LOUISVILLE GAS AND ELECTRIC COMPANY

9th Rev. SHEET NO. 1

CANCELLING 8th Rev. SHEET NO. 1

P.S.C. OF KY. ELECTRIC NO. 4

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PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JUL 01 1996

PURSUANT TO 807 KAR 5:011, SECTION 9 (1)

BY: Jordan C. Neel
FOR THE PUBLIC SERVICE COMMISSION

DATE OF ISSUE May 31, 1996 DATE EFFECTIVE July 1, 1996

ISSUED BY Victor A. Staffieri President Louisville, Kentucky
NAME TITLE ADDRESS

C10a

LOUISVILLE GAS AND ELECTRIC COMPANY

4th Rev. SHEET NO. 2-A

CANCELLING 3rd Rev. SHEET NO. 2-A

P.S.C. OF KY. ELECTRIC NO. 4

STANDARD RIDER

Experimental Energy Conservation Rate
Rate R

Applicable:
In all territory served.

Availability - RESTRICTED:

Electric service shall be provided hereunder to residential customers who meet the following criteria:

(a) the customer must be a recipient of Low Income Home Energy Assistance Program (LIHEAP) benefits as certified by the Community Action Agency responsible for determining eligibility for LIHEAP benefits in Jefferson County or a similar agency operating outside Jefferson County, as applicable;

(b) the customer must not be a recipient of federally assisted housing rental programs; federally assisted housing rental programs shall include: public housing administered by a local public housing authority, programs in which customers receive rent and utility subsidies to live in housing owned by private landlords (commonly known as "Section 8"), and Farmers Home Administration rental assistance programs; such determination of whether a customer is a recipient of federally assisted housing rental programs is to be made by the same agency or agencies responsible for certification in paragraph (a), above;

(c) once formal energy conservation or education programs are made available, the customer has agreed to participate in a program, such determination to be made by the same agency or agencies responsible for certification in paragraph (a), above; or the customer has participated in a formal energy conservation or education program, such determination to be made by the management panels of these programs;

(d) the customer must receive both gas and electric service, according to LG&E's records; and

(e) the customer's usage of electric energy as shown on LG&E's customer information system is less than 14,000 kilowatt hours during the most recent 12-month period prior to enrollment hereunder unless the Customer has participated in a formal energy conservation or education program sponsored by LG&E.

Once each year, the Legal Aid Society, Inc. will provide LG&E a compilation of the lists of customers who currently meet criteria (a), (b), and (c), as certified by the agencies or groups indicated above. Eligible customers who also meet criteria (d) and (e) will be enrolled hereunder within 60 days of LG&E receiving such list of eligible customers. Enrollment of customers under this rider will occur once each year, and eligible customers will be enrolled for a period of 12 billing months. In subsequent years, the Legal Aid Society, Inc. shall provide

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OF KENTUCKY
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OCT 4 1994

With Bills Rendered
On and After
DATE OF ISSUE June 29, 1994 DATE EFFECTIVE October 4, 1994 T

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

ISSUED BY Victor A. Staffieri President Louisville, Kentucky
NAME ADDRESS

BY: [signature]
PUBLIC SERVICE COMMISSION MANAGER

Issued pursuant to an Order of the PSC of Ky. in Case No. 93-150 dated 5/19/94.

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STANDARD RIDER

Experimental Energy Conservation Rate
Rate R

Availability (Continued):

the list of eligible customers to LG&E at least 60 days prior to the end of the 12-month period. Any names submitted after the enrollment cutoff date (60 days prior to enrollment hereunder) shall not be eligible for service under this rider for the 12-month period to which enrollment applies; however, such customers will be eligible to enroll under this program in subsequent years.

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BY: [signature]

FOR THE PUBLIC SERVICE COMMISSION

Rate:

Winter Rate: (Applicable during 8 monthly billing periods of October through May)

First 600 kilowatt hours per month .... 4.813¢ per Kwh
Additional kilowatt hours per month .... 7.___¢ per Kwh

Summer Rate: (Applicable during 4 monthly billing periods of June through September)

First 600 kilowatt hours per month .... 5.268¢ per Kwh
Additional kilowatt hours per month .... 7.902¢ per Kwh

Fuel Clause, Demand-Side Management Cost Recovery Mechanism and Environmental Cost Recovery Surcharge: T

The monthly amount computed at the charges specified above shall be increased or decreased in accordance with the Fuel Clause set forth on Sheet No. 24, the Demand-Side Management Cost Recovery Component (DSMRC) set forth on Sheet Nos. 23-B through 23-I and the Environmental Cost Recovery Surcharge set forth on Sheet No. 23-K of this Tariff.

Prompt Payment Provision:

The monthly bill will be rendered at the above net charges (including net minimum bills when applicable) plus an amount equivalent to 5% thereof, which amount will be deducted provided bill is paid within 15 days from date.

Applicability of Rules:

Service under this rate schedule is subject to Company's rules and regulations governing the supply of electric service as incorporated in this Tariff, to the extent that such rules and regulations are not in conflict with nor inconsistent with the specific provisions set forth herein.

Special Terms and Conditions:

(1) If a customer served hereunder relocates in LG&E's service area between enrollment periods, the customer must notify LG&E of such relocation in order to continue to receive service under this rider.

(2) LG&E does not guarantee that all customers who may be eligible for service under this rider are being served hereunder, nor will LG&E make refunds representing the difference in charges between the rate under which service has actually been billed and another rate.

LOUISVILLE GAS AND ELECTRIC COMPANY

14th Rev. SHEET NO. 15-D

CANCELLING 13th Rev. SHEET NO. 15-D

P.S.C. OF KY. ELECTRIC NO. 4

SPPC-I

Small Power Production and Cogeneration
Purchase Schedule

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PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Gordon C. Neel
FOR THE PUBLIC SERVICE COMMISSION

Applicable:
In all territory served.

Availability:
Available to any small power production or cogeneration "qualifying facility" with capacity of 100 Kw or less as defined by the Kentucky Public Service Commission Regulation 807 KAR 5:054, and which contracts to sell energy or capacity or both to the Company.

