denotes any telephone company that provides local telephone service to Customers within a defined area.

**Measured Charge** - A charge assessed on a per minute or incremental basis in calculating a portion of the charges due for a completed call.

**Other Common Carrier** - The term "Other Common Carrier" denotes a common carrier, other than the Company, providing domestic and/or international communications service to the public.

Issued:

Effective:

# SECTION 1 - TECHNICAL TERMS AND ABBREVIATIONS, (CONT'D.)

**Personal Identification Numbers (PINS)** - Code numbers used in connection with designated telephone numbers which allow intrastate calls to be categorized for various applications.

**Point(s) of Presence** - The term "Point(s) of Presence" denotes the site(s) where the Company provides a network interface with facilities provided by Other Common Carriers, Local Exchange Carriers or Customers for access to the Company network configuration.

**Premise** - The term "Premise" denotes a building or buildings on contiguous property (except railroad rights-of-way, etc.) not separated by a public highway.

**PSC of Kentucky** - Public Service Commission of Kentucky.

Service - Intrastate telecommunications service provided to a Customer or Authorized User by the Company.

**Special Access Service -** All exchange access not utilizing telephone company end office switches. This service includes dedicated access that connects end user to end user, end user to carrier, or carrier to carrier and may include analog or digital channels for voice, data or video transmissions.

**Subscriber** - Any person, firm, partnership, corporation, governmental agency or other entity that orders service from the Company on behalf of itself or on behalf of others. A Subscriber may, in the ordinary course of its operations, makes telephones available to transient users of its premises for placing of intrastate calls. The Subscriber has a pre-existing business arrangement with the Company and may also be a Customer.

**Telecommunications** - The transmission of voice communications or, subject to the transmission capabilities of the Service, the transmission of data, facsimile, signaling, metering, or any other form of intelligence.

UAT - United American Technology, Inc., the issuer of this tariff.

Issued:

Effective:

### **SECTION 2 - RULES AND REGULATIONS**

### 2.1 Undertaking of the Company

Service is offered to residential and business Customers of the Company to provide direct dialed calls originating and terminating partially or wholly within the state of Kentucky, using the Company's network configuration. The Company provides switched long distance network services for voice grade and low speed dial-up data transmission services. The Company does not undertake to transmit messages but furnishes the use of its facilities to its Customers for communications. All Services are provided subject to the terms and conditions set forth in this tariff. In the event of a conflict between a contract entered into by the Company and this tariff, the terms of this tariff shall prevail.

The Company's services and facilities are provided on a monthly basis unless otherwise provided, and are available twenty-four hours per day, seven days per week.

Issued:

Effective:

# 2.2 Limitations

- **2.2.1** Service is offered subject to the availability of the necessary facilities and equipment, or both facilities and equipment, and subject to the provisions of this tariff.
- **2.2.2** UAT reserves the right to discontinue or limit service when necessitated by conditions beyond its control, or when the Customer is using service in violation of provisions of this tariff, or in violation of the law.
- **2.2.3** The Company does not undertake to transmit messages, but offers the use of its facilities when available, and will not be liable for errors in transmission or for failure to establish connections.
- **2.2.4** All services and facilities provided under this tariff are directly or indirectly controlled by UAT and the Customer may not transfer or assign the use of service or facilities without the express written consent of the Company. Such transfer or assignment shall only apply where there is no interruption of the use or location of the service or facilities.
- **2.2.4** Prior written permission from the Company is required before any assignment or transfer. All regulations and conditions contained in this tariff shall apply to all such permitted assignees or transferees, as well as all conditions of service.

# 2.3 Use

Services provided under this tariff may be used for any lawful purpose for which the service is technically suited.

Issued:

Effective:

