Rubin & Hays

Kentucky Home Trust Building, 450 South Third Street, Louisville, Kentucky 40202-1410 Telephone (502) 569-7525 Telefax (502) 569-7555 www.rubinhays.com

CHARLES S. MUSSON W. RANDALL JONES CHRISTIAN L. JUCKETT

March 27, 2017

RECEIVED

MAR 2 7 2017

PUBLIC SERVICE COMMISSION

Dr. Talina Mathews Executive Director Public Service Commission P.O. Box 615 Frankfort, Kentucky 40602

Re: Bronston Water Association - PSC Case No. 2017-00138

Dear Dr. Mathews:

Enclosed please find the original and ten (10) copies of the Association's Response to Filing Deficiencies.

If you need any additional information or documentation, please let us know.

Sincerely,

Rubin & Hays

W. Randall Jones

WRJ:jlm Enclosures

cc: Deron S. Byrne, P.E.

Mr. Carter Stewart, Bronston Water Association Mr. Clay McKnight, USDA, Rural Development

COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

RECEIVED

MAR 2 7 2017

In the Matter of:

PUBLIC SERVICE COMMISSION

THE APPLICATION OF THE BRONSTON)
WATER ASSOCIATION FOR A CERTIFICATE)
OF PUBLIC CONVENIENCE AND) Case No. 2017-00138
NECESSITY TO CONSTRUCT, FINANCE AND)
INCREASE RATES PURSUANT TO KRS 278.023)

Response to Filing Deficiencies

Bronston Water Association, Inc. ("Applicant"), by Counsel, respectfully files its Response to Filing Deficiencies as follows:

- 1. 807 KAR 5:001, Section 14(2) The Applicant was incorporated on May 22, 1969 and is currently in good standing with the Kentucky Secretary of State. Attached is a spreadsheet from the Kentucky Secretary of State's website for online filings showing the incorporation date and the current status.
- 2. 807 KAR 5:069, Section 2(2) Attached is Form RD 1940-1, Request for Obligation of Funds, which shows (in block #28) the approved interest rate of 1.875%.

W. Randall Jones, Esq.

Rubin & Hays

Counsel for Applicant

Kentucky Home Trust Building

450 South Third Street

Louisville, Kentucky 40202

Phone: (502) 569-7534

Fax: (502) 569-7555

wrjones@rubinhays.com

BRONSTON WATER ASSOCIATION, INCORPORATED

General Information

Organization Number 0005891

Name BRONSTON WATER ASSOCIATION, INCORPORATED

Profit or Non-Profit N - Non-profit

Company Type KCO - Kentucky Corporation

StatusA - ActiveStandingG - Good

State KY

 File Date
 5/22/1969

 Organization Date
 5/22/1969

 Last Annual Report
 3/29/2016

 Principal Office
 P. O. BOX 243

BRONSTON, KY 42518

Registered Agent VICTORIA RAMSEY

BRONSTON WATER ASSOCIATION

2013 HWY 90

BRONSTON, KY 42518

Current Officers

President <u>CARTER STEWART</u>
Vice President <u>CHARLES CASSANDA</u>

Secretary

Treasurer

ERIC KEITH

ERIC KEITH

Director

ERIC KEITH

LELAND KEITH

Director

CLINT KEITH

Individuals / Entities listed at time of formation

Director <u>JOE LOWERY</u>

Director <u>EUGENE HARDWICK</u>

Director <u>I C GIBSON</u>

DirectorR L THOMPSONDirectorJOHN K GOVERIncorporatorJOE LOWERY

Incorporator <u>EUGENE HARDWICK</u>

IncorporatorJ C GIBSONIncorporatorR L THOMPSONIncorporatorJOHN K GOVER

Images available online

Documents filed with the Office of the Secretary of State on September 15, 2004 or thereafter are available as scanned images or PDF documents. Documents filed prior to September 15, 2004 will become available as the images are

1/4

created.