Rates for Purchases from
Qualifying Facilities:

Energy Component Per Kilowatt-hour Delivered . . . . 1.072¢ R

Capacity Component Per Kilowatt-hour Delivered . . . . 0.409¢ I

Payment:
The Company shall pay each bill for electric power rendered to it in accordance with the terms of the contract, within 15 days of the date the bill is rendered. In lieu of such payment plan, the Company will, upon written request, credit the Customer's account for such purchases.

Term of Contract:
For contracts which cover the purchase of energy only, the term shall be one year, and shall be self-renewing from year-to-year thereafter, unless canceled by either party on one year's written notice.

For contracts which cover the purchase of capacity and energy, the term shall be 5 years.

Terms and Conditions:
1. Qualifying facilities shall be required to pay for any additional interconnection costs, to the extent that such costs are in excess of those that the Company would have incurred if the qualifying facility's output had not been purchased.

DATE OF ISSUE November 26, 1996 DATE EFFECTIVE January 1, 1997 CH98

ISSUED BY Victor A. Staffieri President Louisville, Kentucky
NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of Ky. in Case No. 95-239 dated 10/30/95.

DSMRM

Demand-Side Management Cost Recovery Mechanism

Applicable to: Residential Rate R, General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD. Customers served under Industrial Power Rate LP and Industrial Power Time-of-Day Rate LP-TOD who elect not to participate in a demand-side management program hereunder shall not be assessed a charge pursuant to this mechanism. T

The monthly amount computed under each of the rate schedules to which this Demand-Side Management Cost Recovery Mechanism is applicable shall be increased or decreased by the DSM Cost Recovery Component (DSMRC) at a rate per kilowatt hour of monthly consumption in accordance with the following formula:

DSMRC = DCR + DRLS + DSMI + DBA

Where: **DCR = DSM COST RECOVERY.** The DCR shall include all expected costs which have been approved by the Commission for each twelve-month period for demand-side management programs which have been developed by a collaborative process ("approved programs"). Such program costs shall include the cost of planning, developing, implementing, monitoring, and evaluating DSM programs. Program costs will be assigned for recovery purposes to the rate classes whose customers are directly participating in the program. In addition, all costs incurred by or on behalf of the collaborative process, including but not limited to costs for consultants, employees and administrative expenses, will be recovered through the DCR. Administrative costs that are allocable to more than one rate class will be recovered from those classes and allocated by rate class on the basis of the estimated avoided capacity and energy costs resulting from each program.

The cost of approved programs assigned or allocated to Residential Rate R or General Service Rate GS shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DCR for such rate class. The cost of approved programs assigned or allocated to Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD shall be allocated as either demand-related or energy-related on the basis of the respective percentage of avoided capacity cost or avoided energy cost to the total avoided cost estimated in the determination of the net resource savings for the program. For purposes of this tariff, net resource savings are defined as program benefits less the cost of the program, where program benefits will be calculated on the basis of the present value of LG&E's avoided costs over the expected life of the program, and will include both

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JUN 01 1998

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

BY: Stephan O Bell

SECRETARY OF THE COMMISSION

DATE OF ISSUE May 15, 1998 DATE EFFECTIVE June 1, 1998

ISSUED BY Stephen R Wood, President, Louisville, KY

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of KY in Case No. 97-083 dated 4/27/98.

C10/98

LOUISVILLE GAS AND ELECTRIC COMPANY

2nd Rev. SHEET NO. 23-B

CANCELLING 1st Rev. SHEET NO. 23-B

P.S.C. OF KY. ELECTRIC NO. 4

DSMRM

Demand-Side Management Cost Recovery Mechanism

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Applicable to: Residential Rate R, General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD. Customers served under Industrial Power Time-of-Day Rate LP-TOD who elect not to participate in a demand-side management program hereunder shall not be assessed a charge pursuant to this mechanism.

The monthly amount computed under each of the rate schedules to which this Demand-Side Management Cost Recovery Mechanism is applicable shall be increased or decreased by the DSM Cost Recovery Component (DSMRC) at a rate per kilowatt hour of monthly consumption and, where applicable, a rate per kilowatt of monthly billing demand, in accordance with the following formula:

DSMRC = DCR + DRLS + DSMI + DBA

Where: **DCR = DSM COST RECOVERY.** The DCR shall include all expected costs which have been approved by the Commission for each twelve-month period for demand-side management programs which have been developed by a collaborative process ("approved programs"). Such program costs shall include the cost of planning, developing, implementing, monitoring, and evaluating DSM programs. Program costs will be assigned for recovery purposes to the rate classes whose customers are directly participating in the program. In addition, all costs incurred by or on behalf of the collaborative process, including but not limited to costs for consultants, employees and administrative expenses, will be recovered through the DCR. Administrative costs that are allocable to more than one rate class will be recovered from those classes and allocated by rate class on the basis of the estimated avoided capacity and energy costs resulting from each program.

The cost of approved programs assigned or allocated to Residential Rate R or General Service Rate GS shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DCR for such rate class. The cost of approved programs assigned or allocated to Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD shall be allocated as either demand-related or energy-related on the basis of the respective percentage of avoided capacity cost or avoided energy cost to the total avoided cost estimated in the determination of the net resource savings for the program. For purposes of this tariff, net resource savings are defined as program benefits less the cost of the program, where program benefits will be calculated on the basis of the present value of LG&E's avoided costs over the expected life of the program, and will include both capacity and energy savings. The demand-related program costs thus determined shall be divided by the expected billing demand in kilowatt-months for the upcoming twelve-month period

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JAN 1 1994

PURSUANT TO 807 KAR 5:011, SECTION 9 (1)

BY: [signature]

PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE December 1, 1993 DATE EFFECTIVE With Bills Rendered On and After January 1, 1994

ISSUED BY Victor A. Staffieri — Sr. Vice Pres.-Gen. Counsel and Corporate Secretary — Louisville, Kentucky

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of Ky. in Case No. 93-150 dated 11/12/93.

