

Kentucky Rural Water Association

Helping water and wastewater utilities help themselves



December 11, 2017

DEC 1 8 2017

PUBLIC SERVICE COMMISSION

Ms. Gwen R. Pinson, Executive Director Public Service Commission P. O. Box 615 Frankfort, KY 40602-0615

Re: Case No. 2017-00434 - Filing Deficiency

Dear Ms. Pinson:

Kentucky Rural Water Association submitted an application requesting continuing education credit for Water District Commissioners who attended a training session held at McCreary Co. Water District on November 3, 2017. The deficiency notice references there were no copies of written materials given to water commissioners attending the program.

There were <u>no</u> handouts provided to attendees by the speaker at this class. Copies of the PowerPoints used are included, but attendees did not receive copies of the presentations.

If additional information is needed to cure the deficiency please let me know.

Sincerely,

And

Janet Cole Education Coordinator j.cole@krwa.org

Enclosures (6)

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Session 1: Safe Drinking Water Act and Clean Water Act

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Safe Drinking Water Act and Clean Water Act Randall Kelley Kentucky Rural Water Association

A Bit of History

- Treated water unavailable until late 1800's and early 1900's
- In cities many people stayed sick to one degree or another
- Rural areas less affected, but still had problems
- Waste disposal was non-existent in many cities

A Bit of History

- Cholera played an important role in drinking water history
 - Causes severe diarrhea
 - Can cause death if untreated
 - No affective treatment in 1800's
 - Outbreaks typically killed thousands
- Remember, this is well before the discovery of germs (microorganisms). Civil War.



Safe Drinking Water Act

- 1914 -- U.S. Public Health Service (PHS) developed first national standards
 - Bacteriological quality
 - Applied to water moving in interstate commerce (trains)

Safe Drinking Water Act

- 1946 PHS standards also applied to planes and buses, and standards now include some chemicals
- 1962 Last revisions to PHS drinking water standards.
 - Emphasis still on waterborne disease though some chemicals included
 - 20 standards-some just recommendations
 - Also recommended use of qualified personnel, water from protected sources, control of pollution of sources, chlorination of water from sources not adequately protected

Safe Drinking Water Act

- Dec. 2, 1970 USEPA formed
- Dec. 1974 Congress enacted the Safe Drinking Water Act (SDWA)
 Set standards for 17 contaminants
- 1977 Kentucky Division of Water received primacy over implementing SDWA
- 1986 Congress passed major amendments to the SDWA
 - EPA to regulate 83 contaminants by 1989
 - EPA to regulate 25 new contaminants every 3 years, would have been 258 by 2010

Safe Drinking Water Act

- 1996 Congress again passes major revisions to SDWA
 - Eliminated requirement for 25 new
 - contaminants every 3 years
 - Standards for about 90 contaminants
 - EPA must do cost benefit analysis in setting standards
 - Expanded consumer information requirements
 - Increased protection of source water

Safe Drinking Water Act

- Cooperative Roles of USEPA, State Primacy Agency, and Local Utilities
- EPA is authorized to
 - 1. Set National Drinking Water Regulations 2. Conduct special studies and research
 - 3. Oversee implementation of the Act

Safe Drinking Water Act

- Primacy agency is responsible for the administration and enforcement of the Act
- Drinking Water Suppliers
 - Day-to-day responsibility for meeting the regulations, Including

Routine Monitoring
 Reporting results to Regulatory Agency

Clean Water Act

- Clean Water Act passed in 1972
- · Cornerstone of surface water quality protection
- Governs discharges to "navigable waters"
- · Codified that no right to pollute waters exists in US
- Designed to sharply reduce direct pollutant discharges into waterways, finance municipal wastewater treatment facilities, and manage polluted runoff
- Uses both a regulatory and voluntary/educational approach to achieving goals

Clean Water Act

- What does this mean for utilities....
- Sewage Treatment Plants!!!!
- Also directly impacts stormwater runoff - CSO's
- Focused originally on chemical aspects
- Now has shifted to addressing problems through a watershed approach and the idea of "biological integrity"

Clean Water Act

- TMDLs Total Maximum Daily Loads
- Determine what level of pollutant load would be consistent with meeting water quality standards.
- TMDLs also allocate acceptable loads among sources of the relevant pollutants.

Clean Water Act

NPDES permit program Covers point sources of pollution discharging into a surface water body.

Section 319

Addresses nonpoint sources of pollution, such as most farming and forestry operations, largely through grants.

Clean Water Act

 State Revolving Funds (SRF) Provides large amounts of money in the form of loans for municipal point sources, nonpoint sources, and other activities.

