COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

RIGGS CONSTRUCTION, LLC

ALLEGED VIOLATION OF UNDERGROUND FACILITY DAMAGE PREVENTION ACT CASE NO. 2021-00337

OPENING ORDER

Riggs Construction, LLC (Riggs Construction) has been named as a party to an investigation before the Kentucky Public Service Commission (Commission) for allegedly violating the Kentucky Underground Facility Damage Prevention Act (Act).¹

Pursuant to KRS 278.495(2), the Commission has jurisdiction to regulate the safety of natural gas facilities in Kentucky and to enforce minimum federal pipeline safety standards. Under the Act, the Commission has authority to investigate and assess civil penalties for any violation of the Act that results in excavation damage to an underground facility used to transport natural gas or hazardous liquid subject to federal pipeline safety laws, 49 U.S.C. § 60101, *et seq.* Any person who violates any provision of the Act is subject to assessment of a civil penalty in the amount of \$250 for the first offense, no more than \$1,000 for the second offense within one year, and no more than \$3,000 for the third and any subsequent offense. Additionally, any person whose violation of the Act results in damage to an underground facility containing any flammable, toxic, corrosive,

¹ KRS 367.4901 through KRS 367.4917.

or hazardous material or results in the release of any flammable, toxic, corrosive, or hazardous material is subject to an additional penalty of up to \$1,000.

Following receipt of a report of excavation damage to its underground gas pipeline from an operator, Commission Staff (Staff) conducted an investigation and prepared an Incident Report, a copy of which is attached to this Order as an Appendix. In its Incident Report, Staff cites Riggs Construction for violation of the following provision of the Act:

> KRS 367.4911(1)(a) – Each excavator, or person responsible for an excavation, planning excavation or demolition work shall, not less than two (2) full working days nor more than ten (10) full working days prior to commencing work, notify each affected operator of the excavator's intended work and work schedule. Contacting the applicable protection notification centers shall satisfy this requirement.

Based on its review of the Incident Report and being otherwise sufficiently advised,

the Commission finds prima facie evidence that Riggs Construction violated the

Underground Facility Damage Prevention Act. The Commission further finds that a formal

investigation into the excavation damage incident should be conducted.

This proceeding was established on August 9, 2021 with the issuance of an

Opening Order. The attempt to perfect service of process for the previously issued

Opening Order was unsuccessful. The Opening Order is now being reissued with the

intent to perfect service of process on Riggs Construction.

IT IS THEREFORE ORDERED that:

1. Riggs Construction shall submit to the Commission, via email sent to <u>PSCED@ky.gov</u> with the case number of this proceeding in the subject line of the message, a written response to the allegations contained in the Staff Incident Report within 20 days of receipt of this Order.

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2. Any partnership, corporation, or business entity (including a limited liability company) must be represented by an attorney licensed to practice law in Kentucky, and such representation includes the filing of papers with and appearances at hearings before the Commission.

3. An individual may submit a response to the allegations contained in the Staff Incident Report without the representation of an attorney.

4. The Staff Incident Report 43492 in the Appendix to this Order is made a part of the record in this case.

5. Please note that notice of a hearing to be held in this matter will be mailed to Riggs Construction once proof of service is received by the Commission. Parties who fail to appear after service will be subject to entry of a default judgment against them.

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By the Commission



ATTEST:

Bidwell

Executive Director

Case No. 2021-00337

APPENDIX

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2021-00337 DATED SEP 29 2021

FIFTEEN PAGES TO FOLLOW

PIPELINE DAMAGE INVESTIGATION REPORT

Investigation Information

	<u> </u>			
KY PSC Investigator(s) Eric V. Tout Incident Date 3/30/2021 3:46:00 PM		Incident ID Report Submitte	43492 Key 7461 ed 4/12/2021 8:41:53 AM	
	OPERATOR INFOR	RMATION		
OperatorColumbiaPhone(859) 53Incident Location200 Sparrow CtRussell, KY 41169Greenup	a Gas of Kentucky, Inc. 7-2123	Email	James Rice JamesRice@NiSource.com I umber 2103300213	
EXCAVATOR INFORMATION				
Excavator AddressLocate Ticket NumberRiggs Construction, LLC2325 Winchester AveAshland, KY 41101			cecilriggs4@gmail.com	
INCIDENT The Operator reports that the Excavator damaged a one-inch gas service, with mechanized equipment and failed to wait the required two days before excavating.				