C6/98

LOUISVILLE GAS AND ELECTRIC COMPANY

1st Rev. SHEET NO. 23-B

CANCELLING Original SHEET NO. 23-B

P.S.C. OF KY. ELECTRIC NO. 4

D

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PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 30 1985

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Jordan C. Neel

DATE OF ISSUE May 1, 1985 DATE EFFECTIVE April 30, 1985

ISSUED BY R. L. Royer President Louisville, Kentucky
NAME TITLE ADDRESS

C 1/94

DSMRM

Demand-Side Management Cost Recovery Mechanism (continued)

capacity and energy savings. The demand-related and energy-related program costs thus determined shall be combined and divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DCR applicable to each rate class. T

DRLS = DSM REVENUE FROM LOST SALES

For Residential Rate R, revenues from lost sales due to DSM will be recovered through the decoupling of revenues from actual sales. At the end of each twelve-month period after implementation of the Demand-Side Management Cost Recovery Mechanism, the non-variable revenue requirement (total revenue requirement less variable costs) approved for Residential Rate R in LG&E's most recent general rate case will be adjusted to reflect changes in the number of customers and the usage per customer as follows: (1) the non-variable revenue requirement in LG&E's most recent general rate case will be divided by the test-year-end number of customers in order to obtain the average non-variable revenue requirement per customer, which will then be multiplied by the average number of customers during the twelve-month period, (i.e., the sum of the monthly number of customers divided by twelve), (2) the non-variable revenue requirement will be multiplied by a factor F_g calculated by the following formula:

$$F_g = (1 + g)^{n/12}$$

Where: g = Growth factor (.0131), and
n = the number of months from the end of the test year in the most recent rate case to the end of the current twelve-month period.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JUN 01 1998

PURSUANT TO 807 KAR 5:011, SECTION 9 (1)

BY: Stephan O Bell

SECRETARY OF THE COMMISSION

At the end of each twelve-month period after implementation of the Demand-Side Management Cost Recovery Mechanism, the difference between the actual non-variable revenue billed during the twelve-month period and the adjusted non-variable revenue requirement, as described above, will be determined. This difference ("DRLS amount established for the twelve-month period") will be divided by the estimated kilowatt-hour sales for the upcoming twelve-month period to determine the DRLS for Residential Rate R.

For Non-Residential Rate Classes (General Service Rate GS, Large

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DSMRM

Demand-Side Management Cost Recovery Mechanism

to determine the demand-related DCR for such rate class. The energy-related program costs thus determined shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the energy-related DCR for such rate class.

DRLS = DSM REVENUE FROM LOST SALES. For Residential Rate R, revenues from lost sales due to DSM will be recovered through the decoupling of revenues from actual sales. At the end of each twelve-month period after implementation of the Demand-Side Management Cost Recovery Mechanism, the non-variable revenue requirement (total revenue requirement less variable costs) approved for Residential Rate R in LG&E's most recent general rate case will be adjusted to reflect changes in the number of customers and the usage per customer as follows: (1) the non-variable revenue requirement in LG&E's most recent general rate case will be divided by the test-year-end number of customers in order to obtain the average non-variable revenue requirement per customer, which will then be multiplied by the average number of customers during the twelve-month period, (i.e., the sum of the monthly number of customers divided by twelve), (2) the non-variable revenue requirement will be multiplied by a factor F_g calculated by the following formula: T

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OF KENTUCKY
EFFECTIVE

$$F_g = (1 + g)^{n/12}$$

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Where: g = Growth factor (.0131), and
n = the number of months from the end of the test year in the most recent rate case to the end of the current twelve-month period.

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Jordan C. Neel
FOR THE PUBLIC SERVICE COMMISSION

At the end of each twelve-month period after implementation of the Demand-Side Management Cost Recovery Mechanism, the difference between the actual non-variable revenue billed during the twelve-month period and the adjusted non-variable revenue requirement, as described above, will be determined. This difference ("DRLS amount established for the twelve-month period") will be divided by the estimated kilowatt-hour sales for the upcoming twelve-month period to determine the DRLS for Residential Rate R.

For General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD ("non-residential rate classes"), the DRLS shall be computed by multiplying the amount of kilowatt-hour sales and, where applicable, the kilowatt-months of billing demand that will be lost for each twelve-month period as a result of the implementation of the

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DSMRM

Demand-Side Management Cost Recovery Mechanism (continued)

Commercial Rate LC, Large Commercial Time-of -Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD), revenues from lost sales due to DSM programs implemented on and after the effective date of this tariff and will be recovered as follows: T

For each upcoming twelve-month period, the estimated reduction in customer usage (in Kwh) as determined for the approved programs shall be multiplied by the non-variable revenue requirement per Kwh for purposes of determining the lost revenue to be recovered hereunder from each customer class. The non-variable revenue requirement for the General Service customer class is defined as the weighted average price per Kwh of expected billings under the energy charges contained in the Rate GS rate schedule in the upcoming twelve-month period after deducting the variable costs included in such energy charges. The non-variable revenue requirement for each of the non-residential customer classes that are billed under demand and energy rates (Rates LC, LC-TOD, LP and LP-TOD is defined as the weighted average price per Kwh represented by the composite of the expected billings under the respective demand and energy charges in the upcoming twelve-month period, after deducting the variable costs included in the energy charges.

The lost revenues for each customer class shall then be divided by the estimated class sales (in Kwh) for the upcoming twelve-month period to determine the applicable DRLS surcharge. Recovery of revenue from lost sales calculated for a twelve-month period for non-residential rate classes shall be included in the DRLS for 36 months or until implementation of new rates pursuant to a general rate case, whichever comes first. Revenues from lost sales will be assigned for recovery purposes to the rate classes whose programs resulted in the lost sales.

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JUN 01 1998

PURSUANT TO 807 KAR 5:011 SECTION 9 (1)

BY: Stephan O Bell

SECRETARY OF THE COMMISSION

Revenues collected hereunder are based on engineering estimates of energy savings, expected program participation and estimated sales for the upcoming twelve-month period. At the end of each such period, any difference between the lost revenues actually collected hereunder and the lost revenues determined after any revisions of the engineering estimates and actual program participation are accounted for shall be reconciled in future billings under the DSM Balance Adjustment (DBA) component.