Clean Water Act and the Supreme Court

- Rapanos v. United States
- Court attempted to clarify definition of 'waters of the United States' with respect to 'navigable waters'
- USACE position, essentially, was that the language gave them limitless authority
- Court found: Isolated bodies not covered
- Clean Water Restoration Act proposed changes to change all references to 'navigable waters' to 'waters of the United States'.

So where do they apply?

- Where does the Safe Drinking Water Act apply.
- Where does the Clean Water Act apply.













































Broadway blocked by aging sewer collapse

Repair work wrapping up after section of street caved in Monday

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Other Places

- The Clean Water Act may impact your system in other ways.
- You don't have to be in the wastewater business to be affected by the Clean Water Act.











































Lots of Issues!

- EPA
- DOW
- Safe Drinking Water Act
- Clean Water Act
- ETC
- ETC
- ETC
- But I still would rather have indoor plumbing.....



Drinking Water Related Disease Outbreaks in the United States

Disease Outbreaks in the US

- Center for Disease Control
 - Information about diseases
 - Symptoms
 - Outbreaks
 - Reports
 - -www.cdc.gov
 - Much of the information is geared towards healthcare professionals but can be beneficial to anyone in the water profession













Questions?

- Randall Kelley
 - Kentucky Rural Water Association
 - r.kelley@krwa.org

Session 2: Organization of Water and Wastewater Systems

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Organization of Water and Wastewater Utilities

Randall Kelley Kentucky Rural Water Association

What's So Great About Kentucky...



...Water and Wastewater Utilities?

7 Contributing Factors

for

Kentucky's Water & Wastewater Utilities

to rank

Among the Best in America!













7 Contributing Factors

Climate/Geography Federal Laws State Laws & Regs Federal Funding State Funding/Planning KRWA Natural Consolidation





Public Water Systems

A system for the provision to the public of water for human consumption through pipes or other constructed conveyances, if such system has at least fifteen service connections or regularly serves at least twenty-five individuals.

- CWS Community Water System (serves year-round)
- Examples: Municipalities, Water Districts, Water Associations, Privately-owned
- **TNCWS** Transient Non-Community Water System

Examples: Resorts, Restaurants, Motels, Campgrounds, State Parks

NTNCWS - Non-Transient Non-Community Water System

Examples: Schools, RV Parks, Industries, Senior Citizen Centers

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	/	rentuc	rky		
YEAR	TNCWS	NTNCWS	cws	PWS	CHANGE
1974	1058	252	868	2178	
1979	805	252	755	1812	-17 %
1989	400	215	639	1254	-31 %
1999	199	85	497	781	-38 %
2009	49	26	409	484	-38 %
2017	25	15	358	398	-18 %









	Pike County P	ublic Water S	ystems - 1974	
No.7 miles 8005				
	An International Control of Contr	Angeles Ma Angeles Ma Hard Societations Hard Soc		





















Percenta	age Serv	ed in Kentucky
Average Populat	tion Served	95.4%
 103 out of 120 0 	Countles	> 90% Serviceable
 Only 5 Countles 		< 75% Serviceable
Ŀ	east Serve	d Çounties
Hickman	38.6%	1,891 out of 4,902 people
Carlisle	39.6%	1,921 out of 5,104 people
Ballard	48.1%	3,859 out of 8,249 people
Calloway	67.5%	25,694 out of 37,191 people
Graves	68.7%	25,174 out of 37,121 people

All five counties are in the Purchase Region where groundwater is abundant.





Water Rate Con	ıparis	ons -	- 203	
	5,0	5,000 gallons		
	Average	Median	Outside	
All Cities (184)	\$32	\$31	\$41	
Small Cities (90) Index 1,003	\$37	\$36	\$48	
Medium Cities (53) 1.000 - 4.009	\$31	\$29	\$40	
Large Cities (43) Invertient	\$25	\$23	\$33	
Water Districts/Associations (134)	\$42	\$41	-	
All Utilities (322)	\$35	\$35	-	
Sources: KIA, KRWA, Cannon & Cannon				



	5,	5,000 gallons	
	Avecage	Median	Outside
d Cities (203)	\$35	\$33	\$41
mail Citias (122) neur 1,003	\$37	\$36	\$44
ledium Cities (41) .083- 2,789	\$32	\$31	\$39
erge Cities (40) ver 2,800	\$31	\$29	\$41
ther Utilities (89)	\$37	\$35	
U Utilities (292)	\$35	\$34	



Session 3: Internal Operations within a Utility



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"The problem with communication...is the illusion that it has been accomplished."

