

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ALLEGED FAILURE OF RED BARN WASTE)
MANAGEMENT, LLC TO FILE REQUIRED) CASE NO. 2017-00033
REPORTS)

NOTICE OF FILING

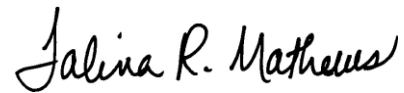
Notice is given to all parties that the following materials have been filed into the record of this proceeding:

- The digital video recording of the evidentiary hearing conducted on March 28, 2017 in this proceeding;
- Certification of the accuracy and correctness of the digital video recording;
- All exhibits introduced at the evidentiary hearing conducted on March 28, 2017 in this proceeding;
- A written log listing, *inter alia*, the date and time of where each witness' testimony begins and ends on the digital video recording of the evidentiary hearing conducted on March 28, 2017.

A copy of this Notice, the certification of the digital video record, hearing log, and exhibits have been electronically served upon all persons listed at the end of this Notice. Parties desiring an electronic copy of the digital video recording of the hearing in Windows Media format may download a copy at http://www.psc.ky.gov/av/Broadcast/2017-00030-31-33-45/2017-30,31,33,45_28Mar17_Inter.aspx.

Parties wishing an annotated digital video recording may submit a written request by electronic mail to pscfilings@ky.gov. A minimal fee will be assessed for a copy of this recording.

Done at Frankfort, Kentucky, this 3rd day of April 2017.



Talina R. Mathews
Executive Director
Public Service Commission of Kentucky

Red Barn Waste Management, LLC
1476 Hartland Woods Way
Lexington, KY 40515-2011

Red Barn Waste Management, LLC
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1476 Hartland Woods Way
Lexington, KY 40515-2011

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

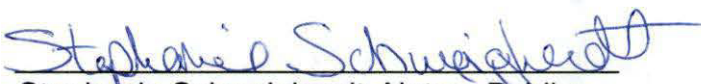
ALLEGED FAILURE OF RED BARN WASTE)	CASE NO.
MANAGEMENT, LLC TO FILE REQUIRED)	2017-00033
REPORTS)	

CERTIFICATION

I, Stephanie Schweighardt, hereby certify that:

1. The attached DVD contains a digital recording of the Hearing conducted in the above-styled proceeding on March 28, 2017. Hearing Log, Exhibits, Exhibit List, and Witness List are included with the recording on March 28, 2017.
2. I am responsible for the preparation of the digital recording.
3. The digital recording accurately and correctly depicts the Hearing of March 28, 2017.
4. The "Exhibit List" attached to this Certificate correctly lists all exhibits introduced at the hearing of March 28, 2017.
5. The "Hearing Log" attached to this Certificate accurately and correctly states the events that occurred at the Hearing of March 28, 2017 and the time at which each occurred.

Signed this 28th day of March 2017.


Stephanie Schweighardt, Notary Public
State at Large
My Commission Expires: January 14, 2019
ID#: 525987





Session Report - Detail

2017-00030, 031, 033, 045
28Mar2017

Center Ridge WD, Fern Lake, Red
Barn Waste, Lewis Sanitation

Date:	Type:	Location:	Department:
3/28/2017	Show Cause Hearing	Hearing Room 1	Hearing Room 1 (HR 1)

Judge: Bob Cicero; Dan Logsdon; Michael Schmitt
 Witness: Jeff Cline
 Clerk: Stephanie Schweighardt

Event Time	Log Event
9:14:22 AM	Session Started
9:14:24 AM	Session Paused
9:59:16 AM	Session Resumed
9:59:19 AM	Chairman Schmitt Note: Schweighardt, Stephanie Introduces Vice Chairman Cicero and Commissioner Logsdon
9:59:49 AM	Chairman Schmitt Note: Schweighardt, Stephanie Perlimary remarks
10:00:13 AM	Chairman Schmitt Note: Schweighardt, Stephanie Introduces Case #2017-00030 Center Ridge Water District
10:00:39 AM	Chairman Schmitt Note: Schweighardt, Stephanie Calls for any one present on behalf of Center Ridge Water District No. 2 Note: Schweighardt, Stephanie No one present on behalf of Center Ridge Water District No. 2.
10:00:48 AM	Chairman Schmitt Note: Schweighardt, Stephanie Ask Atty Jeb Pinney with the Public Service Commission, if Center Ridge Water District No. 2 was notified of the proceedings to be held today. Note: Schweighardt, Stephanie Atty Jeb Pinney states yes, properly notified.
10:01:11 AM	Chairman Schmitt Note: Schweighardt, Stephanie Proceeds with heaing and reviews charges and violations against Center Ridge Water District No. 2.
10:05:09 AM	Chairman Schmitt Note: Schweighardt, Stephanie Ask Atty Jeb Pinney for any testimonies to be submitted.
10:05:49 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie Submits Testimony of PSC Witness Jeff Cline and ask for it to be marked as PSC Exhibit #1 Note: Schweighardt, Stephanie Chairman Schmitt allows the testimony to be marked as PSC Exhibit #1.
10:06:18 AM	Chairman Schmitt Note: Schweighardt, Stephanie Calls Witness Jeff Cline to the stand and is sworn in
10:06:38 AM	Atty Jeb Pinney direct exam of PSC Witness Jeff Cline Note: Schweighardt, Stephanie Ask Witness to state name, business address and current position.

10:06:52 AM	Atty Jeb Pinney direct exam of PSC Witness Jeff Cline Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Asking Witness if any changes or corrections to the submitted testimony. Witness has no changes or corrections.
10:07:05 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Motion is substanced and filed as PSC Exhibit #1. Ask for the submitted testimony be entered into the record as PSC Exhibit #1.
10:07:27 AM	Chairman Schmitt Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Ask Vice Chairman and Commissioner for any questions. No further questions.
10:07:32 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Excuses Witness from stand
10:07:41 AM	Chairman Schmitt Note: Schweighardt, Stephanie	With no one else present, adjourns hearing for Case #2017-00030.
10:08:12 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Introduces Case #2017-00031 Fern Lake Company
10:08:20 AM	Chairman Schmitt Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	No one present on behalf of Fern Lake Company. Calls for any one present on behalf of Fern Lake Company.
10:08:34 AM	Chairman Schmitt Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Atty Jeb Pinney states yes, properly notified. Ask Atty Jeb Pinney with the Public Service Commission, if Fern Lake Company was notified of the proceedings to be held today.
10:08:50 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Proceeds with hearing and reviews charges and violations against Fern Lake Company.
10:10:43 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Ask Atty Jeb Pinney for any evidence to be submitted.
10:10:50 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Submits Testimony of PSC Witness Jeff Cline and ask for it to be marked as PSC Exhibit #1 Chairman Schmitt allows the testimony to be marked as PSC Exhibit #1.
10:11:30 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie	Calls Witness Jeff Cline to the stand
10:11:42 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Swears in Witness
10:11:53 AM	Atty Jeb Pinney direct exam of PSC Witness Jeff Cline Note: Schweighardt, Stephanie	Ask Witness to state name, business address and current position.