A program evaluation vendor will be selected to provide evaluation criteria against which energy savings will be estimated for that program. The engineering estimates of energy savings will be approved by the collaborative before the request for a new program is filed. Each program will be evaluated after implementation and any

DATE OF ISSUE May 15, 1998 DATE EFFECTIVE June 1, 1998

ISSUED BY Stephen R Wood President Louisville, KY

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of KY in Case No. 97-083 dated 4/27/98.

c10/98

DSMRM

Demand-Side Management Cost Recovery Mechanism N

approved programs times the energy charge for the applicable rate schedule, less the variable cost included in the charge, and the demand charges, respectively. The amount of lost energy revenues or demand revenues thus determined shall then be divided by the expected kilowatt-hour sales or expected billing demand in kilowatt-months for the upcoming twelve-month period to determine the DRLS. The lost revenue attributable to decreased sales under General Service Rate GS, Large Commercial Rate LC, and Large Commercial Time-of-Day Rate LC-TOD due to approved programs will be calculated through estimates agreed upon by the collaborative process, which may include engineering estimates, of the level of decreased kilowatt-hour energy sales and billing demand in kilowatt-months. The lost revenues attributable to decreased sales under Industrial Power Rate LP and Industrial Power Time-of-Day Rate LP-TOD due to approved programs will be calculated through actual measured levels of decreased kilowatt-hour energy sales and billing demand in kilowatt-months, adjusted for other factors (i.e., effects of weather and changes in production at the facility) to achieve the most accurate measurement of lost revenues. Recovery of revenues from lost sales calculated for a twelve-month period for non-residential rate classes shall be included in the DRLS for 36 months or until terminated by the implementation of new rates pursuant to a general rate case, whichever comes first. Revenues from lost sales will be assigned for recovery purposes to the rate classes whose programs resulted in the lost sales.

DSMI = DSM INCENTIVE. The DSM incentive amount shall be computed by multiplying the net resource savings expected from the approved programs which are to be installed during the upcoming twelve-month period times fifteen (15) percent. Net resource savings are defined as program benefits less the cost of the program, where program benefits will be calculated on the basis of the present value of LG&E's avoided costs over the expected life of the program, and will include both capacity and energy savings. The DSM incentive amount related to programs for Residential Rate R or General Service Rate GS shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DSMI for such rate class. The DSM incentive amount related to programs for Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD shall be allocated as either demand-related or energy-related in the same manner as programs costs are allocated as demand- or energy related. The demand-related DSM incentive amount thus determined shall be divided by the expected billing demand in kilowatt-months for the upcoming twelve-month period to determine the demand-related DSMI for such rate class. The energy-related incentive amount thus determined shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the

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OF KENTUCKY
EFFECTIVE

JAN 1 1994

PURSUANT TO 807 KAR 5:011
SECTION 9 (1)
BY: [signature]
PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE December 1, 1993 DATE EFFECTIVE With Bills Rendered On and After January 1, 1994

ISSUED BY Victor A. Staffieri Sr. Vice Pres.-Gen. Counsel and Corporate Secretary Louisville, Kentucky
NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of Ky. in Case No. 93-150 dated 11/12/93.

DSMRM

Demand-Side Management Cost Recovery Mechanism (continued)

revision of the original engineering estimates will be reflected in both (a) the retroactive true-up provided for under the DSM Balance Adjustment and (b) the prospective future lost revenues collected hereunder. T

DSMI = DSM INCENTIVE. For all Energy Impact Programs except Direct Load Control, the DSM incentive amount shall be computed by multiplying the net resource savings expected from the approved programs which are to be installed during the upcoming twelve-month period times fifteen (15) percent. Net resource savings are defined as program benefits less utility program costs and participant costs where program benefits will be calculated on the basis of the present value of LG&E's avoided costs over the expected life of the program, and will include both capacity and energy savings. For Energy Education and Direct Load Control Programs, the DSM incentive amount shall be computed by multiplying the annual cost of the approved programs which are to be installed during the upcoming twelve-month period times five (5) percent.

The DSM incentive amount related to programs for Residential Rate R, General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DSMI for such rate class. DSM incentive amounts will be assigned for recovery purposes to the rate classes whose programs created the incentive.

DBA = DSM BALANCE ADJUSTMENT. The DBA shall be calculated on a calendar year basis and is used to reconcile the difference between the amount of revenues actually billed through the DCR, DRLS, DSMI and previous application of the DBA and the revenues which should have been billed, as follows:

(1) For the DCR, the balance adjustment amount will be the difference between the amount billed in a twelve-month period from the application of the DCR unit charge and the actual cost of the approved programs during the same twelve-month period.

(2) For the DRLS applicable to Residential Rate R, the balance adjustment amount will be the difference between the amount billed during the twelve-month period from the application of the DRLS unit charge and the DRLS amount established for the same twelve month period.

(3) For the DSMI, the balance adjustment amount will be the difference between the amount billed during the twelve-

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OF KENTUCKY
EFFECTIVE

JUN 01 1998

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: Stephan O Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE May 15, 1998 DATE EFFECTIVE June 1, 1998

ISSUED BY Stephen R Wood President Louisville, KY

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of KY in Case No. 97-083 dated 4/27/98.

C 10/98

DSMRM

Demand-Side Management Cost Recovery Mechanism N

energy-related DSMI for such rate class. DSM incentive amounts will be assigned for recovery purposes to the rate classes whose programs created the incentive.

DBA = DSM BALANCE ADJUSTMENT. The DBA is used to reconcile the difference between the amount of revenues actually billed through the DCR, DRLS, DSMI and previous application of the DBA and the revenues which should have been billed, as follows:

(1) For the DCR, the balance adjustment amount will be the difference between the amount billed in a twelve-month period from the application of the DCR unit charge and the actual cost of the approved programs during the same twelve-month period.

