

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION
CASE NO. 2016-00270

RECEIVED

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PUBLIC SERVICE
COMMISSION

In the Matter of:

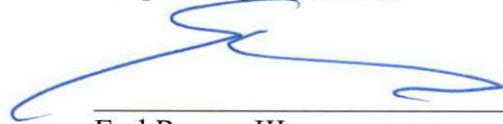
THE APPLICATION OF BATH COUNTY WATER)
DISTRICT FOR A CERTIFICATE OF PUBLIC)
CONVENIENCE AND NECESSITY TO CONSTRUCT) CASE NO.
AND FINANCE IMPROVEMENT PROJECTS) 2016-00270
PURSUANT TO KRS 278.020 and 278.300)

NOTICE OF SUPPLEMENTAL FILING OF
KENTUCKY DIVISION OF WATER APPROVAL

The Bath County Water District (the "Applicant") of Bath County, Kentucky, respectfully submits the following information in supplement to the Applicant's previously submitted Application as follows:

1. Approval letter of Kentucky Division of Water dated March 18th, 2016.

Respectfully submitted,



Earl Rogers III
Attorney for Applicant
earlrogers@windstream.net
Campbell Rogers & Hill, PLLC
154 Flemingsburg Road
Morehead, KY 40351
Phone: 606-783-1012
Fax: 606-784-8926

MATTHEW G. BEVIN
GOVERNOR



CHARLES G. SNAVELY
SECRETARY

ENERGY AND ENVIRONMENT CABINET
DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WATER
200 FAIR OAKS LANE, 4TH FLOOR
FRANKFORT, KENTUCKY 40601
www.kentucky.gov

March 18, 2016

Mr. Kenney Barber
Bath Co Water District
PO Box 369
Salt Lick, KY 40371-

RE: F16-011
Bath Co Water District--33781
Contract(s) Contract No. 4 Scattered Site
Improvements
Activity ID: FGL20160005

Dear Mr. Barber:

The Kentucky Division of Water (DOW) has reviewed for completeness and adequacy the construction plans and specifications submitted for the above referenced contract(s). The DOW now approves these plans and specifications with respect to sanitary features of design in accordance with the requirements contained in the attached construction permit. These plans consist of 32,780 LF of 6-inch PVC and 300 LF of 12-inch HDPE water line, two pump stations: upgrades to the Dickerson and replacement of the White Oak pump station which are capable of 10 GPM with 165 feet TDH and 40 GPM with 123 feet TDH respectively and an emergency generator at the Ore Mine pump station. The approval conditions and a list of eligible/ineligible items are enclosed. Please note that ineligible items cannot be funded using State Revolving Fund (SRF) monies, and must be paid by other funding sources.

We are enclosing one (1) set of approved plans and specifications. An identical set should be made available at the project site at all times. If modifications are made to these plans and specifications before bidding, two (2) complete sets of as-bid plans and specifications must be submitted to the DOW for approval. A second DOW construction approval must be issued by separate correspondence before proceeding with advertising for bids. Any red line changes that were made by DOW personnel on the approved plans shall be incorporated into the bid set plans unless an alternative is approved.

You may now advertise for bids on the construction of this project. In addition to other notifications, this project must be advertised in the newspaper of the largest daily circulation in the project area.

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You are cautioned not to advertise unless you have a proper wage decision. The Federal Davis-Bacon wage rates and Kentucky prevailing wage rates are applicable for this project. Please contact all other funding sources for their requirements pertaining to federal or state wage rates.

You are reminded that the construction contracts are subject to the equal employment opportunity requirements contained in Executive Order 11246. Equal employment opportunity affirmative action by the prime contractors and all subcontractors is mandated throughout the duration of the contract. Documentation of efforts to comply with Executive Order 11246, Equal Employment Opportunity is required to be kept by the borrower.

Review the attached Project Review and Cost Summary form for details of the information to be collected and retained in your files or to be submitted to DOW for review and approval. This form must be completed, signed by the recipient, and with the necessary information be then forwarded to the DOW. This signature will certify that all the information to be retained by the recipient has been secured and is available for review by the Division at the pre-construction conference. The required information must be approved by the DOW before executing any contracts.

Along with the Project Review and Cost Summary form, the following items must be submitted to the DOW for review and approval before executing any contracts:

- The bid advertisement
- Revised Project Budget
- Certified bid tabulation
- Documentation of compliance with DBE Good Faith Effort in accordance with 40 CFR 33.301

These items will be reviewed as a part of the Authority to Award process. The DOW will authorize you to award the contracts once these documents are approved

After the Notice to Proceed is signed, the DOW will need a copy of the executed contract documents, including plans and specifications.

Changes orders will require approval from the DOW before payment can be authorized from the State Revolving Fund. Submission of plans and specifications may be required for change order work.

Upon completion of the project, as-built drawings shall be provided to the DOW. As-builts shall be stamped, signed and dated by a professional engineer. A written certification stating that the project was constructed according to the approved plans shall be provided to the DOW by a professional engineer.

The construction permit included in this letter has been issued under the provisions of KRS Chapter 224 and the regulations promulgated pursuant thereto. Issuance of this approval does not relieve the applicant from the responsibility of obtaining any other approvals, permits or licenses required by this Cabinet and other state, federal and local agencies.

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You are cautioned that the advertisement and award of this contract will be subject to the laws and regulations that govern the State Revolving Fund (SRF) and to the conditions of your loan agreement. If we can be of further assistance, please call Abbas Pourghasemi, Project Engineer, at (502) 564-3410, extension 4833.

Sincerely,



Terry Humphries, P.E.
Supervisor, Engineering Section
Water Infrastructure Branch
Division of Water

TH: AP

Enclosures

Eligible List, Ineligible List, Approval Conditions
Project Review and Cost Summary Form
1 set plans and specification

C: Kentucky Engineering Group, PLLC
Kentucky Infrastructure Authority
Cabinet for Economic Development
Bath County Health Department
Division of Plumbing

F16-011
Bath Co Water District

SRF ELIGIBLE ITEMS:

Contract No. 4: No ineligible items identified

SRF INELIGIBLE ITEMS:

Contract No. 4: None identified

APPROVAL CONDITIONS:

1. Provide Clear Site Certificates
2. Complete and return the Project Review and Cost Summary Form.
3. Include the latest version of the SRF Supplemental General Conditions (dated February 2016) in the as-bid specifications.