10:12:08 AM	Ask Witness to state name, business address and current position. Note: Schweighardt, Stephanie	Asking Witness if any changes or corrections to the submitted testimony. Witness has no changes or corrections.
10:12:19 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie	Motion is substanced and filed as PSC Exhibit #1.
10:12:38 AM	Vice Chairman Cicero Note: Schweighardt, Stephanie	Ask for the submitted testimony be entered into the record as PSC Exhibit #1.
10:12:39 AM	Vice Chairman Cicero cross exam of Witness Jeff Cline Note: Schweighardt, Stephanie	gross annual receipt and several followups Questioning Witness if follow-up communications/contacts were made by PSC to Fern Lake Company regarding not filing the due reports. Witness states yes, but no responses were made.
10:13:26 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Excuses Witness
10:13:32 AM	Chairman Schmitt Note: Schweighardt, Stephanie	With no one else present, hearing is adjourned for Case #2017-00031.
10:13:52 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Introduces Case # 2017-00033 Red Barn Waste Management
10:14:11 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Calls for any one present on behalf of Red Barn Waste Management.
10:14:25 AM	Chairman Schmitt Note: Schweighardt, Stephanie	No one present on behalf of Red Barn Waste Management.
10:14:41 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Ask Atty Jeb Pinney with the Public Service Commission, if Red Barn Waste Management was notified of the proceedings to be held today. Atty Jeb Pinney states yes, properly notified.
10:17:29 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Proceeds with hearing and reviews charges and violations against Red Barn Waste Management.
10:17:35 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie	Ask Atty Jeb Pinney for any testimonies to be submitted.
10:18:19 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie	Chairman Schmitt allows the testimony to be marked as PSC Exhibit #1. Submits Testimony of PSC Witness Jeff Cline and ask for it to be marked as PSC Exhibit #1
		Calls Witness Jeff Cline to the stand

10:18:33 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Swears in Witness
10:18:46 AM	Atty Jeb Pinney direct exam of PSC Witness Jeff Cline Note: Schweighardt, Stephanie	Ask Witness to state name, business address and current position.
10:19:03 AM	Atty Jeb Pinney direct exam of PSC Witness Jeff Cline Note: Schweighardt, Stephanie	Asking Witness if any changes or corrections to the submitted testimony.
	Note: Schweighardt, Stephanie	Witness has no changes or corrections.
10:19:13 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie	Ask for the submitted testimony be entered into the record as PSC Exhibit #1.
	Note: Schweighardt, Stephanie	Motion is substanced and filed as PSC Exhibit #1.
10:19:29 AM	Vice Chairman Cierco cross exam of Witness Jeff Cline Note: Schweighardt, Stephanie	Witness states several responses were recieved regarding why reports were not filed, but no reports were ever filed.
	Note: Schweighardt, Stephanie	Questioning Witness if any responses were received after follow-up communications/contacts were made by PSC to Red Barn Waste Managment regarding not filing the due reports.
10:20:40 AM	Vice Chairman Cierco cross exam of Witness Jeff Cline Note: Schweighardt, Stephanie	Witness stated yes, they acknowledged and only offered reasons why they had not.
	Note: Schweighardt, Stephanie	Questioned that they acknowledge they were required to file these reports, but only offered reasons why they were not filed.
10:21:10 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Witness is excused.
10:21:21 AM	Chairman Schmitt Note: Schweighardt, Stephanie	With no one else present, hearing is adjourned for Case #2017-00031.
10:21:42 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Introduces Case #2017-00045 Lewis Sanitation Company
10:21:58 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Calls for any one present on behalf of Lewis Sanitation Company.
	Note: Schweighardt, Stephanie	No one present on behalf of Lewis Sanitation Company.
10:22:14 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Ask Atty Jeb Pinney with the Public Service Commission, if Lewis Sanitation Company was notified of the proceedings to be held today.
	Note: Schweighardt, Stephanie	Atty Jeb Pinney states yes, properly notified.
10:22:29 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Proceeds with heaing and reviews charges and violations against Lewis Sanitation Company.
10:24:54 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Ask Atty Jeb Pinney for any testimonies to be submitted.

10:24:58 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Submits Testimony of PSC Witness Jeff Cline and ask for it to be marked as PSC Exhibit #1 Chairman Schmitt allows the testimony to be marked as PSC Exhibit #1.
10:25:36 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie	Calls Witness Jeff Cline to the stand
10:25:49 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Swears in Witness
10:25:59 AM	Atty Jeb Pinney direct exam of PSC Witness Jeff Cline Note: Schweighardt, Stephanie	Ask Witness to state name, business address and current position.
10:26:15 AM	Atty Jeb Pinney direct exam of PSC Witness Jeff Cline Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Asking Witness if any changes or corrections to the submitted testimony. Witness has no changes or corrections.
10:26:25 AM	Atty Jeb Pinney Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Motion is substanced and filed as PSC Exhibit #1. Ask for the submitted testimony be entered into the record as PSC Exhibit #1.
10:26:44 AM	Vice Chairman Cierco cross exam of Witness Jeff Cline Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Questioning if this is the first time Lewis Sanitation Company has failed to file their reports. Witness states may or may not, would have to check records.
10:27:07 AM	Vice Chairman Cierco cross exam of Witness Jeff Cline Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Witness states may be, but would have to check the records. Questioning if they may or may not be a first time offender.
10:27:27 AM	Vice Chairman Cierco cross exam of Witness Jeff Cline Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Witness states with the last attempt stating they would be placed on a delinquent list, they filed their reports. Questioning if the fact that they filed their reports is due to the witness' efforts.
10:28:46 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Excuses Witness.
10:28:57 AM	Chairman Schmitt Note: Schweighardt, Stephanie	With no one else present, hearing is adjourned for Case #2017-00045.
10:29:29 AM	Chairman Schmitt Note: Schweighardt, Stephanie	Addresses Case #2017-00032 Marshall County Environmental Services
10:29:57 AM	Chairman Schmitt Note: Schweighardt, Stephanie Note: Schweighardt, Stephanie	Marshall County Environment Service has filed a motion for continuous Commission has granted the motion.