Annual Report	3/29/2016	1 page	<u>PDF</u>	
Annual Report	5/6/2015	1 page	<u>PDF</u>	
Annual Report	5/7/2014	1 page	<u>PDF</u>	
Annual Report	5/3/2013	1 page	<u>PDF</u>	
Annual Report	6/5/2012	1 page	<u>PDF</u>	
Registered Agent name/address change	5/17/2011 2:58:22 PM	1 page	<u>PDF</u>	
Annual Report	5/17/2011	1 page	<u>PDF</u>	
Annual Report	3/10/2010	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	1/21/2009	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	1/14/2008	1 page	<u>tiff</u>	<u>PDF</u>
Statement of Change	4/9/2007	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	3/22/2007	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	4/26/2006	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	4/26/2005	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/17/2003	1 page	<u>tiff</u>	<u>PDF</u>
Statement of Change	4/25/2003	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	5/8/2002	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	5/1/2001	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	5/26/2000	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	5/20/1999	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	4/1/1998	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1997	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1996	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1995	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	3/31/1994	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	3/16/1993	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	3/19/1992	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1991	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1990	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1988	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1986	1 page	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1986	1 page	<u>tiff</u>	<u>PDF</u>
<u>Amendment</u>	3/8/1985	3 pages	<u>tiff</u>	<u>PDF</u>
Annual Report	7/1/1981	2 pages	<u>tiff</u>	<u>PDF</u>
Statement of Change	5/12/1980	2 pages	<u>tiff</u>	<u>PDF</u>
Statement of Change	8/24/1978	2 pages	<u>tiff</u>	<u>PDF</u>
Annual Report	6/11/1970	10 pages	<u>tiff</u>	<u>PDF</u>
Articles of Incorporation	5/22/1969	7 pages	<u>tiff</u>	<u>PDF</u>

Assumed Names

Activity History

Filing	File Date	Effective Date	Org. Referenced
Annual report	3/29/2016 10:36:50 AM	3/29/2016 10:36:50 AM	
Annual report	5/6/2015	5/6/2015	

	-	
	10:04:51 AM	10:04:51 AM
Annual report	5/7/2014 9:44:53 AM	5/7/2014 9:44:53 AM
Annual report	5/3/2013 11:52:18 AM	5/3/2013 11:52:18 AM
Annual report	6/5/2012 10:26:26 AM	6/5/2012 10:26:26 AM
Annual report	5/17/2011 3:04:41 PM	5/17/2011 3:04:41 PM
Registered agent address change	5/17/2011 2:58:22 PM	5/17/2011 2:58:22 PM
Annual report	3/10/2010 1:50:21 PM	3/10/2010
Annual report	1/21/2009 2:37:25 PM	1/21/2009
Annual report	1/14/2008 3:39:07 PM	1/14/2008
Registered agent address change	4/9/2007 10:49:17 AM	4/9/2007
Annual report	3/22/2007 2:53:27 PM	3/22/2007
Annual report	4/26/2006 10:57:38 AM	4/26/2006
Registered agent address change	4/25/2003 1:08:29 PM	4/25/2003
Annual report	4/17/2003 2:21:01 PM	4/17/2003
Amendment - Miscellaneous amendments	3/8/1985	3/8/1985

Microfilmed Images

Microfilm images are not available online. They can be ordered by faxing a <u>Request For Corporate Documents</u> to the Corporate Records Branch at 502-564-5687.