The Damage Report (DR) is included as Attachment 1.

DR Summary

Dit Gammary				
Excavation Within 18" (Approximate Location)	Type Of Equipment Used	Cost of Damage	Amount Billed	
Did not wait 2 days	Mechanized / Not specified	\$850.00	\$850.00	

Locate Ticket (LT) Summary

	Ticket #	Valid	Initial Date	Expiration Date (21 calendar days from Initial date)	Incident Date	# of Days Expired	Within Scope of Excavation
2	103300213	Yes	03/30/21 08:09	Did not wait 2 days	03/30/21 15:46	0	Yes

The Locate Ticket(s) is/are included as Attachment 2.

INVESTIGATION

A review of LT...0213 revealed the ticket was obtained at 08:09 and the positive response indicates the facilities were marked with a conflict (See Attachment 2 & LT Summary). *Note:* 811, Carly Dunsmore, stated that the Positive Response notation "Does conflict" indicates that the Operator has facilities in the area needing marked and the Operator marked the underground facilities as noted on this LT.

On 04/29/21 an attempt to contact the Excavator at 606-393-5171 resulted in a message stating the number is no longer in service. *Note:* The LT lists Mr. Riggs address as 929 N. 3rd St. Ironton, OH 45638, phone number 606-831-3385.

On 04/29/21 Mr. Riggs was contacted at 606-831-3385. Mr. Riggs stated that the area was located, but Columbia's locate company was unable to find the service, therefore Columbia attempted to locate the service with no success. Mr. Riggs stated that all parties involved knew he was digging and no one informed him that he need to stop.

On 04/29/21 the Operator, Columbia's James Rice, via email, provided the following documentations:

- Email with screen shot of Gas Lost:
 - <u>Email:</u> Mr. Rice states in the email that Mr. Rice confirmed with the locator that Mr. Riggs was informed not to excavate and the plant crew needed to come out and locate. *Note*: The "Do not dig" is documented in the Audit History for LT...0213 dated 03/30/21 at 3:13 pm see summary below and Attachment 3.
 - <u>Gas Lost</u>: Indicates that a Columbia employee was across the road from the incident location on another call when Mr. Riggs damaged the service line. Mr. Riggs was advised not to dig without markings, Mr. Riggs knew the LT was not due and Mr. Riggs knew not to dig. *Note:* Mr. Rice, via telephone, stated this note was written on the incident date and the conversation documented by

Columbia's Service Technician not to dig occurred before the damage.

• <u>Audit History for LT...0213:</u> Summary:

Date	Time	Details
03/30/21	08:14:46 AM	Ticket received
03/30/21	3:13:00 PM	Company Request (Ongoing): added by Brandon Meek, Locate Time: 3/30/2021 3:10:53 PM Note: CKY GAS main was un-locatable, DO NOT DIG until CKY GAS is located.
03/31/21	10:57:25 AM	Company Request (Ongoing): added by Brandon Meek, Locate Time: 3/31/2021 10:55:20 AM, Note: Hooked to repair exposed service line and ran service and main on left side. Spoke to crew onsite. DO NOT DIG IN FRONT OF PROPERTY until CKY GAS main is located.
4/2/2021	12:22:32 PM	CKY (1) MARKED: added by Brandon Meek, Locate Time: 4/2/2021 12:21:29 PM, Note: This ticket was damaged before the ticket was due. Marked out the rest of ticket after damage.

Mr. Rice's email, Gas Lost and, Audit History for LT...0213 are include as Attachment 3.

On 05/14/21 Mr. Riggs was receptive to attending the Damage Prevention Course and paying the reduced penalty of \$250.00. Mr. Riggs requested the Demand Letter be sent to 67 Buck Rd. Grayson, KY 41143.

