

RECEIVED

MAY 18 2016

PUBLIC SERVICE
COMMISSION

**COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION**

In the Matter of:

RIDGELEA INVESTMENTS, INC.'S NOTICE OF)
SURRENDER AND ABANDONMENT OF) Case No. 2016-00106
UTILITY PROPERTY NAMELY THREE (3) FRANKLIN)
COUNTY WASTEWATER TREATMENT PLANTS)

**RESPONSE TO THE PUBLIC SERVICE COMMISSION'S
REQUEST FOR SUPPLEMENTAL INFORMATION**

Pursuant to the request of the Commission staff at the hearing in this matter on May 3, 2016, the Ridgelea Investments, Inc. (hereinafter referred to as "Petitioner") submits the following supplemental information:

- 1) Extract of corporate minutes of the Petitioner authorizing abandonment of the subject WWTPs.
- 2) Copies of federal income tax returns of Petitioner for the years 2013, 2014 and 2015.
- 3) Copy of the newspaper notice of the applicants intention to abandon the property.
- 4) Copy of the Franklin Circuit Court Order in 14-CI-616 holding that action in abeyance.
- 5) List of assets and equipment of Petitioner.
- 6) Documentation of anticipated KIA Grant to the Farmdale Sanitation District.

Respectfully Submitted,



John B. Baughman
BAUGHMAN HARP, PLLC
401 West Main Street, Suite 1
Frankfort, Kentucky 40601
502-227-2271 (Phone)
502-352-2936 (Fax)
JBaughman@Hazelcox.com
Attorney for Ridgelea Investments, Inc.

Extract of Minutes of Meeting of the Board of Directors of
Ridgelea Investments, Inc.

A meeting of the Board of Directors of Ridgelea Investments, Inc., was held at 2106 W North Bend Road, Cincinnati, Ohio on February 22, 2016. All directors were in attendance, namely, Charles Hungler, Jr. and Karen Hungler.

Among other matters the following were discussed:

A proposal to abandon the corporations' three wastewater treatment plants in Franklin County, Kentucky which serve Edgewood, Meadowview and Farmgate subdivisions; and an agreement to retain the Grantland Wastewater Treatment Plant in Grant County, Kentucky.

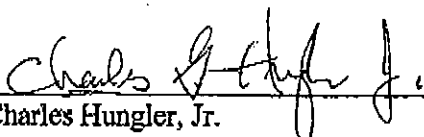
The directors unanimously agreed to the following, immediate action:

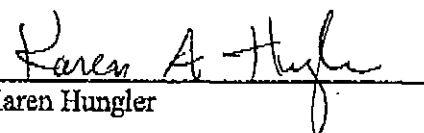
1. To authorize the corporation's attorney to commence proceedings with the Kentucky Public Service Commission seeking abandonment of the Franklin County WWTPs.
2. To authorize Charles Hungler, Jr. to execute any documents and to take any other action to complete the abandonment proceedings.

The above described action was approved and the meeting adjourned by unanimous consent.

Approved by:

Directors:


Charles Hungler, Jr.


Karen Hungler

TLH

U.S. Income Tax Return for an S Corporation

▶ Do not file this form unless the corporation has filed or is attaching Form 2553 to elect to be an S corporation.

▶ Information about Form 1120S and its separate instructions is at www.irs.gov/form1120s.

OMB No. 1545-0130

2013

For calendar year 2013 or tax year beginning ending

A S election effective date 1/1/1999	TYPE OR PRINT	Name RIDGELEA INVESTMENTS, INC			D Employer identification number 61-1079233
B Business activity code number (see instructions) 541330		Number, street, and room or suite no. If a P.O. box, see instructions. 2106 W. NORTH BEND ROAD			E Date incorporated 1/24/1979
		City or town CINCINNATI	State OH	ZIP code 45224	F Total assets (see instructions) \$ 76,062
C Check if Sch. M-3 attached <input type="checkbox"/>		Foreign country name Foreign province/state/county Foreign postal code			

G Is the corporation electing to be an S corporation beginning with this tax year? ☐ Yes ☐ No If "Yes," attach Form 2553 if not already filed

H Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination or revocation

I Enter the number of shareholders who were shareholders during any part of the tax year 1

Caution. Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Income	1a Gross receipts or sales	1a	152,279	
	b Returns and allowances	1b		
	c Balance. Subtract line 1b from line 1a	1c		152,279
	2 Cost of goods sold (attach Form 1125-A)	2		
	3 Gross profit. Subtract line 2 from line 1c	3		152,279
	4 Net gain (loss) from Form 4797, line 17 (attach Form 4797)	4		
Deductions (see instructions for limitations)	5 Other income (loss) (see instructions—attach statement)	5		
	6 Total income (loss). Add lines 3 through 5	6		152,279
	7 Compensation of officers (see instructions—attach Form 1125-E)	7		15,010
	8 Salaries and wages (less employment credits)	8		
	9 Repairs and maintenance	9		66,318
	10 Bad debts	10		
	11 Rents	11		
	12 Taxes and licenses	12		1,831
	13 Interest	13		
	14 Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)	14		4,257
	15 Depletion (Do not deduct oil and gas depletion.)	15		
	16 Advertising	16		
Tax and Payments	17 Pension, profit-sharing, etc., plans	17		
	18 Employee benefit programs	18		
	19 Other deductions (attach statement)	19		58,554
	20 Total deductions. Add lines 7 through 19	20		145,970
	21 Ordinary business income (loss). Subtract line 20 from line 6	21		6,309
	22a Excess net passive income or LIFO recapture tax (see instructions)	22a		
	b Tax from Schedule D (Form 1120S)	22b		
	c Add lines 22a and 22b (see instructions for additional taxes)	22c		0
	23a 2013 estimated tax payments and 2012 overpayment credited to 2013	23a		
	b Tax deposited with Form 7004	23b		
	c Credit for federal tax paid on fuels (attach Form 4136)	23c		
23d Add lines 23a through 23c	23d		0	
24 Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>	24			
25 Amount owed. If line 23d is smaller than the total of lines 22c and 24, enter amount owed	25		0	
26 Overpayment. If line 23d is larger than the total of lines 22c and 24, enter amount overpaid	26		0	
27 Enter amount from line 26 Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	27		0	

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer

Date

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

ROBERT E. FAESY JR. CPA

6/10/2014

P00607350

Firm's name ▶ FAESY CPA GROUP, PSC

Firm's EIN ▶ 26-1741185

Firm's address ▶ 1100 US HWY 127 S, Ste B-1

Phone no. 502-607-0303

City FRANKFORT

State KY

ZIP code 40601

For Paperwork Reduction Act Notice, see separate instructions.

Form 1120S (2013)

Schedule B Other Information (see instructions)

		Yes	No
1 Check accounting method: a <input checked="" type="checkbox"/> Cash b <input type="checkbox"/> Accrual c <input type="checkbox"/> Other (specify) ▶ _____			
2 See the instructions and enter the: a Business activity ▶ SEWER TREATMENT b Product or service ▶ SEWER TREATMENT			
3 At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation			X
4 At the end of the tax year, did the corporation: a Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below			X

(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made

b Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below		Yes	No
			X

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

5 a At the end of the tax year, did the corporation have any outstanding shares of restricted stock? If "Yes," complete lines (i) and (ii) below. (i) Total shares of restricted stock ▶ _____ (ii) Total shares of non-restricted stock ▶ _____		Yes	No
			X
b At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments? If "Yes," complete lines (i) and (ii) below. (i) Total shares of stock outstanding at the end of the tax year ▶ _____ (ii) Total shares of stock outstanding if all instruments were executed ▶ _____			X
6 Has this corporation filed, or is it required to file, Form 8918, Material Advisor Disclosure Statement, to provide information on any reportable transaction?			X
7 Check this box if the corporation issued publicly offered debt instruments with original issue discount <input type="checkbox"/> If checked, the corporation may have to file Form 8281, Information Return for Publicly Offered Original Issue Discount Instruments.			
8 If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions) ▶ \$ _____			
9 Enter the accumulated earnings and profits of the corporation at the end of the tax year. \$ _____			
10 Does the corporation satisfy both of the following conditions? a The corporation's total receipts (see instructions) for the tax year were less than \$250,000 b The corporation's total assets at the end of the tax year were less than \$250,000 If "Yes," the corporation is not required to complete Schedules L and M-1.		X	
11 During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt? If "Yes," enter the amount of principal reduction \$ _____			X
12 During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions			X
13 a Did the corporation make any payments in 2013 that would require it to file Form(s) 1099?		X	
b If "Yes," did the corporation file or will it file required Forms 1099?		X	

Schedule K-1 Shareholders' Pro Rata Share Items		Total amount
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21)	1 6,309
	2 Net rental real estate income (loss) (attach Form 8825)	2
	3a Other gross rental income (loss)	3a
	b Expenses from other rental activities (attach statement)	3b
	c Other net rental income (loss). Subtract line 3b from line 3a	3c 0
	4 Interest income	4
	5 Dividends: a Ordinary dividends	5a
	b Qualified dividends	5b
	6 Royalties	6
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S))	7
Deductions	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S))	8a
	b Collectibles (28%) gain (loss)	8b
	c Unrecaptured section 1250 gain (attach statement)	8c
	9 Net section 1231 gain (loss) (attach Form 4797)	9
	10 Other income (loss) (see instructions) Type ▶	10
	11 Section 179 deduction (attach Form 4562)	11
	12a Charitable contributions	12a
	b Investment interest expense	12b
	c Section 59(e)(2) expenditures (1) Type ▶ (2) Amount ▶	12c(2)
	d Other deductions (see instructions) Type ▶	12d
Credits	13a Low-income housing credit (section 42(j)(5))	13a
	b Low-income housing credit (other)	13b
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468)	13c
	d Other rental real estate credits (see instructions) Type ▶	13d
	e Other rental credits (see instructions) Type ▶	13e
	f Biofuel producer credit (attach Form 6478)	13f
	g Other credits (see instructions) Type ▶	13g
Foreign Transactions	14a Name of country or U.S. possession ▶	14a
	b Gross income from all sources	14b
	c Gross income sourced at shareholder level	14c
	Foreign gross income sourced at corporate level	
	d Passive category	14d
	e General category	14e
	f Other (attach statement)	14f
	Deductions allocated and apportioned at shareholder level	
	g Interest expense	14g
	h Other	14h
	Deductions allocated and apportioned at corporate level to foreign source income	
	i Passive category	14i
	j General category	14j
	k Other (attach statement)	14k
Other information		
l Total foreign taxes (check one): ▶ <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	14l	
m Reduction in taxes available for credit (attach statement)	14m	
n Other foreign tax information (attach statement)		
Alternative Minimum Tax (AMT) Items	15a Post-1986 depreciation adjustment	15a 576
	b Adjusted gain or loss	15b
	c Depletion (other than oil and gas)	15c
	d Oil, gas, and geothermal properties—gross income	15d
	e Oil, gas, and geothermal properties—deductions	15e
	f Other AMT items (attach statement)	15f
Items Affecting Shareholder Basis	16a Tax-exempt interest income	16a
	b Other tax-exempt income	16b
	c Nondeductible expenses	16c 4,000
	d Distributions (attach statement if required) (see instructions)	16d 2,800
	e Repayment of loans from shareholders	16e

Schedule K		Shareholders' Pro Rata Share Items (continued)	Total amount	
Other Information	17a	Investment income	17a	
	b	Investment expenses	17b	
	c	Dividend distributions paid from accumulated earnings and profits	17c	
	d	Other items and amounts (attach statement)		
Reconciliation	18	Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14i	18	6,309

Schedule L		Balance Sheets per Books		Beginning of tax year		End of tax year	
Assets		(a)	(b)	(c)	(d)		
1	Cash		24,570		27,612		
2a	Trade notes and accounts receivable						
b	Less allowance for bad debts		0		0		
3	Inventories						
4	U.S. government obligations						
5	Tax-exempt securities (see instructions)						
6	Other current assets (attach statement)						
7	Loans to shareholders						
8	Mortgage and real estate loans						
9	Other investments (attach statement)						
10a	Buildings and other depreciable assets	85,233		85,233			
b	Less accumulated depreciation	40,026	45,207	44,283	40,950		
11a	Depletable assets						
b	Less accumulated depletion		0		0		
12	Land (net of any amortization)		7,500		7,500		
13a	Intangible assets (amortizable only)	61,430		61,430			
b	Less accumulated amortization	61,430	0	61,430	0		
14	Other assets (attach statement)						
15	Total assets		77,277		76,062		
Liabilities and Shareholders' Equity							
16	Accounts payable						
17	Mortgages, notes, bonds payable in less than 1 year						
18	Other current liabilities (attach statement)		16,690		17,025		
19	Loans from shareholders		1,863		804		
20	Mortgages, notes, bonds payable in 1 year or more						
21	Other liabilities (attach statement)						
22	Capital stock		1,000		1,000		
23	Additional paid-in capital		7,876		7,876		
24	Retained earnings		49,848		49,357		
25	Adjustments to shareholders' equity (attach statement)						
26	Less cost of treasury stock						
27	Total liabilities and shareholders' equity		77,277		76,062		

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

Note. Schedule M-3 required instead of Schedule M-1 if total assets are \$10 million or more—see instructions

1	Net income (loss) per books	2,309	5	Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
2	Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize):		a	Tax-exempt interest \$	0
3	Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14l (itemize):		6	Deductions included on Schedule K, lines 1 through 12 and 14l, not charged against book income this year (itemize):	
a	Depreciation \$		a	Depreciation \$	0
b	Travel and entertainment \$		7	Add lines 5 and 6	0
	See Attached Statement 4,000	4,000	8	Income (loss) (Schedule K, line 18). Line 4 less line 7	6,309
4	Add lines 1 through 3	6,309			

Schedule M-2 Analysis of Accumulated Adjustments Account, Other Adjustments Account, and Shareholders' Undistributed Taxable Income Previously Taxed (see instructions)

	(a) Accumulated adjustments account	(b) Other adjustments account	(c) Shareholders' undistributed taxable income previously taxed
1	Balance at beginning of tax year	49,848	
2	Ordinary income from page 1, line 21	6,309	
3	Other additions		
4	Loss from page 1, line 21		
5	Other reductions	4,000	
6	Combine lines 1 through 5	52,157	0
7	Distributions other than dividend distributions	2,800	
8	Balance at end of tax year. Subtract line 7 from line 6	49,357	0

**Schedule K-1
(Form 1120S)**

Department of the Treasury
Internal Revenue Service

2013

For calendar year 2013, or tax
year beginning _____, 2013
ending _____, 20

**Shareholder's Share of Income, Deductions,
Credits, etc.**

► See back of form and separate instructions.

Part I Information About the Corporation

A Corporation's employer identification number
61-1079233

B Corporation's name, address, city, state, and ZIP code

RIDGELEA INVESTMENTS, INC
2106 W. NORTH BEND ROAD
CINCINNATI, OH 45224

C IRS Center where corporation filed return
Cincinnati, OH 45999-0013

Part II Information About the Shareholder

D Shareholder's identifying number Shareholder: 1
294-44-1604

E Shareholder's name, address, city, state, and ZIP code

CHARLES G HUNGLER JR
5699 CRESTVIEW DRIVE
FAIRFIELD, OH 45014

F Shareholder's percentage of stock
ownership for tax year 100.000000%

☐ Final K-1

☐ Amended K-1

671113
OMB No. 1545-0130

**Part III Shareholder's Share of Current Year Income,
Deductions, Credits, and Other Items**

1	Ordinary business income (loss)	13	Credits
	6,309		
2	Net rental real estate income (loss)		
3	Other net rental income (loss)		
4	Interest income		
5a	Ordinary dividends		
5b	Qualified dividends	14	Foreign transactions
6	Royalties		
7	Net short-term capital gain (loss)		
8a	Net long-term capital gain (loss)		
8b	Collectibles (28%) gain (loss)		
8c	Unrecaptured section 1250 gain		
9	Net section 1231 gain (loss)		
10	Other income (loss)	15	Alternative minimum tax (AMT) items
		A	576
11	Section 179 deduction	16	Items affecting shareholder basis
		C	4,000
12	Other deductions	D	2,800
		17	Other information

* See attached statement for additional information.

For IRS Use Only

*+ 15,010
Mgt fees
PAID TO
Chuck*

K-1 Statement (Sch K-1, Form 1120S)

Line 15 - AMT Items

A Code A - Post-1986 depreciation adjustment A 576

Line 16 - Items affecting shareholder basis

C Code C - Nondeductible expenses C 4,000

D Code D - Distributions D 2,800

Application for Automatic Extension of Time To File Certain Business Income Tax, Information, and Other Returns

OMB No. 1545-0233

► File a separate application for each return.

► Information about Form 7004 and its separate instructions is at www.irs.gov/form7004.

Print
or
Type

Name	Identifying number
RIDGELEA INVESTMENTS, INC	61-1079233
Number, street, and room or suite no. (If P.O. box, see instructions.)	
2106 W. NORTH BEND ROAD	
City, town, state, and ZIP code (If a foreign address, enter city, province or state, and country (follow the country's practice for entering postal code)).	
CINCINNATI, OH 45224	

Note. File request for extension by the due date of the return for which the extension is granted. See instructions before completing this form.

Part I Automatic 5-Month Extension

1a Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 1065	09	Form 1041 (estate other than a bankruptcy estate)	04
Form 8804	31	Form 1041 (trust)	05

Part II Automatic 6-Month Extension

b Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 706-GS(D)	01	Form 1120-ND (section 4951 taxes)	20
Form 706-GS(T)	02	Form 1120-PC	21
Form 1041 (bankruptcy estate only)	03	Form 1120-POL	22
Form 1041-N	06	Form 1120-REIT	23
Form 1041-QFT	07	Form 1120-RIC	24
Form 1042	08	Form 1120S	25
Form 1065-B	10	Form 1120-SF	26
Form 1066	11	Form 3520-A	27
Form 1120	12	Form 8612	28
Form 1120-C	34	Form 8613	29
Form 1120-F	15	Form 8725	30
Form 1120-FSC	16	Form 8831	32
Form 1120-H	17	Form 8876	33
Form 1120-L	18	Form 8924	35
Form 1120-ND	19	Form 8928	36

- 2 If the organization is a foreign corporation that does not have an office or place of business in the United States, check here ☐
- 3 If the organization is a corporation and is the common parent of a group that intends to file a consolidated return, check here ☐
If checked, attach a statement, listing the name, address, and Employer Identification Number (EIN) for each member covered by this application.

Part III All Filers Must Complete This Part

4 If the organization is a corporation or partnership that qualifies under Regulations section 1.6081-5, check here ☐

5a The application is for calendar year 20 13 , or tax year beginning _____, 20 _____, and ending _____, 20 _____

- b **Short tax year.** If this tax year is less than 12 months, check the reason: ☐ Initial return ☐ Final return
☐ Change in accounting period ☐ Consolidated return to be filed ☐ Other (see instructions-attach explanation)

6 Tentative total tax	6	0
7 Total payments and credits (see instructions)	7	0
8 Balance due. Subtract line 7 from line 6 (see instructions)	8	0

Depreciation and Amortization

(Including Information on Listed Property)

OMB No. 1545-0172

2013

Attachment
Sequence No. 179Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return RIDGELEA INVESTMENTS, INC	Business or activity to which this form relates 1120S - SEWER TREATMENT	Identifying number 61-1079233
--	--	----------------------------------

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	0
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	0
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	0
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	0
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562.	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	0
13	Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12	13	0

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	4,257
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here <input type="checkbox"/>		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19 a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property			27.5 yrs.	MM	S/L	
i Nonresidential real property			27.5 yrs.	MM	S/L	
			39 yrs.	MM	S/L	
				MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20 a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instructions	22	4,257
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form 4562 (2013)

Line 19 (1120S) - Other Deductions

1	Accounting fees	3	6,707
2	Billing collection costs	4	13,504
3	Legal and professional fees	5	7,950
4	Utilities	6	571
5	Sludge Hauling	7	9,867
6	Trash hauling	8	58,554
7	Testing fees		
8	Total other deductions		

Line 16d, Schedule K (1120S) - Distributions

[illegible]

Line 18, Sch L (1120S) - Other Current Liabilities

Line 18, Sch L (1120S) - Other Current Liabilities		Beginning	End
1	CUSTOMER DEPOSITS	2,250	2,250
2	DEPOSITS-MULBERRY MAINT. SURCHARGE	14,440	14,775
3	Total other current liabilities	16,690	17,025

Line 3, Sch M-1 (1120S) - Expenses on Books Not on Sch K, lines 1 through 12 and 14!

