

COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ELECTRONIC APPLICATION OF	)	
SOUTHERN WATER AND SEWER	)	CASE NO.
DISTRICT FOR AN ALTERNATIVE RATE	)	2018-00230
ADJUSTMENT	)	

COMMISSION STAFF'S THIRD REQUEST FOR INFORMATION TO  
SOUTHERN WATER AND SEWER DISTRICT

Southern Water and Sewer District (Southern District), pursuant to 807 KAR 5:001, is to file with the Commission the original in paper medium and an electronic version of the following information. The electronic version is due by 1 p.m. Eastern Standard Time, on Monday, January 7, 2019; the paper medium should be filed no later than the second business day following January 7, 2019, pursuant to 807 KAR 5:001, Section 8(12)(a). Responses to requests for information in paper medium shall be appropriately bound, tabbed, and indexed. Electronic documents shall be in portable document format (PDF), shall be searchable, and shall be appropriately bookmarked. Each response shall include the name of the witness responsible for responding to the questions related to the information provided.

Each response shall be answered under oath or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or the person supervising the preparation of the response on behalf of the entity that the response is true and accurate

to the best of that person's knowledge, information, and belief formed after a reasonable inquiry.

Southern District shall make timely amendment to any prior response if they obtain information that indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material respect. For any request to which Southern District fails or refuses to furnish all or part of the requested information, they shall provide a written explanation of the specific grounds for their failure to respond completely and precisely.

Careful attention shall be given to copied material to ensure that it is legible. When the requested information has been previously provided in this proceeding in the requested format, reference may be made to the specific location of that information in responding to this request. When filing a paper containing personal information, Southern District shall, in accordance with 807 KAR 5:001, Section 4(10), encrypt or redact the paper so that personal information cannot be read.

1. Provide a copy of Southern District's audit reports provided by its independent auditor for fiscal years 2015 and 2017.

2. Provide a copy of the written contract or operating agreement, if any, between Southern District and Prestonsburg City's Utilities Commission (PCUC), whereby Southern District is to continue to collect the sewer revenues and will pay PCUC a monthly fee to manage, operate, repair, and maintain its Harold/Betsy Layne, Eastern, and Wayland Wastewater Systems.

3. Provide a copy of the written contract or operating agreement, if any, between Southern District and PCUC for the operation and maintenance of the portions

of the Southern District's water distribution system located along U.S. Highway 23 from Mare Creek at Stanville, south to the Pike County line, including the areas east of U.S. 23 and the areas west of U.S. 23 known as Justell and Harold Bottom and that portion located along Kentucky Route 850 from the point where Southern District's Water Distribution System currently interconnects with PCUC near Kentucky Route 404 to the summit of the hill on Kentucky Route 850, including Southern District's water storage tank located at the summit of the hill on Kentucky Route 850 pending final approval of its sale by the appropriate agency of the United States Department of Agriculture.

4. Provide a detailed list identifying all compensation and all other payments made by Southern District, to each of its employees and any contract personnel, for the years 2016, 2017, and 2018. For each item, provide a detailed description of the purpose of the compensation or payment.

5. Provide a detailed list of the water system assets that have been transferred from Southern District to PCUC as part of the Asset Purchase Agreement approved in Commission Case No. 2017-00044.



Gwen R. Pinson  
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Public Service Commission  
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DATED JAN 03 2019

cc: Parties of Record

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