10:30:33 AM Chairman Schmitt
Note: Schweighardt, Adjourns hearing.
Stephanie
10:30:47 AM Session Paused
10:39:49 AM Session Ended



Exhibit List Report

2017-00030, 031, 033, 045
28Mar2017

Center Ridge WD, Fern Lake, Red
Barn Waste, Lewis Sanitation

Name:	Description:
Exhibit 01 Case No. 2017-00030	Direct Testimony of Jeff Cline on behalf of the Public Service Commission
Exhibit 01 Case No. 2017-00031	Direct Testimony of Jeff Cline on behalf of the Public Service Commission
Exhibit 01 Case No. 2017-00033	Direct Testimony of Jeff Cline on behalf of the Public Service Commission
Exhibit 01 Case No. 2017-00045	Direct Testimony of Jeff Cline on behalf of the Public Service Commission

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ALLEGED FAILURE OF RED BARN WASTE) CASE NO.
MANAGEMENT, LLC TO FILE REQUIRED) 2017-00033
REPORTS

DIRECT TESTIMONY OF JEFF CLINE ON BEHALF OF
THE PUBLIC SERVICE COMMISSION

1 **Q1 State your name and business address.**

2 A Jeff Cline, Public Service Commission, 211 Sower Boulevard, Frankfort,
3 Kentucky 40601

4 **Q2 Who is your employer?**

5 A Public Service Commission of Kentucky ("PSCKY")

6 **Q3 How long has the PSCKY employed you?**

7 A I have been an employee of the PSCKY since August, 1997.

8 **Q4 What is your current position?**

9 A Procedures Development Coordinator

10 **Q5 As a Procedures Development Coordinator, what are your duties?**

11 A I am responsible for the compilation and dissemination of statistical information
12 on the public utilities which the PSCKY regulates. I receive the completed annual
13 financial and statistical report forms and the annual gross operating revenue
14 report forms, review them for accuracy and completeness, and ensure that the
15 information from the annual financial and statistical report form is correctly
16 entered into the PSCKY's E-Filing System, which is accessible through the
17 PSCKY's Web Site.

18 Although the Executive Director of the PSCKY is the custodian of PSCKY
19 documents and reports, I have been delegated responsibility for storing and
20 maintaining the completed annual financial and statistical reports and annual
21 gross operating revenue reports.

22 **Q6 What are the Annual Financial and Statistical Reports?**

23 A 807 KAR 5:006, Section 4(2), provides that "[e]very utility shall file annually
24 using the commission's electronic filing system a financial and statistical report

1 on or before March 31 of each year." The form is available on the Commission's
2 website. A copy of the correspondence provided to Red Barn Waste
3 Management, LLC, notifying it of its obligation to file its annual report, and
4 providing a link to the form, is attached to my testimony as Exhibit JC-1. The
5 report requests information on a wide range of subjects, to include a utility's
6 officers, income and expenses, and its liabilities. The report form varies
7 according to the type of utility. The report for an electric utility will be quite
8 different from the report form for a water utility.

9 **Q7 What is the purpose of the Annual Financial and Statistical Reports?**

10 A These reports are used to assess the financial condition of a utility and to monitor
11 general trends within certain segments of the utility industry in Kentucky. They
12 are also used to compile and develop information about the utility industry
13 conditions. The PSCKY frequently uses them when reviewing utility applications
14 for rate adjustments.

15 **Q8 What are Annual Gross Operating Revenue Reports?**

16 A KRS 278.140 requires each utility subject to PSCKY jurisdiction to file with the
17 PSCKY a report of its gross earnings or receipts derived from intrastate business
18 for the preceding calendar year. The report requests contact information and the
19 amount of revenue that a utility received from its Kentucky operations. 807 KAR
20 5:006 Section 1(a) provides that, "[e]ach utility shall file with the commission its
21 gross operating revenue report on or before March 31 of each year." The same
22 information is used for electric, gas, water and sewer utilities and the
23 correspondence to Red Barn Waste Management, LLC reminding it of the

1 obligation to file the gross operating report, with a link to the form on the
2 Commission's website, is attached to my testimony as Exhibit JC-1. The
3 information for telecommunication utilities varies according to the type of
4 telecommunication service.

5 **Q9 For what are the Annual Gross Operating Revenue Reports used?**

6 A The reports are used to determine a utility's assessment for the maintenance of
7 the PSCKY. Every year utilities are assessed in proportion to their earnings or
8 receipts derived from intrastate business in Kentucky for the preceding calendar
9 year. This assessment is used to fund the PSCKY's operating costs.

10 Before the beginning of a new fiscal year, the Finance Cabinet's Office of Policy
11 and Management determines the PSCKY's budget levels for that year. Once
12 those levels are determined, the Finance Cabinet determines the rate for the
13 PSCKY assessment. This rate is based in part on total utility intrastate receipts.
14 Once the assessment rate is determined, the Department of Revenue issues
15 assessment notices for each utility. To determine the assessment amount, the
16 Department of Revenue applies the assessment rate to the total intrastate
17 receipts reported on the annual gross operating revenues report. KRS 278.130
18 provides that in no instance will this assessment be less than fifty dollars
19 (\$50.00).

20 **Q10 How are the forms for the Annual Financial and Statistical Report and the**
21 **Annual Gross Operating Revenues Report provided to the utilities?**

1 A In January of each year, I send by electronic mail and email providing a link to
2 the reports, explaining why the reports must be filed, and states the deadline for
3 filing the reports.

4 **Q11 What are the deadline for filing these reports?**

5 A KRS 278.140 provides that the annual gross operating revenues report for a
6 calendar year must be filed by March 31 of the following year. 807 KAR 5:006,
7 Section 3(1) establishes the same deadline for the annual financial and statistical
8 reports.