### 2.4 Liabilities of Company

- 2.4.1 Except as stated in this section, the Company shall have no liability for damages of any kind arising out of or related to events, acts, rights or privileges contemplated in this tariff. This tariff does not limit the liability of the Company for willful misconduct.
- 2.4.2 The liability of the Company, if any, for damages resulting in whole or in part from or arising in connection with the furnishing of service under this tariff, including but not limited to mistakes, omissions, interruptions, delays, errors, or other defects in transmission, or failures or defects in facilities furnished by the Company in the course of furnishing service or arising out of any failure to furnish service shall in no event exceed an amount of money equivalent to the proportionate charge to the Customer for the period of service during which such mistakes, omissions, interruptions, delays, errors, or defects in transmission occur and continue. However any such mistakes, omissions, interruptions, delays, errors, or defects in transmission or service that are caused by or contributed to by the negligence or willful act of Customer, or which arise from the use of Customer-Provided Facilities or equipment shall not result in the imposition of any liability whatsoever upon the Company.
- **2.4.3** UAT shall not be liable for any failure of performance hereunder due to causes beyond its control, including but not limited to Acts of God, fires, flood or other catastrophes; atmospheric conditions or other phenomena of nature, such as radiation; any law, order, regulation, directive, action or request of the United States Government, or any other government, including state and local governments having jurisdiction over UAT or the services provided hereunder; national emergencies; civil disorder, insurrections, riots, wars, strikes, lockouts, work stoppages, or other labor problems or regulations established or actions taken by any court or government agency having jurisdiction over the Company or the acts of any party not directly under the control of the Company.

Issued:

Effective:

### 2.4 Liabilities of Company, (Cont'd.)

- 2.4.4 UAT is not liable for any act, omission or negligence of any Local Exchange Carrier or other provider whose facilities are used concurrently in furnishing any portion of the services received by Customer, or for the unavailability of or any delays in the furnishing of any services or facilities that are provided by any Local Exchange Carrier. Should the Company employ the service of any Other Common Carrier in furnishing the service provided to Customer, the Company's liability shall be limited according to the provisions above.
- 2.4.5 UAT shall be indemnified and held harmless by the Customer and Authorized User from and against all loss, liability, damage, and expense, including reasonable attorney's fees, due to claims for libel, slander, or infringement of copyright or trademark in connection with any material transmitted by any person using the Company's services and any other claim resulting from any act or omission of the Customer or Authorized User relating to the use of the Company's facilities.
- **2.4.6** The Company shall not be liable for any act or omission of any other entity furnishing to the Customer facilities or equipment used with the service furnished hereunder; nor shall the Company be liable for any damages or losses due in whole or in part to the failure of Customer-provided service, equipment or facilities.
- **2.4.7** Under no circumstances whatever shall the Company or its officers, directors, agents, or employees be liable for indirect, incidental, special or consequential damages.

Issued:

Effective:

### 2.5 Deposits

The Company does not require deposits from Customers.

### 2.6 Advance Payments

The Company does not normally require advance payments. However the company reserves the right to an advance payment from customers whose credit history is unacceptable or unknown to the Company. Advance payments, if collected, will be collected and maintained in accordance with Commission rules.

### 2.7 Taxes

All federal excise taxes, and state and local sales, use, and similar taxes, are billed as separate line items and are not included in the quoted rates, unless otherwise provided in Section 4 of this tariff.

Issued:

Effective:

### 2.8 Payment for Service and Credit Regulations

Terms of payment are subject to the rules of the PSC of Kentucky. Contested charges will be handled in accordance with 807 KAR 5:006, Section 9.

### 2.8.1 Billing and Credit Regulations

The charges for service are due when billed and are billed and collected by the Company or its authorized agent, or the connecting company from whose service point the messages were sent paid or at whose service point the messages were received collect.

### 2.8.2 Payment for Service Regulations

The Customer is responsible for payment of all charges for services, including charges for service originated or charges accepted at the Customer's service point.

- (A) Charges for direct dialed calls will be included on the originating party's bill pursuant to billing and collection agreements established by the Company or its intermediary with the applicable telephone company.
- (B) Any applicable federal, state and local use, excise, sales or privileges taxes or similar liabilities chargeable to or against the Company as a result of the provision or the Company's service hereunder to the Customer shall be charged to and payable by the Customer in addition to the rates indicated in this tariff.
- (C) The Customer shall remit payment of all charges to any agency authorized by the Company to receive such payment.
- (D) If the bill is not paid within thirty calendar days following the mailing of the bill, the account will be considered delinquent.

Issued:

Effective:

# 2.8 Payment for Service and Credit Regulations, (Cont'd.)

# 2.8.2 Payment for Service Regulations, (cont'd.)

- (D) If the bill is not paid within thirty calendar days following the mailing of the bill, the account will be considered delinquent.
- (E) A delinquent account may subject the Customer's service to temporary disconnection. The Company is responsible for notifying the Customer at least five calendar days before service is disconnected.
- (F) Failure to receive a bill will not exempt a Customer from prompt payment of any sum or sums due the Company.
- (G) In the event the Company must employ the services of attorneys for collection of charges due under this tariff or any contract for special services, Customer shall be liable for all costs of collection including reasonable attorney's fees and court costs.