VIOLATION KRS 367.4911

(1)(a) Each excavator, or person responsible for an excavation, planning excavation or demolition work shall, not less than two (2) full working days nor more than ten (10) full working days prior to commencing work, notify each affected operator of the excavator's intended work and work schedule. Contacting the applicable protection notification centers shall satisfy this requirement.

of Offences

(Including this incident)				
Excavator	Last Offence Incident #	Last Offence Incident Date	Revert to 1st Offence Date (Last Offence Date +365 Days)	
1 st	N/A	N/A	N/A	

Attachment 1

Print (PDFForm.aspx?Rpt=GasInvestigation&IG=43492)

Gas Excavation Damage Report

Columbia Gas of Kentucky, Inc.

Submitted 4/12/2021 Incident ID 43492

Set back to Edit Staff Edit

Incident Location Address:

Location				
Address	200 Sparrow Ct			
City	Russell			
State	KY			
County	Greenup			
Zip	41169			
Confirm Code	Y			
Number of Customers out	0			
Was gas or hazardous liquids contained in the underground facility?	Ø			
Was gas or hazardous liquids released into the atmosphere?		* 3/2020		
Locate Request Ticket No.	2103300213			
Excavator	Contractor			
Excavator Address:				
First Name	Cecil			
Last Name	Riggs			
Organization ID				
Company				

Phone Riggs Construction
Email cecilriggs4@gmail.com

excavator

Address	2325 Winchester Ave
City	Ashland
State	KY
Zip	41101
Confirm Code	Y
Excavation Damage	Other insufficient excavation practices
Description of incident	Riggs Construction struck a plastic one inch natural gas service line with mechanized equipment.
Summary Information	
Full details such as the	Riggs Construction was excavating with mechanized equipment on a locate ticket that had not cleared. The required 2 working days after receiving
	notification from the excavator had not expired. While excavating, Riggs Construction damaged a plastic one inch natural gas service line.
	A plastic one inch natural gas service line was severed, and the service line was repaired.
Steps taken to prevent	\$850.00 CKY employees advised Riggs Construction of the 811 dig laws and to wait the required time before beginning excavation activities.
Amount billed to	\$850.00

\$850.00

Attachment 2

Ticket

NORMAL NOTICE Ticket : 2103300213 Date: 03/30/2021 Time: 08:09 Oper: MEBERLE Chan:000 State: KY Cnty: GREENUP City: FLATWOODS Subdivision: KENWOOD Address : 200 Street : SPARROW CT Cross 1 : PHEASANT CT Location: LOCATE THE ENTIRE PROPERTY Boundary: n 38.511728 s 38.510283 w **-**82.701353 e -82.698424 Work type : INSTALL CATCH BAIS AND DRAIN PIPES Done for : DR GUPTA Start date: 04/01/2021 Time: 08:30 Hours notice: 48/48 Priority: NORM Ug/Oh/Both: U Blasting: NO Emergency: N Duration : N/A Depth: 6 FEET Company : RIGGS CONSTRUCTION Type: CONT Co addr : 929 N 3RD ST City : IRONTON State: OH Zip: 45638 Caller : CECIL RIGGS Phone: (606)831-3385 Contact : CECIL RIGGS - CELL Phone: Mobile : (606)831-3385 Email : CECILRIGGS4@GMAIL.COM Remarks : *** GEOCODED PLACE IS RUSSELL : Submitted date: 03/30/2021 Time: 08:09 Members: 0023 0176 0367 WINKY

Ticket

* Responses are current as of 04/15/2021 03:23 PM

Service Area	<u>Utility Type(s)</u>	Customer Service Contact	Positive Response
TIME WARNER CABLE	COMMUNICATIONS	TIME WARNER CABLE CUSTOMER SERVICE (800) 892-2253	Does conflict, underground facilities have been marked.
COLUMBIA GAS (CENTRAL ASHLAND) 0176	PIPELINE	COLUMBIA GAS CUSTOMER SERVICI (800) 432-9345	E Does conflict, underground facilities have been marked.
KENTUCKY POWER - AEP 0367	ELECTRIC	KENTUCKY POWER - AEP CUSTOMER SERVICE (800) 956-4237	2
WINDSTREAM KENTUCKY INC WINKY	TELEPHONE		

Attachment 3

Tout, Eric V (PSC)

From:	JamesRice@nisource.com	
Sent:	Thursday, April 29, 2021 9:56 AM	
То:	Tout, Eric V (PSC)	
Subject:	Incident #: 43492	
Attachments:	Incident 43492 - 200 Sparrow Ct - Ticket History.pdf	

CAUTION PDF attachments may contain links to malicious sites. Please contact the COT Service Desk <u>ServiceCorrespondence@ky.gov</u> for any assistance.