9 **Q12 May a utility request an extension of these deadlines?**

10 A No extension is permitted for the annual gross operating revenues report. 807
11 KAR 5:006, Section 4, permits the Executive Director to grant a reasonable
12 extension of time for filing of the annual financial and statistical reports where
13 good cause has been shown. The Executive Director has delegated to me the
14 authority to grant extensions.

15 **Q13 Does the reminder correspondence advise about the availability of an
16 extension of time for filing the Annual Financial and Statistical Reports?**

17 A Yes.

18 **Q14 How frequently is a request for an extension of time to file the Annual
19 Financial and Statistical Report granted?**

20 A Extensions are granted as a matter of course. They are normally granted in 30-
21 day increments. If a utility is unable to meet the extended deadline, it may
22 request another extension.

1 **Q15 If a utility fails to meet the March 31 deadline and no request for extension**
2 **is made, what happens?**

3 A We will usually advise the utility in writing that the required reports are delinquent.
4 If the reports are not received shortly thereafter, we may telephone the
5 responsible utility officials and advise them of the need to submit the required
6 report and the possible consequences of failing to do so. We may telephone the
7 utility several times over the next few months in an effort to obtain the reports.

8 **Q16 Once the reports are received, what do you do with them?**

9 A Annual Financial and Statistical Report: When a report is received its receipt is
10 recorded in a PSC electronic database. We ensure that the report is entered
11 into the E-Filing System. The report is then reviewed for completeness and
12 accuracy. It is checked for mathematical errors. All corresponding schedules
13 are reviewed to ensure internal consistency. If inconsistencies or
14 miscalculations are found, the utility is advised to make appropriate corrections.
15 These corrections are then reviewed. After the review is completed, the report
16 is made available for viewing and downloading through the PSC's Web Site.
17 Annual Gross Operating Revenues Report: When a report is received, its receipt
18 is recorded in our database. It is then reviewed for completeness and accuracy.
19 The report is also compared against the gross revenue entries on the utility's
20 annual financial and statistical report, if filed, for consistency. If inconsistencies
21 are found, the utility is advised to make corrections. These corrections are also
22 reviewed. After the review is completed, the report is stored at the PSC's
23 office in Frankfort, Kentucky. Completed reports remain in the custody and

1 control of the Filings Branch. The Department of Revenue is advised of the
2 report's contents and uses the information to prepare the utility's assessment for
3 the maintenance of the PSCKY.

4 **Q17 Was a reminder email of the Annual Financial and Statistical Report Form**
5 **and the Annual Gross Operating Revenues Report Forms for the 2015**
6 **calendar year sent to Red Barn Waste Management, LLC?**

7 A Yes. On January 14, 2016, an email reminding Red Barn Waste Management,
8 LLC to file its annual financial and statistical report form for the 2016 calendar
9 year, and instructions on how to do so, was sent by electronic mail to the
10 following address: jefrutt@aol.com. This address was the email address
11 provided previously to the Commission. 807 KAR 5:003 requires Red Barn
12 Waste Management, LLC to maintain a current email address with the PSCKY.
13 On December 16, 2015, an email reminding Red Barn Waste Management, LLC
14 to file its annual financial and statistical report form for the 2015 calendar year,
15 and instructions on how to do so, was sent by electronic mail to the following
16 address: jefrutt@aol.com.

17 On December 15, 2011, a letter was sent to Red Barn Waste Management,
18 LLC, reminding it of its obligation to file its annual financial and statistical report
19 form for the 2011 calendar year, and instructions on how to do so. On December
20 14, 2012, a letter was sent to Red Barn Waste Management, LLC, reminding it
21 of its obligation to file its annual financial and statistical report form for the 2012
22 calendar year, and instructions on how to do so. On December 18, 2013, a letter
23 was sent to Red Barn Waste Management, LLC, reminding it of its obligation to

1 file its annual financial and statistical report form for the 2012 calendar year, and
2 instructions on how to do so.

3 Reminder letters and emails regarding the delinquent reports were sent to Red
4 Barn Waste Management. Copies of this correspondence is attached as Exhibit
5 JC-2.

6 **Q18 Did the PSCKY receive the completed forms for the 2011, 2012, 2013, 2014**
7 **and 2015 calendar years?**

8 A No, but with one exception. Red Barn Waste Management, LLC has not submit
9 the report forms nor provided the information for the 2011 through the 2015
10 calendar years. Red Barn Waste Management, LLC did complete its Gross
11 Operating Revenues Report for the 2013 calendar year.

12 **Q19 Describe what efforts, if any, that you have taken to obtain the reports from**
13 **Red Barn Waste Management, LLC?**

14 A Various emails have been sent over the years requesting they submit the
15 required reports. The last email was sent on May 5, 2016.

16 **Q20 As of this date, have the Annual Financial and Statistical Report and the**
17 **Annual Gross Operating Revenues Report for calendar years 2011-2015 for**
18 **Red Barn Waste Management, LLC been filed with the PSCKY?**

19 A Except for its 2013 Gross Operating Revenues Report, Red Barn Waste
20 Management, LLC, has not completed its Gross Operating Revenues Report
21 and Annual Financial and Statistical Report for the 2011-2015 calendar years.

22 **Q21: Are you aware of any prior actions in which Red Barn Waste Management,**
23 **LLC may have been cited by the Commission for failure to file Gross**

1 **Operating Revenues Report and/or Annual Financial and Statistical**
2 **Report?**

3 A Yes. In 2011 the Commission penalized Red Barn Waste Management, LLC for
4 failure to file its Gross Operating Revenues Report and Annual Financial and
5 Statistical Report for the 2008-2010 calendar years.

6 **Q22: Do you recall the nature of your penalty?**

7 A Yes. The Commission fined Red Barn Waste Management, LLC \$2500.00, and
8 directed Red Barn Waste Management, LCC to file its Gross Operating
9 Revenues Reports and Annual Financial and Statistical Reports for the 2008-
10 2010 calendar years.

11 **Q23: Since being penalized in 2011, has Red Barn Waste Management, LLC filed**
12 **its Gross Operating Revenues Report and Annual Financial and Statistical**
13 **Report for the 2008-2010 calendar years?**

14 A No

15 **Q23 Does this complete your written testimony?**

16 A Yes.



Jeff Cline

COMMONWEALTH OF KENTUCKY)
COUNTY OF FRANKLIN)

SUBSCRIBED AND SWORN to before me by Jeff Cline, this 27th day of
March 2017.



