

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC APPLICATION OF CARROLL)	
COUNTY WATER DISTRICT #1 FOR AN)	CASE NO.
ADJUSTMENT OF RATES PURSUANT TO 807)	2021-00475
KAR 5:076)	

COMMISSION STAFF'S SECOND REQUEST FOR INFORMATION
TO CARROLL COUNTY WATER DISTRICT #1

Carroll County Water District #1 (Carroll District #1), pursuant to 807 KAR 5:001, is to file with the Commission an electronic version of the following information. The information requested is due on March 24, 2022. The Commission directs Carroll District #1 to the Commission's July 22, 2021 Order in Case No. 2020-00085¹ regarding filings with the Commission. Electronic documents shall be in portable document format (PDF), shall be searchable, and shall be appropriately bookmarked.

Each response shall include the question to which the response is made and shall include the name of the witness responsible for responding to the questions related to the information provided. Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or the person supervising the preparation of the response on behalf of the entity that the

¹ Case No. 2020-00085, *Electronic Emergency Docket Related to the Novel Coronavirus COVID-19* (Ky. PSC July 22, 2021), Order (in which the Commission ordered that for case filings made on and after March 16, 2020, filers are NOT required to file the original physical copies of the filings required by 807 KAR 5:001, Section 8).

response is true and accurate to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

Carroll District #1 shall make timely amendment to any prior response if Carroll District #1 obtains information that indicates the response was incorrect when made or, though correct when made, is now incorrect in any material respect. For any request to which Carroll District #1 fails or refuses to furnish all or part of the requested information, Carroll District #1 shall provide a written explanation of the specific grounds for its failure to completely and precisely respond.

Careful attention shall be given to copied material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When applicable, the requested information shall be separately provided for total company operations and jurisdictional operations. When filing a paper containing personal information, Carroll District #1 shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

1. Refer to the Application, Attachment No. 5, Schedule of Adjusted Operations, and Carroll District #1's 2020 Annual Report, page 57.² Purchase Water expense in the application is \$4,910 but the annual report reports zero water purchased. Reconcile this difference.

² *Annual Report of Carroll County Water District #1 to the Public Service Commission for the Calendar Year Ended December 31, 2020* (Annual Report) at 57.

2. Refer to the Application, Attachment No. 5, Schedule of Adjusted Operations, Adjustment B. Provide the amount reported for late fees for the calendar years 2017 and 2018.

3. Provide the following information concerning the costs for the preparation of this case.

a. A detailed schedule of expenses incurred to date for the following categories:

- (1) Accounting;
- (2) Engineering;
- (3) Legal;
- (4) Consultants; and
- (5) Other Expenses (Identify separately).

b. For each category identified in item 3.a., the schedule should include the date of each transaction, check number or other document reference, the vendor, the hours worked, the rates per hour, amount, a description of the services performed, and the account number in which the expenditure was recorded. Provide copies of contracts or other documentation that support charges incurred in the preparation of this case. Identify any costs incurred for this case that occurred during the test year.

c. An itemized estimate of the total cost to be incurred for this case. Expenses should be broken down into the same categories as identified in Item 3.a., with an estimate of the hours to be worked and the rates per hour. Include a detailed explanation of how the estimate was determined, along with all supporting workpapers and calculations.

d. Provide monthly updates of the actual costs incurred in conjunction with this rate case, reported in the manner requested in Items 3.a. and 3.b., and a cumulative total of cost incurred to date for each category. Updates will be due when the utility files its monthly financial statements with the commission, through the month of the response to the Staff Report.

4. Refer to Carroll District #1's response to Commission Staff's First Request for Information (Staff's First Request), Item 1j.

a. Identify the name and title of the person who authorized the payment of benefits to the commissioners.

b. Provide a copy of the minutes for the meeting during which the commissioners' non-salary benefits was decided.

5. Refer to Staff's First Request, Item 4, Exhibit CC1.4, Nonrecurring Charges.

a. The connection charge of \$65 multiplied by 344 occurrences equals \$22,360. The amount stated in Exhibit CC1.4 equals \$22,185. Reconcile this difference.

b. The disconnection charge of \$65 (per the Tariff) multiplied by 194 occurrences equals \$12,610. The amount stated in Exhibit CC1.4 equals \$12,585. Reconcile this difference.

6. Refer to Staff's First Request, Item 5, Exhibit CC1.5 Cost Justification. Provide the cost justification sheet for the disconnection charge.

7. Refer to Staff's First Request, Item 9. Explain whether the labor and materials expense used to install the new meters is included in the Salaries and Wages-Employees, and the Materials and Supplies expenses.

Nancy Nussel for

Linda C. Bridwell, PE
Executive Director
Public Service Commission
P.O. Box 615
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DATED MAR 04 2022

cc: Parties of Record

Case No. 2021-00475

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