(2) For the DRLS applicable to Residential Rate R, the balance adjustment amount will be the difference between the amount billed during the twelve-month period from the application of the DRLS unit charge and the DRLS amount established for the same twelve month period.

For the DRLS applicable to other rate schedules, the balance adjustment amount will be the difference between the amount billed during the twelve-month period from application of the DRLS unit charge and the amount of lost revenues determined for the actual DSM or measures implemented during the twelve-month period.

(3) For the DSMI, the balance adjustment amount will be the difference between the amount billed during the twelve-month period from application of the DSMI unit charge and the incentive amount determined for the actual DSM measures implemented during the twelve-month period.

(4) For the DBA, the balance adjustment amount will be the difference between the amount billed during the twelve-month period from application of the DBA and the balance adjustment amount established for the same twelve-month period.

For Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD, the above balance adjustment amounts will separated into both demand- and energy-related components. The balance adjustment amounts determined on the basis of the above paragraphs (1)-(4) shall include interest

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JAN 1 1994

PURSUANT TO 807 KAR 5:011, SECTION 9 (1)

BY: [signature]

PUBLIC SERVICE COMMISSION MANAGER

With Bills Rendered On and After

DATE OF ISSUE December 1, 1993 DATE EFFECTIVE January 1, 1994

ISSUED BY Victor A. Staffieri — Sr. Vice Pres.-Gen. Counsel and Corporate Secretary — Louisville, Kentucky

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of Ky. in Case No. 93-150 dated 11/12/93.

DSMRM

Demand-Side Management Cost Recovery Mechanism (continued)

month period from application of the DSMI unit charge and the incentive amount determined for the actual DSM measures implemented during the twelve-month period. T

(4) For the DBA, the balance adjustment amount will be the difference between the amount billed during the twelve-month period from application of the DBA and the balance adjustment amount established for the same twelve-month period.

The balance adjustment amounts determined on the basis of the above paragraphs (1)-(4) shall include interest applied to the monthly amounts, such interest to be calculated at a rate equal to the average of the "3-month Commercial Paper Rate" for the immediately preceding 12-month period. The total of the balance adjustment amounts shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the DBA for such rate class. DSM balance adjustment amounts will be assigned for recovery purposes to the rate classes to which over- or under-recoveries of DSM amounts were realized.

All costs recovered through the DSMRC will be assigned or allocated to LG&E's electric or gas customers on the basis of the estimated net electric or gas resource savings resulting from each program.

The filing of modifications to the DSMRC which require changes in the DCR component shall be made at least two months prior to the beginning of the effective period for billing. Modifications to other components of the DSMRC shall be made at least thirty days prior to the effective period for billing. Each filing shall include the following information as applicable:

(1) A detailed description of each DSM program developed by the collaborative process, the total cost of each program over the twelve-month period, an analysis of expected resource savings, information concerning the specific DSM or efficiency measures to be installed, and any applicable studies which have been performed, as available.

(2) A statement setting forth the detailed calculation of the DCR, DRLS, DSMI, DBA and DSMRC.

Each change in the DSMRC shall be placed into effect with bills rendered on and after the effective date of such change.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 01 1998

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan O Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE May 15, 1998 DATE EFFECTIVE June 1, 1998

ISSUED BY Stephen R Wood President Louisville, KY

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of KY in Case No. 97-083 dated 4/27/98.

C10198

DSMRM

Demand-Side Management Cost Recovery Mechanism N

applied to the monthly amounts, such interest to be calculated at a rate equal to the average of the "3-month Commercial Paper Rate" for the immediately preceding 12-month period. The total of the demand-related balance adjustment amounts, plus interest, shall be divided by the expected billing demand in kilowatt-months for the upcoming twelve-month period to determine the demand-related DBA for such rate class. The total of the energy-related balance adjustment amounts shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the energy-related DBA for such rate class. DSM balance adjustment amounts will be assigned for recovery purposes to the rate classes to which over- or under-recoveries of DSM amounts were realized.

All costs recovered through the DSMRC will be assigned or allocated to LG&E's electric or gas customers on the basis of the estimated net electric or gas resource savings resulting from each program.

The filing of modifications to the DSMRC which require changes in the DCR component shall be made at least two months prior to the beginning of the effective period for billing. Modifications to other components of the DSMRC shall be made at least thirty days prior to the effective period for billing. Each filing shall include the following information as applicable:

(1) A detailed description of each DSM program developed by the collaborative process, the total cost of each program over the twelve-month period, an analysis of expected resource savings, information concerning the specific DSM or efficiency measures to be installed, and any applicable studies which have been performed, as available.

(2) A statement setting forth the detailed calculation of the DCR, DRLS, DSMI, DBA and DSMRC.

Each change in the DSMRC shall be placed into effect with bills rendered on and after the effective date of such change.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JAN 1 1994

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)

BY: [signature]
PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE ~~December 1,~~ 1993 DATE EFFECTIVE With Bills Rendered On and After January 1, 1994

ISSUED BY Victor A. Staffieri, Sr. Vice Pres.-Gen. Counsel and Corporate Secretary, Louisville, Kentucky

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of Ky. in Case No. 93-150 dated 11/12/93.

C 6/98

Louisville Gas and Electric Company

8th Rev. Sheet No 23-G

Canceling 7th Rev. Sheet No 23-G

P.S.C. of KY. Electric No. 4

DSMRM

Demand-Side Management Cost Recovery Mechanism (continued)

Applicable to:

Residential Rate R, General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD. T

DSM Cost Recovery Component (DSMRC):

Residential Rate R	Energy Charge	
DSM Cost Recovery Component (DCR):	0.016 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.284 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.010) ¢/Kwh	I
DSMRC Rate R:	0.290 ¢/Kwh	
General Service Rate GS		
DSM Cost Recovery Component (DCR):	0.026 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.011 ¢/Kwh	
DSM Incentive (DSMI):	0.005 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.008) ¢/Kwh	
DSMRC Rate GS:	0.034 ¢/Kwh	I
Large Commercial Rate LC		
DSM Cost Recovery Component (DCR):	0.011 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.003 ¢/Kwh	
DSM Incentive (DSMI):	0.002 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.003) ¢/Kwh	
DSMRC Rate LC:	0.013 ¢/Kwh	I

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

JUN 01 1998

PURSUANT TO 807 KAR 5:011, SECTION 9 (1)

BY: Stephan O Bell

SECRETARY OF THE COMMISSION

DATE OF ISSUE May 15, 1998 DATE EFFECTIVE June 1, 1998

ISSUED BY Stephen R Wood President Louisville, KY

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of KY in Case No. 97-083 dated 4/27/98.