Notary Public
My commission expires. *Sept 3, 2017*
ID# 495415

EXHIBIT 1 TO THE TESTIMONY OF JEFF CLINE



Steven L. Beshear
Governor

Leonard K. Peters
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission
211 Sower Blvd.
P.O. Box 615
Frankfort, Kentucky 40602-0615
Telephone: (502) 564-3940
Fax: (502) 564-3460
psc.ky.gov

David L. Armstrong
Chairman

James W. Gardner
Vice Chairman

December 15, 2011

Jeff Ruttenburg
Red Barn Waste Management, LLC
P. O. Box 1047
Lexington, KY 40588

RE: **2011 Annual Reporting**
(900) Sewer
Utility ID: 9002600

Dear Jeff Ruttenburg:

Enclosed with this letter are two copies of the Report of Gross Operating Revenues form and one copy of the Annual Financial and Statistical Report form. One original notarized copy of the reports are **to be completed for the calendar year 2011 and filed with the Commission no later than March 31, 2012**, as required by 807 KAR 5:006, Section 3(1), KRS 278.140 and KRS 278.230(3).

Extension of time requests for filing the Report of Gross Operating Revenues form cannot be granted. However, the Commission may occasionally, upon written request, allow a 30 day extension of time for filing the Annual Financial and Statistical Report. The request must provide a reasonable explanation and be filed prior to the March 31 deadline. The prompt filing of these reports is essential. **Any utility failing to comply with timely filing requirements shall be subject to KRS 278.990, including the imposition of penalties provided therein.**

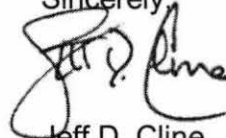
On-line entry of annual report information is available for all utilities under the jurisdiction of this Commission. Upon completion of the online annual report, a hard copy of the report copy may be generated directly from our site. Please be aware that a paper copy, either computer-generated or manually-produced, along with a signed oath page, are still required to be filed with the Commission until such time the Kentucky statutes are revised to allow us to accept the electronic annual report format as the official record. In order to participate in this on-line filing process, your utility must register on our web site. If your company utilizes outside parties for filing your reports, it will be your responsibility to provide the party with your ID and password.

Jeff Ruttenburg
December 15, 2011
Page 2

We have made every effort to create the on-line system with a look similar to the paper form. In addition, there are built-in audit tools which inform the user when certain line item totals do not match and section totals are incorrect. Also, the automatically generated "checklist" page at the end of the report may be used to determine if all required totals are correct. This gives the filer an opportunity to correct any totals which do not balance or provide an explanation as to why certain totals may not match. Additional instructions regarding the electronic annual report process can be found online under the "Commission Records" / "Financial Reports" portion of our site.

If you need additional copies of any form, they can be found on our web site under "Utility Information" / "Forms", or you may contact me and I will gladly provide a hard copy. Should you have any questions or require additional information, please do not hesitate to contact me by emailing JeffD.Cline@ky.gov.

Sincerely,



Jeff D. Cline
Annual Report Branch Manager

Enclosure(s)



Steven L. Beshear
Governor

Leonard K. Peters
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission
211 Sower Blvd.
P.O. Box 615
Frankfort, Kentucky 40602-0615
Telephone: (502) 564-3940
Fax: (502) 564-3460
psc.ky.gov

David L. Armstrong
Chairman

James W. Gardner
Vice Chairman

Linda Breathitt
Commissioner

December 14, 2012

Jeff Ruttenburg
Red Barn Waste Management, LLC
P. O. Box 1047
Lexington, KY 40588

RE: **2012 Annual Reporting**
Sewer
Utility ID: 9002600

Dear Mr. Ruttenburg:

Please be advised that new regulation changes require utilities to use the commission's e-filing system for Annual Financial and Statistical Report (Annual Report) submissions. This letter should be reviewed carefully to ensure that each utility understands the new procedures. A letter requesting a deviation should be filed in the event a utility can thoroughly substantiate an inability to comply with the Annual Report e-filing requirement prior to submitting a hard copy of an Annual Report.

In order to participate in our Annual Report e-filing process, utilities should register on the commission's web site (<http://psc.ky.gov>) by clicking the Register button at the top-left portion of the homepage to complete the registration form and select the Utility Financial Report Filers checkbox. The online Annual Report system provides users with built-in tools that will call attention to certain line item totals that may be incorrect. The automatically generated checklist at the end of the report may also be helpful to determine any other potential issues and gives the filer an opportunity to correct any totals which do not accurately cross-reference or to provide an explanation prior to initial filing. Additional instructions are available on our web site under Commission Records / Financial Reports / E-File Help.

Upon completion of the electronic Annual Report form, please notify us by selecting Change Status / Complete from the Tools menu. You may generate a hard copy of the required Annual Report Oath Page by selecting Print All Schedules from the Tools menu and printing only the last page. **Please be aware that one original signed and notarized Annual Report Oath Page is required to be filed upon completion of the electronic entry of the Annual Report.** To clarify this requirement change, **a hard copy of the entire Annual Report is no longer required if submitted electronically.**

In the event other documents are also required to supplement or complete the Annual Report and cannot be submitted through the commission's electronic filing system, please continue to file those documents in paper form.

One original signed and notarized copy of the enclosed Report of Gross Operating Revenues (Gross Report) is also required to be filed. Please ensure that the Gross Report filed with the commission is complete, including utility's email address and utility ID.

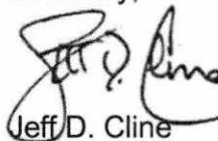
The Annual and Gross Reports should be completed for the calendar year 2012 and submitted to the commission by **March 31, 2013**.

An extension of time request for filing the Gross Report cannot be granted and the prompt filing of this report is essential. However, the Commission may occasionally, upon written request, allow a 30-day extension of time for filing the Annual Report. The request must provide a reasonable explanation and be filed prior to the March 31 deadline. Annual Report extensions may be requested by generating an email to PSC.Reports@ky.gov, or by letter.

A utility required to file an Annual Report shall also file on or before September 30 each year, a copy of the audit report of the Kentucky regulated entity from the audit performed the previous year, or a statement that no audit was performed of the Kentucky regulated entity.

