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Commissioner

July 29, 2016

Attorney Earl Rogers  
Campbell Rogers & Hill, PLLC  
154 Flemingsburg Road  
Morehead, KY 40351

RE: Bath County Water District  
Case No. 2016-00270 - Filing Deficiencies

The Commission Staff has reviewed the application in the above case. This filing is rejected for the reasons set forth below.

Filing deficiencies pursuant to:

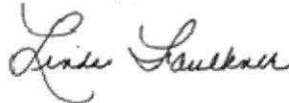
- (1) 807 KAR 5:001: Section 4(10)(a)(3) requires a person filing a paper containing personal information to encrypt or redact "[t]he digits of an account number, credit card number, or debit card number that, in combination with any required security coded, access code, or password would permit access to an account." The Application includes a document titled "Authorization for Electronic Deposit of Borrower Payment Kentucky Infrastructure Authority (Fund F16-011)" which contains a bank account number and the transit/ABA number for the account. Pursuant to 807 KAR 5:001, Section 10, Bath County Water District is required to encrypt or redact the foregoing information.
- (2) 807 KAR 5:001: Section 18(1)(b) – Statement of original cost of applicant's property and the cost to the applicant, if different. No original cost paperwork.
- (3) 807 KAR 5:001: Section 18(1)(e) – If proceeds will be used to acquire, construct, improve, or extend property, provide a detailed description and all contracts. They have not provided copies of contracts.
- (4) 807 KAR 5:001: Section 18(2)(a) – Financial exhibits. This references back to 807 KAR 5:001: Section 12(1)(b) whether material changes have occurred.
- (5) 807 KAR 5:001: Section 18(2)(c) - If property to be acquired: Maps and plans of property. Application does not address whether any property will be acquired. If any property is to be acquired or if any encroachment permits are necessary, provide the information in the Application. If not, then state that fact in the Application.

- (6) 807 KAR 5:001: Section 12(1)(b) – For a utility that had less than \$5,000,000 in gross annual revenue in the immediate past calendar year, comply with paragraph (a) of this subsection or cover operations for the twelve (12) month period contained in the utility's most recent annual report on file with the Commission, and contain a statement that: 1. Material changes have not occurred since the end of that twelve (12) month period or 2. Identifies all material changes that have occurred since the end of that twelve (12) month period. The Application does not state whether or not material changes have occurred, and, if so, what they are.
- (7) 807 KAR 5:001: Section 12(2)(e) – Bonds
- Amount Authorized
  - Description of Each Class Issued
  - Date of Issue
  - Date of Maturity
- (8) 807 KAR 5:001: Section 15(2)(b) – Copies of franchise or permits, if any, from the proper public authority for the proposed construction or extension, if not previously filed with the Commission. Application does not address whether any franchises or permits are required for this project. If none are required, then state that fact in the Application. If any franchises or permits are required, then state whether they have previously been filed with the Commission or provide them with the Application.
- (9) KRS 322.340 – Specifications for the proposed construction or extension prepared by a registered engineer, must be signed, sealed, and dated by an engineer registered in Kentucky.

The statutory time period in which the Commission must process this case will not commence until the above-mentioned required information is filed with the Commission. You are requested to file this information within 10 days of the date of this letter.

If you need further assistance, please contact my staff at 502-564-3940.

Sincerely,



Linda Faulkner  
Filings Division Director

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