Here you go Eric. Spoke with locator also to confirm that he told Mr. Riggs that a plant crew would need to come out and locate prior to excavating.

GAS LOST DAMAGE HOLE SIZE: 010 UNITS: XDIAMETER (IN.) □ AREA (SQ.IN.) HOW LONG DID GAS BLOV STABLE SUPPLY PRESSURE: 7,5 165 LINE SIZE: 010 *MATERIAL: CI - Cast Iron BLOWING OPEN: 🗆 YES X NO WAS GAS LOSS RE CU - Copper OT - Other IFYES, HOW: folded over and taped P – Plastic PI - Plastic Insert S - Steel ST - Steel Treated HOW LONG RESTRICTED: 2 HR: 25 MIN EXCESS IF MAIN, DISTANCE TO INTERSECTION OR MAPPABLE FEATURE: IF SERVICE, DISTANCE FROM DA FT. ******************************COMMENTS** ADDITIONAL FACTS OR COMMENTS: Was onsite ton Pr at 104 Pheasant ct across the road from a Service line was hit. GEGIL With Riggs Construction fore out service Cecil to not dig without an lines being marked. Cecil left the being able to get vehicle license plate info. He knew ticket was no knew not to dug.

Thanks,

James Rice Compliance Specialist Columbia Gas of Kentucky Cell: 859-537-2123 Office: 859-288-6328 Email: JamesRice@NiSource.com 0176 00048 KUPIa 03/30/2021 08:14:45 2103300213-00A NORM NEW STRT NORMAL NOTICE Ticket : 2103300213 Date: 03/30/2021 Time: 08:09 Oper: MEBERLE Chan:000 State: KY Cnty: GREENUP City: FLATWOODS Subdivision: KENWOOD Address : 200 Street : SPARROW CT Cross 1 : PHEASANT CT Location: LOCATE THE ENTIRE PROPERTY Boundary: n 38.511728 s 38.510283 w -82.701353 e -82.698424 Work type : INSTALL CATCH BAIS AND DRAIN PIPES Done for : DR GUPTA Start date: 04/01/2021 Time: 08:30 Hours notice: 48/48 Priority: NORM Ug/Oh/Both: U Blasting: NO Emergency: N Duration : N/A Depth: 6 FEET Company : RIGGS CONSTRUCTION Type: CONT Co addr : 929 N 3RD ST City : IRONTON State: OH Zip: 45638 Caller : CECIL RIGGS Phone: (606)831-3385 Contact : CECIL RIGGS - CELL Phone: Mobile : (606)831-3385 Email : CECILRIGGS4@GMAIL.COM Remarks : *** GEOCODED PLACE IS RUSSELL : Submitted date: 03/30/2021 Time: 08:09 Members: 0023 0176 0367 WINKY Audit History: 3/30/2021 8:14:46 AM: Received DETAILS: Ticket received for registration code 0176 3/30/2021 8:14:46 AM: Ticket Score Calculated DETAILS: Approved Contractors Smart Score calculated. Score value: 0 3/30/2021 8:14:46 AM: Ticket Score Calculated DETAILS: KY Critical Care Facilities if Score is 1000 calculated. Score value: 1 3/30/2021 8:14:46 AM: Ticket Score Calculated DETAILS: MD Critical Facilities if score is 115 or 116 calculated. Score value: 0 3/30/2021 8:14:46 AM: Ticket Score Calculated DETAILS: OH Critical Care Facilities if score is 1000 calculated. Score value: 0 3/30/2021 8:14:46 AM: Ticket Score Calculated DETAILS: OH Late Ticket Type Close calculated. Score value: 5 3/30/2021 8:14:46 AM: Ticket Score Calculated DETAILS: PA Critical Facilities if score is 1501 to 1606 calculated. Score value: 0 3/30/2021 8:14:47 AM: Ticket Score Calculated DETAILS: Risk Percentile calculated. Score value: 0 3/30/2021 8:14:47 AM: Ticket Score Calculated