C0198

DSMRM

Demand-Side Management Cost Recovery Mechanism (continued)

Applicable to:

Residential Rate R, General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD.

DSM Cost Recovery Component (DSMRC):

Residential Rate R	Energy Charge	
DSM Cost Recovery Component (DCR):	0.015 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.284 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.010) ¢/Kwh	
DSMRC Rate R:	0.289 ¢/Kwh	I

General Service Rate GS		
DSM Cost Recovery Component (DCR):	0.012 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.008) ¢/Kwh	
DSMRC Rate GS:	0.004 ¢/Kwh	R

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 01 1998

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan O Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE February 27, 1998 DATE EFFECTIVE April 1, 1998

ISSUED BY Stephen R. Wood, President, Louisville, KY
NAME TITLE ADDRESS

C6/98

DSMRM

DSM Cost Recovery Mechanism

Applicable to:

Residential Rate R, General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD.

DSM Cost Recovery Component (DSMRC):

	Energy Charge	
Residential Rate R		
DSM Cost Recovery Component (DCR):	0.015 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.064 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.007) ¢/Kwh	
DSMRC Rate R:	0.072 ¢/Kwh	R
General Service Rate GS		
DSM Cost Recovery Component (DCR):	0.012 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.006) ¢/Kwh	
DSMRC Rate GS:	0.006 ¢/Kwh	

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUL 01 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Jordan C. Neel
FOR THE PUBLIC SERVICE COMMISSION

DATE OF ISSUE May 30, 1997 DATE EFFECTIVE With Bills Rendered On and After July 1, 1997

C 4/98

ISSUED BY M. L. Fowler (NAME) Vice President & Controller (TITLE) Louisville, Kentucky (ADDRESS)

LOUISVILLE GAS AND ELECTRIC COMPANY

5th Rev. SHEET NO. 23-G

CANCELLING 4th Rev. SHEET NO. 23-G

P.S.C. OF KY. ELECTRIC NO. 4

DSMRM

DSM Cost Recovery Mechanism

Applicable to:

Residential Rate R, General Service Rate GS, Large Commercial Rate LC, Large Commercial Time-of-Day Rate LC-TOD, Industrial Power Rate LP, and Industrial Power Time-of-Day Rate LP-TOD.

DSM Cost Recovery Component (DSMRC):

	Energy Charge	
Residential Rate R		
DSM Cost Recovery Component (DCR):	0.015 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.064 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.006) ¢/Kwh	
DSMRC Rate R:	0.073 ¢/Kwh	I
General Service Rate GS		
DSM Cost Recovery Component (DCR):	0.012 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.006) ¢/Kwh	
DSMRC Rate GS:	0.006 ¢/Kwh	R

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

APR 01 1997

PURSUANT TO 807 KAR 5:011, SECTION 9 (1)

BY: Jordan C. Neel

FOR THE PUBLIC SERVICE COMMISSION

DATE OF ISSUE February 28, 1997 DATE EFFECTIVE With Bills Rendered On and After April 1, 1997

ISSUED BY Victor A. Staffieri, President, Louisville, Kentucky

NAME TITLE ADDRESS

c 7/97

Louisville Gas and Electric Company

6th Rev. Sheet No 23-H

Canceling 5th Rev. Sheet No 23-H

P.S.C. of KY. Electric No. 4

DSMRM

Demand-Side Management Cost Recovery Mechanism (continued) T

DSM Cost Recovery Component (DSMRC):
(Continued)

Large Commercial Time-of-Day Rate LC-TOD	Energy Charge
DSM Cost Recovery Component (DCR):	0.021 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.006 ¢/Kwh
DSM Incentive (DSMI):	0.004 ¢/Kwh
DSM Balance Adjustment (DBA):	(0.007) ¢/Kwh
DSMRC Rate LC-TOD:	0.024 ¢/Kwh I

Industrial Power Rate LP	
DSM Cost Recovery Component (DCR):	0.000 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
DSMRC Rate LP:	0.000 ¢/Kwh

Industrial Power Time-of-Day Rate LP-TOD	
DSM Cost Recovery Component (DCR):	0.000 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh
DSM Incentive (DSMI):	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	0.000 ¢/Kwh
DSMRC Rate LP-TOD:	0.000 ¢/Kwh

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JUN 01 1998

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY Stephan O Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE May 15, 1998 DATE EFFECTIVE June 1, 1998

ISSUED BY Stephen R Wood President Louisville, KY
NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of KY in Case No. 97-083 dated 4/27/98.

C10198

LOUISVILLE GAS AND ELECTRIC COMPANY

5th Rev. SHEET NO. 23-H

CANCELLING 4th Rev. SHEET NO. 23-H

P.S.C. OF KY. ELECTRIC NO. 4

DSMRM

DSM Cost Recovery Mechanism

DSM Cost Recovery Component (DSMRC): (Continued)

	Energy Charge	
Large Commercial Rate LC		
DSM Cost Recovery Component (DCR):	0.005 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.003) ¢/Kwh	
DSMRC Rate LC	0.002 ¢/Kwh	R
Large Commercial Time-of-Day Rate LC-TOD		
DSM Cost Recovery Component (DCR):	0.010 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	0.000 ¢/Kwh	
DSM Incentive (DSMI):	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	(0.007) ¢/Kwh	
DSMRC Rate LC-TOD	0.003 ¢/Kwh	R

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 01 1998

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan O Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE February 27, 1998 DATE EFFECTIVE April 1, 1998

