MARION COUNTY WATER DISTRICT 1835 CAMPBELLSVILLE ROAD P O BOX 528 LEBANON, KY 40033

Telephone: 270-692-2004 Fax: 270-692-1010 TTY 1-800-648-6956 or 711

RECEIVED

May 11, 2016

Ms. Linda Faulkner Filings Division Director KY Public Service Commission PO Box 615 Frankfort KY 40602-0615 MAY 12 2016

Public Service Commission

RE:

Case No. 2016-0163

Marion County Water District

Dear Ms. Faulkner:

Please find enclosed an original and five copies of the ARF Form-3 for each Water District Commissioner. The Chairman has previously signed this form and it was included with the original application filing.

Please let us know if you need anything else at this time.

Sincerely,

Jimmy Mudd General Manager

Enclosures - Five ARF Form 3 with Notary statement

Marion County Water District is an Equal Opportunity Provider and Employer
Complaints of discrimination should be sent to:
USDA, Director, Office of Civil Rights, Washington, D. C. 20240-9410

RECEIVED

ARE FORM-3 (November 2013)

STATEMENT OF DISCLOSURE OF RELATED PARTY TRANSACTIONS

MAY 12 2016

Public Service Commission

	of my knowledge and belief the information d those transactions occurring within the past	
		ility") and related
	or the purpose of this statement, "related pa	CONTRACTOR OF THE PROPERTY OF
nclude, all transactions and payments	in excess of \$25.00, except regular salary, wa	ges and benefits
	he Utility's current or former employees; 2) o	
nembers of the Utility's board of co	mmissioners or board of directors; 3) persons	s who have a 10
ercent or greater ownership intere	st in the Utility; 4) family members* of an	y current Utility
mployee, director, commissioner or	person with a 10 percent or greater ownersh	ip interest in the
tility or 5) a business enterprise	in which any current or former Utility em	pioyee, director
	ercent or greater ownership interest in the U	Itility or a family
ember of such person has an owners	nip interest.	
Name of Related Party	Type of Service Provided	Amount of
(Individual or Business)	By Related Party	Compensation
Hill & Sandusky Pl'bing, Elec., & HVAC	Electrical Repair on box & breakers for water tank	\$313.40
Spalding Meter Reading Service	Contract for reading water meters	\$50,757.63
John Timothy Spalding	Part-time temporary employee wages 1/1-3/31/14	\$3,822.50
Check this box if the Utility has n	o related narty transactions	
Check box if additional transacti	ons are listed on the supplemental page.	
Chack hav if any employee of th	e Utility is a family member of the Utility's chief	f executive office
commissioner, or any person with a 10	percent or greater ownership interest in the U ey are related and the nature of the relationship	n are listed on th
upplemental page entitled "Employe		o are listed on ti
upplemental page entitled Employe	es helated to othicy officials.	
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E-10 Index In	Earl Sondress	1
Earl Sandusky, Jr. Print Name)	(Gianed)	44.
Fillit (value)	(Signed)	//
Commissioner		
Commissioner		

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY	
countrof <u>Marion</u>	
Subscribed and sworn to before me by	Earl Sandus Ky, Jr.
this 10th day of May ,2	20_16
	Mary Grace Mattingly NOTARY PUBLIC State-at-Large

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COUNTY OF Marion	
Subscribed and sworn to before me by	Jimmy Mudd
this 10th day of May ,20	16
	Mary Grace Mattingly NOTARY PUBLIC State-at-Large

COMMONWEALTH OF KENTUCKY

represents all present transactions and months between Ma parties that exceed \$25.00 in value. I include, all transactions and payments made directly to or on behalf of: 1) to members of the Utility's board of coopercent or greater ownership interest employee, director, commissioner or Utility or 5) a business enterprise	For the purpose of this statement, "related pass in excess of \$25.00, except regular salary, was the Utility's current or former employees; 2) of mmissioners or board of directors; 3) personest in the Utility; 4) family members* of an apperson with a 10 percent or greater ownersh in which any current or former Utility emercent or greater ownership interest in the Utility emercent or greater ownership in the Utility emercent ownership in the Utility emercent ownership in the U	twenty-four (24) ility") and related arty transactions" ges and benefits, current or former s who have a 10 y current Utility ip interest in the ployee, director,
Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
Hill & Sandusky Pl'bing, Elec., & HVAC	Electrical Repair on box & breakers for water tank	\$313.40
Spalding Meter Reading Service	Contract for reading water meters	\$50,757.63
John Timothy Spalding	Part-time temporary employee wages 1/1-3/31/14	\$3,822.50
Check this box if the Utility has no related party transactions. Check box if additional transactions are listed on the supplemental page. Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the supplemental page entitled "Employees Related to Utility Officials." Everett Thomas		
(Print Name)	(Signed)	
Secretary		

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

(Position/Office)

Page___of_

countrof Marion	
Subscribed and sworn to before me by	Everett Thomas
this 10th day of May	, 20 <u>16</u> .
	Mary Grace Mattingly NOTARY PUBLIC State-at-1 arge

COMMONWEALTH OF KENTUCKY

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COMMONWEALTH OF KENTUCKY	
countrof Marion	
Subscribed and sworn to before me by	Barbara May
this 16th day of May ,20	16.
	Mary Inaca Mattingly NOTARY PUBLIC State-at-Large

represents all present transactions and months betweenMa parties that exceed \$25.00 in value. Finclude, all transactions and payments made directly to or on behalf of: 1) to members of the Utility's board of corpercent or greater ownership interesemployee, director, commissioner or Utility or 5) a business enterprise in	or the purpose of this statement, "related partial in excess of \$25.00, except regular salary, was the Utility's current or former employees; 2) of mmissioners or board of directors; 3) persons in the Utility; 4) family members* of an operson with a 10 percent or greater ownership which any current or former Utility emercent or greater ownership interest in the Utility emercent ownership in the Utility emercent ownership in the Utility emercent ownership in the Utility emerce	ility") and related arty transactions" ges and benefits, current or former s who have a 10 by current Utility ip interest in the ployee, director,
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Check box if any employee of the commissioner, or any person with a 10	ons are listed on the supplemental page. e Utility is a family member of the Utility's chief percent or greater ownership interest in the Usy are related and the nature of the relationship	Itility. The name of ea
Donnie Browning (Print Name)	(Signed)	7

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COMMONWEALTH OF KENTUCKY	
COUNTY OF Marion	
Subscribed and sworn to before me by	Donnie Browning
this 10th day of May	,20 <u>16</u> .
	Mary Grace Mattingly NOTARY PUBLIC State-at-Large