George Bernard Shaw

Board and Manager

Effective Communication is a Two-way Street!

Board > Management

Management > Board

Board and Manager











> Doesn't Micro-manage!

Beard and Manager



A Good Manager...

- Provides Accurate Information to the Board
- > Helps Board Set Reasonable Policies
- > Implements and Follows Policies!
- > Employs and Directs the Staff
- > Is Professional in all Relationships

> Doesn't Micro-manage!

















Investments

>Adopt a <u>written</u> Investment Policy that establishes how, when and where surplus funds are invested.

Beerd and Manager





Customer Service

- > Develop a customer-first approach.
 - New-Customer Package
 - Public Relations and Promotion
 - Stress Customer-Friendliness
- > if you make doing business easy for your customer, you make doing business easier!



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Session 4:

Enforcement

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DRINKING WATER ENFORCEMENT

Presented by Randall Kelley Kentucky Rural Water Association

phone: 270-843-2291

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ERP/ETT

- ERP Enforcement Response Policy
- ETT Enforcement Targeting Tool
- Focus on RTC (return to compliance)
- Looks at all violations incurred by system
- Policy & tool identify priority systems for enforcement response, provide a model to escalate responses to violations; define timely and appropriate actions and clarify what constitutes a formal action.

Enforcement Targeting Formula

- Calculates a score for each water system based on open ended violations over the past 5 years but does not include those that have RTC or on the 'path to compliance' through a specified enforcement actions.
- Formula only considers violations for Federallyregulated contaminants.
- In Kentucky it is the agreed order through the Division of Enforcement.

Enforcement Targeting Formula

Kentucky Rural Water Association

• Factors:

phone: 270-843-2291

o Violation severity factor

- 10 points for each health-based violation
- 5 points for other health –based violations and Total Coliform Rule (TCR) repeat monitoring violation and for each Nitrate monitoring and reporting violation.
- 1 point for other monitoring and reporting violations or any other violation
- o Number of years system violations have not been addressed from 0 to 5.

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- Once a system hits 11 points, Kentucky Division of Water refers the system to Division of Enforcement (DENF) for formal action.
- DENF will require an administrative conference to discuss the violations (will go back to the last agreed order or all violations even if they have been returned to compliance within last 5 years).

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phone: 270-843-2291

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Next Step of Enforcement

- Penalty and content of a draft agreed order will be discussed.
- Penalty and number of days in draft agreed order is a negation process similar to purchasing a house or vehicle.
- Each violation will be discussed and required follow-up actions.

Stage 2 Agreed Order requires

- System to determine where DBPs are forming in water plant.
 - Consider Step 2 alternative Total Organic Carbon (TOC) removal process.
 - o If DBPs are formed in the plant, optimize coagulation process (including pH adjustment) tor remove additional TOC.



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Next Step of Enforcement

Stage 2 requires

•Evaluate the pre-disinfection practices; determine if the point of pre-chlorination can be moved and still maintain adequate concentration contact time (CT inactivation)

Stage 2 requires

 System to determine where DBPs are forming in distribution system by conducting DBP monitoring in distribution system and at the master meter. A monitoring schedule and initial data collection are being required to be submitted to DENF within a specified number of days after execution of the Agreed Order.



Next Step of Enforcement

Stage 2 requires

- Look at
 - If booster chlorination is practice, evaluate if it contributes to DBPs
 - Evaluate tank turnover and system hydraulics to decrease water age
 - Consider benefits of a DPB PBT or a system hydraulic analysis

Stage 2 requires

- Develop a corrective action plan detailing steps taken and that will be taken to return system to and maintain compliance.
- CAP shall include timeline for completing corrective actions and date anticipating to return to compliance for 4 consecutive quarters.
- CAP will be submitted within a specified number of days after execution of the Agreed Order and will be reviewed by DOW.



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Next Step of Enforcement

Stage 2 requires

- Quarterly reports will be required to be submitted.
- Must include updates for items contained in corrective action plan.

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Penalties

- Most likely you will be have a civil penalty of no less than 4 figures if treatment facility. Purchasing systems have not been assessed a civil penalty.
- Stipulated penalties will be assessed for when a system fails to comply with any part of the agreed order.



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General Information

- Administrative conference held in Frankfort.
- If you take an attorney, you must let DENF know ahead of time so that they have at least one attorney there as well.
 - Otherwise you will make a trip to Frankfort for nothing or attorney asked to not attend.
- DENF has conducted some meetings by conference call.