Issued:

Effective:

United American Technology, Inc.

### SECTION 2 - RULES AND REGULATIONS, (CONT'D.)

### 2.8 Payment for Service and Credit Regulations, (Cont'd.)

### 2.8.2 Payment for Service Regulations, (cont'd.)

- (H) UAT will not bill for unanswered calls in areas where Equal Access is available, nor will UAT knowingly bill for unanswered telephone calls where Equal Access is not available. In the event that an unanswered call is inadvertently billed due to the unavailability of Equal Access, UAT will cancel all such charges upon request or may credit the account of the Billed Party. Any call for which the billed duration exceeds one minute shall be presumed to have been answered.
- (I) In the event the Customer is overbilled, an adjustment will be made to the Customer's account and the Customer will be deemed to not owe overbilled amount. If the Customer is underbilled, the Customer is allowed to either pay in lump sum or in installments.

Issued:

Effective:

### 2.9 Right to Backbill for Improper Use of the Company's Service

Any person or entity that uses, appropriates or secures the use of service from the Company, whether directly or indirectly, in any unlawful manner or through the providing of any misleading or false information to the Company and which uses, appropriation, or securing of services is inconsistent with the stated uses, intents, and purposes of this tariff or any restrictions, conditions, and limitations stated herein, shall be liable for an amount equal to the accrued and unpaid charges that would have been applicable to the use of the Company's service actually made by Customer.

### 2.10 Billing Entity Conditions

When billing functions on behalf of UAT are performed by local exchange telephone companies, credit card companies or others, the payment conditions and regulations of such companies apply, including any applicable interest and/or late payment charges. In case of any disputed charges that cannot be resolved by the billing company, the Billed Party may contact UAT directly. If there is still a disagreement about the disputed amount after investigation and review by UAT or other service provider, the Billed Party has the option to pursue the matter with the appropriate state commission and/or the Federal Communications Commission.

Issued:

Effective:

### 2.11 Compliance with Regulatory Requirements

The Company reserves the right to discontinue service, limit service, or to impose requirements on Customers as required to meet changing regulatory rules and standards of the PSC of Kentucky.

### 2.12 Interconnection

The Company reserves the right to interconnect its services with those of any Other Common Carrier, Local Exchange Carrier, or alternate access provider of its election, and to utilize such services for the provision of services offered herein.

### 2.13 Denial of Access to Service by the Company

The Company expressly retains the right to deny access to service without incurring any liability for any of the following reasons:

- 2.13.1 Nonpayment of any sum due for service provided hereunder, where the Customer's charges remain unpaid more than ten (10) days following notice of nonpayment from the Company. Notice shall be deemed to be effective upon mailing of written notice, postage prepaid, to the Customer's last known address;
- **2.13.2** Customer's acts or omissions that constitute a violation of, or a failure to comply with, any regulation stated in this tariff governing the furnishing of service, but which violation or failure to comply does not constitute a material breach or does not pose any actual threatened interference to UAT operations or its furnishing of service. The Company agrees to give Customer ten (10) days notice of such violation or failure to comply prior to disconnection of service; or
- **2.13.3** The implementation of any order of a court of competent jurisdiction, or federal or state regulatory authority of competent jurisdiction, prohibiting the Company from furnishing such service; or
- 2.13.4 Failure to pay a previously owed bill by the same Customer at another location.

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105 Effective:
P.S.C. Kentucky No. 2 Original Page 21

### SECTION 2 - RULES AND REGULATIONS, (CONT'D.)

### 2.14 Customer's Liability in the Event of Denial of Access to Service by the Company

In the event a Customer's service is disconnected by the Company for any of the reasons stated in Section 2.13, the Customer shall be liable for all unpaid charges due and owing to the Company associated with the service.

### 2.15 Reinstitution of Service

The Company will reconnect service upon Customer request as soon as the reason for the Customer's termination is removed. If the Customer seeks reinstitution of Service following denial of service by the Company, the Customer shall pay to the Company prior to the time service is reinstituted (1) all accrued and unpaid charges, but there will be no charge for the service restoration.

### 2.16 Credit Allowances for Interruption of Service

Credit allowances for interruptions of service are limited the initial minimum period charge incurred to re-establish the interrupted call.

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105

## SECTION 2 - RULES AND REGULATIONS, (CONT'D.)

### 2.17 Inspection, Testing and Adjustment

Upon reasonable notice, the facilities provided by the Company shall be made available to the Company for tests and adjustments as may be deemed necessary by the Company for maintenance. No interruption allowance will be granted for the time during which such tests and adjustments are made when the interruption is less than twenty-four consecutive hours.