ISSUED BY Stephen R. Wood — President — Louisville, Kentucky
NAME — TITLE — ADDRESS

c 698

LOUISVILLE GAS AND ELECTRIC COMPANY

4th Rev. SHEET NO. 23-H

CANCELLING 3rd Rev. SHEET NO. 23-H

P.S.C. OF KY. ELECTRIC NO. 4

DSMRM

DSM Cost Recovery Mechanism

DSM Cost Recovery Component (DSMRC):
(Continued)

Large Commercial Rate LC

	Demand Charge	Energy Charge	
DSM Cost Recovery Component (DCR):	$0.00 /Kw/Month	0.005 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	$0.00 /Kw/Month	0.000 ¢/Kwh	
DSM Incentive (DSMI):	$0.00 /Kw/Month	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	$0.00 /Kw/Month	(0.002) ¢/Kwh	
DSMRC Rate LC	$0.00 /Kw/Month	0.003 ¢/Kwh	R

Large Commercial Time-of-Day Rate LC-TOD

	Demand Charge	Energy Charge	
DSM Cost Recovery Component (DCR):	$0.00 /Kw/Month	0.010 ¢/Kwh	
DSM Revenues from Lost Sales (DRLS):	$0.00 /Kw/Month	0.000 ¢/Kwh	
DSM Incentive (DSMI):	$0.00 /Kw/Month	0.000 ¢/Kwh	
DSM Balance Adjustment (DBA):	$0.00 /Kw/Month	(0.005) ¢/Kwh	
DSMRC Rate LC-TOD	$0.00 /Kw/Month	0.005 ¢/Kwh	R

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

APR 01 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Jordan C. Neel
FOR THE PUBLIC SERVICE COMMISSION

DATE OF ISSUE February 28, 1997 DATE EFFECTIVE With Bills Rendered On and After April 1, 1997

ISSUED BY Victor A. Staffieri, President, Louisville, Kentucky
NAME TITLE ADDRESS

C 4/98

LOUISVILLE GAS AND ELECTRIC COMPANY

Original SHEET NO. 23-I

CANCELLING SHEET NO.

P.S.C. OF KY. ELECTRIC NO. 4

DSMRM

DSM Cost Recovery Mechanism N

DSM Cost Recovery Component (DSMRC):
(Continued)

	Demand Charge	Energy Charge
Industrial Power Rate LP		
DSM Cost Recovery Component (DCR):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSM Incentive (DSMI):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSMRC Rate LP	$0.00 /Kw/Month	0.000 ¢/Kwh
Industrial Power Time-of-Day Rate LP-TOD		
DSM Cost Recovery Component (DCR):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSM Revenues from Lost Sales (DRLS):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSM Incentive (DSMI):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSM Balance Adjustment (DBA):	$0.00 /Kw/Month	0.000 ¢/Kwh
DSMRC Rate LP-TOD	$0.00 /Kw/Month	0.000 ¢/Kwh

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

JAN 1 1994

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: [signature]
PUBLIC SERVICE COMMISSION MANAGER

DATE OF ISSUE ~~December 1~~, 1993 DATE EFFECTIVE With Bills Rendered On and After January 1, 1994

ISSUED BY Victor A. Staffieri — Sr. Vice Pres.-Gen. Counsel and Corporate Secretary — Louisville, Kentucky
NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of Ky. in Case No. 93-150 dated 11/12/93.

C10/9

Louisville Gas and Electric Company

Original Sheet No 23-M

Canceling Sheet No

P.S.C. of KY. Electric No. 4

Standard Rider MSR

Merger Surcredit Rider

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 12 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan O Bell
SECRETARY OF THE COMMISSION

Availability:
In all territory served.

Applicable:
To all electric rate schedules.

Surcredit:
The monthly billing amount computed under each of the rate schedules to which this surcredit is applicable shall be adjusted by the Merger Surcredit Factor, which shall be calculated in accordance with the following formula:

Merger Surcredit Factor = MS + BA

Where:

(MS) is the Merger Surcredit which is based on the total Company net savings that are to be distributed to Company's customers in each 12-month period.

	Net Savings to be Distributed	Merger Surcredit (MS)
Year 1	$ 6,183,320	1.109%
Year 2	9,018,830	1.587%
Year 3	12,168,065	2.103%
Year 4	13,355,755	2.265%
Year 5	14,702,775	2.451%

(BA) is the Balancing Adjustment for the second through the twelfth months of the current distribution year which reconciles any over- or under-distribution of the net savings from prior periods. The Balancing Adjustment will be determined by dividing the differences between amounts which were expected to be distributed and the amounts actually distributed from the application of the Merger Surcredit Factor from the previous year by the expected retail sales revenue. The final Balancing Adjustment will be applied to customer billings in the second month following the fifth distribution year.

DATE OF ISSUE October 1, 1997 DATE EFFECTIVE Not effective until consummati of merger. A new tariff will be ~~filed at that time.~~

ISSUED BY Stephen R. Wood President Louisville, KY

NAME TITLE ADDRESS

Issued pursuant to an Order of the PSC of Ky. in Case No. 97-300 dated 9/12/97.

C 7/98

Louisville Gas and Electric Company

Original Sheet No 23-N

Canceling Sheet No

P.S.C. of KY. Electric No. 4

Standard Rider MSR

Merger Surcredit Rider

Terms of Distribution

1. The total distribution to Company's customers will, in no case, be less than the sum of the amounts shown above.

2. In the event that the total actual combined cost for LG&E and KU to achieve the merger is less than $77,220,000, one-half of the additional net savings resulting therefrom shall be distributed to the customers of LG&E and KU based on a 47%/53% allocation between companies, respectively. Any such distribution shall occur in Year 5, via the Balancing Adjustment (BA).

3. On or before the 21st of the first month of each distribution year following Year 1, the Company will file with the Commission a status report of the Surcredit. Such report shall include a statement showing the amounts which were expected to be distributed and the amounts actually distributed in previous periods, along with a calculation of the Balancing Adjustment (BA) which will be implemented with customer billings in the second month of that distribution year to reconcile any previous over-or under distributions.