Drinking Water Watch

Use this website to check your systems information such as contact information, population, sampling schedules, sample results, violations, etc...

https://dep.gateway.ky.gov/DWW/

phone: 270-843-2291

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Questions?

Randall Kelley Kentucky Rural Water Association r.kelley@krwa.org Office: 270.843.2291 Session 5: Lessons Learned for Drinking Water and Wastewater Systems from Flint, Michigan

There was no PowerPoint for this session. Instructor held an open discussion of the events in Flint, Michigan, how they relate to utilities in Kentucky, events leading up to the crisis, and the lessons to be learned to avoid such a disaster within a utility.

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Session 6: Nutrient Enrichment of Streams and Its Impact on Kentucky Utilities

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Gulf Hypoxia??

- What is that???
- Is it a new disease?
- Do I get it from lying on the beach too long?

Gulf Hypoxia

- 'Gulf Hypoxia' describes a condition affecting a large area in the Gulf of Mexico along the Louisiana and Texas coastlines.
- Area that has severely reduced levels of D.O.
- Primary cause is pollution coming from the Mississippi River.

Gulf Hypoxia

Often described as a "Dead Zone"

- Area where D.O. is too low to support life
 - · Fish leave
 - · Fish eggs, larvae die off
 - Other organisms die out
- Hypoxic zone has been the size of New Jersey and Massachusetts in previous years.



- What pollutants?
- Nitrogen: considered the primary cause
 Gulf waters are nitrogen limited
- Phosphorous: less of a problem, but a bigger problem than previously thought

Gulf Hypoxia

Seasonal

- Begins in late spring
- Fullest extent in late summer
- Disappears in late fall

- Nitrogen and Phosphorous occur naturally in our streams and rivers
- Comes from soils, bedrock, decaying plants, leaf litter, the atmosphere etc.
- It also occurs naturally in the ocean as wellCrucial to the growth of algae and aquatic
- vegetation
- Foundation of the food web

What Happens?

- Why then are Nitrogen and Phosphorous considered pollution?
- Problems begin when too much nitrogen and phosphorous get into aquatic and marine environments
- Promotes increased algae growth
 - Sounds like a good thing!
 - More food for aquatic organisms!
 - So what's the problem?

- Nutrient enrichment, 'eutrophication'
- Too much promotes an overabundance of algae
- Can promote the growth of the 'wrong' kind of algae
 - Difficult to eat
 - Toxins
- · All that algae has to go somewhere
 - Dies and decays









- Little streams flow into larger and larger streams
- Pick up more pollutants along the way
- Flow leads to the Mississippi River
- Eventually flows to the Gulf of Mexico

What Happens?

- Much of the nitrogen and phosphorous that ends up in big rivers flows to the Gulf
- Big rivers remove little of the
- phosphorous and nitrogen
- Tend to have high turbidity
- Little light for algae growth

- Nutrients end up in the Gulf.
- Prevailing currents in the Gulf move water towards Louisiana and Texas coasts
- Unlike the large rivers, Gulf water is clear, plenty of light
- Promotes excess algae growth near the surface



What happens?

- Algae eventually dies off and settles to the bottom and decays
- Dramatically increases BOD!
- Depletes D.O., especially on the bottom
- Gulf naturally around 5.0 mg/l D.O.
- Hypoxic area is 2.0 0 mg/l D.O.

Leads to....

- Mobile organisms leave/avoid the area
- Less mobile organisms are stressed
 - Reduced reproduction
 - · Eggs, young may die before maturity
 - Shrimp, clams, oysters, etc
- Dramatically affects the multi-billion dollar commercial and sport fishing industry

Leads to

- The result has been described as a 'dead zone''
- · Little or no life in the area
- Has increased over the years to 7000-8000 sq. mi.
- Size of New Jersey and Massachusetts
- 2nd largest hypoxic zone in the world
 - · Largest is in the Baltic Sea













Where is it coming from?

- · Some states drain entirely to Mississippi
- Kentucky, Iowa, Kansas, Arkansas
- Some only a portion
- Texas, New York, Ohio, New Mexico
- Differences between states
- Populations, rural vs. urban Agricultural differences
- Corn vs. Soybeans vs. Wheat etc. · Pasture vs. row crops

USGS Report

- USGS released a report accounting for each state's contribution
 - Nitrogen
 - Phosphorous
- Results questioned but the report is public now