Line 3, Sch M-1 (1120S) - Expenses on Books Not on Sch K, lines 1 through 12 and 14f.		1	4,000
1	PSC PENALTIES & FINES	2	4,000
2	Total expenses on books not on Sch K, lines 1 through 12 and 14f.		

ine 7 (1120S) - Compensation of Officers

First Name		MI	Last Name	Suffix	Address	City	State	Zip	Foreign Country	Social Security Number	% of Time Devoted to Business	% of Stock Owned	Officer Title	Ch Officer Share
1	CHARLES		HUNGLER		PAID BY MANAGEMENT FEES	CINCINNATI	OH	44224		294-44-1604	40.00%	100.00%	PRES	

Total compensation of shareholder officers	
Total compensation of other officers	
Less amounts included in cost of goods sold	
Less employment credits	
Total officer compensation	

Line 12 (1120S) - Taxes and Licenses

1	Local property taxes	1	907
2	MISC LICENSES AND PERMITS, & OTHER PROPERTY TAXES	2	734
3	KY ENTITY TAX	3	175
4	SOS FEE	4	15
5	Sub Total	5	1,831
6	Total taxes and licenses	6	1,831

Line 14 (1120S) - Depreciation

1	Depreciation	1	4,257
2	Depreciation claimed on 1125-A and elsewhere on return	2	0
3	Depreciation not claimed on 1125-A and elsewhere on return	3	4,257

Line 16c, Sch K (1120S) - Nondeductible Expenses

C	Code C - Nondeductible expenses		
	PSC PENALTIES & FINES		4,000
	Total nondeductible expenses	16c	4,000

Sch L (1120S) - Balance Sheets per Books

Assets		Beginning	Ending
1	Cash	24,570	27,612
2a	Trade notes and accounts receivable		
2b	Less allowance for bad debts		
2c	Net trade notes and accounts receivable	0	0
3	Inventories	0	0
4	U.S. government obligations		
5	Tax-exempt securities		
6	Other current assets	0	0
7	Loans to shareholders		
8	Mortgage and real estate loans		
9	Other investments	0	0
10a	Buildings and other depreciable assets	85,233	85,233
10b	Less accumulated depreciation	40,026	44,283
10c	Net buildings and other depreciable assets	45,207	40,950
11a	Depletable assets		
11b	Less accumulated depletion		
11c	Net depletable assets	0	0
12	Land (net of any amortization)	7,500	7,500
13a	Intangible assets (amortizable only)	61,430	61,430
13b	Less accumulated amortization	61,430	61,430
13c	Net intangible assets	0	0
14	Other assets	0	0
15	Total assets	77,277	76,062
Liabilities and Capital			
16	Accounts payable		
17	Mortgages, notes, bonds payable in less than 1 year		
18	Other current liabilities	16,690	17,025
19	Loans from shareholders	1,863	804
20	Mortgages, notes, bonds payable in 1 year or more		
21	Other liabilities	0	0
22	Capital stock	1,000	1,000
23	Additional paid-in capital	7,876	7,876
24	Retained earnings	49,848	49,357
25	Adjustments to shareholders' equity	0	0
26	Less cost of treasury stock		
27	Total liabilities and shareholders' equity	77,277	76,062

End of year balance sheet out of balance by 0

Sch M-2 (1120S) - Retained Earnings

		Accumulated Adjustments Account	Other Adjustments Account	Shareholders' Undistributed Taxable Income Previously Taxed	Retained Earnings Unappropriated/ Timing Differences	Total Retained Earnings
1	Balance at beginning of tax year	1 49,848	0	0	0	49,848
2	Income from page 1, line 21	2 6,309				6,309
3	Other additions	3 0	0			0
4	Loss from page 1, line 21	4 0				0
5	Other reductions	5 4,000	0			4,000
6	Combine lines 1 through 5	6 52,157	0	0	0	52,157
7	Distributions	7 2,800	0	0		2,800
8	Balance at end of tax year	8 49,357	0	0	0	49,357

Sch M-2, Lines 3 and 5 (1120S) - Other Additions and Reductions

		(a) Accumulated adjustments account	(b) Other adjustments account
Line 3 - Other additions			
Line 5 - Other reductions			
1	Nondeductible expenses	1 4,000	
2	Total other reductions. Enter on line 5, Schedule M-2	2 4,000	0

Assets by Classification - 1120S

RIDGELEA INVESTMENTS, INC 61-1079233

12/31/2013

12/31/2013

Item No.	Description of Property **** indicates DISPOSED	Date Placed In Service	Asset Code	Business Use %	Cost or Other Basis	Sec. 179 Deduction	Credit	Special Allowance	Salvage Value	Recovery Basis	Recovery Period	Method	Con-vention Code	Prior Accum. Deprec., 179, Bonus	2013 Deprec.	2013 Accum. Deprec.			
7-yr General purpose tools, machinery, and equipment																			
101	SEWER PLANT-GRTL	7/1/2000	F-10	100.00%	36,169	0	0	0	0	36,169	39	SL/GDS	HY	11,552	929	12,481			
102	LIFT ST.PUMPS-GTLD	7/1/2000	F-10	100.00%	8,761	0	0	0	0	8,761	5	200DB	HY	8,761	0	8,761			
103	SEWER LINES-GRTLD	7/1/2000	F-10	100.00%	15,140	0	0	0	0	15,140	39	SL/GDS	HY	4,835	389	5,224			
104	PUMP EQUIP.-GTLD	6/18/2001	F-10	100.00%	2,000	0	0	0	0	2,000	5	200DB	HY	2,000	0	2,000			
201	SEWER PLT EQ-MULBERRY	6/11/2003	F-10	100.00%	5,000	0	0	0	0	5,000	7	200DB	HY	5,000	0	5,000			
105	LAWN MOWER	7/28/2003	F-10	100.00%	159	0	0	80	0	79	7	200DB	HY	159	0	159			
106	LAWN MOWER	8/27/2003	F-10	100.00%	1,130	0	0	565	0	565	7	200DB	HY	1,130	0	1,130			
107	LAWN MOWER	9/15/2003	F-10	100.00%	925	0	0	463	0	462	7	200DB	HY	925	0	925			
202	FLOW METER-MULBERRY	9/11/2004	F-10	100.00%	2,010	2,010	0	0	0	0	7	200DB	HY	2,010	0	2,010			
203	AERATOR-MULBERRY	5/13/2005	F-10	100.00%	1,939	1,939	0	0	0	0	7	200DB	HY	1,939	0	1,939			
205	PLANT IMPROVE-WHICH ON	5/1/2012	F-10	100.00%	12,000	0	0	0	0	12,000	7	200DB	HY	1,715	2,939	4,654			
Total: 7-yr Genl purp tools, mach, equip					85,233	3,949	0	1,108	0	80,176							40,026	4,257	44,283
Land																			
1	LAND--GRANTLAND	7/1/2000	N-1	100.00%	2,500	0	0	0	0	2,500	0						0	0	0
2	LAND--MULBERRY	6/11/2003	N-1	100.00%	5,000	0	0	0	0	5,000	0						0	0	0
Total: Land					7,500	0	0	0	0	7,500							0	0	0
Amortization - 197 - Intangibles (goodwill, etc.)																			
500	FRANCHISE--GRANTLAND	7/1/2000	Z-9	100.00%	61,430	0	0	0	0	61,430	5	SL	FM	61,430	0	61,430			
Total: Amort - 197 - Intangible costs					61,430	0	0	0	0	61,430							61,430	0	61,430
SubTotals					154,163	3,949	0	1,108	0	149,106							101,456	4,257	105,713
Less: Disposed Assets					(0)	(0)	(0)	(0)	(0)	(0)							(0)	(0)	(0)
Ending Totals					154,163	3,949	0	1,108	0	149,106							101,456	4,257	105,713

720S

41A720S

Kentucky
UNBRIDLED SPIRIT

Department of Revenue

➤ See instructions.

Taxable period beginning 1/1, 20 13, and ending 12/31, 20 13.

A 065858

Kentucky Corporation/LLET Account Number

KENTUCKY S CORPORATION
INCOME TAX AND LLET RETURN 2013

B Check applicable box(es): LLET Receipts Method <input type="checkbox"/> Gross Receipts <input type="checkbox"/> Gross Profits <input checked="" type="checkbox"/> \$175 minimum Nonfiling Status Code Enter Code _____	D Federal Identification Number <u>61-1079233</u> Name of S Corporation RIDGELEA INVESTMENTS, INC Number and Street 2106 W. NORTH BEND ROAD City State ZIP Code Telephone Number CINCINNATI OH 45224 E Check if applicable: <input type="checkbox"/> Qualified investment pass-through entity <input type="checkbox"/> LLC <input type="checkbox"/> Initial return <input type="checkbox"/> Final return (Complete Part IV) <input type="checkbox"/> Short-period return (Complete Part IV) <input type="checkbox"/> Amended return (Complete Part V) <input type="checkbox"/> Change of name <input type="checkbox"/> Change of address <input type="checkbox"/> Change of accounting period F Number of Shareholders (Attach K-1s) ➤ <u>1</u> Number of QSSSs Included in This Return (Attach Schedule) ➤ _____	Taxable Year Ending <u>12</u> / <u>13</u> Mo. Yr. Kentucky Secretary of State Organization Number _____ State and Date of Incorporation KY 1/24/1979 Principal Business Activity in KY SEWER TREATMENT NAICS Code Number (Relating to Kentucky Activity) (See www.census.gov) 541330
---	--	---

PART I—ORDINARY INCOME (LOSS) COMPUTATION

1. Federal ordinary income (loss) (see instructions)	1	6,309
ADDITIONS		
2. State taxes based on net/gross income	2	
3. Federal depreciation (do not include Section 179 expense deduction)	3	4,257
4. Related party expenses (attach Schedule RPC) ..	4	
5. Other (attach Schedule O-PTE)	5	
6. Total (add lines 1 through 5)	6	10,566
SUBTRACTIONS		
7. Federal work opportunity credit	7	
8. Kentucky depreciation (do not include Section 179 expense deduction)	8	4,257
9. Other (attach Schedule O-PTE)	9	
10. Kentucky ordinary income (loss) (line 6 less lines 7 through 9)	10	6,309

PART II — LLET COMPUTATION

1. Schedule LLET, Section D, line 1	1	175
2. Recycling/composting equipment tax credit recapture	2	
3. Total (add lines 1 and 2)	3	175
4. Nonrefundable LLET credit from Kentucky Schedule(s) K-1	4	
5. Nonrefundable tax credits (attach Schedule TCS)	5	
6. LLET liability (greater of line 3 less lines 4 and 5 or \$175 minimum)	6	175
7. Estimated tax payments	7	
8. Certified rehabilitation tax credit	8	

9. Film industry tax credit	9	
10. Extension payment	10	
11. Prior year's tax credit	11	
12. Income tax overpayment from Part III, line 11	12	
13. LLET due (line 6 less lines 7 through 12)	13	175
14. LLET overpayment (lines 7 through 12 less line 6)	14	
15. Credited to 2013 income tax	15	
16. Credited to 2014 LLET	16	
17. Amount to be refunded	17	

PART III—INCOME TAX COMPUTATION

1. Excess net passive income tax	1	
2. Built-in gains tax	2	
3. Tax installment on LIFO recapture	3	
4. Total (add lines 1 through 3)	4	
5. Estimated tax payments <input type="checkbox"/> Check if Form 2220-K attached ...	5	
6. Extension payment	6	
7. Prior year's tax credit	7	
8. LLET overpayment from Part II, line 15	8	
9. Income tax due (line 4 less lines 5 through 8)	9	
10. Income tax overpayment (lines 5 through 8 less line 4)	10	
11. Credited to 2013 LLET	11	
12. Credited to 2014 corporation income tax ..	12	
13. Amount to be refunded	13	

TAX PAYMENT SUMMARY (Round to nearest dollar)

LLET	INCOME
1. LLET due (Part II, Line 13) \$ <u>175</u>	1. Income tax due (Part III, Line 9) \$ _____
2. Penalty \$ _____	2. Penalty \$ _____
3. Interest \$ _____	3. Interest \$ _____
4. Subtotal \$ <u>181</u>	4. Subtotal \$ _____

TOTAL PAYMENT (Add Subtotals) ➤ \$ 181

OFFICIAL USE ONLY

P W 2 0 1 3	\$
V A L U E	

➤ Federal Form 1120S, all pages and any supporting schedules must be attached.

Make check payable to:
Kentucky State TreasurerMail return with payment to:
Kentucky Dept. of Revenue
Frankfort, Kentucky 40620



PART IV—EXPLANATION OF FINAL RETURN AND/OR SHORT-PERIOD RETURN

☐ Ceased operations in Kentucky
☐ Change of ownership
☐ Successor to previous business

☐ Change in filing status
☐ Merger
☐ Other _____

PART V—EXPLANATION OF AMENDED RETURN CHANGES

OFFICER INFORMATION (Failure to Provide Requested Information May Result in a Penalty)

Attach a schedule listing the name, home address and Social Security number of the vice president, secretary and treasurer.

Has the attached officer information changed from the last return filed?

☐ Yes ☐ No

President's Name CHARLES G HUNGLER JR

President's Home Address 5699 CRESTVIEW DRIVE
FAIRFIELD OH 45014

President's Social Security Number 269-44-1604

Date Became President _____

I, the undersigned, declare under the penalties of perjury, that I have examined this return, including all accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete.

Signature of principal officer or chief accounting officer

Date

FAESY CPA GROUP, PSC

Name of person or firm preparing return

P00607350

SSN, PTIN or FEIN

May the DOR discuss this return with the preparer?

☒ Yes ☐ No

Email Address: refcpa@fewpb.net

Telephone No.: 502-607-0303



SCHEDULE Q — KENTUCKY S CORPORATION QUESTIONNAIRE

IMPORTANT: Questions 4—12 must be completed by all S corporations. If this is the S corporation's initial return or if the S corporation did not file a return under the same name and same federal I.D. number for the preceding year, questions 1, 2 and 3 must be answered. Failure to do so may result in a request for a delinquent return.

1. Indicate whether: (a) ☐ new business; (b) ☐ successor to previously existing business which was organized as:
(1) ☐ corporation; (2) ☐ partnership; (3) ☐ sole proprietorship; or (4) ☐ other _____

If successor to previously existing business, give name, address and federal I.D. number of the previous business organization.

2. List the following *Kentucky* account numbers. Enter N/A for any number not applicable.

Employer Withholding _____
Sales and Use Tax Permit _____
Consumer Use Tax _____
Unemployment Insurance _____
Coal Severance and/or Processing Tax _____

3. If a foreign S corporation, enter the date qualified to do business in Kentucky. _____

4. The S corporation's books are in care of: (name and address)
OFFICE—SAME _____

5. Are disregarded entities included in this return? ☐ Yes ☒ No.
If yes, list name, address and federal I.D. number of each entity.

6. Was the S corporation a partner or member in a pass-through entity doing business in Kentucky? ☐ Yes ☒ No. If yes, list name and federal I.D. number of each pass-through entity.

Was the S corporation doing business in Kentucky other than through its interest held in a pass-through entity doing business in Kentucky? ☐ Yes ☒ No

7. Are related party costs as defined in KRS 141.205(1)(l) included in this return? ☐ Yes ☒ No. If yes, attach Schedule RPC, Related Party Costs Disclosure Statement, and enter any related party cost additions on Part I, Line 4.

8. Is the entity filing this Kentucky tax return organized as a limited cooperative association as provided by KRS Chapter 272A? ☐ Yes ☒ No

9. Is the entity filing this Kentucky tax return organized as a statutory trust or a series statutory trust as provided by KRS Chapter 386A? ☐ Yes ☒ No

If yes, is the entity filing this Kentucky tax return a series within a statutory trust? ☐ Yes ☐ No

If yes, enter the name, address and federal I.D. number of the statutory trust registered with the Kentucky Secretary of State:

10. Was this return prepared on: (a) ☒ cash basis, (b) ☐ accrual basis, (c) ☐ other _____

11. Did the S corporation file a Kentucky tangible personal property tax return for January 1, 2014? ☒ Yes ☐ No

If yes, list the name and federal I.D. number of entity(ies) filing return(s):

12. Is the S corporation currently under audit by the Internal Revenue Service? ☐ Yes ☒ No

If yes, enter years under audit _____

If the Internal Revenue Service has made final and unappealable adjustments to the corporation's taxable income which have not been reported to the department, check here ☐ and file an amended Form 720S for each year adjusted. Attach a copy of the final determination to each amended return.



SCHEDULE K—SHAREHOLDERS' SHARES OF INCOME, CREDITS, DEDUCTIONS, ETC.

SECTION I		(a) Pro Rata Share Items	(b) Total Amount
Income (Loss) and Deductions			
1.	Kentucky ordinary income (loss) from trade or business activities (page 1, Part I, line 10)	1	6,309
2.	Net income (loss) from rental real estate activities (attach federal Form 8825)	2	
3.	(a) Gross income from other rental activities	3(a)	
	(b) Less expenses from other rental activities (attach schedule)	(b)	
	(c) Net income (loss) from other rental activities (line 3a less line 3b)	3(c)	
4.	Portfolio income (loss):		
	(a) Interest income	4(a)	
	(b) Dividend income	(b)	
	(c) Royalty income	(c)	
	(d) Net short-term capital gain (loss) (attach federal Schedule D and Kentucky Schedule D, if applicable)	(d)	
	(e) Net long-term capital gain (loss) (attach federal Schedule D and Kentucky Schedule D, if applicable)	(e)	
	(f) Other portfolio income (loss) (attach schedule)	(f)	
5.	Section 1231 net gain (loss) (other than due to casualty or theft) (attach federal Form 4797 and Kentucky Form 4797)	5	
6.	Other income (loss) (attach schedule)	6	
7.	Charitable contributions (attach schedule) and housing for homeless deduction (attach Schedule HH)	7	
8.	IRC Section 179 expense deduction (attach federal Form 4562 and Kentucky Form 4562)	8	
9.	Deductions related to portfolio income (loss) (attach schedule)	9	
10.	Other deductions (attach schedule)	10	
Investment Interest			
11.	(a) Interest expense on investment debts	11(a)	
	(b) (1) Investment income included on lines 4(a), 4(b), 4(c) and 4(f) above	(b)(1)	
	(2) Investment expenses included on line 9 above	(2)	
Tax Credits			
12.	Kentucky Small Business Investment Tax Credit (attach KEDFA notification)	12	
13.	Skills Training Investment Tax Credit (attach copy of certification(s))	13	
14.	Certified Rehabilitation Tax Credit (attach copy of certification(s))	14	
15.	Kentucky Unemployment Tax Credit (attach Schedule UTC)	15	
16.	Recycling/Composting Equipment Tax Credit (attach Schedule RC)	16	
17.	Kentucky Investment Fund Tax Credit (attach KEDFA notification)	17	
18.	Coal Incentive Tax Credit (attach Schedule CI)	18	
19.	Qualified Research Facility Tax Credit (attach Schedule QR)	19	
20.	GED Incentive Tax Credit (attach Form DAEL-31)	20	
21.	Voluntary Environmental Remediation Tax Credit (attach Schedule VERB)	21	
22.	Biodiesel Tax Credit (attach Schedule BIO)	22	
23.	Environmental Stewardship Tax Credit (attach Schedule KESA)	23	



SCHEDULE K — SHAREHOLDERS' SHARES OF INCOME, CREDITS, DEDUCTIONS, ETC.

SECTION I — continued	(a) Pro Rata Share Items	(b) Total Amount
Tax Credits—continued		
24. Clean Coal Incentive Tax Credit (attach Schedule CCI)	24	
25. Ethanol Tax Credit (attach Schedule ETH)	25	
26. Cellulosic Ethanol Tax Credit (attach Schedule CELL)	26	
Form 5695-K Pass-through Amounts (attach Form 5695-K)		
27. Energy Efficiency Products Tax Credit from Form 5695-K, line 6	27	
28. Energy Efficiency Products Tax Credit from Form 5695-K, line 12	28	
29. Energy Efficiency Products Tax Credit from Form 5695-K, line 18	29	
30. Energy Efficiency Products Tax Credit from Form 5695-K, line 36	30	
31. Energy Efficiency Products Tax Credit from Form 5695-K, line 36	31	
32. Energy Efficiency Products Tax Credit from Form 5695-K, line 51	32	
33. Energy Efficiency Products Tax Credit from Form 5695-K, line 51	33	
34. Energy Efficiency Products Tax Credit from Form 5695-K, line 57	34	
35. Energy Efficiency Products Tax Credit from Form 5695-K, line 63	35	
36. Railroad Maintenance and Improvement Tax Credit (attach Schedule RR-I)	36	
37. Endow Kentucky Tax Credit (attach Schedule ENDOW)	37	
38. New Markets Development Program Tax Credit (attach Form 8874(K)-A)	38	
Other Items		
39. (a) Type of Section 59(e)(2) expenditures ➤	39(a)	
(b) Amount of Section 59(e)(2) expenditures	(b)	
40. Tax-exempt interest income	40	
41. Other tax-exempt income	41	
42. Nondeductible expenses	42	4,000
43. Total property distributions (including cash) other than dividends reported on line 45 below	43	2,800
44. Other items and amounts required to be reported separately to shareholders (attach schedule)	44	
45. Total dividend distributions paid from accumulated earnings and profits	45	

SECTION II — Pass-through Items

1. S corporation's Kentucky sales from Schedule A, Section I, line 1	1	
2. S corporation's total sales from Schedule A, Section I, line 2	2	
3. S corporation's Kentucky property from Schedule A, Section I, line 5	3	
4. S corporation's total property from Schedule A, Section I, line 6	4	
5. S corporation's Kentucky payroll from Schedule A, Section I, line 8	5	
6. S corporation's total payroll from Schedule A, Section I, line 9	6	
7. S corporation's Kentucky gross profits from Schedule LLET, Section A, Column A, line 5	7	152,279
8. S corporation's total gross profits from all sources from Schedule LLET, Section A, Column B, line 5	8	152,279
9. Limited liability entity tax (LLET) nonrefundable credit from page 1, Part II, the total of lines 4 and 6, less \$175	9	

SCHEDULE LLET

41A720LLET (10-13)

Commonwealth of Kentucky
DEPARTMENT OF REVENUE



LIMITED LIABILITY ENTITY TAX

KRS 141.0401

Taxable Year Ending

12 / 13
Mo. Yr.

➤ See instructions.

➤ Attach to Form 720, 720S, 725 or 765.

☐ Member of a Combined Group

Reason Code

Name of Corporation or Limited Liability Pass-through Entity	Federal Identification Number	Kentucky Corporation/LLET Account Number
RIDGELEA INVESTMENTS, INC	61-1079233	065858

- ☐ Check this box and complete Schedule LLET-C, Limited Liability Entity Tax—Continuation Sheet, if the corporation or limited liability pass-through entity filing this tax return is a partner or member of a limited liability pass-through entity or general partnership (organized or formed as a general partnership after January 1, 2006) doing business in Kentucky. Enter the total amounts from Schedule LLET-C in Section A of this schedule.