Additional copies of forms can be found on our web site under Utility Information / Forms. Should you have any questions regarding reporting requirements, please do not hesitate to email PSC.Reports@ky.gov.

Sincerely,



Jeff D. Cline
Annual Report Branch Manager

Enclosure(s)



Steven L. Beshear
Governor

Leonard K. Peters
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission
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Telephone: (502) 564-3940
Fax: (502) 564-3460
psc.ky.gov

David L. Armstrong
Chairman

James W. Gardner
Vice Chairman

Linda Breathitt
Commissioner

December 18, 2013

Jeff Ruttenburg
Red Barn Waste Management, LLC
P. O. Box 1047
Lexington, KY 40588

RE: **2013 Annual Reporting**
Sewer
Utility ID: 9002600

Dear Mr. Ruttenburg:

One original signed and notarized copy of the enclosed Report of Gross Operating Revenues ("Gross Report") for calendar year 2013 is required to be filed by **March 31, 2014** pursuant to KRS 278.140 and 807 KAR 5:006, Section 4(1). Please ensure that the Gross Report filed with the commission is complete, including utility's default regulatory email address pursuant to 807 KAR 5:006, Section 3(2). Additional copies of this form can be found on our web site under Utility Information / Forms.

The Annual Financial and Statistical Report ("Annual Report") for calendar year 2013 can also now be completed and is required to be submitted by **March 31, 2014** pursuant to 807 KAR 5:006, Section 4(2). Please use the commission's e-filing system for the Annual Report submission. **Be advised that a new upload feature has been implemented within the Annual Report e-filing system for the signed and notarized Oath Page as well as all other supporting documents.** Upon completion of the electronic Annual Report form, you may electronically create and print the Oath Page and after it is signed and notarized, simply scan and upload it back into the system. This will omit the requirement for the hard copy of the Oath Page. Further, there is also a supporting document upload page to include additional pages, if necessary, and in the event you had an audit performed and the Audit Report is ready at the time of your Annual Report submission, it may be uploaded to be included with the Annual Report submission. As in previous years, please notify us that you have completed your Annual Report and have uploaded your Oath Page by selecting Change Status / Complete from the Tools menu. **Please be aware that if you upload your Oath Page, a hard copy is no longer required.** Helpful tips and instructions are available on our web site under Commission Records / Financial Reports / E-File Help.

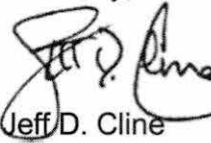
Mr. Jeff Ruttenburg
December 18, 2013
Page 2

An extension of time request for submitting the Gross Report cannot be granted and prompt filing is essential. However, the Commission may occasionally, upon written request, allow a 30-day extension of time for filing the Annual Report. **Annual Report extensions may be requested by generating an email to PSC.Reports@ky.gov.** The request must provide a reasonable explanation and be submitted prior to the March 31 deadline.

Any utility failing to comply with reporting requirements by March 31, 2014 shall be subject to the impositions of penalties provided under KRS 278.990, and legal action to collect any fines and balances due.

Should you have any questions regarding reporting requirements, please do not hesitate to email PSC.Reports@ky.gov.

Sincerely,



Jeff D. Cline
Annual Report Branch Manager

Enclosure(s)

From: Cline, Jeff D (PSC)
Sent: Tuesday, December 16, 2014 4:07 PM
To: 'jefrutt@aol.com'
Subject: Required Reporting to KPSC Due NLT March 31, 2015

If you are not the individual that completes Gross and Annual Reporting on behalf of Red Barn Waste Management, LLC, please forward to the proper person as this email contains pertinent requirement information including links to forms that can be printed for filing.

This correspondence is to serve as a reminder for Red Barn Waste Management, LLC that the 2014 Sewer Report of Gross Operating Revenues ("Gross Report") and the Annual Financial and Statistical Report ("Annual Report") are required to be filed with the Kentucky Public Service Commission no later than March 31, 2015.

Please note: If your company provides more than one service type, separate reports should be submitted for each service type.

Gross Report

One original signed and notarized original hard copy of the Gross Report for calendar year 2014 is required to be filed pursuant to KRS 278.140 and 807 KAR 5:006, Section 4(1). Ensure that the Gross Report filed with the Commission is complete, including utility's default regulatory email address, pursuant to 807 KAR 5:006, Section 3(2).

The blank Gross Report should be printed, filled out, signed/notarized, and mailed to the mailing address provided at the bottom of this email.

Refer to the following links for printing the Gross Report in the format of your choice:

PDF version: <http://psc.ky.gov/agencies/psc/forms/gor01.pdf>
Word version: <http://psc.ky.gov/agencies/psc/forms/gor01.doc>

If you are unable to print the Gross Report using the links provided above and would like to request a blank hard copy Gross Report mailed to you, please simply contact us by generating an email to PSC.Reports@ky.gov.

Annual Report

The Annual Report for calendar year 2014 should be completed by utilizing the Commission's e-filing system pursuant to 807 KAR 5:006, Section 4(2). When you are satisfied with your entire Annual Report submission and have uploaded your Annual Report Oath Page, you can complete the submission of your electronic Annual Report by going to the Tools menu and changing status of all schedules to complete. Electronic submissions made without an Annual Report Oath Page will not be accepted as filed. A hard copy is no longer required.

You may electronically create and print the Annual Report Oath Page under the Create and Upload Oath Page schedule within the e-file system. This page is the last schedule shown on the left side of the screen and should be used only to create and upload the completed Oath Page. After your Annual Report Oath Page is signed and notarized, simply scan and upload it back into the system under this same schedule. If you do not have scanning capabilities, you may mail a hard copy Oath Page to the address provided at the bottom of this email and we will upload on your behalf. If you cannot create and print the Oath Page, please refer to the Tips and Problem Solving information section in this email.

Further, there is also an Upload Supporting Documents page to allow you a method to upload additional pages related to your Annual Report, if necessary. This page is the 2nd to last schedule shown on the left side of the screen. In the event you had an Audit performed and the Audit Report is ready at the time of your Annual Report submission, a PDF copy of the Audit may also be uploaded to be included with the Annual Report submission under this schedule.

Annual Report Tips and Problem Solving

Making use of the checklist near the end of the report will help you eliminate cross-reference issues found in your report prior to submission. Be sure to click the Save button on each page when completing your Annual Report prior to moving on to the next page. Also, after you save each page of your Annual Report, if you scroll back to the top of

each individual page and look for any description shown in red, this will bring attention to total errors that should be corrected prior to final submission.