CNote: The St	States sies are la	in the Mitt red in detoes flux d	ding order of a clovered to the	Atchafalaya heir percentage Gulf of Mexico	River B counter o.)	lasian. ion to the tot	el autrieuz
State	Tensi Percesi of Tool Eso	Nitrages Constitutive Percent of Tosci Para	Debuged Yield	Seate	Tent I Percent of Total	Country Country Percent of Total Flox	Delivered Vield
Times	14.8	14.1	1734.0	Thursday	17.4	12.8	111.4
Search.	11.1	74.1	1147.7	Minuteri	37.5	21.0	22.4
Indiana	30.5	38.2	1804.4	lows.	8.8	34.5	89.2
Manager		47.8	200.5	Advances	4.6	44.5	P4.5
Advances	4.0	54.7	750.3	Essentially	8.0	51.4	212.4
Eastwart	#1	40.5	879.5	Zadimon	3.4	61.5	532.3
Testamore	3.5	66.3	757.7	Tennetter	5.3	47.3	61.9
Oken	5.4	71.7	1982.3	Manufesteri.	4.4	71.5	101.4
Montanippei	3.4	75.3	043.5	Chin	41	75.4	72.1
Mettrailet	3.2	78.3	244.8	Chisbons	3.8	78.9	24.5
Kantan	81	\$2.4	321.7	Netszaka	3.5	82.2	21.8
Minnesses	2.0	843	346.7	Magnan.	2.6	84.8	24.5
Wiscessis.	2.7	\$1.0	406.8	Longenness	2.4	\$7.2	67.4
Oklademan	2.1	89.5	206.1	Woossia	2.4	89.8	31.7
Personality	1.9	91.4	705.0	Wast Virginia	2.1	\$1.7	- 52.3
West Vargaux	1.8	89.2	505.J	3-finanevote	2.0	95.7	20.3
Louisies	1.7	94.5	513.0	Pennybrana	1.9	91.6	61.5
Abitinese	1.1	94.0	825.4	Secti Dalots	1.8	97.2	12.8
Seeb Delete	6.0	96.5	72.0	Abbenz	0.0	96.1	72.7
North Carolina	- 4.6	\$13	534.2	Tesa	4.7	98.2	4.3
Tenas	0.6	96.3	20.7	Virginia	6.4	49.2	32.4
Virginia	0.5	91.4	377.7	New York	62	29.4	26.5
3-domesors	0.4	99.0	28.3	Noth Caroline	0.2	99.0	13.2
Nerth Delays	0.2	99.2	34.8	Calorada	9.2	99.1	2.1
New York	9.2	99.4	\$54.7	North Deloro	0.1	99.2	13
Georgia		99.2	599.5	3doatees	0.1	100.0	6.2
Wyenning	\$1	99.1	13.7	Georgia	-0.1	200.0	36.5
Colarado	- 41	99.5	4.0	Maylani	-9.1	100.0	37.5
Maylead.	-01	99.5	640.2	Midsigna	-0.1	100.0	13
Michigen	+8.3	200.0	#1.5	Wyoning.	-43	100.0	6.2
Nete Mettico	-9.1	199.4	- 0.1	New Metticz	- 10.2	106.0	

Kentucky's Role

- 6.1% of Nitrogen
 - 6th largest contributor

9.0% of Phosphorous
 5th largest contributor

KDOW has initially disputed this

Back to the Utilities

 Gulf hypoxia is partly responsible for phosphorous and nitrogen regs

- Wastewater
 - Phosphorous: 1 ppm
 - Nitrogen: depends on receiving stream
- Why the new regulations?
 - Not directly toxic (like ammonia)
 - Not directly harmful (like low D.O.)
 - WHY NOW?

Back to the Utilities

- Point sources easier to regulate
 - Technically easier, regulations in place
 - · Politically easier
- Non-point sources are difficult
 - Difficult to determine how much is coming from one field or another
 - Politically more difficult
 - Nonetheless, it is an increasing area of interest.

Back to the Utilities

- Nutrient enrichment in rivers also affects water treatment
- Increased algae lead to increased carbon (TOC) in streams
- HABs!! An issue that is getting more and more attention
- Affects raw water quality
- Potentially affecting, TOC, THM and HAA5 levels.

Harmful Algal Blooms in the Ohio Rivers, others

Conclusion

- Nutrient enrichment dramatically impacts rivers as well as the Gulf of Mexico
- Nutrients affect source water quality at water treatment plants
- Excess algae growth may increase THM and HAA 5 production

Conclusion

- Reducing nitrogen and phosphorous improves water quality
- Improved raw water quality at water treatment plants
- Wastewater plants are not the major contributors
- Gulf Hypoxia may be the impetus for more Federal regulations.

Questions??

Randall Kelley Kentucky Rural Water Association r.kelley@krwa.org