4. The Merger Surcredit shall be applied to the customer's bill following the rates and charges for electric service, but before application of the school tax, the franchise fee, sales tax or other similar items.

5. The Merger Surcredit (MS) for the fifth year shall remain in effect pending findings of a PSC formal proceeding for sharing the then projected levels of merger savings.

PUBLIC SERVICE COMMISSION
OF KENTUCKY
EFFECTIVE

SEP 12 1997

PURSUANT TO 807 KAR 5:011,
SECTION 9 (1)
BY: Stephan Bell
SECRETARY OF THE COMMISSION

DATE OF ISSUE October 1, 1997 DATE EFFECTIVE Not effective until consummation of merger. A new tariff will be filed at that time.

C 7/98

ISSUED BY Stephen R. Wood (NAME) President (TITLE) Louisville, KY (ADDRESS)

Issued pursuant to an Order of the PSC of Ky. in Case No. 97-300 dated 9/12/97.

RULES AND REGULATIONS GOVERNING THE SUPPLY OF ELECTRIC SERVICE

Underground Electric Extension Rules for New Residential Subdivisions

The Company will install underground electric distribution facilities within new residential subdivisions in accordance with its standard policies and procedures and the rules of the Public Service Commission of Kentucky applicable thereto (807 KAR 5:041E, Section 21, Electric) under the following conditions:

1. These rules shall apply only to 120/240 volt, single phase service to:

(a) Residential subdivisions containing ten or more lots for the construction of new residential buildings designed for less than five-family occupancy.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE

(b) High density, multiple-occupancy residential building projects consisting of two or more buildings not more than three stories above grade level and containing not less than five family units per building.

JAN 01 1997

PURSUANT TO 807 KAR 5:011, SECTION 9 (1)

2. When an Applicant has complied with these rules and with the applicable rules of the Public Service Commission, and has given the Company at least 120 days' written notice prior to the anticipated date of completion (i.e., ready for occupancy) of the first building in the subdivision, the Company will undertake to complete the installation of its facilities at least 30 days prior to such estimated date of completion. However, nothing herein shall be interpreted to require the Company to extend service to portions of subdivisions not under active development.

BY: FOR THE PUBLIC SERVICE COMMISSION

3. Any Applicant for underground distribution facilities to a residential subdivision, as described in Paragraph 1(a) above, shall pay to the Company, in addition to such refundable deposits as may be required in accordance with Paragraph 5 below, a unit charge of $1.90 per aggregate lot front foot along all streets contiguous to the lots to be served underground. Such payment shall be non-refundable. I

4. The Company will install underground single-phase facilities to serve high-density, multiple-occupancy residential building projects, as described in Paragraph 1(b) above, as follows:

(a) Where such projects have a density of not less than eight family units per acre, at no charge to the Applicant except where a refundable deposit may be required in accordance with Paragraph 5 below.

(b) Where such buildings are widely separated and have a density of less than eight family units per acre, at a cost to the Applicant equivalent to the difference between the actual cost of constructing the underground distribution system and the Company's estimated cost for construction of an equivalent overhead distribution system, the latter including an allowance of not less than $50 per service drop required. Such payment shall be non-refundable.

C1/98

DATE OF ISSUE November 26, 1996 DATE EFFECTIVE January 1, 1997

ISSUED BY Victor A. Staffieri President Louisville, Kentucky
NAME TITLE ADDRESS

Issued by authority of an Order of the PSC of Ky. in Adm. Case No. 146 dated 2/2/73.

RULES AND REGULATIONS GOVERNING THE SUPPLY OF ELECTRIC SERVICE

Underground Electric Extension Rules for New Residential Subdivisions (Cont'd)

5. The Applicant may be required to advance to the Company the full estimated cost of construction of its underground electric distribution extension. This advance, to the extent it exceeds the non-refundable charges set forth above, shall be subject to refund.

(a) In the case of residential subdivisions, this advance, if required, shall be calculated at a unit charge of $8.62 per aggregate front-foot and the refund shall be made, on the basis of 2000 times the amount by which such unit charge advance exceeds the non-refundable unit charge set forth in Paragraph 3 above, for each permanent customer connected to the underground distribution system during the ten year period following the date such advance is made. R

(b) In the case of high-density, multiple-occupancy residential building projects, this advance, if required, shall be based on construction costs for the project as estimated by the Company and shall be refunded, to the extent such advance exceeds any non-refundable charges applicable, when permanent service is commenced to 20 percent of the family units in the project, provided such conditions are met within ten years following the date such advance is made.

(c) In no case shall the refunds provided for herein exceed the amounts deposited less those non-refundable charges applicable to the project.

6. Where, upon mutual agreement by the Company and the Applicant, the Applicant performs the trenching and/or backfilling in accordance with the Company's specifications, the Company will credit the Applicant's costs in an amount equal to the Company's estimated cost for such trenching and/or backfilling. Such credit will be based on the system as actually designed and constructed.

PUBLIC SERVICE COMMISSION OF KENTUCKY EFFECTIVE JAN 01 1997 PURSUANT TO 807 KAR 5:011, SECTION 9 (1) BY: FOR THE PUBLIC SERVICE COMMISSION

7. In order that the Company may make timely provision for materials and equipment, a contract between an Applicant and the Company for an underground extension under these rules shall ordinarily be required at least six months prior to the date service in the subdivision is needed. The Applicant shall advance not less than 10% of the amounts due under the said contract at the time of its execution. The remaining amounts due shall be payable in full prior to the commencement of actual construction by the Company of its facilities.

8. Three-phase primary mains or feeders required within a subdivision to supply local distribution or to serve individual three-phase loads may be overhead unless underground facilities are required by governmental authorities or chosen by Applicant, in either of which cases the differential cost of underground shall be borne by the Applicant.

9. Unit charges, where specified herein, are determined from the Company's estimate of the average unit cost of such construction within its service area and the "estimated average cost differential," if any and here applicable, between the estimated average cost of underground distribution systems in residential subdivisions and the estimated cost of equivalent overhead distribution systems in representative residential subdivisions.