Section A – Computation of Gross Receipts and Gross Profits

	Column A Kentucky	Column B Total
1. Gross receipts	152,279	152,279
2. Returns and allowances		
3. Gross receipts after returns and allowances (line 1 less line 2 or amount from Schedule LLET-C)	152,279	152,279
4. Cost of goods sold (attach Schedule COGS)		
5. Gross profits (line 3 less line 4 or amount from Schedule LLET-C)	152,279	152,279

Section B – Computation of Gross Receipts LLET

1. If gross receipts from all sources (Column B, line 3) are \$3,000,000 or less, STOP and enter \$175 on Section D, line 1	1		
2. If gross receipts from all sources (Column B, line 3) are greater than \$3,000,000 but less than \$6,000,000, enter the following: (Column A, line 3 x 0.00095) – $\$2,850 \times (\$6,000,000 - \text{Column A, line 3})$ \$3,000,000 but in no case shall the result be less than zero	2		
3. If gross receipts from all sources (Column B, line 3) are \$6,000,000 or greater, enter the following: Column A, line 3 x 0.00095	3		
4. Enter the amount from line 2 or line 3	4		

Section C – Computation of Gross Profits LLET

1. If gross profits from all sources (Column B, line 5) are \$3,000,000 or less, STOP and enter \$175 on Section D, line 1	1		
2. If gross profits from all sources (Column B, line 5) are greater than \$3,000,000 but less than \$6,000,000, enter the following: (Column A, line 5 x 0.0075) – $\$22,500 \times (\$6,000,000 - \text{Column A, line 5})$ \$3,000,000 but in no case shall the result be less than zero	2		
3. If gross profits from all sources (Column B, line 5) are \$6,000,000 or greater, enter the following: Column A, line 5 x 0.0075	3		
4. Enter the amount from line 2 or line 3	4		

Section D – Computation of LLET

1. Enter the lesser of Section B, line 4 or Section C, line 4, or a minimum of \$175 on this line and on Form 720, Part I, line 1; for Form 720S, 725 or 765, enter on Part II, line 1	1	175	
--	---	-----	--



Mark the applicable Receipts Method box on Form 720, 720S, 725 or 765, page 1, Item B.

(Form 720S)
KENTUCKY
SCHEDULE K-1

41A720S(K-1)

Commonwealth of Kentucky
DEPARTMENT OF REVENUE

➤ See instructions.

For calendar year 2013 or fiscal year beginning _____, 2013, and ending _____, 20 _____

2013

SHAREHOLDER'S SHARE OF
INCOME, CREDITS, DEDUCTIONS, ETC.

Shareholder's identifying number ➤ 294-44-1604	S corporation's FEIN ➤ 61-1079233	Kentucky Corporation/LLET Account Number ➤ 065858
Shareholder's name, address and ZIP code #1 CHARLES G HUNGLER JR 5699 CRESTVIEW DRIVE FAIRFIELD, OH 45014	S corporation's name, address and ZIP code Check if applicable: <input type="checkbox"/> Qualified investment pass-through entity RIDGELEA INVESTMENTS, INC 2106 W. NORTH BEND ROAD CINCINNATI, OH 45224	

- A. Shareholder's percentage of stock ownership for tax year ➤ 100.000000%
- B. (1) Resident shareholder's taxable percentage of pro rata share items ➤ 0.00%
- (2) Nonresident shareholder's taxable percentage of pro rata share items (see Schedule A, Section I, line 12) ➤ 100.0000%
- C. What type of entity is this shareholder? ☒ Individual ☐ Estate ☐ Trust ☐ Single Member LLC
- D. Check the box if nonresident shareholder's income is reported on:
☐ Kentucky Nonresident Income Tax Withholding on Distributive Share Income Report and Composite Income Tax Return (Form 740NP-WH and Form PTE-WH)
- E. Check if applicable: (1) ☐ Final K-1 (2) ☐ Amended K-1

IMPORTANT: Refer to Shareholder's Instructions for Schedule K-1 before entering information from Schedule K-1 on your tax return.

(a) Pro Rata Share Items		(b) Amount
Income (Loss)		
1. Ordinary income (loss) from trade or business activities	1	6,309
2. Net income (loss) from rental real estate activities	2	
3. Net income (loss) from other rental activities	3	
4. Portfolio income (loss):		
(a) Interest	4(a)	
(b) Dividends	(b)	
(c) Royalties	(c)	
(d) Net short-term capital gain (loss)	(d)	
(e) Net long-term capital gain (loss)	(e)	
(f) Other portfolio income (loss) (attach schedule)	(f)	
5. Section 1231 net gain (loss) (other than due to casualty or theft)	5	
6. Other income (loss) (attach schedule)	6	
Deductions		
7. Charitable contributions (attach schedule) and housing for homeless deduction (attach Schedule HH)	7	
8. IRC Section 179 expense deduction (attach federal Form 4562 and Kentucky Form 4562)	8	
9. Deductions related to portfolio income (loss) (attach schedule)	9	
10. Other deductions (attach schedule)	10	
Investment Interest		
11. (a) Interest expense on investment debts	11(a)	
(b) (1) Investment income included on lines 4(a), 4(b), 4(c) and 4(f) above	(b)(1)	
(2) Investment expenses included on line 9 above	(2)	
Tax Credits		
12. Kentucky Small Business Investment Tax Credit	12	
13. Skills Training Investment Tax Credit	13	
14. Certified Rehabilitation Tax Credit	14	
15. Kentucky Unemployment Tax Credit	15	
16. Recycling/Composting Equipment Tax Credit	16	
17. Kentucky Investment Fund Tax Credit	17	



CHARLES G HUNGLER JR
SHAREHOLDER'S SHARE OF INCOME, CREDITS, DEDUCTIONS, ETC.

#1

294-44-1604

(a) Pro Rata Share Items (continued)		(b) Amount
Tax Credits — continued		
18. Coal Incentive Tax Credit	18	
19. Qualified Research Facility Tax Credit	19	
20. GED Incentive Tax Credit	20	
21. Voluntary Environmental Remediation Tax Credit	21	
22. Biodiesel Tax Credit	22	
23. Environmental Stewardship Tax Credit	23	
24. Clean Coal Incentive Tax Credit	24	
25. Ethanol Tax Credit	25	
26. Cellulosic Ethanol Tax Credit	26	
Form 5695-K Pass-through Amounts		
27. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 3)	27	
28. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 9)	28	
29. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 15)	29	
30. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 29)	30	
31. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 32)	31	
32. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 44)	32	
33. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 47)	33	
34. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 54)	34	
35. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 60)	35	
36. Railroad Maintenance and Improvement Tax Credit	36	
37. Endow Kentucky Tax Credit	37	
38. New Markets Development Program Tax Credit	38	
Other Items		
39. (a) Type of Section 59(e)(2) expenditures ➤	39(a)	
(b) Amount of Section 59(e)(2) expenditures	(b)	
40. Tax-exempt interest income	40	
41. Other tax-exempt income	41	
42. Nondeductible expenses	42	4,000
43. Property distributions (including cash) other than dividend distributions reported to you on Form 1099-DIV	43	2,800
44. Supplemental information required to be reported to each shareholder (attach schedule(s))	44	
45. Total dividend distributions paid from accumulated earnings and profits	45	
LLET Pass-through Items		
46. Shareholder's share of S corporation's Kentucky sales from Schedule K, Section II, line 1	46	
47. Shareholder's share of S corporation's total sales from Schedule K, Section II, line 2	47	
48. Shareholder's share of S corporation's Kentucky property from Schedule K, Section II, line 3	48	
49. Shareholder's share of S corporation's total property from Schedule K, Section II, line 4	49	
50. Shareholder's share of S corporation's Kentucky payroll from Schedule K, Section II, line 5	50	
51. Shareholder's share of S corporation's total payroll from Schedule K, Section II, line 6	51	
52. Shareholder's share of Kentucky gross profits from Schedule K, Section II, line 7	52	
53. Shareholder's share of total gross profits from all sources from Schedule K, Section II, line 8	53	
54. Shareholder's share of limited liability entity tax (LLET) nonrefundable credit from Schedule K, Section II, line 9	54	
Resident Shareholder Adjustment		
55. Combination of Kentucky Schedule K-1, lines 1 through 5, 8 and portions of lines 6 and 10. Add income amounts and subtract (loss) and deduction amounts (see instructions)	55	
56. Combination of federal Schedule K-1, lines 1 through 9, 11 and portions of lines 10 and 12. Add income amounts and subtract (loss) and deduction amounts (see instructions)	56	
57. Enter the difference of lines 55 and 56 here and on appropriate line on Schedule M (see instructions)	57	

Form **1120S**Department of the Treasury
Internal Revenue Service**U.S. Income Tax Return for an S Corporation**

Do not file this form unless the corporation has filed or is attaching Form 2553 to elect to be an S corporation.

Information about Form 1120S and its separate instructions is at www.irs.gov/form1120s.

OMB No. 1545-0123

2014

For calendar year 2014 or tax year beginning

ending

A S election effective date 1/1/1999	TYPE OR PRINT	Name RIDGELEA INVESTMENTS, INC.	D Employer identification number 61-1079233
B Business activity code number (see instructions) 541330		Number, street, and room or suite no. If a P.O. box, see instructions. 2106 W. NORTH BEND ROAD	E Date incorporated 1/24/1979
C Check if Sch. M-3 attached <input type="checkbox"/>		City or town CINCINNATI State OH ZIP code 45224	F Total assets (see instructions) \$ 52,916
		Foreign country name Foreign province/state/county Foreign postal code	

G Is the corporation electing to be an S corporation beginning with this tax year? ☐ Yes ☐ No If "Yes," attach Form 2553 if not already filed

H Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination or revocation

I Enter the number of shareholders who were shareholders during any part of the tax year ▶ 1

Caution. Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Income	1a Gross receipts or sales	1a	146,357	
	b Returns and allowances	1b		
	c Balance. Subtract line 1b from line 1a	1c		146,357
	2 Cost of goods sold (attach Form 1125-A)	2		
	3 Gross profit. Subtract line 2 from line 1c	3		146,357
	4 Net gain (loss) from Form 4797, line 17 (attach Form 4797)	4		
5 Other income (loss) (see instructions—attach statement)	5			
6 Total income (loss). Add lines 3 through 5	6		146,357	
Deductions (see instructions for limitations)	7 Compensation of officers (see instructions—attach Form 1125-E)	7		21,576
	8 Salaries and wages (less employment credits)	8		
	9 Repairs and maintenance	9		59,261
	10 Bad debts	10		
	11 Rents	11		
	12 Taxes and licenses	12		1,845
	13 Interest	13		328
	14 Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)	14		3,417
	15 Depletion (Do not deduct oil and gas depletion.)	15		
	16 Advertising	16		
	17 Pension, profit-sharing, etc., plans	17		
	18 Employee benefit programs	18		
	19 Other deductions (attach statement)	19		63,723
	20 Total deductions. Add lines 7 through 19	20		150,150
	21 Ordinary business income (loss). Subtract line 20 from line 6	21		-3,793
Tax and Payments	22a Excess net passive income or LIFO recapture tax (see instructions)	22a		
	b Tax from Schedule D (Form 1120S)	22b		
	c Add lines 22a and 22b (see instructions for additional taxes)	22c		
	23a 2014 estimated tax payments and 2013 overpayment credited to 2014	23a		
	b Tax deposited with Form 7004	23b		
	c Credit for federal tax paid on fuels (attach Form 4136)	23c		
	d Add lines 23a through 23c	23d		
	24 Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>	24		
	25 Amount owed. If line 23d is smaller than the total of lines 22c and 24, enter amount owed	25		
	26 Overpayment. If line 23d is larger than the total of lines 22c and 24, enter amount overpaid	26		
27 Enter amount from line 26 Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	27			

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer

Date

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

ROBERT E FAESY JR. CPA

4/22/2015

P00607350

Firm's name ▶ FAESY CPA GROUP, PSC

Firm's EIN ▶ 26-1741185

Firm's address ▶ 1100 US HWY 127 S, Ste B-1

Phone no. 502-607-0303

City FRANKFORT

State KY

ZIP code 40601

For Paperwork Reduction Act Notice, see separate instructions.

Form **1120S** (2014)

Schedule B Other information (see instructions)

- 1 Check accounting method: a ☒ Cash b ☐ Accrual
c ☐ Other (specify)

2 See the instructions and enter the:

a Business activity SEWER TREATMENT b Product or service SEWER TREATMENT

- 3 At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation

4 At the end of the tax year, did the corporation:

- a Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below

(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made

- b Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

- 5 a At the end of the tax year, did the corporation have any outstanding shares of restricted stock?

If "Yes," complete lines (i) and (ii) below.

(i) Total shares of restricted stock

(ii) Total shares of non-restricted stock

- b At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments?

If "Yes," complete lines (i) and (ii) below.

(i) Total shares of stock outstanding at the end of the tax year

(ii) Total shares of stock outstanding if all instruments were executed

- 6 Has this corporation filed, or is it required to file, Form 8918, Material Advisor Disclosure Statement, to provide information on any reportable transaction?

- 7 Check this box if the corporation issued publicly offered debt instruments with original issue discount ☐ If checked, the corporation may have to file Form 8281, Information Return for Publicly Offered Original Issue Discount Instruments.

- 8 If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions) \$

- 9 Enter the accumulated earnings and profits of the corporation at the end of the tax year. \$

- 10 Does the corporation satisfy both of the following conditions?

a The corporation's total receipts (see instructions) for the tax year were less than \$250,000

b The corporation's total assets at the end of the tax year were less than \$250,000

If "Yes," the corporation is not required to complete Schedules L and M-1.

- 11 During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt?

If "Yes," enter the amount of principal reduction \$

- 12 During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions

- 13 a Did the corporation make any payments in 2014 that would require it to file Form(s) 1099?

b If "Yes," did the corporation file or will it file required Forms 1099?

Schedule K-1 Shareholders' Pro Rata Share Items		Total amount	
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21)	1	-3,793
	2 Net rental real estate income (loss) (attach Form 8825)	2	
	3a Other gross rental income (loss)	3a	
	b Expenses from other rental activities (attach statement)	3b	
	c Other net rental income (loss). Subtract line 3b from line 3a	3c	
	4 Interest income	4	25
	5 Dividends: a Ordinary dividends	5a	
	b Qualified dividends	5b	
	6 Royalties	6	
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S))	7	
Deductions	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S))	8a	
	b Collectibles (28%) gain (loss)	8b	
	c Unrecaptured section 1250 gain (attach statement)	8c	
	9 Net section 1231 gain (loss) (attach Form 4797)	9	
	10 Other income (loss) (see instructions) Type ▶	10	
	11 Section 179 deduction (attach Form 4562)	11	
	12a Charitable contributions	12a	
	b Investment interest expense	12b	
	c Section 59(e)(2) expenditures (1) Type ▶ (2) Amount ▶	12c(2)	
	d Other deductions (see instructions) Type ▶	12d	
Credits	13a Low-income housing credit (section 42(j)(5))	13a	
	b Low-income housing credit (other)	13b	
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable)	13c	
	d Other rental real estate credits (see instructions) Type ▶	13d	
	e Other rental credits (see instructions) Type ▶	13e	
	f Biofuel producer credit (attach Form 6478)	13f	
	g Other credits (see instructions) Type ▶	13g	
Foreign Transactions	14a Name of country or U.S. possession ▶	14b	
	b Gross income from all sources	14c	
	c Gross income sourced at shareholder level		
	Foreign gross income sourced at corporate level		
	d Passive category	14d	
	e General category	14e	
	f Other (attach statement)	14f	
	Deductions allocated and apportioned at shareholder level		
	g Interest expense	14g	
	h Other	14h	
	Deductions allocated and apportioned at corporate level to foreign source income		
	i Passive category	14i	
	j General category	14j	
	k Other (attach statement)	14k	
Other information	14l		
l Total foreign taxes (check one): ▶ <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	14m		
m Reduction in taxes available for credit (attach statement)			
n Other foreign tax information (attach statement)			
Alternative Minimum Tax (AMT) Items	15a Post-1986 depreciation adjustment	15a	228
	b Adjusted gain or loss	15b	
	c Depletion (other than oil and gas)	15c	
	d Oil, gas, and geothermal properties—gross income	15d	
	e Oil, gas, and geothermal properties—deductions	15e	
	f Other AMT items (attach statement)	15f	
Items Affecting Shareholder Basis	16a Tax-exempt interest income	16a	
	b Other tax-exempt income	16b	
	c Nondeductible expenses	16c	4,000
	d Distributions (attach statement if required) (see instructions)	16d	4,492
	e Repayment of loans from shareholders	16e	804

Schedule K-1		Shareholders' Pro Rata Share Items (continued)	Total amount	
Other Information	17a	Investment income	17a	25
	b	Investment expenses	17b	
	c	Dividend distributions paid from accumulated earnings and profits	17c	
	d	Other items and amounts (attach statement)		
Reconciliation	18	Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14i.	18	-3,768

Schedule L		Balance Sheets per Books	Beginning of tax year		End of tax year	
		Assets	(a)	(b)	(c)	(d)
1		Cash		27,612		7,883
2a		Trade notes and accounts receivable				
b		Less allowance for bad debts				
3		Inventories				
4		U.S. government obligations				
5		Tax-exempt securities (see instructions)				
6		Other current assets (attach statement)				
7		Loans to shareholders				
8		Mortgage and real estate loans				
9		Other investments (attach statement)				
10a		Buildings and other depreciable assets	85,233		85,233	
b		Less accumulated depreciation	44,283	40,950	47,700	37,533
11a		Depletable assets				
b		Less accumulated depletion				
12		Land (net of any amortization)		7,500		7,500
13a		Intangible assets (amortizable only)	61,430		61,430	
b		Less accumulated amortization	61,430		61,430	
14		Other assets (attach statement)				
15		Total assets		76,062		52,916
		Liabilities and Shareholders' Equity				
16		Accounts payable				
17		Mortgages, notes, bonds payable in less than 1 year				
18		Other current liabilities (attach statement)		17,025		6,943
19		Loans from shareholders		804		
20		Mortgages, notes, bonds payable in 1 year or more				
21		Other liabilities (attach statement)				
22		Capital stock		1,000		1,000
23		Additional paid-in capital		7,876		7,876
24		Retained earnings		49,357		37,097
25		Adjustments to shareholders' equity (attach statement)				
26		Less cost of treasury stock				
27		Total liabilities and shareholders' equity		76,062		52,916

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

Note. The corporation may be required to file Schedule M-3 (see instructions)

1	Net income (loss) per books	-7,768	5	Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
2	Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10 not recorded on books this year (itemize):		a	Tax-exempt interest \$	
3	Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14i (itemize):		6	Deductions included on Schedule K, lines 1 through 12 and 14i, not charged against book income this year (itemize):	
a	Depreciation \$		a	Depreciation \$	
b	Travel and entertainment \$		7	Add lines 5 and 6	
	See Attached Statement 4,000	4,000	8	Income (loss) (Schedule K, line 18). Line 4 less line 7	-3,768
4	Add lines 1 through 3	-3,768			

Schedule M-2 Analysis of Accumulated Adjustments Account, Other Adjustments Account, and Shareholders' Undistributed Taxable Income Previously Taxed (see instructions)

	(a) Accumulated adjustments account	(b) Other adjustments account	(c) Shareholders' undistributed taxable income previously taxed
1	Balance at beginning of tax year	49,357	
2	Ordinary income from page 1, line 21		
3	Other additions	25	
4	Loss from page 1, line 21	-3,793	
5	Other reductions	4,000	
6	Combine lines 1 through 5	41,589	
7	Distributions other than dividend distributions	4,492	
8	Balance at end of tax year. Subtract line 7 from line 6	37,097	

**Schedule K-1
(Form 1120S)**

Department of the Treasury
Internal Revenue Service

2014

For calendar year 2014, or tax
year beginning _____, 2014.
ending _____, 20____

**Shareholder's Share of Income, Deductions,
Credits, etc.**

▶ See back of form and separate instructions.

☐ Final K-1

☐ Amended K-1

671113
OMB No. 1545-0123

Part I Information About the Corporation

A Corporation's employer identification number
61-1079233

B Corporation's name, address, city, state, and ZIP code
RIDGELEA INVESTMENTS, INC
2106 W. NORTH BEND ROAD
CINCINNATI, OH 45224

C IRS Center where corporation filed return
e-file

Part II Information About the Shareholder

D Shareholder's identifying number Shareholder: 1
294-44-1604

E Shareholder's name, address, city, state, and ZIP code
CHARLES G HUNGLER JR
5699 CRESTVIEW DRIVE
FAIRFIELD, OH 45014

F Shareholder's percentage of stock
ownership for tax year 100.000000%

Part III Shareholder's Share of Current Year Income, Deductions, Credits, and Other Items			
1	Ordinary business income (loss)	13	Credits
	-3,793		
2	Net rental real estate income (loss)		
3	Other net rental income (loss)		
4	Interest income	25	
5a	Ordinary dividends		
5b	Qualified dividends	14	Foreign transactions
6	Royalties		
7	Net short-term capital gain (loss)		
8a	Net long-term capital gain (loss)		
8b	Collectibles (28%) gain (loss)		
8c	Unrecaptured section 1250 gain		
9	Net section 1231 gain (loss)		
10	Other income (loss)	15	Alternative minimum tax (AMT) items
		A	228
11	Section 179 deduction	16	Items affecting shareholder basis
		C	4,000
12	Other deductions	D	4,492
		E	804
		17	Other information
		A	25
* See attached statement for additional information.			

For IRS Use Only

K-1 Statement (Sch K-1, Form 1120S)**Line 15 - AMT Items**A Code A - Post-1986 depreciation adjustment A 228**Line 16 - Items affecting shareholder basis**C Code C - Nondeductible expenses C 4,000D Code D - Distributions D 4,492E Code E - Repayment of loans from shareholders E 804**Line 17 - Other Information**A Code A - Investment income A 25

Application for Automatic Extension of Time To File Certain Business Income Tax, Information, and Other Returns

OMB No. 1545-0233

► File a separate application for each return.

► Information about Form 7004 and its separate instructions is at www.irs.gov/form7004.

Print
or
Type

Name	Identifying number
RIDGELEA INVESTMENTS, INC	61-1079233
Number, street, and room or suite no. (If P.O. box, see instructions.)	
2106 W. NORTH BEND ROAD	
City, town, state, and ZIP code (If a foreign address, enter city, province or state, and country (follow the country's practice for entering postal code)).	
CINCINNATI, OH 45224	

Note. File request for extension by the due date of the return for which the extension is granted. See instructions before completing this form.