Print Tickets

- DETAILS: VA Critical Care if Score is 100 calculated. Score value: 0
- 3/30/2021 8:14:47 AM: Put in Folder
- DETAILS: Put in OH-KY In House Screening by auto process
- NOTE: Auto Processed per Ticket Type
- 3/30/2021 8:14:47 AM: Ticket Queued
 - DETAILS: Ticket queued for delivery
- 3/30/2021 8:14:49 AM: Ticket Delivered

DETAILS: Ticket successfully sent to a web request device at https://integrator-ns.optimainxdr.com/ticket

3/30/2021 8:14:58 AM: Smart Score Saved

DETAILS: Risk Percentile saved. Score value: 72

- 3/30/2021 9:18:30 AM: Moved to Folder
 - DETAILS: Moved from OH-KY In House Screening to KY In House by Elaine Teague
 - REASON: Tickets in Conflict
- 3/30/2021 9:18:30 AM: Ticket Queued

DETAILS: Ticket queued for delivery

3/30/2021 9:18:31 AM: Ticket Queued

DETAILS: Ticket queued for delivery

3/30/2021 9:18:33 AM: Ticket Delivered

DETAILS: Ticket successfully sent to ICAssigners@nisource.com

3/30/2021 9:18:40 AM: Ticket Delivered

DETAILS: Ticket successfully sent to a web request device at https://integrator-ns.optimainxdr.com/ticket

3/30/2021 3:13:00 PM: Responded

- DETAILS: Company Request (Ongoing): added by Brandon Meek, Locate Time: 3/30/2021 3:10:53 PM, Units of Work: 1.00
- NOTE: CKY GAS main was unlocatable, DO NOT DIG until CKY GAS is located.
- 3/30/2021 3:13:00 PM: Positive Response to Center Queued
 - DETAILS: Positive Response to Center queued for delivery

3/30/2021 3:13:00 PM: Ticket Queued

- DETAILS: Ticket queued for delivery
- 3/30/2021 3:13:06 PM: Positive Response to Center Delivered

DETAILS: Positive Response to Center successfully sent

3/30/2021 3:13:07 PM: Ticket Delivered

DETAILS: Ticket successfully sent to a web request device at https://integrator-ns.optimainxdr.com/ticket

3/30/2021 3:13:18 PM: Smart Score Saved

DETAILS: Risk Percentile saved. Score value: 65

- 3/31/2021 10:57:25 AM: Responded
 - DETAILS: Company Request (Ongoing): added by Brandon Meek, Locate Time: 3/31/2021 10:55:20 AM, Units of Work: 2.00
 - *NOTE:* Hooked to repaired exposed service line and ran service and main on left side. Spoke to crew onsite. DO NOT DIG IN FRONT OF PROPERTY until CKY GAS main is located.
- 3/31/2021 10:57:25 AM: Ticket Queued

DETAILS: Ticket queued for delivery

3/31/2021 10:57:25 AM: Positive Response to Center Queued

Print Tickets

DETAILS: Positive Response to Center queued for delivery

3/31/2021 10:57:31 AM: Ticket Delivered

DETAILS: Ticket successfully sent to a web request device at https://integrator-ns.optimainxdr.com/ticket

- 3/31/2021 10:57:31 AM: Positive Response to Center Delivered
- DETAILS: Positive Response to Center successfully sent
- 4/2/2021 12:22:32 PM: Responded
 - DETAILS: CKY (1) MARKED: added by Brandon Meek, Locate Time: 4/2/2021 12:21:29 PM, Units of Work: 3.00
- NOTE: This ticket was damaged before the ticket was due. Marked out the rest of ticket after damage.
- 4/2/2021 12:22:32 PM: Ticket Queued
 - DETAILS: Ticket queued for delivery
- 4/2/2021 12:22:39 PM: Ticket Delivered

DETAILS: Ticket successfully sent to a web request device at https://integrator-ns.optimainxdr.com/ticket

4/2/2021 12:22:44 PM: Smart Score Saved

DETAILS: Risk Percentile saved. Score value: 67

*RIGGS CONSTRUCTION, LLC 929 N. 3rd Street Ironton, OHIO 45638