Other helpful tips and instructions are available on our web site at:
<http://psc.ky.gov/agencies/psc/AnnualReport/AnnRptInstructions.pdf>

For any Internet Explorer browser users that are having difficulty with creating or uploading material, please review the following documents for common issues facing users with Internet Explorer browser versions 10 and 11.

IE version 10 – Issue: If when scrolling, your cursor bounces back to the top of the screen:
Link: [Solution Steps](#)

IE version 11 – Issue: If you Log in successfully but then return to Public View and cannot access UFR:
Link: [Solution Steps](#)

If you have attempted the above solutions and are still having difficulty, please contact us by email or phone.

Extension Requests

An extension of time request for submitting the Gross Report cannot be granted and prompt filing is essential. However, the Commission may occasionally, upon written request, allow a 30-day extension of time for filing the Annual Report. The request **must** provide a reasonable explanation and be submitted prior to the March 31 deadline. **Annual Report extensions may be requested by generating an email to PSC.Reports@ky.gov.**

Any utility failing to comply with reporting requirements may be subject to the impositions of penalties provided under KRS 278.990, and legal action to collect any fines and balances due.

Should you have any questions regarding reporting requirements, please do not hesitate to contact us at PSC.Reports@ky.gov or (502) 564-3940.

Jeff D. Cline
Annual Report Branch Manager
Kentucky Public Service Commission
211 Sower Boulevard
PO Box 615
Frankfort, KY 40602

www.psc.ky.gov
(502) 564-3940

From: Cline, Jeff D (PSC)
Sent: Thursday, January 14, 2016 2:58 PM
To: 'jefrutt@aol.com'
Subject: Gross and Annual Reporting with KPSC - Due March 31, 2016

If you are not the individual that completes Gross and Annual Reporting to the Kentucky Public Service Commission ("KPSC") on behalf of Red Barn Waste Management, LLC, please forward to the proper person as this email contains pertinent requirement information including links to forms that can be printed for filing that are time-sensitive.

This correspondence is to serve as a reminder for Red Barn Waste Management, LLC (ID 9002600) that the 2015 **Sewer Report of Gross Operating Revenues ("Gross Report")** and the Annual Financial and Statistical Report ("Annual Report") are required to be filed with the KPSC **no later than March 31, 2016. Further, these same reports for calendar year 2014 have yet to be filed and are now considered highly delinquent.**

Gross Reports

One original signed and notarized original hard copy Gross Report, each for calendar year 2014 and 2015, are required to be filed pursuant to KRS 278.140 and 807 KAR 5:006, Section 4(1). Ensure that the Gross Reports filed with the KPSC are complete, including utility's default regulatory email address, pursuant to 807 KAR 5:006, Section 3(2). Please do not upload a copy of the Gross Reports with the e-filed Annual Report as the Gross Reports should be filed in hard copy format only.

Blank Gross Reports should be printed, filled out, signed/notarized, and mailed to the mailing address provided at the bottom of this email.

Refer to the following links for printing the Gross Reports in the format of your choice:

PDF version: <http://psc.ky.gov/agencies/psc/forms/gor01.pdf>
Word version: <http://psc.ky.gov/agencies/psc/forms/gor01.doc>

If you are unable to print the Gross Reports using the links provided above and would like to request a blank hard copy Gross Reports mailed to you, please request a hard copy by sending an email to PSC.Reports@ky.gov.

Annual Report

The Annual Report should be completed in the KPSC's e-filing system, each for calendar year 2014 and 2015, pursuant to 807 KAR 5:006, Section 4(2). Hard copies are not required.

An Oath Page should accompany each Annual Report which can be created at the Create and Upload Page located at last schedule on the left side of the screen of the Annual Report e-filing system. After your Annual Report Oath Page is printed, signed and notarized, simply scan and upload it back into the system under this same schedule. If you do not have scanning capabilities, you may mail a hard copy Oath Page to the address provided at the bottom of this email and we will upload on your behalf.

Further, there is an Upload Supporting Documents page to allow you a method to upload additional pages related to your Annual Report, if necessary. This page is the 2nd to last schedule shown on the left side of the screen, directly above the Create and Upload Oath Page schedule. In the event you had an Audit performed and the Audit Report is ready at the time of your Annual Report submission, a PDF copy of the report should be uploaded to be included with the Annual Report submission under this schedule. If you have an Audit performed but the Audit Report is not yet available, you may go ahead and submit your Annual Report. When the Audit Report is available, simply request for the Supporting Documents schedule to be placed back into edit mode by generating an email to PSC.Reports@ky.gov. We will then open up the schedule to allow you to upload the Audit Report.

Refer to the following link to log into the KPSC e-filing system for submitting the Annual Reports:

KPSC E-Filing System: <https://psc.ky.gov/Security/account/login.aspx>

Annual Report Tips and Problem Solving

Making use of the **checklist** located at the 3rd to last schedule shown on the left side of the screen, just above the Upload Supporting Documents schedule, will help you eliminate cross-reference issues found in your report prior to submission. Also, be sure to click the **Save button** on each page when completing your Annual Report prior to moving on to the next page. After you save each page of your Annual Report, we recommend scrolling back to the top of each individual page and look for any description shown in red indicating errors that should be corrected prior to final submission.

Other helpful tips and instructions are available on our web site at:
<http://psc.ky.gov/agencies/psc/AnnualReport/AnnRptInstructions.pdf>

Web Browser Issues

For any Internet Explorer browser users that are having difficulty with creating or uploading material, please review the following documents for common issues facing users with Internet Explorer browser versions 10 and 11.

IE version 10 – Issue: If when scrolling, your cursor bounces back to the top of the screen:

Link: [Solution Steps](#)

IE version 11 – Issue: If you Log in successfully but then return to Public View and cannot access UFR:

Link: [Solution Steps](#)

If you have attempted the above solutions and are still having difficulty, please contact us by email or phone.

Extension Requests

An extension of time request for submitting the Gross Report cannot be granted and prompt filing is essential. However, the KPSC may occasionally, upon written request, allow a 30-day extension of time for filing the Annual Reports. The request must provide a reasonable explanation and be submitted prior to the March 31 deadline. **Annual Report extensions should be requested by generating an email to PSC.Reports@ky.gov.**

Any utility failing to comply with reporting requirements may be subject to the impositions of penalties provided under KRS 278.990.