Part I Automatic 5-Month Extension

1a Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 1065	09	Form 1041 (estate other than a bankruptcy estate)	04
Form 8804	31	Form 1041 (trust)	05

Part II Automatic 6-Month Extension

b Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 706-GS(D)	01	Form 1120-ND (section 4951 taxes)	20
Form 706-GS(T)	02	Form 1120-PC	21
Form 1041 (bankruptcy estate only)	03	Form 1120-POL	22
Form 1041-N	08	Form 1120-REIT	23
Form 1041-QFT	07	Form 1120-RIC	24
Form 1042	08	Form 1120S	25
Form 1065-B	10	Form 1120-SF	26
Form 1066	11	Form 3520-A	27
Form 1120	12	Form 8612	28
Form 1120-C	34	Form 8613	29
Form 1120-F	15	Form 8725	30
Form 1120-FSC	16	Form 8831	32
Form 1120-H	17	Form 8876	33
Form 1120-L	18	Form 8924	35
Form 1120-ND	19	Form 8928	36

2 If the organization is a foreign corporation that does not have an office or place of business in the United States, check here ☐

3 If the organization is a corporation and is the common parent of a group that intends to file a consolidated return, check here ☐

If checked, attach a statement, listing the name, address, and Employer Identification Number (EIN) for each member covered by this application.

Part III All Filers Must Complete This Part

4 If the organization is a corporation or partnership that qualifies under Regulations section 1.6081-5, check here ☐

5a The application is for calendar year 20 14, or tax year beginning 20, and ending 20

b **Short tax year.** If this tax year is less than 12 months, check the reason:

☐ Change in accounting period ☐ Consolidated return to be filed

☐ Initial return ☐ Final return
☐ Other (see instructions-attach explanation)

6 Tentative total tax

7 Total payments and credits (see instructions)

8 **Balance due.** Subtract line 7 from line 6 (see instructions)

6	
7	
8	

For Privacy Act and Paperwork Reduction Act Notice, see separate Instructions.

Form 4562

Department of the Treasury
Internal Revenue Service

(99)

Depreciation and Amortization

(Including Information on Listed Property)

▶ Attach to your tax return.

▶ Information about Form 4562 and its separate instructions is at www.irs.gov/form4562.

OMB No. 1545-0172

2014

Attachment

Sequence No. 179

Name(s) shown on return RIDGELEA INVESTMENTS, INC	Business or activity to which this form relates 1120S - SEWER TREATMENT	Identifying number 61-1079233
--	--	----------------------------------

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	
2	Total cost of section 179 property placed in service (see instructions)	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	
6	(a) Description of property	(b) Cost (business use only)
		(c) Elected cost
7	Listed property. Enter the amount from line 29	7
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8
9	Tentative deduction. Enter the smaller of line 5 or line 8	9
10	Carryover of disallowed deduction from line 13 of your 2013 Form 4562.	10
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12
13	Carryover of disallowed deduction to 2015. Add lines 9 and 10, less line 12	▶ 13

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14
15	Property subject to section 168(f)(1) election	15
16	Other depreciation (including ACRS)	16

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2014	17	3,417
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here	▶ <input type="checkbox"/>	

Section B - Assets Placed in Service During 2014 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19 a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property			27.5 yrs.	MM	S/L	
i Nonresidential real property			27.5 yrs.	MM	S/L	
			39 yrs.	MM	S/L	

Section C - Assets Placed in Service During 2014 Tax Year Using the Alternative Depreciation System

20 a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions	22	3,417
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form 4562 (2014)

Line 19 (1120S) - Other Deductions

1	Accounting Fees	1	11,250
2	Billing collection costs	2	27,498
3	Legal and professional fees	3	4,934
4	Utilities	4	13,720
5	Sludge Hauling	5	5,195
6	PSC filing and assessment fees	6	262
7	Trash hauling	7	597
8	Testing fees	8	10,267
9	Total other deductions	9	63,723

Line 18, Sch L (1120S) - Other Current Liabilities

		Beginning	End
1	CUSTOMER DEPOSITS	2,250	2,250
2	DEPOSITS-MULBERRY MAINT. SURCHARGE	14,775	4,693
3	Total other current liabilities	17,025	6,943

Line 3, Sch M-1 (1120S) - Expenses on Books Not on Sch K, lines 1 through 12 and 14I

1	PSC PENALTIES & FINES	1	4,000
2	Total expenses on books not on Sch K, lines 1 through 12 and 14I	2	4,000

Line 12 (1120S) - Taxes and Licenses

1	Local income tax	1	185
2	Local property taxes	2	1,470
3	KY ENTITY TAX	3	175
4	SOS FEE	4	15
5	Sub Total	5	1,845
6	Total taxes and licenses	6	1,845

Line 16c, Sch K (1120S) - Nondeductible Expenses

C. Code C - Nondeductible expenses	
PSC PENALTIES & FINES	4,000
Total nondeductible expenses	16c 4,000

Sch M-2 (1120S) - Retained Earnings

	Accumulated Adjustments Account	Other Adjustments Account	Shareholders' Undistributed Taxable Income Previously Taxed	Retained Earnings Unappropriated/ Timing Differences	Total Retained Earnings
1 Balance at beginning of tax year	1 49,357				49,357
2 Income from page 1, line 21	2				
3 Other additions	3 25				25
4 Loss from page 1, line 21	4 -3,793				-3,793
5 Other reductions	5 4,000				4,000
6 Combine lines 1 through 5	6 41,589				41,589
7 Distributions	7 4,492				4,492
8 Balance at end of tax year	8 37,097				37,097

Sch M-2, Lines 3 and 5 (1120S) - Other Additions and Reductions

	(a) Accumulated adjustments account	(b) Other adjustments account
Line 3 - Other additions		
1 Interest income	1 25	
2 Total other additions. Enter on line 3, Schedule M-2.	2 25	
Line 5 - Other reductions		
1 Nondeductible expenses	1 4,000	
2 Total other reductions. Enter on line 5, Schedule M-2.	2 4,000	

Assets by Classification - 1120S

RIDGELEA INVESTMENTS, INC 61-1079233

12/31/2014

Item No.	Description of Property ***** Indicates DISPOSED	Date Placed In Service	Asset Code	Business Use %	Cost or Other Basis	Sec. 179 Deduction	Credit	Special Allowance	Salvage Value	Recovery Basis	Recovery Period	Method	Con-vention Code	Prior Accum. Deprec., 179, Bonus	2014 Deprec.	2014 Accum. Deprec.
7-yr General purpose tools, machinery, and equipment																
101	SEWER PLANT-GRTL	7/1/2000	F-10	100.00%	36,169					36,169	39	SL/GDS	HY	12,481	929	13,410
102	LIFT ST.PUMPS-GTLD	7/1/2000	F-10	100.00%	8,761					8,761	5	200DB	HY	8,761		8,761
103	SEWER LINES-GRTLD	7/1/2000	F-10	100.00%	15,140					15,140	39	SL/GDS	HY	5,224	389	5,613
104	PUMP EQUIP.-GTLD	6/18/2001	F-10	100.00%	2,000					2,000	5	200DB	HY	2,000		2,000
201	SEWER PLT EQ-MULBERRY	6/11/2003	F-10	100.00%	5,000					5,000	7	200DB	HY	5,000		5,000
105	LAWN MOWER	7/28/2003	F-10	100.00%	159			80		79	7	200DB	HY	159		159
106	LAWN MOWER	8/27/2003	F-10	100.00%	1,130			565		565	7	200DB	HY	1,130		1,130
107	LAWN MOWER	9/15/2003	F-10	100.00%	925			463		462	7	200DB	HY	925		925
202	FLOW METER-MULBERRY	9/11/2004	F-10	100.00%	2,010	2,010					7	200DB	HY	2,010		2,010
203	AERATOR-MULBERRY	5/13/2005	F-10	100.00%	1,939	1,939					7	200DB	HY	1,939		1,939
205	PLANT IMPROVE-WHICH ON	5/1/2012	F-10	100.00%	12,000					12,000	7	200DB	HY	4,654	2,099	6,753
Total: 7-yr Genl purp tools, mach, equip					85,233	3,949		1,108		80,176				44,283	3,417	47,700
Land																
1	LAND--GRANTLAND	7/1/2000	N-1	100.00%	2,500					2,500						
2	LAND-MULBERRY	6/11/2003	N-1	100.00%	5,000					5,000						
Total: Land					7,500					7,500						
Amortization - 197 - Intangibles (goodwill, etc.)																
500	FRANCHISE--GRANTLAND	7/1/2000	Z-9	100.00%	61,430					61,430	5	SL	FM	61,430		61,430
Total: Amort - 197 - Intangible costs					61,430					61,430				61,430		61,430
Subtotals					154,163	3,949		1,108		149,106				105,713	3,417	109,130
Less: Disposed Assets					(0)	(0)	(0)	(0)	(0)	(0)				(0)	(0)	(0)
Ending Totals					154,163	3,949		1,108		149,106				105,713	3,417	109,130

720S

41A720S

Kentucky
UNBRIDLED SPIRIT

Department of Revenue

See instructions.

Taxable period beginning 1/1, 20 14, and ending 12/31, 20 14.

A 065858

Kentucky Corporation/LLET Account Number

KENTUCKY S CORPORATION
INCOME TAX AND LLET RETURN 2014

B Check applicable box(es): LLET Receipts Method <input type="checkbox"/> Gross Receipts <input type="checkbox"/> Gross Profits <input checked="" type="checkbox"/> \$175 minimum Nonfiling Status Code Enter Code _____	D Federal Identification Number <u>61-1079233</u> Name of S Corporation RIDGELEA INVESTMENTS, INC. Number and Street 2106 W. NORTH BEND ROAD City <u>CINCINNATI</u> State <u>OH</u> ZIP Code <u>45224</u> Telephone Number _____ E Check if applicable: <input type="checkbox"/> Qualified investment pass-through entity <input type="checkbox"/> LLC <input type="checkbox"/> Initial return <input type="checkbox"/> Final return (Complete Part IV) <input type="checkbox"/> Short-period return (Complete Part IV) <input type="checkbox"/> Amended return (Complete Part V) <input type="checkbox"/> Change of name <input type="checkbox"/> Change of address <input type="checkbox"/> Change of accounting period	Taxable Year Ending <u>12</u> / <u>14</u> Mo. Yr. Kentucky Secretary of State Organization Number <u>0115145</u> State and Date of Incorporation <u>KY</u> <u>1/24/1979</u> Principal Business Activity in KY SEWER TREATMENT NAICS Code Number (Relating to Kentucky Activity) (See www.census.gov) <u>541330</u>
C Income Tax Return Nonfiling Status Code Enter Code _____	F Number of Shareholders (Attach K-1s) <u>1</u> Number of QSSSs Included in This Return (Attach Schedule) _____	

PART I — LLET COMPUTATION

1. Schedule LLET, Section D, line 1	1	175
2. Tax credit recapture	2	
3. Total (add lines 1 and 2)	3	175
4. Nonrefundable LLET credit from Kentucky Schedule(s) K-1	4	
5. Nonrefundable tax credits (attach Schedule TCS)	5	
6. LLET liability (greater of line 3 less lines 4 and 5 or \$175 minimum)	6	175
7. Estimated tax payments	7	
8. Certified rehabilitation tax credit	8	
9. Film industry tax credit	9	
10. Extension payment	10	
11. Prior year's tax credit	11	
12. Income tax overpayment from Part II, line 11	12	
13. LLET due (line 6 less lines 7 through 12)	13	175
14. LLET overpayment (lines 7 through 12 less line 6)	14	
15. Credited to 2014 income tax	15	
16. Credited to 2014 interest	16	
17. Credited to 2014 penalty	17	
18. Credited to 2015 LLET	18	
19. Amount to be refunded	19	

PART II—INCOME TAX COMPUTATION

1. Excess net passive income tax	1	
2. Built-in gains tax	2	
3. Tax installment on LIFO recapture	3	
4. Total (add lines 1 through 3)	4	
5. Estimated tax payments	5	
<input type="checkbox"/> Check if Form 2220-K attached	5	
6. Extension payment	6	
7. Prior year's tax credit	7	
8. LLET overpayment from Part I, line 15	8	
9. Income tax due (line 4 less lines 5 through 8)	9	
10. Income tax overpayment (lines 5 through 8 less line 4)	10	
11. Credited to 2014 LLET	11	
12. Credited to 2014 interest	12	
13. Credited to 2014 penalty	13	
14. Credited to 2015 corporation income tax	14	
15. Amount to be refunded	15	

TAX PAYMENT SUMMARY (Round to nearest dollar)

LLET		INCOME	
1. LLET due (Part I, Line 13)	\$ 175	1. Income tax due (Part II, Line 9)	\$
2. Interest	\$ 4	2. Interest	\$
3. Penalty	\$	3. Penalty	\$
4. Subtotal	\$ 179	4. Subtotal	\$
TOTAL PAYMENT (Add Subtotals)	\$ 179		

OFFICIAL USE ONLY

P
W
2
0
1
4

V
A
L
E

Federal Form 1120S, all pages and any supporting schedules must be attached.

Make check payable to:
Kentucky State TreasurerMail return with payment to:
Kentucky Dept. of Revenue
Frankfort, Kentucky 40620



PART III—ORDINARY INCOME (LOSS) COMPUTATION

1. Federal ordinary income (loss) (see instructions)	1	-3,793
ADDITIONS		
2. State taxes based on net/gross income	2	
3. Federal depreciation (do not include Section 179 expense deduction)	3	3,417
4. Related party expenses (attach Schedule RPC)	4	
5. Other (attach Schedule O-PTE)	5	
6. Total (add lines 1 through 5)	6	-376
SUBTRACTIONS		
7. Federal work opportunity credit	7	
8. Kentucky depreciation (do not include Section 179 expense deduction)	8	3,417
9. Other (attach Schedule O-PTE)	9	
10. Kentucky ordinary income (loss) (line 6 less lines 7 through 9)	10	-3,793

PART IV—EXPLANATION OF FINAL RETURN AND/OR SHORT-PERIOD RETURN

<input type="checkbox"/> Ceased operations in Kentucky	<input type="checkbox"/> Change in filing status
<input type="checkbox"/> Change of ownership	<input type="checkbox"/> Merger
<input type="checkbox"/> Successor to previous business	<input type="checkbox"/> Other _____

PART V—EXPLANATION OF AMENDED RETURN CHANGES

OFFICER INFORMATION (Failure to Provide Requested Information May Result in a Penalty)

Attach a schedule listing the name, home address and Social Security number of the vice president, secretary and treasurer.

Has the attached officer information changed from the last return filed?

☐ Yes ☒ No

President's Name CHARLES G HUNGLER JR

President's Home Address 5699 CRESTVIEW DRIVE

President's Social Security Number 269-44-1604

FAIRFIELD OH 45014

Date Became President _____

I, the undersigned, declare under the penalties of perjury, that I have examined this return, including all accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete.

Signature of principal officer or chief accounting officer

FAESY CPA GROUP, PSC

Name of person or firm preparing return

Date

P00607350

SSN, PTIN or FEIN

May the DOR discuss this return with the preparer?

☒ Yes ☐ No

Email Address: refcpa@fewpb.net

Telephone No.: 502-607-0303



SCHEDULE Q — KENTUCKY S CORPORATION QUESTIONNAIRE

IMPORTANT: Questions 4—12 must be completed by all S corporations. If this is the S corporation's initial return or if the S corporation did not file a return under the same name and same federal I.D. number for the preceding year, questions 1, 2 and 3 must be answered. **Failure to do so may result in a request for a delinquent return.**

1. Indicate whether: (a) ☐ new business; (b) ☐ successor to previously existing business which was organized as:
(1) ☐ corporation; (2) ☐ partnership; (3) ☐ sole proprietorship; or (4) ☐ other _____

If successor to previously existing business, give name, address and federal I.D. number of the previous business organization.

2. List the following **Kentucky** account numbers. Enter N/A for any number not applicable.

Employer Withholding _____

Sales and Use Tax Permit _____

Consumer Use Tax _____

Unemployment Insurance _____

Coal Severance and/or Processing Tax _____

3. If a foreign S corporation, enter the date qualified to do business in Kentucky. _____

4. The S corporation's books are in care of: (name and address)

OFFICE—SAME

5. Are disregarded entities included in this return? ☐ Yes ☒ No.
If yes, list name, address and federal I.D. number of each entity.

- 6(a) Was the S corporation a partner or member in a pass-through entity doing business in Kentucky? ☐ Yes ☒ No.

If yes, list name and federal I.D. number of each pass-through entity.

- 6(b) Was the S corporation doing business in Kentucky other than through its interest held in a pass-through entity doing business in Kentucky? ☐ Yes ☒ No

7. Are related party costs as defined in KRS 141.205(1)(l) included in this return? ☐ Yes ☒ No. If yes, attach Schedule RPC, Related Party Costs Disclosure Statement, and enter any related party cost additions on Part I, Line 4.

8. Is the entity filing this Kentucky tax return organized as a limited cooperative association as provided by KRS Chapter 272A? ☐ Yes ☒ No

9. Is the entity filing this Kentucky tax return organized as a statutory trust or a series statutory trust as provided by KRS Chapter 386A? ☐ Yes ☒ No

If yes, is the entity filing this Kentucky tax return a series within a statutory trust? ☐ Yes ☐ No

If yes, enter the name, address and federal I.D. number of the statutory trust registered with the Kentucky Secretary of State:

10. Was this return prepared on: (a) ☒ cash basis, (b) ☐ accrual basis, (c) ☐ other _____

11. Did the S corporation file a Kentucky tangible personal property tax return for January 1, 2015? ☒ Yes ☐ No

If yes, list the name and federal I.D. number of entity(ies) filing return(s): RIGELEA INVESTMENTS, INC.
61-1079233

12. Is the S corporation currently under audit by the Internal Revenue Service? ☐ Yes ☒ No

If yes, enter years under audit _____

If the Internal Revenue Service has made final and unappealable adjustments to the corporation's taxable income which have not been reported to the department, check here ☐ and file an amended Form 720S for each year adjusted. Attach a copy of the final determination to each amended return.



SCHEDULE K—SHAREHOLDERS' SHARES OF INCOME, CREDITS, DEDUCTIONS, ETC.

SECTION I		(a) Pro Rata Share Items	(b) Total Amount
Income (Loss) and Deductions			
1.	Kentucky ordinary income (loss) from trade or business activities (page 2, Part III, line 10)	1	-3,793
2.	Net income (loss) from rental real estate activities (attach federal Form 8825)	2	
3.	(a) Gross income from other rental activities	3(a)	
	(b) Less expenses from other rental activities (attach schedule)	3(b)	
	(c) Net income (loss) from other rental activities (line 3a less line 3b)	3(c)	
4.	Portfolio income (loss):		
	(a) Interest income	4(a)	25
	(b) Dividend income	4(b)	
	(c) Royalty income	4(c)	
	(d) Net short-term capital gain (loss) (attach federal Schedule D and Kentucky Schedule D, if applicable)	4(d)	
	(e) Net long-term capital gain (loss) (attach federal Schedule D and Kentucky Schedule D, if applicable)	4(e)	
	(f) Other portfolio income (loss) (attach schedule)	4(f)	
5.	Section 1231 net gain (loss) (other than due to casualty or theft) (attach federal Form 4797 and Kentucky Form 4797)	5	
6.	Other income (loss) (attach schedule)	6	
7.	Charitable contributions (attach schedule) and housing for homeless deduction (attach Schedule HH)	7	
8.	IRC Section 179 expense deduction (attach federal Form 4562 and Kentucky Form 4562)	8	
9.	Deductions related to portfolio income (loss) (attach schedule)	9	
10.	Other deductions (attach schedule)	10	
Investment Interest			
11.	(a) Interest expense on investment debts	11(a)	
	(b) (1) Investment income included on lines 4(a), 4(b), 4(c) and 4(f) above	11(b)(1)	25
	(2) Investment expenses included on line 9 above	11(b)(2)	
Tax Credits			
12.	Kentucky Small Business Investment Tax Credit (attach KEDFA notification)	12	
13.	Skills Training Investment Tax Credit (attach copy of certification(s))	13	
14.	Certified Rehabilitation Tax Credit (attach copy of certification(s))	14	
15.	Kentucky Unemployment Tax Credit (attach Schedule UTC)	15	
16.	Recycling/Composting Equipment Tax Credit (attach Schedule RC)	16	
17.	Kentucky Investment Fund Tax Credit (attach KEDFA notification)	17	
18.	Coal Incentive Tax Credit (attach Schedule CI)	18	
19.	Qualified Research Facility Tax Credit (attach Schedule QR)	19	
20.	GED Incentive Tax Credit (attach Form DAEL-31)	20	
21.	Voluntary Environmental Remediation Tax Credit (attach Schedule VERB)	21	
22.	Biodiesel Tax Credit (attach Schedule BIO)	22	
23.	Environmental Stewardship Tax Credit (attach Schedule KESA)	23	



SCHEDULE K — SHAREHOLDERS' SHARES OF INCOME, CREDITS, DEDUCTIONS, ETC.

SECTION I — continued	(a) Pro Rata Share Items	(b) Total Amount
Tax Credits—continued		
24. Clean Coal Incentive Tax Credit (attach Schedule CCI)	24	
25. Ethanol Tax Credit (attach Schedule ETH)	25	
26. Cellulosic Ethanol Tax Credit (attach Schedule CELL)	26	
Form 5695-K Pass-through Amounts (attach Form 5695-K)		
27. Energy Efficiency Products Tax Credit from Form 5695-K, line 6	27	
28. Energy Efficiency Products Tax Credit from Form 5695-K, line 12	28	
29. Energy Efficiency Products Tax Credit from Form 5695-K, line 18	29	
30. Energy Efficiency Products Tax Credit from Form 5695-K, line 36	30	
31. Energy Efficiency Products Tax Credit from Form 5695-K, line 36	31	
32. Energy Efficiency Products Tax Credit from Form 5695-K, line 51	32	
33. Energy Efficiency Products Tax Credit from Form 5695-K, line 51	33	
34. Energy Efficiency Products Tax Credit from Form 5695-K, line 57	34	
35. Energy Efficiency Products Tax Credit from Form 5695-K, line 63	35	
36. Railroad Maintenance and Improvement Tax Credit (attach Schedule RR-I)	36	
37. Endow Kentucky Tax Credit (attach Schedule ENDOW)	37	
38. New Markets Development Program Tax Credit (attach Form 8874(K)-A)	38	
39. Food Donation Tax Credit (attach Schedule FD)	39	
Other Items		
40. (a) Type of Section 59(e)(2) expenditures ➤	40(a)	
(b) Amount of Section 59(e)(2) expenditures	(b)	
41. Tax-exempt interest income	41	
42. Other tax-exempt income	42	
43. Nondeductible expenses	43	4,000
44. Total property distributions (including cash) other than dividends reported on line 46 below	44	4,492
45. Other items and amounts required to be reported separately to shareholders (attach schedule)	45	
46. Total dividend distributions paid from accumulated earnings and profits.....	46	
SECTION II — Pass-through Items		
1. S corporation's Kentucky sales from Schedule A, Section I, line 1	1	
2. S corporation's total sales from Schedule A, Section I, line 2	2	
3. S corporation's Kentucky property from Schedule A, Section I, line 5	3	
4. S corporation's total property from Schedule A, Section I, line 6	4	
5. S corporation's Kentucky payroll from Schedule A, Section I, line 8	5	
6. S corporation's total payroll from Schedule A, Section I, line 9	6	
7. S corporation's Kentucky gross profits from Schedule LLET, Section A, Column A, line 5	7	146,357
8. S corporation's total gross profits from all sources from Schedule LLET, Section A, Column B, line 5	8	146,357
9. Limited liability entity tax (LLET) nonrefundable credit from page 1, Part I, the total of lines 4 and 6, less \$175	9	

SCHEDULE LLET

41A720LLET (10-14)

Commonwealth of Kentucky
DEPARTMENT OF REVENUE



Taxable Year Ending

12 / 14
Mo. Yr.