### 2.18 Reservation of Toll Free Numbers

UAT will make every effort to reserve "vanity" toll free numbers on the Customer's behalf, but makes no warranty or guarantee that the "vanity" number(s) will be available for use by the Customer.

Issued:

Effective:

# SECTION 2 - RULES AND REGULATIONS, (CONT'D.)

# 2.19 Responsibilities of the Subscriber

- **2.19.1** The Subscriber is responsible for placing any necessary orders, for complying with tariff regulations, and for ensuring that Authorized Users comply with tariff regulations. The Subscriber is also responsible for the payment of charges for calls originated at the Subscriber's premises that are not collect, third party, calling card, or credit card calls.
- **2.19.2** The Subscriber is responsible for charges incurred for special construction and/or special facilities that the Subscriber requests and which are ordered by UAT on the Subscriber's behalf.
- **2.19.3** If required for the provision of UAT's Services, the Subscriber must provide any equipment space, supporting structure, conduit, and electrical power without charge to UAT.
- **2.19.4** The Subscriber is responsible for arranging ingress to its premises at times mutually agreeable to it and UAT when required for UAT personnel to install, repair, maintain, program, inspect, or remove equipment associated with the provision of UAT's Services.
- **2.19.5** The Subscriber shall ensure that its terminal equipment and/or system is properly interfaced with UAT's facilities or services, that the signals emitted into UAT's network configuration are of the proper mode, bandwidth, power, and signal level for the intended use of the Subscriber and in compliance with the criteria set forth in Part 68 of the Code of Federal Regulations, and that the signals do not damage equipment, injure personnel, or degrade service to other Subscribers.

Issued:

Effective:

## SECTION 2 - RULES AND REGULATIONS, (CONT'D.)

## 2.19 Responsibilities of the Subscriber, (Cont'd.)

- **2.19.6** If the Subscriber fails to maintain the equipment and/or the system properly, with resulting imminent harm to UAT's equipment, personnel, or the quality of Service to other Subscribers or Customers, UAT may, upon written notice, require the use of protective equipment at the Subscriber's expense. If this fails to produce satisfactory quality and safety, UAT may, upon written notification, terminate the Subscriber's service.
- 2.19.7 The Subscriber must pay UAT for replacement or repair of damage to the equipment or facilities of UAT caused by negligence or willful act of the Subscriber, its Authorized Users, or others, or by improper use of equipment provided by the Subscriber, Authorized Users, or others.
- **2.19.8** The Subscriber must pay for the loss through theft or fire of any of UAT's equipment installed at Subscriber's premises.

Issued:

Effective:

### SECTION 2 - RULES AND REGULATIONS, (CONT'D.)

#### 2.20 Responsibilities of Authorized Users

- **2.20.1** The Authorized User is responsible for compliance with the applicable regulations set forth in this tariff as well as all rules and regulations of the state utility commission and the FCC.
- **2.20.2** The Authorized User is responsible for identifying the station, party, or person with whom communication is desired and/or made at the called number.
- **2.20.3** The Authorized User is responsible for providing UAT with a valid method of billing for each call. UAT reserves the right to validate the credit worthiness of users through available credit card, calling card, called number, third party telephone number, and room number verification procedures. Where a requested billing method cannot by validated, the user may be required to provide an acceptable alternate billing method or UAT may refuse to place the call.

### 2.21 Bill Format

Bills rendered to Customers by UAT contains the following information:

Date of Bill Rendering	Company Name
Service Dates	Due Date
Past Due Date	Current Amount Due
Past Due Amount (if applicable)	Date and Time of Each call
Originating location & terminating number	Call duration
Call type	Total Charges per Call
Total Charges for Company Services Taxes	
Toll Free Customer Service Number: (800)	658-9028

Issued:

Effective:

## **SECTION 3 - DESCRIPTION OF SERVICE AND RATES**

## 3.1 General

UAT Intrastate Service is offered for the provision of long distance services. All calls are billed in one (1) minute increments after an initial period, for billing purposes of one (1) minute

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105

### **3.2** Determination of Call Duration and Timing of Calls

- **3.2.1** For Direct Dialed and Operator Station Calls, chargeable time begins when the connection is established between the calling station and the desired telephone, attendant board, or private branch exchange console. For Person-to-Person calls chargeable time begins when the designated party comes on the line, or when the caller agrees to speak with a substitute party.
- **3.2.2** Chargeable time ends when the connection is terminated.
- **3.2.3** Chargeable time does not include the time lost because of known faults or defects in the service.
- **3.2.4** The initial and additional timing periods for billing purposes vary by product and are specified in this Section of this tariff.
- **3.2.5** The Company will not bill for unanswered calls. When a Customer indicates that he/she was billed for an incomplete call, UAT will reasonably issue credit for the call.