Should you have any questions regarding reporting requirements, please do not hesitate to contact us at PSC.Reports@ky.gov or (502) 564-3940.

Jeff D. Cline
Annual Report Branch Manager
Kentucky Public Service Commission
211 Sower Boulevard
PO Box 615
Frankfort, KY 40602
www.psc.ky.gov

EXHIBIT 2 TO THE TESTIMONY OF JEFF CLINE

-----Original Message-----

From: Vinsel, Nancy (PSC) (PSC) <Nancy.Vinsel@ky.gov>
To: 'Kevin' <kbozee@aol.com>
Cc: Beyer, Jonathan (PSC) (PSC) <Jonathan.Beyer@ky.gov>; Cline, Jeff D (PSC) (PSC) <JeffD.Cline@ky.gov>
Sent: Thu, Sep 4, 2014 9:06 am
Subject: RE: Filing annual reports for Red Barn Waste Management

Kevin,
Red Barn has not filed annual reports for years 2008 – 2013.

We will look for the filing tomorrow. Please let me know if there are any delays.

Thank you, Nancy

From: Kevin [mailto:kbozee@aol.com]
Sent: Thursday, September 04, 2014 9:02 AM
To: Vinsel, Nancy (PSC)
Subject: Re: Filing annual reports for Red Barn Waste Management

I think I finally have enough information I can piece together the information. I should have it done tomorrow.

What other years are missing so I can contact his bookkeeper to get me the information.

Kevin
Kevin J Bozee CPA PSC
201 W Short St, Ste 602
Lexington, KY 40507-1231
(859) 275-2156
kbozee@aol.com

IRS Circular 230 Notice: unless indicated otherwise, if this communication constitutes a covered opinion, any tax advice it contains cannot be used to avoid tax penalties.

The information contained in this message is intended only for the personal and confidential use of the designated recipients named above. If the reader of this message is not the intended recipient, you are hereby notified that you have received this document in error and that any review, dissemination, distribution or copying of this message is strictly prohibited. If you have received this communication in error, please delete immediately. Thank you.

-----Original Message-----

From: Vinsel, Nancy (PSC) (PSC) <Nancy.Vinsel@ky.gov>
To: 'kbozee@aol.com' <kbozee@aol.com>
Cc: Beyer, Jonathan (PSC) (PSC) <Jonathan.Beyer@ky.gov>; Cline, Jeff D (PSC) (PSC) <JeffD.Cline@ky.gov>
Sent: Thu, Sep 4, 2014 8:24 am
Subject: Filing annual reports for Red Barn Waste Management

Mr. Bozee,
Can you tell me what the status or estimated filing date for the annual reports for Red Barn Waste Management? At a minimum, is it possible to file the 2013 Gross Operating Report? I have attached a copy of the one-page form for your convenience.

I understand that Mr. Ruttenberg intends to file all outstanding reports. At this point, filing the 2013 Gross Operating Report would be a good first step. If that is not possible, please let us know the status of the filing.

Regards,

Nancy J. Vinsel
Staff Attorney
Kentucky Public Service Commission

Cline, Jeff D (PSC)

From: PSC - Reports
Sent: Wednesday, April 15, 2015 11:01 AM
To: Jefrutt@aol.com
Subject: Past Due 2014 Report of Gross Operating Revenues as well as 2013 and 2014 Annual Financial and Statistical Report

Please be advised that Union County Water District's 2014 Report of Gross Operating Revenues ("Gross Report") and Annual Financial and Statistical Report ("Annual Report") were required to be submitted by March 31, 2015 and are now past due and considered highly delinquent pursuant to 807 5:006, Section 4. Swift action to comply with the reporting requirement will prevent a show cause action and penalties implemented pursuant to KRS 278.990. Please also be advised that the 2013 Annual Report has also still not been submitted.

Refer to the following link for a blank copy of the missing 2014 **Gross Report**. No extension request is possible for the Gross Report.

<http://psc.ky.gov/agencies/psc/forms/gor01.pdf>

The 2013 and 2014 **Annual Reports** are to be completed online on the KPSC web portal by using the same log-in information as used in previous years.

It is essential that I receive these reports immediately because of a statutory deadline. **Please mail the original Gross Report to me on or before April 22, 2015** so that the company can return to good standing pertaining to reporting requirements with the Kentucky Public Service Commission.

The Annual Reports should also be electronically submitted by **April 22, 2014** or an extension should be requested. Should you wish to request an extension for the Annual Reports, please provide a brief explanation for the need of the extension and request by email directed to PSC.Reports@ky.gov.

Do not hesitate to contact me by email with any questions or to provide feedback in this matter.

Jeff D. Cline
Annual Report Branch Manager
Kentucky Public Service Commission
211 Sower Boulevard
PO Box 615
Frankfort, KY 40602

www.psc.ky.gov

From: PSC - Reports
Sent: Thursday, May 05, 2016 12:08 PM
To: 'jefrutt@aol.com'
Cc: Cline, Jeff D (PSC)
Subject: Red Barn Waste Management, LLC - Past Due Gross Reporting with KPSC

Please be advised that we have still not received the Gross Report or Annual Report for **Red Barn Waste Management, LLC** due March 31 and they are now considered highly delinquent. Please email a completed Gross Report to PSC.Reports@ky.gov and then follow up with a hard copy no later than May 11, 2016.

Link to Sewer Gross Report: <http://psc.ky.gov/agencies/psc/forms/gor01.pdf>

You may request an extension of time via email to file the Annual Report. Otherwise, it too should be filed no later than May 11, 2016 to bring your company back into good standing. No extension of time can be accepted for the Gross Report.

Further, the same reports for 2014 have not been submitted. Please advise as to the status of those submissions by May 11, 2016.

Any utility failing to comply with reporting requirements may be subject to the impositions of penalties provided under KRS 278.990.

Regards,

Jeff D. Cline
Annual Report Branch Manager
Kentucky Public Service Commission
211 Sower Boulevard
PO Box 615
Frankfort, KY 40602

www.psc.ky.gov

*Red Barn Waste Management, LLC
1476 Hartland Woods Way
Lexington, KY 40515-2011

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