LIMITED LIABILITY ENTITY TAX

KRS 141.0401

➤ See instructions.

➤ Attach to Form 720, 720S, 725 or 765.

☐ Member of a Combined Group

Reason Code

Name of Corporation or Limited Liability Pass-through Entity	Federal Identification Number	Kentucky Corporation/LLET Account Number
RIDGELEA INVESTMENTS, INC	61-1079233	065858

☐ Check this box and complete Schedule LLET-C, Limited Liability Entity Tax—Continuation Sheet, if the corporation or limited liability pass-through entity filing this tax return is a partner or member of a limited liability pass-through entity or general partnership (organized or formed as a general partnership after January 1, 2006) doing business in Kentucky. Enter the total amounts from Schedule LLET-C in Section A of this schedule.

Section A – Computation of Gross Receipts and Gross Profits

	Column A Kentucky	Column B Total
1. Gross receipts	146,357	146,357
2. Returns and allowances		
3. Gross receipts after returns and allowances (line 1 less line 2 or amount from Schedule LLET-C)	146,357	146,357
4. Cost of goods sold (attach Schedule COGS)		
5. Gross profits (line 3 less line 4 or amount from Schedule LLET-C)	146,357	146,357

Section B – Computation of Gross Receipts LLET

1. If gross receipts from all sources (Column B, line 3) are \$3,000,000 or less, STOP and enter \$175 on Section D, line 1		
2. If gross receipts from all sources (Column B, line 3) are greater than \$3,000,000 but less than \$6,000,000, enter the following: (Column A, line 3 x 0.00095) – $\left[\$2,850 \times \frac{(\$6,000,000 - \text{Column A, line 3})}{\$3,000,000} \right]$ but in no case shall the result be less than zero		
3. If gross receipts from all sources (Column B, line 3) are \$6,000,000 or greater, enter the following: Column A, line 3 x 0.00095		
4. Enter the amount from line 2 or line 3		

Section C – Computation of Gross Profits LLET

1. If gross profits from all sources (Column B, line 5) are \$3,000,000 or less, STOP and enter \$175 on Section D, line 1		
2. If gross profits from all sources (Column B, line 5) are greater than \$3,000,000 but less than \$6,000,000, enter the following: (Column A, line 5 x 0.0075) – $\left[\$22,500 \times \frac{(\$6,000,000 - \text{Column A, line 5})}{\$3,000,000} \right]$ but in no case shall the result be less than zero		
3. If gross profits from all sources (Column B, line 5) are \$6,000,000 or greater, enter the following: Column A, line 5 x 0.0075		
4. Enter the amount from line 2 or line 3		

Section D – Computation of LLET

1. Enter the lesser of Section B, line 4 or Section C, line 4, or a minimum of \$175 on this line and on Form 720 or 720S, Part I, line 1; or Form 725 or 765, Part II, line 1	175
--	-----



Mark the applicable Receipts Method box on Form 720, 720S, 725 or 765, page 1, Item B.

(Form 720S)
KENTUCKY
SCHEDULE K-1

41A720S(K-1)

Commonwealth of Kentucky
DEPARTMENT OF REVENUE

➤ See instructions.

For calendar year 2014 or fiscal year, beginning _____, 2014, and ending _____, 20 _____

SHAREHOLDER'S SHARE OF
INCOME, CREDITS, DEDUCTIONS, ETC.

Shareholder's identifying number ➤ 294-44-1604	S corporation's FEIN ➤ 61-1079233	Kentucky Corporation/LLET Account Number ➤ 065858
Shareholder's name, address and ZIP code #1 CHARLES G HUNGLER JR 5699 CRESTVIEW DRIVE FAIRFIELD, OH 45014		S corporation's name, address and ZIP code Check if applicable: <input type="checkbox"/> Qualified investment pass-through entity RIDGELEA INVESTMENTS, INC 2106 W. NORTH BEND ROAD CINCINNATI, OH 45224

- A. Shareholder's percentage of stock ownership for tax year 100.000000%
- B. (1) Resident shareholder's taxable percentage of pro rata share items
(2) Nonresident shareholder's taxable percentage of pro rata share items (see Schedule A, Section I, line 12) 100.0000%
- C. What type of entity is this shareholder? ☒ Individual ☐ Estate ☐ Trust ☐ Single Member LLC
- D. Check the box if nonresident shareholder's income is reported on:
☐ Kentucky Nonresident Income Tax Withholding on Distributive Share Income Report and Composite Income Tax Return (Form 740NP-WH and Form PTE-WH)
- E. Check if applicable: (1) ☐ Final K-1 (2) ☐ Amended K-1

IMPORTANT: Refer to Shareholder's instructions for Schedule K-1 before entering information from Schedule K-1 on your tax return.

(a) Pro Rata Share Items		(b) Amount
Income (Loss)		
1. Ordinary income (loss) from trade or business activities	1	-3,793
2. Net income (loss) from rental real estate activities	2	
3. Net income (loss) from other rental activities	3	
4. Portfolio income (loss):		
(a) Interest	4(a)	25
(b) Dividends	(b)	
(c) Royalties	(c)	
(d) Net short-term capital gain (loss)	(d)	
(e) Net long-term capital gain (loss)	(e)	
(f) Other portfolio income (loss) (attach schedule)	(f)	
5. Section 1231 net gain (loss) (other than due to casualty or theft)	5	
6. Other income (loss) (attach schedule)	6	
Deductions		
7. Charitable contributions (attach schedule) and housing for homeless deduction (attach Schedule HH)	7	
8. IRC Section 179 expense deduction (attach federal Form 4562 and Kentucky Form 4562)	8	
9. Deductions related to portfolio income (loss) (attach schedule)	9	
10. Other deductions (attach schedule)	10	
Investment Interest		
11. (a) Interest expense on investment debts	11(a)	
(b) (1) Investment income included on lines 4(a), 4(b), 4(c) and 4(f) above	(b)(1)	25
(2) Investment expenses included on line 9 above	(2)	
Tax Credits		
12. Kentucky Small Business Investment Tax Credit	12	
13. Skills Training Investment Tax Credit	13	
14. Certified Rehabilitation Tax Credit	14	
15. Kentucky Unemployment Tax Credit	15	
16. Recycling/Composting Equipment Tax Credit	16	
17. Kentucky Investment Fund Tax Credit	17	



CHARLES G HUNGLER JR

#1

294-44-1604

SHAREHOLDER'S SHARE OF INCOME, CREDITS, DEDUCTIONS, ETC.

(a) Pro Rata Share Items (continued)		(b) Amount
Tax Credits — continued		
18. Coal Incentive Tax Credit	18	
19. Qualified Research Facility Tax Credit	19	
20. GED Incentive Tax Credit	20	
21. Voluntary Environmental Remediation Tax Credit	21	
22. Biodiesel Tax Credit	22	
23. Environmental Stewardship Tax Credit	23	
24. Clean Coal Incentive Tax Credit	24	
25. Ethanol Tax Credit	25	
26. Cellulosic Ethanol Tax Credit	26	
Form 5695-K Pass-through Amounts		
27. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 3)	27	
28. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 9)	28	
29. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 15)	29	
30. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 29)	30	
31. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 32)	31	
32. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 44)	32	
33. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 47)	33	
34. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 54)	34	
35. Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 60)	35	
36. Railroad Maintenance and Improvement Tax Credit	36	
37. Endow Kentucky Tax Credit	37	
38. New Markets Development Program Tax Credit	38	
39. Food Donation Tax Credit	39	
Other Items		
40. (a) Type of Section 59(e)(2) expenditures ➤	40(a)	
(b) Amount of Section 59(e)(2) expenditures	(b)	
41. Tax-exempt interest income	41	
42. Other tax-exempt income	42	
43. Nondeductible expenses	43	4,000
44. Property distributions (including cash) other than dividend distributions reported to you on Form 1099-DIV	44	4,492
45. Supplemental information required to be reported to each shareholder (attach schedule(s))	45	
46. Total dividend distributions paid from accumulated earnings and profits	46	
LLET Pass-through Items		
47. Shareholder's share of S corporation's Kentucky sales from Schedule K, Section II, line 1	47	
48. Shareholder's share of S corporation's total sales from Schedule K, Section II, line 2	48	
49. Shareholder's share of S corporation's Kentucky property from Schedule K, Section II, line 3	49	
50. Shareholder's share of S corporation's total property from Schedule K, Section II, line 4	50	
51. Shareholder's share of S corporation's Kentucky payroll from Schedule K, Section II, line 5	51	
52. Shareholder's share of S corporation's total payroll from Schedule K, Section II, line 6	52	
53. Shareholder's share of Kentucky gross profits from Schedule K, Section II, line 7	53	
54. Shareholder's share of total gross profits from all sources from Schedule K, Section II, line 8	54	
55. Shareholder's share of limited liability entity tax (LLET), nonrefundable credit from Schedule K, Section II, line 9	55	
Resident Shareholder Adjustment		
56. Combination of Kentucky Schedule K-1, lines 1 through 5, 8 and portions of lines 6 and 10. Add income amounts and subtract (loss) and deduction amounts (see instructions)	56	
57. Combination of federal Schedule K-1, lines 1 through 9, 11 and portions of lines 10 and 12. Add income amounts and subtract (loss) and deduction amounts (see instructions)	57	
58. Enter the difference of lines 56 and 57 here and on appropriate line on Schedule M (see instructions)	58	

Form **1120S****U.S. Income Tax Return for an S Corporation**

OMB No. 1545-0123

2015Department of the Treasury
Internal Revenue ServiceDo not file this form unless the corporation has filed or is
attaching Form 2553 to elect to be an S corporation.Information about Form 1120S and its separate instructions is at www.irs.gov/form1120s.

For calendar year 2015 or tax year beginning

, ending

A Selection effective date 1/1/1999	TYPE OR PRINT	Name RIDGELEA INVESTMENTS, INC	D Employer identification number 61-1079233
B Business activity code number (see instructions) 541330		Number, street, and room or suite no. If a P.O. box, see instructions. 2106 W. NORTH BEND ROAD	E Date incorporated 1/24/1979
C Check if Sch. M-3 attached <input type="checkbox"/>		City or town CINCINNATI State OH ZIP code 45224	F Total assets (see instructions) \$ 50,060
		Foreign country name Foreign province/state/county Foreign postal code	

G Is the corporation electing to be an S corporation beginning with this tax year? ☐ Yes ☐ No If "Yes," attach Form 2553 if not already filed**H** Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination or revocation**I** Enter the number of shareholders who were shareholders during any part of the tax year ▶ 1**Caution:** Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Income	1a	Gross receipts or sales	123,323	
	1b	Returns and allowances		
	1c	Balance. Subtract line 1b from line 1a	123,323	
	2	Cost of goods sold (attach Form 1125-A)		
	3	Gross profit. Subtract line 2 from line 1c	123,323	
	4	Net gain (loss) from Form 4797, line 17 (attach Form 4797)		
Deductions (see instructions for limitations)	5	Other income (loss) (see instructions—attach statement)		
	6	Total income (loss). Add lines 3 through 5	123,323	
	7	Compensation of officers (see instructions—attach Form 1125-E)	10,300	
	8	Salaries and wages (less employment credits)		
	9	Repairs and maintenance	46,382	
	10	Bad debts		
	11	Rents		
	12	Taxes and licenses	540	
	13	Interest	325	
	14	Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)	2,817	
	15	Depletion (Do not deduct oil and gas depletion.)		
	16	Advertising		
	17	Pension, profit-sharing, etc., plans		
	18	Employee benefit programs		
	19	Other deductions (attach statement)	55,687	
Tax and Payments	20	Total deductions. Add lines 7 through 19	116,051	
	21	Ordinary business income (loss). Subtract line 20 from line 6	7,272	
	22a	Excess net passive income or LIFO recapture tax (see instructions)		
	22b	Tax from Schedule D (Form 1120S)		
	22c	Add lines 22a and 22b (see instructions for additional taxes)	0	
	23a	2015 estimated tax payments and 2014 overpayment credited to 2015		
	23b	Tax deposited with Form 7004		
	23c	Credit for federal tax paid on fuels (attach Form 4136)		
	23d	Add lines 23a through 23c	0	
	24	Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>		
25	Amount owed. If line 23d is smaller than the total of lines 22c and 24, enter amount owed	0		
26	Overpayment. If line 23d is larger than the total of lines 22c and 24, enter amount overpaid	0		
27	Enter amount from line 26 Credited to 2016 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	0		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

Signature of officer

Date

Title

May the IRS discuss this return
with the preparer shown below
(see instructions)? ☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN

ROBERT E FAESY JR, CPA

4/7/2016

P00607350

Firm's name ▶ FAESY CPA GROUP, PSC

Firm's EIN ▶ 26-1741185

Firm's address ▶ 1100 US HWY 127 S, Ste B-1

Phone no. 502-607-0303

City FRANKFORT

State KY

ZIP code 40601

For Paperwork Reduction Act Notice, see separate instructions.

Form **1120S** (2015)

HTA

Schedule B Other Information (see instructions)

1	Check accounting method:	a	<input checked="" type="checkbox"/> Cash	b	<input type="checkbox"/> Accrual	Yes	No
		c	<input type="checkbox"/> Other (specify) _____				
2	See the instructions and enter the:						
	a Business activity	SEWER TREATMENT		b Product or service	SEWER TREATMENT		
3	At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation						X
4	At the end of the tax year, did the corporation:						
	a Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below						X
	(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made		
b	Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below						X
	(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital		
5 a	At the end of the tax year, did the corporation have any outstanding shares of restricted stock?						X
	If "Yes," complete lines (i) and (ii) below.						
	(i)	Total shares of restricted stock					
	(ii)	Total shares of non-restricted stock					
b	At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments?						X
	If "Yes," complete lines (i) and (ii) below.						
	(i)	Total shares of stock outstanding at the end of the tax year					
	(ii)	Total shares of stock outstanding if all instruments were executed					
6	Has this corporation filed, or is it required to file, Form 8918, Material Advisor Disclosure Statement, to provide information on any reportable transaction?						X
7	Check this box if the corporation issued publicly offered debt instruments with original issue discount <input type="checkbox"/>						
	If checked, the corporation may have to file Form 8281, Information Return for Publicly Offered Original Issue Discount Instruments.						
8	If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions) \$ _____						
9	Enter the accumulated earnings and profits of the corporation at the end of the tax year. \$ _____						
10	Does the corporation satisfy both of the following conditions?						
	a The corporation's total receipts (see instructions) for the tax year were less than \$250,000						X
	b The corporation's total assets at the end of the tax year were less than \$250,000						
	If "Yes," the corporation is not required to complete Schedules L and M-1.						
11	During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt?						X
	If "Yes," enter the amount of principal reduction \$ _____						
12	During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions						X
13 a	Did the corporation make any payments in 2015 that would require it to file Form(s) 1099?						X
b	If "Yes," did the corporation file or will it file required Forms 1099?						

Schedule K Shareholders' Pro Rata Share Items		Total amount	
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21)	1	7,272
	2 Net rental real estate income (loss) (attach Form 8825)	2	
	3a Other gross rental income (loss)	3a	
	b Expenses from other rental activities (attach statement)	3b	
	c Other net rental income (loss). Subtract line 3b from line 3a	3c	0
	4 Interest income	4	
	5 Dividends: a Ordinary dividends	5a	
	b Qualified dividends	5b	
	6 Royalties	6	
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S))	7	
Income (Loss)	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S))	8a	
	b Collectibles (28%) gain (loss)	8b	
	c Unrecaptured section 1250 gain (attach statement)	8c	
9 Net section 1231 gain (loss) (attach Form 4797)	9		
10 Other income (loss) (see instructions) Type ▶	10		
Deductions	11 Section 179 deduction (attach Form 4562)	11	
	12a Charitable contributions	12a	
	b Investment interest expense	12b	
	c Section 59(e)(2) expenditures (1) Type ▶ (2) Amount ▶	12c(2)	
d Other deductions (see instructions) Type ▶	12d		
Credits	13a Low-income housing credit (section 42(j)(5))	13a	
	b Low-income housing credit (other)	13b	
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable)	13c	
	d Other rental real estate credits (see instructions) Type ▶	13d	
	e Other rental credits (see instructions) Type ▶	13e	
	f Biofuel producer credit (attach Form 6478)	13f	
	g Other credits (see instructions) Type ▶	13g	
Foreign Transactions	14a Name of country or U.S. possession ▶	14b	
	b Gross income from all sources	14c	
	c Gross income sourced at shareholder level		
	Foreign gross income sourced at corporate level	14d	
	d Passive category	14e	
	e General category	14f	
	f Other (attach statement)		
	Deductions allocated and apportioned at shareholder level	14g	
	g Interest expense	14h	
	h Other		
	Deductions allocated and apportioned at corporate level to foreign source income	14i	
	i Passive category	14j	
	j General category	14k	
	k Other (attach statement)		
Other information	14l		
l Total foreign taxes (check one): <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	14m		
m Reduction in taxes available for credit (attach statement)			
n Other foreign tax information (attach statement)			
Alternative Minimum Tax (AMT) Items	15a Post-1986 depreciation adjustment	15a	-38
	b Adjusted gain or loss	15b	
	c Depletion (other than oil and gas)	15c	
	d Oil, gas, and geothermal properties—gross income	15d	
	e Oil, gas, and geothermal properties—deductions	15e	
	f Other AMT items (attach statement)	15f	
Items Affecting Shareholder Basis	16a Tax-exempt interest income	16a	
	b Other tax-exempt income	16b	
	c Nondeductible expenses	16c	
	d Distributions (attach statement if required) (see instructions)	16d	5,957
	e Repayment of loans from shareholders	16e	

Schedule K		Shareholders' Pro Rata Share Items (continued)	Total amount	
Other Information	17a	Investment income	17a	
	b	Investment expenses	17b	
	c	Dividend distributions paid from accumulated earnings and profits	17c	
	d	Other items and amounts (attach statement)		
Reconciliation	18	Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14l	18	7,272

Schedule L		Balance Sheets per Books		Beginning of tax year		End of tax year	
Assets		(a)	(b)	(c)	(d)		
1	Cash		7,883		7,844		
2a	Trade notes and accounts receivable						
b	Less allowance for bad debts		0		0		
3	Inventories						
4	U.S. government obligations						
5	Tax-exempt securities (see instructions)						
6	Other current assets (attach statement)						
7	Loans to shareholders						
8	Mortgage and real estate loans						
9	Other investments (attach statement)						
10a	Buildings and other depreciable assets	85,233		85,233			
b	Less accumulated depreciation	47,700	37,533	50,517	34,716		
11a	Depletable assets						
b	Less accumulated depletion		0		0		
12	Land (net of any amortization)		7,500		7,500		
13a	Intangible assets (amortizable only)	61,430		61,430			
b	Less accumulated amortization	61,430	0	61,430	0		
14	Other assets (attach statement)						
15	Total assets		52,916		50,060		
Liabilities and Shareholders' Equity							
16	Accounts payable						
17	Mortgages, notes, bonds payable in less than 1 year						
18	Other current liabilities (attach statement)		6,943		2,250		
19	Loans from shareholders						
20	Mortgages, notes, bonds payable in 1 year or more				4,522		
21	Other liabilities (attach statement)						
22	Capital stock		1,000		1,000		
23	Additional paid-in capital		7,876		7,876		
24	Retained earnings		37,097		34,412		
25	Adjustments to shareholders' equity (attach statement)						
26	Less cost of treasury stock						
27	Total liabilities and shareholders' equity		52,916		50,060		

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

Note: The corporation may be required to file Schedule M-3 (see instructions)

1	Net income (loss) per books	7,272	5	Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
2	Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize):		a	Tax-exempt interest \$	0
3	Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14I (itemize):		6	Deductions included on Schedule K, lines 1 through 12 and 14I, not charged against book income this year (itemize):	
a	Depreciation \$		a	Depreciation \$	0
b	Travel and entertainment \$				0
		0	7	Add lines 5 and 6	0
4	Add lines 1 through 3	7,272	8	Income (loss) (Schedule K, line 18). Line 4 less line 7	7,272

Schedule M-2 Analysis of Accumulated Adjustments Account, Other Adjustments Account, and Shareholders' Undistributed Taxable Income Previously Taxed (see instructions)

	(a) Accumulated adjustments account	(b) Other adjustments account	(c) Shareholders' undistributed taxable income previously taxed
1	Balance at beginning of tax year	37,097	
2	Ordinary income from page 1, line 21	7,272	
3	Other additions		
4	Loss from page 1, line 21		
5	Other reductions	4,000	
6	Combine lines 1 through 5	40,369	0
7	Distributions other than dividend distributions	5,957	
8	Balance at end of tax year. Subtract line 7 from line 6	34,412	0

**Schedule K-1
(Form 1120S)**

Department of the Treasury
Internal Revenue Service

2015

For calendar year 2015, or tax
year beginning _____, 2015
ending _____, 20____

**Shareholder's Share of Income, Deductions,
Credits, etc.**

▶ See back of form and separate instructions.