Annua	al Report	4/22/2005	1 page
Annua	al Report	5/28/2004	1 page
Annua	al Report	7/17/2003	1 page
State	ment of Change	4/25/2003	1 page
Annua	al Report	5/8/2002	1 page
Annua	al Report	5/1/2001	1 page
Annua	al Report	5/26/2000	1 page
Annua	al Report	5/20/1999	1 page
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Annua	al Report	3/19/1992	1 page
Annua	al Report	7/1/1991	1 page
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Annual Report	7/1/1989	1 page
Annual Report	7/1/1988	1 page
Annual Report	7/1/1986	1 page
Annual Report	7/1/1986	1 page
Amendment	3/8/1985	2 pages
Annual Report	7/1/1981	2 pages
Statement of Change	5/12/1980	2 pages
Statement of Change	8/24/1978	2 pages
Annual Report	6/11/1970	10 pages
Articles of Incorporation	5/22/1969	7 pages

USDA Form RD 1940-1 (Rev. 06-10)

REQUEST FOR OBLIGATION OF FUNDS

FORM APPROVED OMB No. 0570-0062

INSTRUCTIONS-TYPE IN CAPITALIZED ELITE TYPE IN SPACES MARKED ()							
Complete Items 1 through 29 and applicable Items 30 through 34. See FMI.							
1. CASE NUMBER		LOAN NUI	MBER		FISCA	AL YEAR	
ST CO BORROWER ID							
21-000-****7213							
2. BORROWER NAME		3, NUMBE	R NAME	FIELDS			
Bronston Water Associa	tion, Inc.	<u> </u>	3 from Ite	em 2)			
		4. STATE					
<u> </u>		5. COUNT	-				
		Pulask					
	GENERAL BORR	OWER/LOA	N INFOR	RMATION			
6. RACE/ETHNIC CLASSIFICATION 1 - WHITE 4 - HIBPANIC 2 - BLACK 5 - A/PI 3 - A/JAN 3 - A/JAN 3 - FARM 4 - PUBL 3 - FARM 3 - FARM 3 - FARM	8. COLLATERAL CODE 1- REAL ESTATE 4- MACHINERY ONLY SECURED 5- LIVESTOCK ONLY 2- REAL ESTATE 6- CROPS ONLY AND CHATTEL 7- SECURED BY 3 - NOTE ONLY 0R BONDS 3 - CHATTEL ONLY 9- RIF ACCT 4- ASSOC. 9. EMPLOYEE 2- MEMBER OF FAMILY 3- CLOSE RELATIVE 4- ASSOC.				AMILY		
10. SEX CODE 3-FAMILY UNIT 4 1-MALE 4 2-FEMALE 8-PUBLIC BODY	11. MARITAL STATUS			RAN CODE		13. CREDIT R 2 1-YES 2-NO	EPORT
14. DIRECT PAYMENT 15	5. TYPE OF PAYMENT	16. FEE IN	SPECTI	ON			
3 (See FMI) 2	1-MONTHLY 3-SEMI-ANNUALLY 2-ANNUALLY 4-QUARTERLY	2 1-YES 2-NO					
17. COMMUNITY SIZE 1-10 000 OR LESS (FOR SFH AND 2-OVER 10,000 HPG ONLY)		18. USE 0	F FUND	S CODE			
	COMPLETE FO	R OBLIGATION OF FUNDS					
19. TYPE OF 20 ASSISTANCE	0. PURPOSE CODE	21. SOURCE OF FUNDS 22. TYPE OF ACTION			N		
067 (See FMI)		1 -OBLIGATION ONLY 2 - OBLIGATION CHECK REQUEST 1 3 - CORRECTION OF OBLIGATION					
23. TYPE OF SUBMISSION	24. AMOUNT OF LOAN	25. AMOUNT OF GRANT					
1-INITIAL 2-SUBSEQUENT	\$1,380,000.00	\$458,000.00					
26. AMOUNT OF IMMEDIATE ADVANCE	27. DATE OF APPROVAL	28. INTEREST RATE 29. REPAYMENT TERMS					
	MO DAY YR 03 02 16	1.8750 % 40					
	FOR COMMUNITY PROGRAM	AND CERT	AIN MU	LTIPLE-FAM	ILY HC	DUSING LOAN	S
30. PROFIT TYPE 2-LIMITED PROFIT 1-FULL PROFIT 3-NONPROFIT							
COMPLETE FOR E	COMPLETE FOR CREDIT SALE-ASSUMPTION						
31. DISASTER DESIGNATION NUM	32. TYPE OF SALE 1 - CREDIT SALE ONLY 3 - CREDIT SALE WITH SUBSEQUENT LOAN 4-ABBUMPTION WITH SUBSEQUENT LOAN				4 -ABSUMPTION WITH SUBSEQUENT LOAN		
FINANCE OFFICE	COMPLETE FOR FP LOANS ONLY						
33. OBLIGATION DATE			34. BEGINNING FARMER/RANCHER				
MO DA YR	l						
<u> </u>		(See Fi	AI)				