Issued:

Effective:

## 3.3 Time of Day Rate Periods

For time of day sensitive services, the appropriate rates apply for day, evening and night/weekend calls based on the following chart:

	MON	TUES	WED	THU R	FRI	SAT	SUN
8:00 AM TO 4:59 PM			' IE RATE OR RATE PI				
5:00 PM TO 10:59 PM	EVENING RATE PERIOD OR OFF PEAK RATE PERIOD					EVE	
11:00 PM TO 7:59 AM	NIGHT/WEEKEND RATE PERIOD OR OFF PEAK RATE PERIOD						

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105

## 3.3 Time of Day Rate Periods, (Cont'd.)

- **3.3.1** Day, Evening, and Night/Weekend times are determine by the local time of the location of the calling service point. Chargeable time for a rate period (e.g. 8AM-5PM) begins with the first stated hour (8AM) and continues to, but does not include, the second stated hour (5PM). The rate applicable at the start of chargeable time at the calling station applies to the call during the duration of the call that is applicable to that time period. If a call begins in one discount period and ends in another, the initial period discount applied is the discount in effect at the time the call is established. The charge for each additional minute of usage is the additional minute billing rate of the rate period in which the beginning of each minute occurs.
- **3.3.2** The time when connection is established is determined in accordance with the time standard or daylight savings -legally or commonly in use at the location of the calling service point and determines whether Day, Evening, Night or Weekend rates apply. This rule applies whether the message is sent paid or collect and is applicable to interLATA direct dialed and operator assisted calls.
- **3.3.3** The Evening rate applies to the holidays listed below unless a lower rate period is in effect.

New Year's Day\*\* Martin Luther King Day\* President's Day\* Memorial Day\* Independence Day\*\* Labor Day\* Columbus Day\* Veterans Day\*\* Thanksgiving Day\* Christmas Day\*\*

- \* = Applies to Federally recognized days only.
- \*\* = If the holiday falls on a Sunday, the holiday rates are applied to the following Monday. If the holiday falls on a Saturday, the holiday rates are applied to the preceding Friday.

#### Issued:

Effective:

### **3.4** Calculation of Distance

For services which are distance sensitive, usage charges are based on the airline distance between the serving wire center locations associated with the originating and terminating points of the call.

The distance between the originating and terminating points is calculated by using the "V" and "H" coordinates of the serving wire centers as defined by BellCore (Bell Communications Research), in the following manner:

- Step 1: Obtain the "V" and "H" coordinates for the serving wire center of the Customer's switch and the destination point.
- Step 2: Obtain the difference between the "V" coordinates of each of the serving wire centers. Obtain the difference between the "H" coordinates.
- Step 3: Square the differences obtained in Step 2.
- Step 4: Add the squares of the "V" difference and "H" difference obtained in Step 3.
- Step 5: Divide the sum of the square obtained in Step 4 by ten (10). Round to the next higher whole number if any fraction results from the division.
- Step 6: Obtain the square root of the whole number obtained in Step 5. Round to the next higher whole number if any fraction is obtained. This is the distance between the originating and terminating serving wire centers of the call.

Formula:

 $\sqrt{\frac{(V1 - V2)^2}{10}^2 + (H1 - H2)^2}$ 

Issued:

Effective:

## 3.5 UAT Direct Dial Service

UAT Direct Dial Service available to Customers who originate direct dialed calls within the State. This service permits origination of intrastate calls from diverse Customer's local exchange or dedicated access facilities.