☐ Final K-1

☐ Amended K-1

671113
OMB No. 1545-0123

**Part III Shareholder's Share of Current Year Income,
Deductions, Credits, and Other Items**

1	Ordinary business income (loss)	13	Credits
	7,272		
2	Net rental real estate income (loss)		
3	Other net rental income (loss)		
4	Interest income		
5a	Ordinary dividends		
5b	Qualified dividends	14	Foreign transactions
6	Royalties		
7	Net short-term capital gain (loss)		
8a	Net long-term capital gain (loss)		
8b	Collectibles (28%) gain (loss)		
8c	Unrecaptured section 1250 gain		
9	Net section 1231 gain (loss)		
10	Other income (loss)	15	Alternative minimum tax (AMT) items
		A	-38
11	Section 179 deduction	16	Items affecting shareholder basis
		D	5,957
12	Other deductions		
		17	Other information

* See attached statement for additional information.

Part I Information About the Corporation

A Corporation's employer identification number
61-1079233

B Corporation's name, address, city, state, and ZIP code

RIDGELEA INVESTMENTS, INC
2106 W. NORTH BEND ROAD
CINCINNATI, OH 45224

C IRS Center where corporation filed return
e-file

Part II Information About the Shareholder

D Shareholder's identifying number Shareholder: 1
294-44-1604

E Shareholder's name, address, city, state, and ZIP code

CHARLES G HUNGLER JR
5699 CRESTVIEW DRIVE
FAIRFIELD, OH 45014

F Shareholder's percentage of stock
ownership for tax year 100.000000%

For IRS Use Only

K-1 Statement (Sch K-1, Form 1120S)

Line 15 - AMT Items

A Code A - Post-1986 depreciation adjustment A -38

Line 16 - Items affecting shareholder basis

D Code D - Distributions D 5,957

Application for Automatic Extension of Time To File Certain Business Income Tax, Information, and Other Returns

OMB No. 1545-0233

▶ File a separate application for each return.

▶ Information about Form 7004 and its separate instructions is at www.irs.gov/form7004.

Print
or
Type

Name	Identifying number
RIDGELEA INVESTMENTS, INC	61-1079233
Number, street, and room or suite no. (If P.O. box, see instructions.)	
2106 W. NORTH BEND ROAD	
City, town, state, and ZIP code (If a foreign address, enter city, province or state, and country (follow the country's practice for entering postal code)).	
CINCINNATI, OH 45224	

Note. File request for extension by the due date of the return for which the extension is granted. See instructions before completing this form.

Part I Automatic 5-Month Extension

1a Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 1065	09	Form 1041 (estate other than a bankruptcy estate)	04
Form 8804	31	Form 1041 (trust)	05

Part II Automatic 6-Month Extension

b Enter the form code for the return that this application is for (see below)

Application Is For:	Form Code	Application Is For:	Form Code
Form 706-GS(D)	01	Form 1120-ND (section 4951 taxes)	20
Form 706-GS(T)	02	Form 1120-PC	21
Form 1041 (bankruptcy estate only)	03	Form 1120-POI	22
Form 1041-N	06	Form 1120-REIT	23
Form 1041-QFT	07	Form 1120-RIC	24
Form 1042	08	Form 1120S	25
Form 1065-B	10	Form 1120-SF	26
Form 1066	11	Form 3520-A	27
Form 1120	12	Form 8612	28
Form 1120-C	34	Form 8613	29
Form 1120-F	15	Form 8725	30
Form 1120-FSC	16	Form 8831	32
Form 1120-H	17	Form 8876	33
Form 1120-L	18	Form 8924	35
Form 1120-ND	19	Form 8928	36

- 2 If the organization is a foreign corporation that does not have an office or place of business in the United States, check here ▶ ☐
- 3 If the organization is a corporation and is the common parent of a group that intends to file a consolidated return, check here ▶ ☐
If checked, attach a statement, listing the name, address, and Employer Identification Number (EIN) for each member covered by this application.

Part III All Filers Must Complete This Part

4 If the organization is a corporation or partnership that qualifies under Regulations section 1.6081-5, check here ▶ ☐

5a The application is for calendar year 20 15, or tax year beginning _____, 20____, and ending _____, 20____.

b **Short tax year.** If this tax year is less than 12 months, check the reason: ☐ Initial return ☐ Final return
☐ Change in accounting period ☐ Consolidated return to be filed ☐ Other (see instructions-attach explanation)

6 Tentative total tax	6	0
7 Total payments and credits (see instructions)	7	0
8 Balance due. Subtract line 7 from line 6 (see instructions)	8	0

Depreciation and Amortization

(Including Information on Listed Property)

OMB No. 1545-0172

2015

Department of the Treasury
Internal Revenue Service

(99)

▶ Attach to your tax return.

▶ Information about Form 4562 and its separate instructions is at www.irs.gov/form4562.

Attachment

Sequence No. 179

Name(s) shown on return

RIDGELEA INVESTMENTS, INC

Business or activity to which this form relates

1120S - SEWER TREATMENT

Identifying number

61-1079233

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	0
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	0
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	0
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	0
10	Carryover of disallowed deduction from line 13 of your 2014 Form 4562.	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	0
13	Carryover of disallowed deduction to 2016. Add lines 9 and 10, less line 12	13	0

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2015	17	2,817
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here <input type="checkbox"/>		

Section B - Assets Placed in Service During 2015 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19 a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property			27.5 yrs.	MM	S/L	
i Nonresidential real property			27.5 yrs.	MM	S/L	
			39 yrs.	MM	S/L	
				MM	S/L	

Section C - Assets Placed in Service During 2015 Tax Year Using the Alternative Depreciation System

20 a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations—see instructions.	22	2,817
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form 4562 (2015)

Line 19 (1120S) - Other Deductions

1	Billing collection costs	1	10,680
2	Legal and professional fees	2	5,832
3	Utilities	3	13,271
4	Contract labor	4	1,985
5	Sludge Hauling	5	13,271
6	PSC filing and assessment fees	6	880
7	Trash hauling	7	822
8	Testing fees	8	8,946
9	Total other deductions	9	55,687

Line 18, Sch L (1120S) - Other Current Liabilities

		Beginning	End
1	CUSTOMER DEPOSITS	2,250	2,250
2	DEPOSITS-MULBERRY MAINT. SURCHARGE	4,693	0
3	Total other current liabilities	6,943	2,250

Line 12 (1120S) - Taxes and Licenses

1	Local property taxes	1	350
2	KY ENTITY TAX	2	175
3	SOS FEE	3	15
4	Sub Total	4	540
5	Total taxes and licenses	5	540

Sch M-2 (1120S) - Retained Earnings

		Accumulated Adjustments Account	Other Adjustments Account	Shareholders' Undistributed Taxable Income Previously Taxed	Retained Earnings Unappropriated/ Timing Differences	Total Retained Earnings
1	Balance at beginning of tax year	1	37,097	0	0	37,097
2	Income from page 1, line 21 . . .	2	7,272			7,272
3	Other additions	3	0	0		0
4	Loss from page 1, line 21	4	0			0
5	Other reductions	5	4,000	0		4,000
6	Combine lines 1 through 5 . . .	6	40,369	0	0	40,369
7	Distributions	7	5,957	0		5,957
8	Balance at end of tax year	8	34,412	0	0	34,412

Sch M-2, Lines 3 and 5 (1120S) - Other Additions and Reductions

		(a) Accumulated adjustments account	(b) Other adjustments account
Line 3 - Other additions			
Line 5 - Other reductions			
1	PSC penalties and fines	1	4,000
2	Total other reductions. Enter on line 5, Schedule M-2	2	4,000

Assets by Classification - 1120S

RIDGELEA INVESTMENTS, INC 61-1079233

12/31/2015

Item No.	Description of Property ***** indicates DISPOSED	Date Placed In Service	Asset Code	Business Use %	Cost or Other Basis	Sec. 179 Deduction	Credit	Special Allowance	Salvage Value	Recovery Basis	Recovery Period	Method	Con-vention Code	Prior Accum. Deprec., 179, Bonus	2015 Deprec.	2015 Accum. Deprec.			
7-yr General purpose tools, machinery, and equipment																			
101	SEWER PLANT-GRTL	7/1/2000	F-10	100.00%	36,169	0	0	0	0	36,169	39.0	SL/GDS	HY	13,410	929	14,339			
102	LIFT ST.PUMPS-GTLD	7/1/2000	F-10	100.00%	8,761	0	0	0	0	8,761	5.0	200DB	HY	8,761	0	8,761			
103	SEWER LINES-GRTL	7/1/2000	F-10	100.00%	15,140	0	0	0	0	15,140	39.0	SL/GDS	HY	5,613	389	6,002			
104	PUMP EQUIP.-GTLD	6/18/2001	F-10	100.00%	2,000	0	0	0	0	2,000	5.0	200DB	HY	2,000	0	2,000			
201	SEWER PLT EQ-MULBERRY	6/11/2003	F-10	100.00%	5,000	0	0	0	0	5,000	7.0	200DB	HY	5,000	0	5,000			
105	LAWN MOWER	7/28/2003	F-10	100.00%	159	0	0	80	0	79	7.0	200DB	HY	159	0	159			
106	LAWN MOWER	8/27/2003	F-10	100.00%	1,130	0	0	565	0	565	7.0	200DB	HY	1,130	0	1,130			
107	LAWN MOWER	9/15/2003	F-10	100.00%	925	0	0	463	0	462	7.0	200DB	HY	925	0	925			
202	FLOW METER-MULBERRY	9/11/2004	F-10	100.00%	2,010	2,010	0	0	0	0	7.0	200DB	HY	2,010	0	2,010			
203	AERATOR-MULBERRY	5/13/2005	F-10	100.00%	1,939	1,939	0	0	0	0	7.0	200DB	HY	1,939	0	1,939			
205	PLANT IMPROVE-WHICH ON	5/1/2012	F-10	100.00%	12,000	0	0	0	0	12,000	7.0	200DB	HY	6,753	1,499	8,252			
Total: 7-yr Genl purp tools, mach, equip					85,233	3,949	0	1,108	0	80,176							47,700	2,817	50,517
Land																			
1	LAND--GRANTLAND	7/1/2000	N-1	100.00%	2,500	0	0	0	0	2,500	0						0	0	0
2	LAND--MULBERRY	6/11/2003	N-1	100.00%	5,000	0	0	0	0	5,000	0						0	0	0
Total: Land					7,500	0	0	0	0	7,500							0	0	0
Amortization - 197 - Intangibles (goodwill, etc.)																			
500	FRANCHISE--GRANTLAND	7/1/2000	Z-9	100.00%	61,430	0	0	0	0	61,430	5.0	SL	FM	61,430	0	61,430			
Total: Amort - 197 - Intangible costs					61,430	0	0	0	0	61,430							61,430	0	61,430
SubTotals					154,163	3,949	0	1,108	0	149,106							109,130	2,817	111,947
Less: Disposed Assets					(0)	(0)	(0)	(0)	(0)	(0)							(0)	(0)	(0)
Ending Totals					154,163	3,949	0	1,108	0	149,106							109,130	2,817	111,947

720S
41A720S

Department of Revenue

Kentucky
UNBIDDED SPENT



A 065858
Kentucky Corporation/LLET Account Number

**KENTUCKY S CORPORATION
INCOME TAX AND LLET RETURN 2015**

➤ See instructions.

Taxable period beginning 1/1, 20 15, and ending 12/31, 20 15.

B Check applicable box(es): LLET Receipts Method <input type="checkbox"/> Gross Receipts <input type="checkbox"/> Gross Profits <input checked="" type="checkbox"/> \$175 minimum Nonfiling Status Code Enter Code _____	D Federal Identification Number 61-1079233	Taxable Year Ending 12 / 15 Mo. Yr.	
	Name of S Corporation RIDGELEA INVESTMENTS, INC		Kentucky Secretary of State Organization Number 0115145
	Number and Street 2106 W. NORTH BEND ROAD		State and Date of Incorporation KY 1/24/1979
	City CINCINNATI	State OH	ZIP Code 45224
E Check if applicable: <input type="checkbox"/> Qualified investment pass-through entity <input type="checkbox"/> LLC <input type="checkbox"/> Initial return <input type="checkbox"/> Final return (Complete Part IV) <input type="checkbox"/> Short-period return (Complete Part IV) <input type="checkbox"/> Amended return (Complete Part V) <input type="checkbox"/> Change of name <input type="checkbox"/> Change of address <input type="checkbox"/> Change of accounting period			
F Number of Shareholders (Attach K-1s) 1 Number of QSSSs Included in This Return (Attach Schedule) _____			

PART I — LLET COMPUTATION			PART II — INCOME TAX COMPUTATION		
1. Schedule LLET, Section D, line 1	1	175	1. Excess net passive income tax	1	
2. Tax credit recapture	2		2. Built-in gains tax	2	
3. Total (add lines 1 and 2)	3	175	3. Tax installment on LIFO recapture	3	
4. Nonrefundable LLET credit from Kentucky Schedule(s) K-1	4		4. Total (add lines 1 through 3)	4	
5. Nonrefundable tax credits (attach Schedule TCS)	5		5. Estimated tax payments <input type="checkbox"/> Check if Form 2220-K attached	5	
6. LLET liability (greater of line 3 less lines 4 and 5 or \$175 minimum)	6	175	6. Extension payment	6	
7. Estimated tax payments	7		7. Prior year's tax credit	7	
8. Certified rehabilitation tax credit	8		8. LLET overpayment from Part I, line 15	8	
9. Film industry tax credit	9		9. Income tax due (line 4 less lines 5 through 8)	9	
10. Extension payment	10		10. Income tax overpayment (lines 5 through 8 less line 4)	10	
11. Prior year's tax credit	11		11. Credited to 2015 LLET	11	
12. Income tax overpayment from Part II, line 11	12		12. Credited to 2015 interest	12	
13. LLET due (line 6 less lines 7 through 12)	13	175	13. Credited to 2015 penalty	13	
14. LLET overpayment (lines 7 through 12 less line 6)	14		14. Credited to 2016 corporation income tax	14	
15. Credited to 2015 income tax	15		15. Amount to be refunded	15	
16. Credited to 2015 interest	16				
17. Credited to 2015 penalty	17				
18. Credited to 2016 LLET	18				
19. Amount to be refunded	19				

TAX PAYMENT SUMMARY (Round to nearest dollar)		OFFICIAL USE ONLY	
LLET	INCOME	P	
1. LLET due (Part I, Line 13) \$ 175	1. Income tax due (Part II, Line 9) \$	W	
2. Interest \$	2. Interest \$	2	
3. Penalty \$	3. Penalty \$	0	
4. Subtotal \$ 175	4. Subtotal \$	4	
		V	
		A	
		L	
		9	
TOTAL PAYMENT (Add Subtotals) ➤ \$ 175			



PART III—ORDINARY INCOME (LOSS) COMPUTATION

1. Federal ordinary income (loss) (see instructions)	1	7,272
ADDITIONS		
2. State taxes based on net/gross income	2	
3. Federal depreciation (do not include Section 179 expense deduction)	3	2,817
4. Related party expenses (attach Schedule RPC)	4	
5. Other (attach Schedule O-PTE)	5	
6. Total (add lines 1 through 5)	6	10,089
SUBTRACTIONS		
7. Federal work opportunity credit	7	
8. Kentucky depreciation (do not include Section 179 expense deduction)	8	2,817
9. Other (attach Schedule O-PTE)	9	
10. Kentucky ordinary income (loss) (line 6 less lines 7 through 9)	10	7,272

PART IV—EXPLANATION OF FINAL RETURN AND/OR SHORT-PERIOD RETURN

<input type="checkbox"/> Ceased operations in Kentucky	<input type="checkbox"/> Change in filing status
<input type="checkbox"/> Change of ownership	<input type="checkbox"/> Merger
<input type="checkbox"/> Successor to previous business	<input type="checkbox"/> Other _____

PART V—EXPLANATION OF AMENDED RETURN CHANGES

OFFICER INFORMATION (Failure to Provide Requested Information May Result in a Penalty)

Attach a schedule listing the name, home address and Social Security number of the vice president, secretary and treasurer.

Has the attached officer information changed from the last return filed?

☐ Yes ☒ No

President's Name CHARLES G HUNGLER JR

President's Home Address 5699 CRESTVIEW DRIVE

President's Social Security Number 269-44-1604

FAIRFIELD OH 45014

Date Became President _____

I, the undersigned, declare under the penalties of perjury, that I have examined this return, including all accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete.

 _____
Signature of principal officer or chief accounting officer

_____ Date

Printed name of principal officer or chief accounting officer

FAESY CPA GROUP, PSC

Name of person or firm preparing return

P00607350

SSN, PTIN or FEIN

**Federal Form 1120S, all pages
and any supporting schedules
must be attached.**

**Make check payable to:
Kentucky State Treasurer**

May the DOR discuss this return with the preparer?

☒ Yes ☐ No

Email Address: FaesyCPA@gmail.com

Telephone No.: 502-607-0303

Mail to:

REFUNDS OR NO TAX DUE

Kentucky Department of Revenue, Frankfort, KY 40618-0010



PAYMENTS

Kentucky Department of Revenue, Frankfort, KY 40620-0020



SCHEDULE Q — KENTUCKY S CORPORATION QUESTIONNAIRE

IMPORTANT: Questions 4—12 must be completed by all S corporations. If this is the S corporation's initial return or if the S corporation did not file a return under the same name and same federal I.D. number for the preceding year, questions 1, 2 and 3 must be answered. Failure to do so may result in a request for a delinquent return.

1. Indicate whether: (a) ☐ new business; (b) ☐ successor to previously existing business which was organized as:
(1) ☐ corporation; (2) ☐ partnership; (3) ☐ sole proprietorship;
or (4) ☐ other _____

If successor to previously existing business, give name, address and federal I.D. number of the previous business organization.

2. List the following **Kentucky** account numbers. Enter N/A for any number not applicable.

Employer Withholding _____

Sales and Use Tax Permit _____

Consumer Use Tax _____

Unemployment Insurance _____

Coal Severance and/or Processing Tax _____

3. If a foreign S corporation, enter the date qualified to do business in Kentucky. _____

4. The S corporation's books are in care of: (name and address)
OFFICE—SAME

5. Are disregarded entities included in this return?
☐ Yes ☒ No. If yes, list name, address and federal I.D. number of each entity.

- 6(a) Was the S corporation a partner or member in a pass-through entity doing business in Kentucky? ☐ Yes ☒ No.
If yes, list name and federal I.D. number of each pass-through entity.

- 6(b) Was the S corporation doing business in Kentucky other than through its interest held in a pass-through entity doing business in Kentucky? ☐ Yes ☒ No

7. Are related party costs as defined in KRS 141.205(1)(l) included in this return? ☐ Yes ☒ No. If yes, attach Schedule RPC, Related Party Costs Disclosure Statement, and enter any related party cost additions on Part I, Line 4.

8. Is the entity filing this Kentucky tax return organized as a limited cooperative association as provided by KRS Chapter 272A? ☐ Yes ☒ No

9. Is the entity filing this Kentucky tax return organized as a statutory trust or a series statutory trust as provided by KRS Chapter 386A? ☐ Yes ☒ No

If yes, is the entity filing this Kentucky tax return a series within a statutory trust? ☐ Yes ☐ No

If yes, enter the name, address and federal I.D. number of the statutory trust registered with the Kentucky Secretary of State:

10. Was this return prepared on: (a) ☒ cash basis, (b) ☐ accrual basis, (c) ☐ other _____

11. Did the S corporation file a Kentucky tangible personal property tax return for January 1, 2016? ☒ Yes ☐ No

If yes, list the name and federal I.D. number of entity(ies) filing return(s): RIDGELEA INVESTMENTS, INC.
61-1079233

12. Is the S corporation currently under audit by the Internal Revenue Service? ☐ Yes ☒ No
If yes, enter years under audit _____

If the Internal Revenue Service has made final and unappealable adjustments to the corporation's taxable income which have not been reported to the department, check here ☐ and file an amended Form 720S for each year adjusted. Attach a copy of the final determination to each amended return.



SCHEDULE K—SHAREHOLDERS' SHARES OF INCOME, CREDITS, DEDUCTIONS, ETC.

SECTION I		Pro Rata Share Items	Total Amount
Income (Loss) and Deductions			
1.	Kentucky ordinary income (loss) from trade or business activities (page 2, Part III, line 10)	1	7,272
2.	Net income (loss) from rental real estate activities (attach federal Form 8825)	2	
3.	(a) Gross income from other rental activities	3(a)	
	(b) Less expenses from other rental activities (attach schedule)	(b)	
	(c) Net income (loss) from other rental activities (line 3a less line 3b)	3(c)	
4.	Portfolio income (loss):		
	(a) Interest income	4(a)	
	(b) Dividend income	(b)	
	(c) Royalty income	(c)	
	(d) Net short-term capital gain (loss) (attach federal Schedule D and Kentucky Schedule D, if applicable)	(d)	
	(e) Net long-term capital gain (loss) (attach federal Schedule D and Kentucky Schedule D, if applicable)	(e)	
	(f) Other portfolio income (loss) (attach schedule)	(f)	
5.	Section 1231 net gain (loss) (other than due to casualty or theft) (attach federal Form 4797 and Kentucky Form 4797)	5	
6.	Other income (loss) (attach schedule)	6	
7.	Charitable contributions (attach schedule) and housing for homeless deduction (attach Schedule HH)	7	
8.	IRC Section 179 expense deduction (attach federal Form 4562 and Kentucky Form 4562)	8	
9.	Deductions related to portfolio income (loss) (attach schedule)	9	
10.	Other deductions (attach schedule)	10	
Investment Interest			
11.	(a) Interest expense on investment debts	11(a)	
	(b) (1) Investment income included on lines 4(a), 4(b), 4(c) and 4(f) above	(b)(1)	
	(b) (2) Investment expenses included on line 9 above	(b)(2)	
Tax Credits			
12.	Kentucky Small Business Investment Tax Credit (attach KEDFA notification)	12	
13.	Skills Training Investment Tax Credit (attach copy of certification(s))	13	
14.	Certified Rehabilitation Tax Credit (attach copy of certification(s))	14	
15.	Kentucky Unemployment Tax Credit (attach Schedule UTC)	15	
16.	Recycling/Composting Equipment Tax Credit (attach Schedule RC)	16	
17.	Kentucky Investment Fund Tax Credit (attach KEDFA notification)	17	
18.	Coal Incentive Tax Credit (attach Schedule CI)	18	
19.	Qualified Research Facility Tax Credit (attach Schedule QR)	19	
20.	GED Incentive Tax Credit (attach Form DAEL-31)	20	
21.	Voluntary Environmental Remediation Tax Credit (attach Schedule VERB)	21	
22.	Biodiesel Tax Credit (attach Schedule BIO)	22	
23.	Environmental Stewardship Tax Credit (attach Schedule KESA)	23	



SCHEDULE K — SHAREHOLDERS' SHARES OF INCOME, CREDITS, DEDUCTIONS, ETC.

SECTION I — continued	Pro Rata Share Items	Total Amount
Tax Credits—continued		
24. Clean Coal Incentive Tax Credit (attach Schedule CCI)	24	
25. Ethanol Tax Credit (attach Schedule ETH)	25	
26. Cellulosic Ethanol Tax Credit (attach Schedule CELL)	26	
Form 5695-K Pass-through Amounts (attach Form 5695-K)		
27. Energy Efficiency Products Tax Credit from Form 5695-K, line 6	27	
28. Energy Efficiency Products Tax Credit from Form 5695-K, line 12	28	
29. Energy Efficiency Products Tax Credit from Form 5695-K, line 18	29	
30. Energy Efficiency Products Tax Credit from Form 5695-K, line 36	30	
31. Energy Efficiency Products Tax Credit from Form 5695-K, line 36	31	
32. Energy Efficiency Products Tax Credit from Form 5695-K, line 51	32	
33. Energy Efficiency Products Tax Credit from Form 5695-K, line 51	33	
34. Energy Efficiency Products Tax Credit from Form 5695-K, line 57	34	
35. Energy Efficiency Products Tax Credit from Form 5695-K, line 63	35	
36. Railroad Maintenance and Improvement Tax Credit (attach Schedule RR-I)	36	
37. Endow Kentucky Tax Credit (attach Schedule ENDOW)	37	
38. New Markets Development Program Tax Credit (attach Form 8874(K)-A)	38	
39. Food Donation Tax Credit (attach Schedule FD)	39	
40. Distilled Spirits Tax Credit (attach Schedule DS)	40	
Other Items		
41. (a) Type of Section 59(e)(2) expenditures ➤	41(a)	
(b) Amount of Section 59(e)(2) expenditures	(b)	
42. Tax-exempt interest income	42	
43. Other tax-exempt income	43	
44. Nondeductible expenses	44	
45. Total property distributions (including cash) other than dividends reported on line 47 below	45	5,957
46. Other items and amounts required to be reported separately to shareholders (attach schedule)	46	
47. Total dividend distributions paid from accumulated earnings and profits.....	47	
SECTION II — Pass-through Items		
1. S corporation's Kentucky sales from Schedule A, Section I, line 1	1	
2. S corporation's total sales from Schedule A, Section I, line 2	2	
3. S corporation's Kentucky property from Schedule A, Section I, line 5	3	
4. S corporation's total property from Schedule A, Section I, line 6	4	
5. S corporation's Kentucky payroll from Schedule A, Section I, line 8	5	
6. S corporation's total payroll from Schedule A, Section I, line 9	6	
7. S corporation's Kentucky gross profits from Schedule LLET, Section A, Column A, line 5	7	123,323
8. S corporation's total gross profits from all sources from Schedule LLET, Section A, Column B, line 5	8	123,323
9. Limited liability entity tax (LLET) nonrefundable credit from page 1, Part I, the total of lines 4 and 6, less \$175	9	

(Form 720S)
KENTUCKY
SCHEDULE K-1



2015

41A720S(K-1)
Commonwealth of Kentucky
DEPARTMENT OF REVENUE

SHAREHOLDER'S SHARE OF
INCOME, CREDITS, DEDUCTIONS, ETC.

See instructions.

For calendar year 2015 or fiscal year beginning _____, 2015, and ending _____, 20 _____

Shareholder's identifying number 294-44-1604	S corporation's FEIN 61-1079233	Kentucky Corporation/LLET Account Number 065858
Shareholder's name, address and ZIP code #1 CHARLES G HUNGLER JR 5699 CRESTVIEW DRIVE FAIRFIELD, OH 45014	S corporation's name, address and ZIP code Check if applicable: <input type="checkbox"/> Qualified investment pass-through entity RIDGELEA INVESTMENTS, INC 2106 W. NORTH BEND ROAD CINCINNATI, OH 45224	

- A. Shareholder's percentage of stock ownership for tax year 100.000000%
- B. (1) Resident shareholder's taxable percentage of pro rata share items 0.00%
- (2) Nonresident shareholder's taxable percentage of pro rata share items (see Schedule A, Section I, line 12) 100.0000%
- C. What type of entity is this shareholder? ☒ Individual ☐ Estate ☐ Trust ☐ Single Member LLC
- D. Check the box if nonresident shareholder's income is reported on:
☐ Kentucky Nonresident Income Tax Withholding on Distributive Share Income Report and Composite Income Tax Return (Form 740NP-WH and Form PTE-WH)
- E. Check if applicable: (1) ☐ Final K-1 (2) ☐ Amended K-1

IMPORTANT: Refer to Shareholder's Instructions for Schedule K-1 before entering information from Schedule K-1 on your tax return.

Pro Rata Share Items	Amount
Income (Loss)	
1. Ordinary income (loss) from trade or business activities	7,272
2. Net income (loss) from rental real estate activities	
3. Net income (loss) from other rental activities	
4. Portfolio income (loss):	
(a) Interest	
(b) Dividends	
(c) Royalties	
(d) Net short-term capital gain (loss)	
(e) Net long-term capital gain (loss)	
(f) Other portfolio income (loss) (attach schedule)	
5. Section 1231 net gain (loss) (other than due to casualty or theft)	
6. Other income (loss) (attach schedule)	
Deductions	
7. Charitable contributions (attach schedule) and housing for homeless deduction (attach Schedule HH)	
8. IRC Section 179 expense deduction (attach federal Form 4562 and Kentucky Form 4562)	
9. Deductions related to portfolio income (loss) (attach schedule)	
10. Other deductions (attach schedule)	
Investment Interest	
11. (a) Interest expense on investment debts	
(b) (1) Investment income included on lines 4(a), 4(b), 4(c) and 4(f) above	
(2) Investment expenses included on line 9 above	
Tax Credits	
12. Kentucky Small Business Investment Tax Credit	
13. Skills Training Investment Tax Credit	
14. Certified Rehabilitation Tax Credit	
15. Kentucky Unemployment Tax Credit	
16. Recycling/Composting Equipment Tax Credit	
17. Kentucky Investment Fund Tax Credit	



CHARLES G HUNGLER JR

#1

294-44-1604

SHAREHOLDER'S SHARE OF INCOME, CREDITS, DEDUCTIONS, ETC.

Pro Rata Share Items (continued)

Amount

Tax Credits — continued

18.	Coal Incentive Tax Credit	18	
19.	Qualified Research Facility Tax Credit	19	
20.	GED Incentive Tax Credit	20	
21.	Voluntary Environmental Remediation Tax Credit	21	
22.	Biodiesel Tax Credit	22	
23.	Environmental Stewardship Tax Credit	23	
24.	Clean Coal Incentive Tax Credit	24	
25.	Ethanol Tax Credit	25	
26.	Cellulosic Ethanol Tax Credit	26	

Form 5695-K Pass-through Amounts

27.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 3)	27	
28.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 9)	28	
29.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 15)	29	
30.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 29)	30	
31.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 32)	31	
32.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 44)	32	
33.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 47)	33	
34.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 54)	34	
35.	Energy Efficiency Products Tax Credit (Enter on Form 5695-K, line 60)	35	
36.	Railroad Maintenance and Improvement Tax Credit	36	
37.	Endow Kentucky Tax Credit	37	
38.	New Markets Development Program Tax Credit	38	
39.	Food Donation Tax Credit	39	
40.	Distilled Spirits Tax Credit	40	

Other Items

41.	(a) Type of Section 59(e)(2) expenditures ➤	41(a)	
	(b) Amount of Section 59(e)(2) expenditures	(b)	
42.	Tax-exempt interest income	42	
43.	Other tax-exempt income	43	
44.	Nondeductible expenses	44	
45.	Property distributions (including cash) other than dividend distributions reported to you on Form 1099-DIV	45	5,957
46.	Supplemental information required to be reported to each shareholder (attach schedule(s))	46	
47.	Total dividend distributions paid from accumulated earnings and profits	47	

LLET Pass-through Items

48.	Shareholder's share of S corporation's Kentucky sales from Schedule K, Section II, line 1	48	
49.	Shareholder's share of S corporation's total sales from Schedule K, Section II, line 2	49	
50.	Shareholder's share of S corporation's Kentucky property from Schedule K, Section II, line 3	50	
51.	Shareholder's share of S corporation's total property from Schedule K, Section II, line 4	51	
52.	Shareholder's share of S corporation's Kentucky payroll from Schedule K, Section II, line 5	52	
53.	Shareholder's share of S corporation's total payroll from Schedule K, Section II, line 6	53	
54.	Shareholder's share of Kentucky gross profits from Schedule K, Section II, line 7	54	
55.	Shareholder's share of total gross profits from all sources from Schedule K, Section II, line 8	55	
56.	Shareholder's share of limited liability entity tax (LLET) nonrefundable credit from Schedule K, Section II, line 9	56	

Resident Shareholder Adjustment

57.	Combination of Kentucky Schedule K-1, lines 1 through 5, 8 and portions of lines 6 and 10. Add income amounts and subtract (loss) and deduction amounts (see instructions)	57	
58.	Combination of federal Schedule K-1, lines 1 through 9, 11 and portions of lines 10 and 12. Add income amounts and subtract (loss) and deduction amounts (see instructions)	58	
59.	Enter the difference of lines 57 and 58 here and on appropriate line on Schedule M (see instructions)	59	

SCHEDULE LLET

41A720LLET (10-15)

Commonwealth of Kentucky
DEPARTMENT OF REVENUE

Taxable Year Ending

12 / 15
Mo. Yr.**LIMITED LIABILITY ENTITY TAX**
KRS 141.0401

➤ See instructions.

☐ Member of a Combined Group

➤ Attach to Form 720, 720S, 725 or 765.

Reason Code

Name of Corporation or Limited Liability Pass-through Entity	Federal Identification Number	Kentucky Corporation/LLET Account Number
RIDGELEA INVESTMENTS, INC	61-1079233	065858

- ☐ Check this box and complete Schedule LLET-C, Limited Liability Entity Tax—Continuation Sheet, if the corporation or limited liability pass-through entity filing this tax return is a partner or member of a limited liability pass-through entity or general partnership (organized or formed as a general partnership after January 1, 2006) doing business in Kentucky. Enter the total amounts from Schedule LLET-C in Section A of this schedule.

Section A — Computation of Gross Receipts and Gross Profits

	Column A Kentucky	Column B Total
1. Gross receipts	123,323	123,323
2. Returns and allowances		
3. Gross receipts after returns and allowances (line 1 less line 2 or amount from Schedule LLET-C)	123,323	123,323
4. Cost of goods sold (attach Schedule COGS)		
5. Gross profits (line 3 less line 4 or amount from Schedule LLET-C)	123,323	123,323

Section B — Computation of Gross Receipts LLET

1. If gross receipts from all sources (Column B, line 3) are \$3,000,000 or less, STOP and enter \$175 on Section D, line 1	1		
2. If gross receipts from all sources (Column B, line 3) are greater than \$3,000,000 but less than \$6,000,000, enter the following: (Column A, line 3 x 0.00095) — $\$2,850 \times (\$6,000,000 - \text{Column A, line 3})$ \$3,000,000 but in no case shall the result be less than zero	2		
3. If gross receipts from all sources (Column B, line 3) are \$6,000,000 or greater, enter the following: Column A, line 3 x 0.00095	3		
4. Enter the amount from line 2 or line 3	4		

Section C — Computation of Gross Profits LLET

1. If gross profits from all sources (Column B, line 5) are \$3,000,000 or less, STOP and enter \$175 on Section D, line 1	1		
2. If gross profits from all sources (Column B, line 5) are greater than \$3,000,000 but less than \$6,000,000, enter the following: (Column A, line 5 x 0.0075) — $\$22,500 \times (\$6,000,000 - \text{Column A, line 5})$ \$3,000,000 but in no case shall the result be less than zero	2		
3. If gross profits from all sources (Column B, line 5) are \$6,000,000 or greater, enter the following: Column A, line 5 x 0.0075	3		
4. Enter the amount from line 2 or line 3	4		

Section D — Computation of LLET

1. Enter the lesser of Section B, line 4 or Section C, line 4, or a minimum of \$175 on this line and on Form 720 or 720S, Part I, line 1; or Form 725 or 765, Part II, line 1	1	175	
--	---	-----	--



Mark the applicable Receipts Method box on Form 720, 720S, 725 or 765, page 1, Item B.



Chicago Cubs starting pitcher Jake Arrieta celebrates with catcher David Ross after the final out of his no-hitter against the Cincinnati Reds Thursday in Cincinnati. The Cubs won 16-0.

REDS

CONTINUED FROM PAGE B1

playoffs, Roy Halladay of the Phillies pitched a no-hitter against Cincinnati. "We got dominated," Reds outfielder Jay Bruce said. "That's the most dominating game I've ever been a part of. He was great; we weren't."

"Every time he goes out there, he's got no-hit stuff. He's arguably the best pitcher in the game today."

Arrieta is among several pitchers in the last decade to pitch two no-hitters. The 30-year-old righty is on the list with Max Scherzer, Justin Verlander, Tim Lincecum and Homer Bailey, who threw the only other no-hitter at Great American Ball Park on July 2, 2013, against the Giants.

Arrieta is the first Cubs pitcher to win his first four starts in a season since Greg Maddux went 5-0 in 2005. Ken Holtzman is the only other Cubs pitcher to throw more than one no-hitter in the modern era, doing it in 1969 and 1971.

By Arrieta's standards, it was a bit of a struggle. He walked three batters — he had allowed only two walks in his first three starts combined — and needed 85 pitches to get through six innings.

TENNIS

CONTINUED FROM PAGE B1

at one and two with Midkiff and Stumbo. Our doubles are improving, the guys are learning to play together as a team. We are going to be a good program."

Singles: Sam Midkiff (FH) def. Nick Lewis 6-2, 6-3; Miles Stumbo (FH) def. Carl Cassidy 6-0, 6-0; FCHS won No. 3 singles by default.

Doubles: Cameron Smith-Palmer Drake (FC) def. Gage Miller-Mark Bryan 6-2, 6-2; Trey Hockensmith-Charles Cassidy (FC) def. Dylan Glasgow-Alex Luther 6-1, 6-3.

After that, he dug in and made quick work of the Reds' lineup.

The thousands of Cubs fans in the crowd of 16,497 were on their feet cheering as Arrieta walked Scott Schebler to open the ninth, got pinch-hitter Tucker Barnhart on a popout, Zack Cozart on a fly to center, and Suarez on a routine flyout.

Arrieta and catcher David Ross embraced halfway between home plate and the mound as the rest of the Cubs circled around him.

In September 2014, Arrieta lost a no-hit bid against the Reds on Brandon Phillips' one-out double in the eighth inning at Wrigley Field.

This time, the offense made the outcome a moot point while extending the Cubs' best start since 1970.

Bryant hit a two-run shot in the first off Brandon Finnegan (1-1), and his third career grand slam in the seventh off Drew Hayes made it 13-0. Ben Zobrist and Ross added solo shots, and Anthony Rizzo had a three-run homer.

Finnegan started at Wrigley Field on April 11 and didn't allow a hit until Ross singled with two outs in the seventh. They were ready for the left-hander this time. Dexter Fowler doubled off the wall in center on

Finnegan's first pitch of the game, and Bryant's homer made it 2-0.

Zobrist homered in the second inning as the Cubs made it 4-0. They're unbeaten this season when scoring at least four times, going 11-0.

Arrieta's surge

Arrieta added to his club-record of 24 consecutive quality starts since June 21, going 20-1 with a 0.86 ERA. He's 15-0 in 16 starts since Aug. 1 of last season with a 0.53 ERA, allowing a total of seven earned runs in 119 1/3 innings.

Beating the Reds

The Cubs have won their last five games in Cincinnati, the first time they've done that since the 1972-73 seasons.

Trainer's room

Reds' CF Billy Hamilton was out of the lineup with a sore left thumb, injured when he hit his glove while trying for a catch in St. Louis last weekend. He aggravated it on Wednesday and will be sidelined a couple of days. ... RHP Alfredo Simon is still expected to start on Sunday against the Cubs. He had to miss his last scheduled start because of biceps tendinitis and got an injection of anti-inflammatories.

Legal Notice

COMMONWEALTH OF KENTUCKY
DEPARTMENT OF REVENUE
NOTICE OF HEARING

IN RE: THE ESTATE OF JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED

NOTICE OF HEARING
Pursuant to an order of the Kentucky Probate Court, the hearing on the application for the appointment of an administrator of the estate of James Earl Ray, Jr., deceased, will be held at the Probate Court, 1001 Taylor Street, Louisville, Kentucky 40202, on the 26th day of May, 2016, at 10:00 a.m.

Any person who has a claim against the estate of James Earl Ray, Jr., deceased, or who is a creditor of the estate, is hereby notified to appear at the hearing on the application for the appointment of an administrator of the estate of James Earl Ray, Jr., deceased, on the 26th day of May, 2016, at 10:00 a.m., at the Probate Court, 1001 Taylor Street, Louisville, Kentucky 40202, to present evidence in support of their claim.

Respectfully submitted,
JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED

Respectfully submitted,
JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED

Legal Notice

COMMONWEALTH OF KENTUCKY
DEPARTMENT OF REVENUE
NOTICE OF HEARING

IN RE: THE ESTATE OF JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED

NOTICE OF HEARING
Pursuant to an order of the Kentucky Probate Court, the hearing on the application for the appointment of an administrator of the estate of James Earl Ray, Jr., deceased, will be held at the Probate Court, 1001 Taylor Street, Louisville, Kentucky 40202, on the 26th day of May, 2016, at 10:00 a.m.

Any person who has a claim against the estate of James Earl Ray, Jr., deceased, or who is a creditor of the estate, is hereby notified to appear at the hearing on the application for the appointment of an administrator of the estate of James Earl Ray, Jr., deceased, on the 26th day of May, 2016, at 10:00 a.m., at the Probate Court, 1001 Taylor Street, Louisville, Kentucky 40202, to present evidence in support of their claim.

Respectfully submitted,
JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED

Respectfully submitted,
JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED
ADMINISTRATOR: JAMES EARL RAY, JR., DECEASED

10 Front Page Liners

GOING ONCE, GOING TWICE, LLC, a new Upscale Furniture Consignment shop in Versailles, KY is now accepting consignments of furniture and large accessories for sale. Grand Opening April 25th. Call (859) 381-8958 or visit our website: goingonceltwice.com for more information.

Southwest Insurance (502) 227-7889 - 889 E. Main, Suite 100 "Like" us on Facebook

\$20,000 life insurance for your child only \$25.00 per year. Call (502) 330-0135

50 Happy Ads

Where flowers whisper what words can never say. 875-4037 Tingles Review Florist

SS N

Spring is here. It's time to "rise" where the flowers "is" Rising Rentals 320-5370

CLASSIFIED ERRORS

Advertisers are responsible for checking the content of their ads for accuracy. The State Journal will accept credit only for major errors for the first incorrect insertion. Any errors should be reported immediately to the State Journal Classified Department at (502) 227-4558

ONE GOOD DEED A DAY MAKES THE WORLD A BETTER PLACE

65 Lost & Found

LOST: Walking Cane, between 209 East Todd and Stanley Street area. Call 502-352-5398

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

Produce & Winery

75 State Wide

Note to Parents: Kentucky State Law requires licensing of child care facilities providing full or part-time care, day or night, for four or more children not related to the licensee by blood, marriage or adoption. Those advertising to provide child care in their home must list their address in the ad.

105 General Employment

Studio 1520 - Now Hiring! One Hairdresser and One Nail Technician. (502) 226-1520

JOBS! JOBS! JOBS! Downtown Frankfort

General Maintenance Associates

Frankfort, Versailles & Lexington

Production Associates, Painters, Fork Lift Operators

Call us today 1/855-252-4293

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

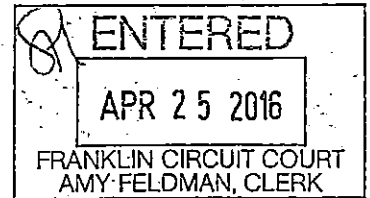
FINDKYJOBS

FINDKYJOBS

FINDKYJOBS

COMMONWEALTH OF KENTUCKY
FRANKLIN CIRCUIT COURT
DIVISION II

CIVIL ACTION No. 14-CI-00616



ENERGY AND ENVIRONMENT CABINET

PLAINTIFF

vs.

RIDGELEA INVESTMENTS, INC., et al.

DEFENDANTS

ORDER

This matter is before the Court upon Defendants' Motion to Alter Amend and Vacate Judgment. The case was called before the Court during its regular civil motion hour on Wednesday, April 20, 2016. Upon review of the parties' briefs and papers, and after being sufficiently advised, the Court hereby HOLDS Defendants' Motion IN ABEYANCE during the pendency of the abandonment proceedings before the Public Service Commission in case number 2016-00106.

SO ORDERED, this 22 day of April, 2016

John Park


THOMAS D. WINGATE
Judge, Franklin Circuit Court

- ① legal issue of less than all assets
- ② private transfer, rather than abandonment
- ③ clerical - single DOW transfer form

Sparks: no K/A deadline (final yr - next + add 6 mo.)

Ann: next 18 mo. following June approval date

Ruth Hunt: 12 mo. to start spending, e.g., buy equipment

→ Start report after meeting w/ mgmt 9:30 Fri @ office

APR 25 2016

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing Order was mailed,
this 25th day of April, 2016, to the following:

Hon. Daniel Clark Cleveland
Office of General Counsel
Energy and Environment Cabinet
2 Hudson Hollow Road
Frankfort, Kentucky 40601
Counsel for Plaintiff

Hon. John B. Baughman
Baughman Harp. PLLC
401 West Main Street
Frankfort, Kentucky 40601
Counsel for Defendants


Amy Feldman, Franklin County Circuit Court Clerk

**COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION**

In the Matter of:

RIDGELEA INVESTMENTS, INC.'S NOTICE OF)	
SURRENDER AND ABANDONMENT OF)	Case No. 2016-00106
UTILITY PROPERTY NAMELY THREE (3) FRANKLIN)	
COUNTY WASTEWATER TREATMENT PLANTS)	

**RIDGELEA INVESTMENTS, INC., INVENTORY
OF EQUIPMENT AND ASSETS**

Meadowbrook

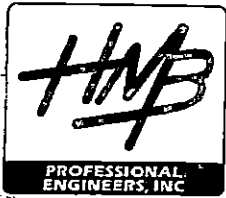
- 1 - 6000 GPD Plant
- 1 - 3 HP Blower Unit
- 1 - Tool Box
- 1 - 230v30 Control Panel

Farmgate

- 1 - 15,000 GPD Plant
- 1 - 230v30 Control Panel
- 1 - 5 HP Blower Unit
- 1 - Chlorine Housing and Pump
- 1 - Declore Housing and Pump
- 1 - Tool Box

Edgewood

- 1 - 55,000 GPD Plant
- 1 - 10 HP Blower Unit
- 1 - 230v30 Control Panel
- 1 - 3 HP Blower Unit
- 1 - Chlorine Housing and Pump
- 1 - Declore Housing and Pump
- 1 - Tool Box
- 1 - 110v Flow Meter



3 HMB Circle
US 460
Frankfort, KY 40601
Office: (502) 695-9800
Fax: (502) 695-9810

May 2, 2016

Mr. Adam J. Scott
Kentucky Infrastructure Authority
1024 Capital Center Drive, Suite 340
Frankfort, KY 40601

RE: Farmdale Sanitation District
Ridgelea Investments Inc. Package Treatment Plant Abandonment
SX21073082

Dear Mr. Scott:

Please be advised that the referenced project was submitted to the Kentucky State Clearinghouse for review and comment on April 28, 2016, via the e-Clearinghouse portal.

The Clearinghouse has assigned the following SAI number to the project:

KY201604280421

As of the date of this correspondence, the KY e-Clearinghouse shows the project status as "In-Review". Upon completion of their review, all Clearinghouse Comments will be provided for further evaluation as part of the SRF funding application process.

Should you have any questions, or if additional information is required, please do not hesitate to contact me any time at (502)695-9800 or rbascom@hmbpe.com.

Thank you.

Sincerely,
HMB Professional Engineers, Inc.

Ray Bascom
Ray Bascom, PE
Project Manager

Highway Engineering

Structural Engineering

Water & Wastewater

Site Development

Right-of-Way

Master Planning

Environmental Planning

Surveying

Project Management

Cost Estimation

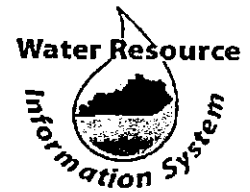
Construction Inspection

Aviation Services

Environmental Remediation

Landscape Architecture

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION
of a previous submitted Project Profile.

Previously assigned SX #:

NARRATIVE

* Legal Applicant:

* Project Schedule:

* Primary County:

* Project Description

Ridgelea Investment, Inc. has filed an application with the Public Service Commission (PSC Case No. 2016-00106) for the abandonment of three (3) privately owned package wastewater treatment plants (PTP's) serving subdivisions in southwestern Franklin County. The subdivisions include Edgewood, Meadowbrook and Farmgate which serve a combined 184 Franklin County Families. The Franklin County Circuit Court is in the process of appointing a receiver for these PTP's and their respective collection systems which will be the Farmdale Sanitation District (District). All three of these facilities and their collection systems have been cited numerous times in recent years by the Division of Water (DOW) for alleged water pollution violations. Additionally, all three of the PTP's require upgrades to the treatment plants and collection systems due to years of neglect. This project will provide improvements to all three PTP's along with collection system repairs to mitigate excessive infiltration and inflow (I/I) which cause sanitary sewer overflows and treatment plant

* Need for the Project Briefly describe how this project promotes public health or achieves and/or maintains compliance with the Clean Water Act

The project will mitigate the continual violations of the three PTP's and make repairs to the collection systems to minimize sanitary sewer overflows within the subdivisions causing untreated sewage to discharge onto the ground and in the creeks of all three subdivisions.

Project Alternatives

Note: If project includes the construction of a new treatment plant or upgrade to existing plant, please explain regionalization options here.

* Alternative A

Continue operating the existing PTP's and collection systems as is until the District constructs a proposed 750,000 GPD Waste Water Treatment Plant in the Farmdale Area (SX Number 20173029)

* Alternative B

Replace the existing PTP's and collection systems with new which would cause exorbitant sewer fees to the 184 families connected to the systems.

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION
of a previous submitted Project Profile.

Previously assigned SX #:

APPLICANT

* Legal Applicant:

Contact

* First Name: MI: * Last Name:
Title:
* Phone: Ext:
EMail:

Authorized Official

* First Name: MI: * Last Name:
Title:
* Phone: Ext:
EMail:

ADMINISTRATION

Project Administrator

* First Name: MI: * Last Name:
Title:
Organization:
* Phone: Ext: Fax:
EMail:

Applicant Contact

* First Name: MI: * Last Name:
Title:
Organization:
* Phone: Ext: Fax:
EMail:

Project Engineer

* First Name: MI: * Last Name:
* Phone: Ext: Fax:
EMail:
* License #: * Firm Name:



**Water Resource
Information System**

☐ This project is a REVISION of a previous submitted Project Profile.

□ □ □ □ □

☐ Estimated Budget ☐ As-Bid Budget

Construction Cost Categories

WWTP Secondary Portion::	\$100,000
WWTP Advanced Portion:	
Inflow & Infiltration Correction:	\$125,000
Major Sewer Rehabilitation:	
Collector Sewers:	
Interceptor Sewers, including Pump Stations:	
Combined Sewer Overflow Correction:	
NPS Urban:	
Non-Categorized Cost:	
Total Construction Cost:	\$225,000

Facility Plan Approval Date: 11/01/2013

Environmental Review Status

RD Approval Date:

CDBG Approval Date:

No Approval; Cross-Cutter Scoping Completed:

Construction Permit Application Date:

☐ Submitted ☐ Approved

KPDES Permit Application Date:

☐ Submitted ☐ Approved

Estimated Bid Date:

* Estimated Bid Date required if Funding Source is KIA SRF Fund A Loan (CW)

Estimated Construction Start Date:

* Estimated Construction Start Date required if Funding Source is KIA SRF Fund A Loan (CW)

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION of a previous submitted Project Profile. Previously assigned SX #:

IMPACTS

The following systems are beneficiaries of this project

DOW PERMIT ID	SYSTEM NAME
KY0074977	Edgewood Subdivision
KY0074969	Farmgate Subdivision
KY0074951	Meadowbrook Subdivision

Plans and Specifications

☐ Plans and specs have been sent to DOW.

Date:

☐ Plans and specs have been reviewed by DOW.

Date:

☐ Plans and specs have been sent to PSC.

Date:

☐ Plans and specs have been reviewed by PSC.

Date:

New or Improved Service

To Unserved Households:

To Underserved Households:

Economic Impacts

Jobs Created:

Jobs Retained:

CW Specific Impacts

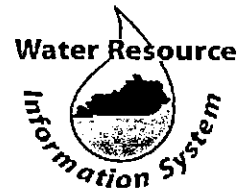
Wastewater Volumes for this project (MGD)

Wastewater Volumes reduced by this project (MGD)

Other CW Specific Impacts

- ☐ This project provides regionalization and/or consolidation of wastewater treatment systems.
- ☐ This project includes an on-site mound, and/or decentralized WW treatment system.
- ☐ This project is necessary to achieve full or partial compliance with a court order, agreed order, or a judicial or administrative consent decree.
- ☒ This project achieves voluntary compliance (violation with no order).
- ☐ This project is consistent with the approved facility plan.
- ☐ This project will have a positive impact on drinking water sources within a 5 mile radius.
(Please list the drinking water sources impacted by this project below.)

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION
of a previous submitted Project Profile.

Previously assigned SX #:

IMPACTS (continued from Page 4)

Planning Needs

☐ Combined Sewer Overflow (CSO) Correction

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - CSO; and/or have mapping for proposed point(s) and set STATUS to REHAB - CSO

☐ Sanitary Sewer Overflow (SSO) Correction

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - SSO; and/or have mapping for proposed point(s) and set STATUS to REHAB - SSO

☒ Replacement or Rehabilitation of Aging Infrastructure

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - REPLACE OBSOLETE OR AGING LINES, REHAB - REPLACE PROBLEM LINES, or REHAB - REPLACE UNDERSIZED LINES; and /or have mapping for proposed point(s) (except SEWAGE TREATMENT PLANT) and set STATUS to REHAB

☐ New Treatment Plant

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and STATUS to NEW

☐ New Collector Sewers and Appurtenances

(GIS) - Must have mapping for proposed line(s) and set PURPOSE to COLLECTOR and ACTIVITY to EXTENSION

☐ Decentralized Wastewater Treatment Systems

☐ Upgrade to Advanced Treatment

☒ Rehab/Upgrade/Expansion of Existing Treatment Plant

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and STATUS to REHAB, STP - UPGRADE, or STP - EXPANSION

☐ New Interceptor Sewers and Appurtenances

(GIS) - Must have mapping for proposed line(s) and set PURPOSE to INTERCEPTOR and ACTIVITY to EXTENSION; and/or set TYPE to LIFTSTATION and STATUS to NEW

☐ Storm Water Control

☐ Non-Point Source (NPS) Pollution Control

☐ Recycled Water Distribution

☐ Planning

☐ Other (please specify below)

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION of a previous submitted Project Profile. Previously assigned SX #:

COMPONENTS

Administrative Components

- ☒ Planning
 ☒ Construction
☐ Sewer System Evaluation Survey Report
 ☐ Management
☒ Design

Wastewater Treatment Plants Eliminated

(GIS) - Must have mapping for proposed point(s) snapped to existing point(s) and set TYPE to SEWAGE TREATMENT PLANT or PACKAGE TREATMENT PLANT and set STATUS to ELIMINATE

☒ This project includes the elimination of wastewater treatment plant(s).

DOW PERMIT ID	FACILITY TYPE	SYSTEM NAME / FACILITY NAME
KY0074977	PTP	Edgewood Subdivision
KY0074969	PTP	Farmgate Subdivision
KY0074951	PTP	Meadowbrook Subdivision

Sanitary Sewer Components

☐ This project includes a new wastewater treatment plant.

Proposed design capacity (MGD):

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT, STATUS to NEW, and set PROPOSED CAPACITY

☐ This project includes an expansion of an existing plant.

Current design capacity (MGD):

Proposed design capacity (MGD):

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT, STATUS to STP - EXPANSION, and set EXISTING CAPACITY & PROPOSED CAPACITY

☐ This project includes elimination of existing sewer system components.

Number of raw sewage discharges eliminated:

Number of failing septic systems eliminated:

Number of septic systems eliminated:

☒ This project includes rehabilitation or replacement of aging infrastructure..

Total length of replaced infrastructure (LF):

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - REPLACE OBSOLETE OR AGING LINES, REHAB - REPLACE PROBLEM LINES, REHAB - REPLACE UNDERSIZED LINES, REHAB - CSO, or REHAB - SSO; and /or have mapping for proposed point(s) (except SEWAGE TREATMENT PLANT) and set STATUS to REHAB.

☐ This project includes rehabilitation of an existing plant.

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and set STATUS to REHAB

☒ This project includes upgrades to an existing plant.

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and set STATUS to STP - UPGRADE

☐ This project includes new collector sewers.

Total length of new collector sewer (LF):

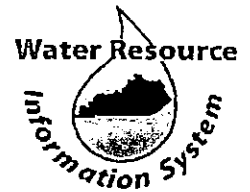
(GIS) - Must have mapping for proposed line(s) and set PURPOSE to COLLECTOR and ACTIVITY to EXTENSION

☐ This project includes new interceptor sewers.

Total length of new interceptor sewer (LF):

(GIS) - Must have mapping for proposed line(s) and set PURPOSE to INTERCEPTOR and ACTIVITY to EXTENSION

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION of a previous submitted Project Profile. Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE

Green Infrastructure

Green stormwater infrastructure includes a wide array of practices at multiple scales that manage wet weather and that maintains and restores natural hydrology by infiltrating, evapotranspiring and harvesting and using stormwater. On a regional scale, green infrastructure is the preservation and restoration of natural landscape features, such as forests, floodplains, and wetlands, coupled with policies such as infill and redevelopment that reduce overall imperviousness in a watershed. On the local scale, green infrastructure consists of site- and neighborhood-specific practices, such as:

<input type="checkbox"/> Bioretention	Cost:	<input type="text"/>
<input type="checkbox"/> Trees	Cost:	<input type="text"/>
<input type="checkbox"/> Green Roofs	Cost:	<input type="text"/>
<input type="checkbox"/> Permeable Pavement	Cost:	<input type="text"/>
<input type="checkbox"/> Cisterns	Cost:	<input type="text"/>
<input type="checkbox"/> Constructed Wetlands	Cost:	<input type="text"/>
<input type="checkbox"/> Urban Forestry Programs	Cost:	<input type="text"/>
<input type="checkbox"/> Downspout Disconnection	Cost:	<input type="text"/>
<input type="checkbox"/> Riparian Buffers and Wetlands	Cost:	<input type="text"/>
<input type="checkbox"/> Sustainable Landscaping and Site Design	Cost:	<input type="text"/>
<input type="checkbox"/> Purchase of land or easements on land for riparian and wetland protection or restoration.	Cost:	<input type="text"/>
<input type="checkbox"/> Fencing to divert livestock from streams and stream buffers*	Cost:	<input type="text"/>

*Denotes that a Business Case may be Required.

Total Green Infrastructure Costs:

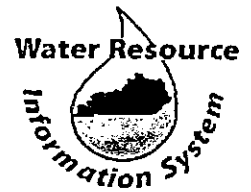
If any box(es) above are checked, please describe each below.

Water Efficiency

The use of improved technologies and practices to deliver equal or better services with less water. Water efficiency encompasses conservation and reuse efforts, as well as water loss reduction and prevention, to protect water resources for the future. Examples include:

<input type="checkbox"/> Installing or retrofitting water efficient devices such as plumbing fixtures and appliances (toilets, showerheads, urinals)	Cost:	<input type="text"/>
<input type="checkbox"/> Installing any type of water meter in previously unmetered areas (can include backflow prevention if in conjunction with meter replacement)	Cost:	<input type="text"/>
<input type="checkbox"/> Replacing existing broken/malfunctioning water meters with AMR or smart meters, meters with leak detection, backflow prevention	Cost:	<input type="text"/>

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION of a previous submitted Project Profile. Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE (continued from Page 7)

Water Efficiency (continued)

- | | | |
|--|-------|----------------------|
| <input type="checkbox"/> Retrofitting/Adding AMR capabilities or leak equipment to existing meters | Cost: | <input type="text"/> |
| <input type="checkbox"/> Developing water audit and conservation plans, which are reasonably expected to result in a capital project | Cost: | <input type="text"/> |
| <input type="checkbox"/> Recycling and water reuse projects that replace potable sources with non-potable sources (Gray water, condensate, and wastewater effluent reuse systems, extra treatment or distribution costs associated with water reuse) | Cost: | <input type="text"/> |
| <input type="checkbox"/> Retrofit or replacement of existing landscape irrigation/agricultural systems to more efficient landscape/agricultural irrigation systems (rain and moisture sensing equipment) | Cost: | <input type="text"/> |
| <input type="checkbox"/> Water meter replacement with traditional water meters* | Cost: | <input type="text"/> |
| <input type="checkbox"/> Projects that result from a water audit or water conservation plan* | Cost: | <input type="text"/> |
| <input type="checkbox"/> Storage tank replacement/rehabilitation to reduce water loss* | Cost: | <input type="text"/> |
| <input type="checkbox"/> New water efficient landscape/agricultural irrigation system, where there currently is not one* | Cost: | <input type="text"/> |
| *Denotes that a Business Case may be Required. | | |
| Total Water Efficiency Costs: | | <input type="text"/> |

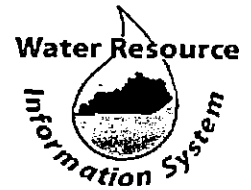
If any box(es) above are checked, please describe each below.

Energy Efficiency

Energy efficiency is the use of improved technologies and practices to reduce the energy consumption of water projects, use energy in a more efficient way, and/or produce/utilize renewable energy. Examples include:

- | | | |
|--|-------|----------------------|
| <input type="checkbox"/> Renewable energy projects such as wind, solar, geothermal, and micro-hydroelectric, and biogas combined heat and power systems that provide power to a POTW | Cost: | <input type="text"/> |
| <input type="checkbox"/> POTW-owned renewable energy projects | Cost: | <input type="text"/> |
| <input type="checkbox"/> Collection system infiltration/inflow (I/I) detection equipment | Cost: | <input type="text"/> |
| <input type="checkbox"/> POTW energy management planning, including energy assessments, energy audits, optimization studies, and sub-metering of individual processes to determine high energy use areas | Cost: | <input type="text"/> |
| <input type="checkbox"/> Projects that achieve a reduction in energy consumption (pumps, motors)* | Cost: | <input type="text"/> |
| <input type="checkbox"/> Projects that cost effectively eliminate pumps or pumping stations* | Cost: | <input type="text"/> |
| <input type="checkbox"/> I/I correction projects that save energy from pumping and reduced treatment costs* | Cost: | <input type="text"/> |

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION of a previous submitted Project Profile. Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE (continued from Page 8)

Energy Efficiency (continued)

- | | | |
|---|-------|--|
| <input type="checkbox"/> I/I correction where excessive groundwater infiltration is contaminating the influent requiring otherwise unnecessary treatment processes* | Cost: | <input type="text" value="\$125,000"/> |
| <input type="checkbox"/> Replacing old motors with premium energy efficiency motors* | Cost: | <input type="text" value="\$25,000"/> |
| <input type="checkbox"/> Upgrade of POTW lighting to energy efficient sources* | Cost: | <input type="text"/> |
| <input type="checkbox"/> SCADA systems where substantial energy savings can be demonstrated* | Cost: | <input type="text"/> |
| <input type="checkbox"/> Variable Frequency Drive (VFD) controllers where substantial energy savings can be demonstrated* | Cost: | <input type="text"/> |
| *Denotes that a Business Case may be Required. | | |
| Total Energy Efficiency Costs: | | <input type="text"/> |

If any box(es) above are checked, please describe each below.

Environmentally Innovative

Environmentally innovative projects include those that demonstrate new and/or innovative approaches to delivering services or managing water resources in a more sustainable way. Examples include:

- | | | |
|---|-------|----------------------|
| <input type="checkbox"/> Total integrated water resources management planning likely to result in a capital project | Cost: | <input type="text"/> |
| <input type="checkbox"/> Utility sustainability plan consistent with EPA's sustainability policy | Cost: | <input type="text"/> |
| <input type="checkbox"/> Greenhouse gas inventory or mitigation plan and submission of a GHG inventory to a registry as long as it is being done for an SRF eligible facility | Cost: | <input type="text"/> |
| <input type="checkbox"/> Planning activities by a POTW to prepare for adaptation to the long-term effects of climate change and/or extreme weather | Cost: | <input type="text"/> |
| <input type="checkbox"/> Construction of US Building Council LEED certified buildings, or renovation of an existing building on POTW facilities | Cost: | <input type="text"/> |
| <input type="checkbox"/> Decentralized wastewater treatment solutions to existing deficient or failing onsite wastewater systems | Cost: | <input type="text"/> |
| <input type="checkbox"/> Constructed wetlands projects used for municipal wastewater treatment, polishing, and/or effluent disposal* | Cost: | <input type="text"/> |
| <input type="checkbox"/> Projects that result from total/integrated water resource management planning consistent with the decision criteria for environmentally innovative projects and that are CWSRF eligible* | Cost: | <input type="text"/> |
| <input type="checkbox"/> Projects that facilitate adaptation of POTWs to climate change identified by a carbon footprint assessment or climate adaptation study* | Cost: | <input type="text"/> |
| <input type="checkbox"/> POTW upgrades or retrofits that remove phosphorus for beneficial use, such as biofuel production with algae* | Cost: | <input type="text"/> |
| <input type="checkbox"/> Projects that significantly reduce or eliminate the use of chemicals in wastewater treatment* | Cost: | <input type="text"/> |

Clean Water Project Profile Pre-Application



* Project Title:

☐ This project is a REVISION
of a previous submitted Project Profile.

Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE (continued from Page 9)

Environmentally Innovative (continued)

☐ Treatment technologies that significantly reduce the volume of residuals, generation of residuals, or lower the amount of chemicals in the residuals*

Cost:

☐ Educational activities and demonstration projects for water or energy efficiency*

Cost:

☐ Projects that achieve the goals/objectives of utility asset management plans*

Cost:

☐ Sub-surface land application of effluent and other means for groundwater recharge, such as spray irrigation and overland flow*

Cost:

*Denotes that a Business Case may be Required.

Total Environmentally Innovative Costs:

If any box(es) above are checked, please describe each below.

Asset Management

If a category is selected, the applicant must provide proof to substantiate claims. In order to complete this section, the documents must be submitted to the Area Development District Water Management Coordinator.

☐ The system(s) has a Capital Improvement Plan or similar planning document.

☐ The system(s) involved in this project have developed appropriate rate structures to build, operate, and maintain.

☐ The system(s) involved in this project have specifically allocated funds for the rehabilitation and replacement of aging and deteriorating infrastructure.

If any box(es) above are checked, please describe each below.