If the decision contained above in this form results in denial, reduction or cancellation of USDA assistance, you may appeal this decision and have a hearing or you may request a review in lieu of a hearing. Please use the form we have included for this purpose.

Position 2

ORIGINAL - Borrower's Case Folder

COPY 1 - Finance Office

COPY 2 - Applicant/Lender COPY 3 - State Office

For All Farmers Programs

This loan is approved subject to the availability of funds. If this loan does not close for any reason within 90 days from the date of approval on this document, the approval official will request updated eligibility information. The undersigned loan applicant agrees that the approval official will have 14 working days to review any updated information prior to submitting this document for obligation of funds. If there have been significant changes that may affect eligibility, a decision as to eligibility and feasibility will be made within 30 days from the time the applicant provides the necessary information.

If this is a loan approval for which a lien and/or title search is necessary, the undersigned applicant agrees that the 15-working-day loan closing requirement may be exceeded for the purposes of the applicant's legal representative completing title work and completing loan closing.

- 35. COMMENTS AND REQUIREMENTS OF CERTIFYING OFFICIAL
 Approval of financial assistance is subject to the provisions of the Letter of
 Conditions dated March 2, 2016 and any amendments thereto.
- 36. I HEREBY CERTIFY that I am unable to obtain sufficient credit elsewhere to finance my actual needs at reasonable rates and terms, taking into consideration prevailing private and cooperative rates and terms in or near my community for loans for similar purposes and periods of time. I agree to use the sum specified herein, subject to and in accordance with regulations applicable to the type of assistance indicated above, and request payment of such sum. I agree to report to USDA any material adverse changes, financial or otherwise, that occur prior to loan closing. I certify that no part of the sum specified herein has been received. I have reviewed the loan approval requirements and comments associated with this loan request and agree to comply with these provisions.

	specified herein h request and agree	as been received to comply with	l. I have review these provision	ed the loan approva s.	al requirem	ents and comme	nts associated with this loan
	interest rate in effec	t at the time of loa	an approval or loa	oroved, I elect the internal closing. If I check	"NO", the in	e charged on my l terest rate charged	oan to be the lower of the on my
	WARNING:	knowingly and fact, or makes any false writi	d willfully falsif any false, fict ing or docume	fies, conceals or c itious or frauduler nt knowing the sa	overs up to nt statement me to cont	by any trick, sch nts or represent ain any false, fi	ncy of the United States neme, or device a material nations, or makes or uses ctitious or fraudulent e than five years, or both."
Date	March 2	, 2	0 16			<u></u>	
		, _		Charles Ca	assada,	President	
							(Signature of Applicant)
Date		, 2	20				
		·					(Signature of Co-Applicant)
37.	prerequisite to prothat all requirement amount set forth	oviding assistand ints of pertinent in above, and by th	ce of the type in regulations hav is document, su	ndicated above have the been complied with the availab	e been mad ith. I hereb pility of fun	e and that evider y approve the ab ds, the Governm	tions required by regulations ace thereof is in the docket, and ove-described assistance in the ent agrees to advance such ons applicable to this type of
						(Si	gnature of Approving Official)
			Typed or	Printed Name: Th	nomas G.	Fern	
Date	Approved:	3/2/1	طا	Title: Sta	te Dire	ctor	
38.	from the USDA I	ias been approve	ed, as indicated	above, subject to the appropriate USDA	he availabil	lity of funds and	cation for financial assistance other conditions required by