## 3.5.1 Rate Plan A

	DAY		EVENING		NIGHT/WKND	
	1 <sup>st</sup> Minute	Ea. Addl. Minute	1 <sup>st</sup> Minute	Ea. Addl. Minute	1 <sup>st</sup> Minute	Ea. Addl. Minute
All Mileage Bands	\$0.1000	\$0.1000	\$0.1000	\$0.1000	\$0.100	\$0.1000

Monthly Service Charge

\$4.95

## 3.5.2 Rate Plan B

	DAY		EVENING		NIGHT/WKND	
	1 <sup>st</sup> Minute	Ea. Addl. Minute	1 <sup>st</sup> Minute	Ea. Addl. Minute	1 <sup>st</sup> Minute	Ea. Addl. Minute
All Mileage Bands	\$0.1250	\$0.1250	\$0.1250	\$0.1250	\$0.1250	\$0.1250

Monthly Service Charge

\$1.95

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105

## 3.5 UAT Direct Dial Service, (Cont'd.)

### 3.5.3 Rate Plan C

	DAY		EVENING		NIGHT/WKND	
	1 <sup>st</sup> Minute	Ea. Addl. Minute	1 <sup>st</sup> Minute	Ea. Addl. Minute	1 <sup>st</sup> Minute	Ea. Addl. Minute
All Mileage Bands	\$0.1500	\$0.1500	\$0.1500	\$0.1500	\$0.1500	\$0.1500

Monthly Service Charge

None

## 3.5.4 Rate Plan D

	7:00AM until 7:00PM		7:00PM unt	til 7:00AM
	1 <sup>st</sup> Minute	Ea. Addl. Minute	1 <sup>st</sup> Minute	Ea. Addl. Minute
All Mileage Bands	\$0.1250	\$0.1250	\$0.0700	\$0.0700

Monthly Service Charge

\$4.95

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105

### SECTION 3 - DESCRIPTION OF SERVICE AND RATES, (CONT'D.)

## 3.6 UAT Calling Card Service

UAT Calling Card Service is available to Customers in the State of Oklahoma. To access this service, the Customer dials a toll-free access number provided by the Company. Calls are processed by a live or automated Company operator. Each call is billed in whole minute increments after a minimum call duration of one minute. A per-call service charge applies to each completed call.

#### 3.6.1 Per Call Rates

ALL TIMES OF DAY				
1 <sup>st</sup> Minute Ea. Addl. Minute				
\$0.2500	\$0.2500			

#### 3.6.2 Per Call Pay Phone Surcharge

Issued:

Issued by: John Bachman, President 900 N.E. 63rd Street, Suite 100 Oklahoma City, Oklahoma 73105 Effective:

\$.35

## **SECTION 4 - MISCELLANEOUS SERVICES**

### 4.1 Late Payment Charge

A late fee of 1.5% per month may be assessed if a customer fails to pay a bill for services by the due date shown on the customer's bill. The penalty may be assessed only once on any bill for rendered services. Any payment received shall first be applied to the fill for service rendered. Additional penalty charges shall not be assessed on unpaid penalty charges.

#### 4.2 Return Check Charge

A return check charge of \$20.00 will be assessed for checks returned for insufficient funds. Any applicable return check charges will be assessed according to the terms and conditions of this tariff and pursuant to Kentucky law and Commission regulations.

#### 4.3 Directory Assistance

Directory Assistance is available to Customers of UAT service. A Directory Assistance charge applies to each call to the Directory Assistance Bureau. Up to two requests may be made on each call to Directory Assistance. The Directory Assistance charge applies to each call regardless of whether the Directory Assistance Bureau is able to furnish the requested telephone number.

Directory Assistance, Per Call

\$1.10

Issued:

Effective:

## **SECTION 5 - PROMOTIONS**

### 5.1 **Promotions - General**

From time to time the Company shall, at its option, promote subscription or stimulate network usage by offering to waive some of all of the nonrecurring or recurring charges for the Customer (if eligible) of target services for a limited duration, not to exceed 90 days, or by offering premiums or refunds of equivalent value. Such promotions shall be made available to all similarly situated Customers in the target market area. All promotions will be filed with and approved by the Commission prior to offering them to Customers.

### 5.2 Demonstration of Calls

From time to time the Company shall demonstrate service by providing free test calls of up to four minutes duration over its network.

Issued:

Effective:

# **SECTION 6 - CONTRACT SERVICES**

# 6.1 General

At the option of the Company, service may be offered on a contract basis to meet specialized requirements of the Customer not contemplated in this tariff. The terms of each contract shall be mutually agreed upon between the Customer and Company and may include discounts off of rates contained herein, waiver of recurring or nonrecurring charges, charges for specially designed and constructed services not contained in the Company's general service offerings, or other customized features. The terms of the contract may be based partially or completely on the term and volume commitment, type of originating or terminating access, mixture of services or other distinguishing features. Service shall be available to all similarly situated Customers for six months after the initial offering to the first contract Customer for any given set of terms.

Issued:

Effective: