Matthew G. Bevin
Governor

Charles G. Snavely
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission
211 Sower Blvd.
P.O. Box 615
Frankfort, Kentucky 40602-0615
Telephone: (502) 564-3940
Fax: (502) 564-3460
psc.ky.gov

Daniel E. Logsdon Jr.
Vice Chairman

Robert Cicero
Commissioner

May 13, 2016

PARTIES OF RECORD

Re: Case No. 2016-00106

Attached is a copy of a memorandum which is being filed in the record of the above-referenced case. If you have any comments you would like to make regarding the contents of the memorandum, please do so within five days of receipt of this letter. If you have any questions, please contact John Park, Staff Attorney, at (502) 782-2589.

Sincerely,

Aaron D. Greenwell

Aaron D. Greenwell
Acting Executive Director

JBP/ph

Attachments

INTRA-AGENCY MEMORANDUM

KENTUCKY PUBLIC SERVICE COMMISSION

TO: Case File No. 2016-00106

FROM: John B. Park, Staff Attorney

DATE: May 13, 2016

RE: Informal Conference of May 5, 2016

Pursuant to Commission Staff's Notice of Informal Conference issued on May 4, 2016, an informal conference was held in this matter on May 5, 2016. A copy of the attendance roster is attached.

Commission Staff Attorney John Park began the meeting by noting that several non-parties were attending the conference. Mr. Park explained that Commission practice is that non-parties to a case may only participate in an informal conference if the parties do not object. Mr. Baughman, counsel for Ridgelea Investments, Inc. ("Ridgelea") stated that he did not object and was in favor of representatives of Farmdale Sanitation District ("Farmdale"), Franklin County Government, and the Kentucky Energy and Environment Cabinet, Division of Water ("DOW") participating in the conference.

Mr. Park advised that notes would be taken during the conference and that Commission Staff ("Staff") would prepare and enter into the record a memorandum regarding the conference. Mr. Park further advised that the Ridgelea would have five days after the memorandum is entered into the record to file comments on the memorandum. Mr. Park also stated that views expressed at the conference are those of Staff and are not binding on the Commission.

Mr. Park stated that the purpose of the meeting was to discuss the status of Ridgelea's application to abandon its three waste water treatment plants and collection systems in Franklin County, Kentucky, as well as the possible alternative of an outright transfer of the three systems by Ridgelea to Farmdale. Mr. Park noted there is a legal question whether a utility could abandon less than all of its utility property, as Ridgelea requests authorization to do.

Mr. Baughman stated that there was some discussion in the record on the question of partial abandonment and acknowledged there is no legal precedent addressing the issue. Mr. Baughman said Ridgelea was open to the possibility of a voluntary transfer of the Franklin County treatment plants to Farmdale for nominal consideration.

Franklin County Attorney Rick Sparks stated that in light of the unknown condition of the three plants, environmental compliance issues and the pending enforcement action against Ridgelea in the Franklin Circuit Court, there were concerns with Farmdale operating the three treatment plants as owner as opposed to receiver. Daniel Cleveland, counsel for DOW, who participated in the conference via telephone, discussed issues raised by Mr. Sparks.

Representatives of the Franklin County Government, Farmdale and DOW agreed to meet on May 6, 2016, to further discuss permit compliance issues and the work that needs to be done at the plants. Mr. Baughman stated that if his scheduled permitted, he would also attend the meeting. Commission Staff requested Mr. Baughman to provide a report following the meeting regarding the status of discussion concerning a possible transfer of the plants in lieu of abandonment.

Representatives of Farmdale and the Franklin County Government addressed the status of Farmdale's effort to obtain financing from the Kentucky Infrastructure Authority ("KIA") to make repairs to the three Franklin County plants. They stated that an application for financing to make improvements to the plants had been submitted on behalf of Farmdale, and that the KIA board would vote on whether to approve the application at its regular meeting in June 2016. If the KIA board approves the application, Farmdale would have 12 months to start spending loan proceeds on the needed improvements. Mr. Hewitt provided a copy of the application to Commission Staff, which is attached to this memorandum. Franklin County Judge/Executive Huston Wells expressed concern about spending money on plant improvements that could be obsolete in 5 years, when it was anticipated that the plants would be taken offline and flow diverted to a new wastewater treatment plant.

Mr. Baughman gave Mr. Park a copy of the Franklin Circuit Court's Order holding the enforcement action, Case No. 14-CI-00616, in abeyance pending the Commission's decision on Ridgelea's request in this case to abandon its three Franklin County treatment plants, and a copy of the published notice of the hearing held in this matter on May 3, 2016. At the hearing, Commission Staff made post-hearing data requests for these documents. Copies of these documents are attached to this memorandum.

There being no further business, the informal conference adjourned.

Attachments

COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

RIDGELEA INVESTMENTS, INC. NOTICE OF SURRENDER AND ABANDONMENT OF UTILITY PROPERTY NAMELY THREE (3) FRANKLIN COUNTY WASTEWATER TREATMENT PLANTS	))))	CASE NO. 2016-00106

SIGN IN

May 5, 2016

PERSON	REPRESENTING
John Park	PSC Staff
David Spenard	PSC Staff
Jennifer Fell	PSC Staff
Andrew Melnykovych	PSC Staff
Mark Rascke	PSC Staff
JAMES RICE	" "
[illegible]	F.C. Judge Ex.
Robert Hewitt	Franklin County
Ana Northcutt	Franklin County
Allan Alsip	Farmdale Sanitation District
RICK SPARKS	Franklin Co Atty.
John B. Baughman	Atty. for Ridgelea
Daniel Cleveland	KY DEP by telephone.

PROFESSIONAL ENGINEERS, INC
3 HMB Circle
US 460
Frankfort, KY 40601
Office: (502) 695-9800
Fax: (502) 695-9810

May 2, 2016

Mr. Adam J. Scott
Kentucky Infrastructure Authority
1024 Capital Center Drive, Suite 340
Frankfort, KY 40601

RE: Farmdale Sanitation District
Ridgelea Investments Inc. Package Treatment Plant Abandonment
SX21073082

Dear Mr. Scott:

Please be advised that the referenced project was submitted to the Kentucky State Clearinghouse for review and comment on April 28, 2016, via the e-Clearinghouse portal.

The Clearinghouse has assigned the following SAI number to the project:

KY201604280421

As of the date of this correspondence, the KY e-Clearinghouse shows the project status as "In-Review". Upon completion of their review, all Clearinghouse Comments will be provided for further evaluation as part of the SRF funding application process.

Should you have any questions, or if additional information is required, please do not hesitate to contact me any time at (502)695-9800 or rbascom@hmbpe.com.

Thank you.

Sincerely,
HMB Professional Engineers, Inc.

Ray Bascom

Ray Bascom, PE
Project Manager

Highway Engineering
Structural Engineering
Water & Wastewater
Site Development
Right-of-Way
Master Planning
Environmental Planning
Surveying
Project Management
Cost Estimation
Construction Inspection
Aviation Services
Environmental Remediation
Landscape Architecture

Clean Water Project Profile Pre-Application

Water Resource Information System

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

NARRATIVE

* Legal Applicant: Farmdale Sanitation District

* Project Schedule:

* Primary County: FRANKLIN

* Project Description

Ridgelea Investment, Inc. has filed an application with the Public Service Commission (PSC Case No. 2016-00106) for the abandonment of three (3) privately owned package wastewater treatment plants (PTP's) serving subdivisions in southwestern Franklin County. The subdivisions include Edgewood, Meadowbrook and Farmgate which serve a combined 184 Franklin County Families. The Franklin County Circuit Court is in the process of appointing a receiver for these PTP's and their respective collection systems which will be the Farmdale Sanitation District (District). All three of these facilities and their collection systems have been cited numerous times in recent years by the Division of Water (DOW) for alleged water pollution violations. Additionally, all three of the PTP's require upgrades to the treatment plants and collection systems due to years of neglect. This project will provide improvements to all three PTP's along with collection system repairs to mitigate excessive infiltration and inflow (I/I) which cause sanitary sewer overflows and treatment plant

* Need for the Project

Briefly describe how this project promotes public health or achieves and/or maintains compliance with the Clean Water Act

The project will mitigate the continual violations of the three PTP's and make repairs to the collection systems to minimize sanitary sewer overflows within the subdivisions causing untreated sewage to discharge onto the ground and in the creeks of all three subdivisions.

Project Alternatives

Note: If project includes the construction of a new treatment plant or upgrade to existing plant, please explain regionalization options here.

* Alternative A

Continue operating the existing PTP's and collection systems as is until the District constructs a proposed 750,000 GPD Waste Water Treatment Plant in the Farmdale Area (SX Number 20173029)

* Alternative B

Replace the existing PTP's and collection systems with new which would cause exorbitant sewer fees to the 184 families connected to the systems.

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

APPLICANT

* Legal Applicant: Farmdale Sanitation District

Contact

* First Name: Allan MI: * Last Name: Alsip

Title: Chairman

* Phone: 502 330 77 Ext:

EMail: afalsip@gmail.com

Authorized Official

* First Name: Allan MI: * Last Name: Alsip

Title: Chariman

* Phone: 502 330 77 Ext:

EMail: afalsip@gmail.com

ADMINISTRATION

Project Administrator

* First Name: Ann MI: * Last Name: Northcutt

Title: Community Development Specialist

Organization: Franklin County Fiscal Court

* Phone: 502 875 8751 Ext: Fax: 502 875 8755

EMail: anorthcutt@franklincountyky.com

Applicant Contact

* First Name: Allan MI: * Last Name: Alsip

Title: Chairman

Organization: Farmdale Sanitation District

* Phone: 502 330 77 Ext: Fax:

EMail: afalsip@gmail.com

Project Engineer

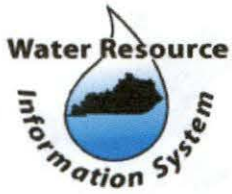
* First Name: Ray MI: C * Last Name: Bascom

* Phone: 502 695 9800 Ext: 1149 Fax: 502 695 9810

EMail: rbascom@hmbpe.com

* License #: 18277 * Firm Name: HMB Professional Engineers, Inc.

Clean Water Project Profile Pre-Application



* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

BUDGET AND SCHEDULE

◯ Estimated Budget ◯ As-Bid Budget

Project Cost Classification

Administrative Expenses:	$10,000
Legal Expenses:	
Land, Appraisals, Easements:	
Relocation Expense & Payments:	
Planning:	
Engineering Fees - Design:	$21,528
Engineering Fees - Construction:	$5,382
Engineering Fees - Inspection:	$22,500
Engineering Fees - Other:	
Construction:	$225,000
Equipment:	
Miscellaneous:	
Contingencies:	$15,590
* **Total Project Cost:**	**$300,000**

Construction Cost Categories

WWTP Secondary Portion::	$100,000
WWTP Advanced Portion:	
Inflow & Infiltration Correction:	$125,000
Major Sewer Rehabilitation:	
Collector Sewers:	
Interceptor Sewers, including Pump Stations:	
Combined Sewer Overflow Correction:	
NPS Urban:	
Non-Categorized Cost:	
Total Construction Cost:	**$225,000**

Project Funding Sources

FUNDING SOURCE	AMOUNT	STATUS	APPLICABLE DATE
KIA SRF Fund A Loan (CW)	$300,000	Anticipated	

Detailed Project Schedule

Facility Plan Approval Date: 11/01/2013

Environmental Review Status

RD Approval Date:

CDBG Approval Date:

No Approval; Cross-Cutter Scoping Completed:

Construction Permit Application Date:

◯ Submitted ◯ Approved

KPDES Permit Application Date:

◯ Submitted ◯ Approved

Estimated Bid Date:

* Estimated Bid Date required if Funding Source is KIA SRF Fund A Loan (CW)

Estimated Construction Start Date:

* Estimated Construction Start Date required if Funding Source is KIA SRF Fund A Loan (CW)

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

IMPACTS

The following systems are beneficiaries of this project

DOW PERMIT ID	SYSTEM NAME
KY0074977	Edgewood Subdivision
KY0074969	Farmgate Subdivision
KY0074951	Meadowbrook Subdivision

Plans and Specifications

☐ Plans and specs have been sent to DOW.

Date:

☐ Plans and specs have been sent to PSC.

Date:

☐ Plans and specs have been reviewed by DOW.

Date:

☐ Plans and specs have been reviewed by PSC.

Date:

New or Improved Service

To Unserved Households:

To Underserved Households: 184

Economic Impacts

Jobs Created:

Jobs Retained:

CW Specific Impacts

Wastewater Volumes for this project (MGD)

Wastewater Volumes reduced by this project (MGD)

Other CW Specific Impacts

☐ This project provides regionalization and/or consolidation of wastewater treatment systems.

☐ This project includes an on-site mound, and/or decentralized WW treatment system.

☐ This project is necessary to achieve full or partial compliance with a court order, agreed order, or a judicial or administrative consent decree.

☒ This project achieves voluntary compliance (violation with no order).

☐ This project is consistent with the approved facility plan.

☐ This project will have a positive impact on drinking water sources within a 5 mile radius. (Please list the drinking water sources impacted by this project below.)

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

IMPACTS (continued from Page 4)

Planning Needs

☐ Combined Sewer Overflow (CSO) Correction

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - CSO; and/or have mapping for proposed point(s) and set STATUS to REHAB - CSO

☐ Sanitary Sewer Overflow (SSO) Correction

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - SSO; and/or have mapping for proposed point(s) and set STATUS to REHAB - SSO

☒ Replacement or Rehabilitation of Aging Infrastructure

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - REPLACE OBSOLETE OR AGING LINES, REHAB - REPLACE PROBLEM LINES, or REHAB - REPLACE UNDERSIZED LINES; and /or have mapping for proposed point(s) (except SEWAGE TREATMENT PLANT) and set STATUS to REHAB

☐ New Treatment Plant

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and STATUS to NEW

☐ New Collector Sewers and Appurtenances

(GIS) - Must have mapping for proposed line(s) and set PURPOSE to COLLECTOR and ACTIVITY to EXTENSION

☐ Decentralized Wastewater Treatment Systems

☐ Upgrade to Advanced Treatment

☒ Rehab/Upgrade/Expansion of Existing Treatment Plant

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and STATUS to REHAB, STP - UPGRADE, or STP - EXPANSION

☐ New Interceptor Sewers and Appurtenances

(GIS) - Must have mapping for proposed line(s) and set PURPOSE to INTERCEPTOR and ACTIVITY to EXTENSION; and/or set TYPE to LIFTSTATION and STATUS to NEW

☐ Storm Water Control

☐ Non-Point Source (NPS) Pollution Control

☐ Recycled Water Distribution

☐ Planning

☐ Other (please specify below)

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

COMPONENTS

Administrative Components

☒ Planning

☐ Sewer System Evaluation Survey Report

☒ Design

☒ Construction

☐ Management

Wastewater Treatment Plants Eliminated

(GIS) - Must have mapping for proposed point(s) snapped to existing point(s) and set TYPE to SEWAGE TREATMENT PLANT or PACKAGE TREATMENT PLANT and set STATUS to ELIMINATE

☒ This project includes the elimination of wastewater treatment plant(s).

DOW PERMIT ID	FACILITY TYPE	SYSTEM NAME / FACILITY NAME
KY0074977	PTP	Edgewood Subdivision
KY0074969	PTP	Farmgate Subdivision
KY0074951	PTP	Meadowbrook Subdivision

Sanitary Sewer Components

☐ This project includes a new wastewater treatment plant.

Proposed design capacity (MGD):

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT, STATUS to NEW, and set PROPOSED CAPACITY

☐ This project includes an expansion of an existing plant.

Current design capacity (MGD):

Proposed design capacity (MGD):

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT, STATUS to STP - EXPANSION, and set EXISTING CAPACITY & PROPOSED CAPACITY

☐ This project includes elimination of existing sewer system components.

Number of raw sewage discharges eliminated:

Number of failing septic systems eliminated:

Number of septic systems eliminated:

☒ This project includes rehabilitation or replacement of aging infrastructure..

Total length of replaced infrastructure (LF):

(GIS) - Must have mapping for proposed line(s) and set ACTIVITY to REHAB - REPLACE OBSOLETE OR AGING LINES, REHAB - REPLACE PROBLEM LINES, REHAB - REPLACE UNDERSIZED LINES, REHAB - CSO, or REHAB - SSO; and /or have mapping for proposed point(s) (except SEWAGE TREATMENT PLANT) and set STATUS to REHAB.

☐ This project includes rehabilitation of an existing plant.

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and set STATUS to REHAB

☒ This project includes upgrades to an existing plant.

(GIS) - Must have mapping for proposed point(s) and set TYPE to SEWAGE TREATMENT PLANT and set STATUS to STP - UPGRADE

☐ This project includes new collector sewers.

Total length of new collector sewer (LF):

(GIS) - Must have mapping for proposed line(s) and set PURPOSE to COLLECTOR and ACTIVITY to EXTENSION

☐ This project includes new interceptor sewers.

Total length of new interceptor sewer (LF):

(GIS) - Must have mapping for proposed line(s) and set PURPOSE to INTERCEPTOR and ACTIVITY to EXTENSION

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE

Green Infrastructure

Green stormwater infrastructure includes a wide array of practices at multiple scales that manage wet weather and that maintains and restores natural hydrology by infiltrating, evapotranspiring and harvesting and using stormwater. On a regional scale, green infrastructure is the preservation and restoration of natural landscape features, such as forests, floodplains, and wetlands, coupled with policies such as infill and redevelopment that reduce overall imperviousness in a watershed. On the local scale, green infrastructure consists of site- and neighborhood-specific practices, such as:

☐ Bioretention	Cost:	
☐ Trees	Cost:	
☐ Green Roofs	Cost:	
☐ Permeable Pavement	Cost:	
☐ Cisterns	Cost:	
☐ Constructed Wetlands	Cost:	
☐ Urban Forestry Programs	Cost:	
☐ Downspout Disconnection	Cost:	
☐ Riparian Buffers and Wetlands	Cost:	
☐ Sustainable Landscaping and Site Design	Cost:	
☐ Purchase of land or easements on land for riparian and wetland protection or restoration.	Cost:	
☐ Fencing to divert livestock from streams and stream buffers*	Cost:	
*Denotes that a Business Case may be Required.	**Total Green Infrastructure Costs:**	

If any box(es) above are checked, please describe each below.

Water Efficiency

The use of improved technologies and practices to deliver equal or better services with less water. Water efficiency encompasses conservation and reuse efforts, as well as water loss reduction and prevention, to protect water resources for the future. Examples include:

☐ Installing or retrofitting water efficient devices such as plumbing fixtures and appliances (toilets, showerheads, urinals)	Cost:	
☐ Installing any type of water meter in previously unmetered areas (can include backflow prevention if in conjunction with meter replacement)	Cost:	
☐ Replacing existing broken/malfunctioning water meters with AMR or smart meters, meters with leak detection, backflow prevention	Cost:	

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile.

Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE (continued from Page 7)

Water Efficiency (continued)

☐ Retrofitting/Adding AMR capabilities or leak equipment to existing meters	Cost:	
☐ Developing water audit and conservation plans, which are reasonably expected to result in a capital project	Cost:	
☐ Recycling and water reuse projects that replace potable sources with non-potable sources (Gray water, condensate, and wastewater effluent reuse systems, extra treatment or distribution costs associated with water reuse)	Cost:	
☐ Retrofit or replacement of existing landscape irrigation/agricultural systems to more efficient landscape/agricultural irrigation systems (rain and moisture sensing equipment)	Cost:	
☐ Water meter replacement with traditional water meters*	Cost:	
☐ Projects that result from a water audit or water conservation plan*	Cost:	
☐ Storage tank replacement/rehabilitation to reduce water loss*	Cost:	
☐ New water efficient landscape/agricultural irrigation system, where there currently is not one*	Cost:	
*Denotes that a Business Case may be Required.	**Total Water Efficiency Costs:**	

If any box(es) above are checked, please describe each below.

Energy Efficiency

Energy efficiency is the use of improved technologies and practices to reduce the energy consumption of water projects, use energy in a more efficient way, and/or produce/utilize renewable energy. Examples include:

☐ Renewable energy projects such as wind, solar, geothermal, and micro-hydroelectric, and biogas combined heat and power systems that provide power to a POTW	Cost:	
☐ POTW-owned renewable energy projects	Cost:	
☐ Collection system infiltration/inflow (I/I) detection equipment	Cost:	
☐ POTW energy management planning, including energy assessments, energy audits, optimization studies, and sub-metering of individual processes to determine high energy use areas	Cost:	
☐ Projects that achieve a reduction in energy consumption (pumps, motors)*	Cost:	
☐ Projects that cost effectively eliminate pumps or pumping stations*	Cost:	
☐ I/I correction projects that save energy from pumping and reduced treatment costs*	Cost:	

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile. Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE (continued from Page 8)

Energy Efficiency (continued)

☐ I/I correction where excessive groundwater infiltration is contaminating the influent requiring otherwise unnecessary treatment processes*	Cost:	$125,000
☐ Replacing old motors with premium energy efficiency motors*	Cost:	$25,000
☐ Upgrade of POTW lighting to energy efficient sources*	Cost:	
☐ SCADA systems where substantial energy savings can be demonstrated*	Cost:	
☐ Variable Frequency Drive (VFD) controllers where substantial energy savings can be demonstrated*	Cost:	
*Denotes that a Business Case may be Required.	**Total Energy Efficiency Costs:**	

If any box(es) above are checked, please describe each below.

Environmentally Innovative

Environmentally innovative projects include those that demonstrate new and/or innovative approaches to delivering services or managing water resources in a more sustainable way. Examples include:

☐ Total integrated water resources management planning likely to result in a capital project	Cost:	
☐ Utility sustainability plan consistent with EPA's sustainability policy	Cost:	
☐ Greenhouse gas inventory or mitigation plan and submission of a GHG inventory to a registry as long as it is being done for an SRF eligible facility	Cost:	
☐ Planning activities by a POTW to prepare for adaptation to the long-term effects of climate change and/or extreme weather	Cost:	
☐ Construction of US Building Council LEED certified buildings, or renovation of an existing building on POTW facilities	Cost:	
☐ Decentralized wastewater treatment solutions to existing deficient or failing onsite wastewater systems	Cost:	
☐ Constructed wetlands projects used for municipal wastewater treatment, polishing, and/or effluent disposal*	Cost:	
☐ Projects that result from total/integrated water resource management planning consistent with the decision criteria for environmentally innovative projects and that are CWSRF eligible*	Cost:	
☐ Projects that facilitate adaptation of POTWs to climate change identified by a carbon footprint assessment or climate adaption study*	Cost:	
☐ POTW upgrades or retrofits that remove phosphorus for beneficial use, such as biofuel production with algae*	Cost:	
☐ Projects that significantly reduce or eliminate the use of chemicals in wastewater treatment*	Cost:	

Clean Water Project Profile Pre-Application

* Project Title: Ridgelea Investments Inc. PTP Abandonment

☐ This project is a REVISION of a previous submitted Project Profile. Previously assigned SX #:

SUSTAINABLE INFRASTRUCTURE (continued from Page 9)

Environmentally Innovative (continued)

☐ Treatment technologies that significantly reduce the volume of residuals, generation of residuals, or lower the amount of chemicals in the residuals* Cost:

☐ Educational activities and demonstration projects for water or energy efficiency* Cost:

☐ Projects that achieve the goals/objectives of utility asset management plans* Cost:

☐ Sub-surface land application of effluent and other means for groundwater recharge, such as spray irrigation and overland flow* Cost:

*Denotes that a Business Case may be Required.

Total Environmentally Innovative Costs:

If any box(es) above are checked, please describe each below.

Asset Management

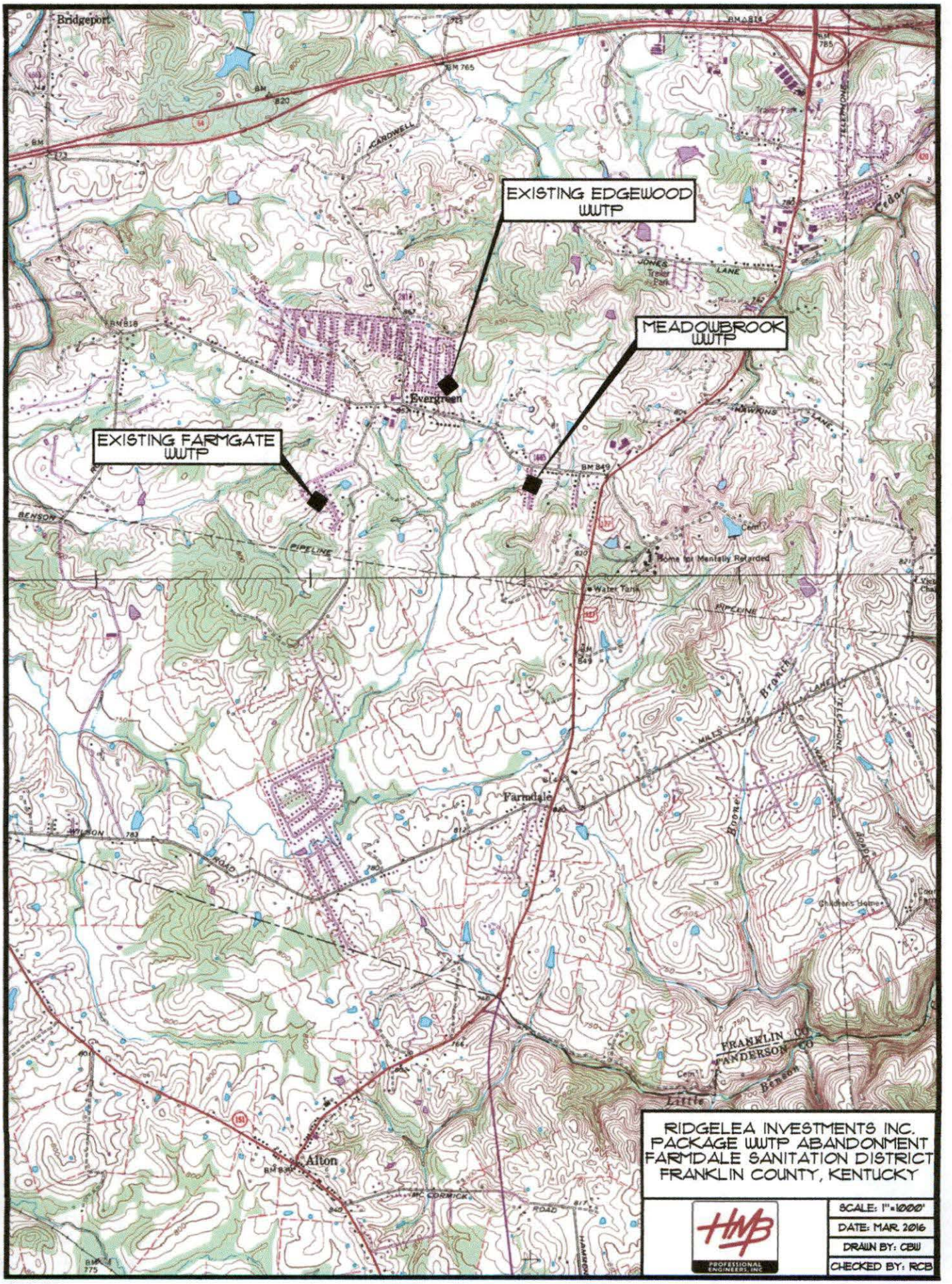
If a category is selected, the applicant must provide proof to substantiate claims. In order to complete this section, the documents must be submitted to the Area Development District Water Management Coordinator.

☐ The system(s) has a Capital Improvement Plan or similar planning document.

☐ The system(s) involved in this project have developed appropriate rate structures to build, operate, and maintain.

☐ The system(s) involved in this project have specifically allocated funds for the rehabilitation and replacement of aging and deteriorating infrastructure.

If any box(es) above are checked, please describe each below.



P:\engrenv\4094.01\CAD\Project Profile Exhibit 3.8.16.dwg, 3/11/2016 8:24:29 AM, Adobe PDF

COMMONWEALTH OF KENTUCKY
FRANKLIN CIRCUIT COURT
DIVISION II

CIVIL ACTION No. 14-CI-00616

ENERGY AND ENVIRONMENT CABINET **PLAINTIFF**

vs.

RIDGELEA INVESTMENTS, INC., et al. **DEFENDANTS**

ORDER

This matter is before the Court upon Defendants' Motion to Alter Amend and Vacate Judgment. The case was called before the Court during its regular civil motion hour on Wednesday, April 20, 2016. Upon review of the parties' briefs and papers, and after being sufficiently advised, the Court hereby **HOLDS** Defendants' Motion **IN ABEYANCE** during the pendency of the abandonment proceedings before the Public Service Commission in case number 2016-00106.

SO ORDERED, this 22 day of April, 2016.

THOMAS D. WINGATE
Judge, Franklin Circuit Court

APR 25 2016

CERTIFICATE OF SERVICE

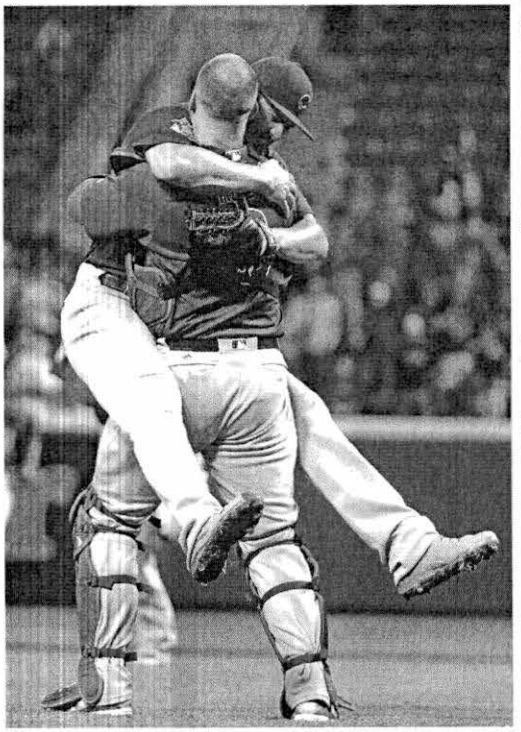
I hereby certify that a true and correct copy of the foregoing Order was mailed, this 25th day of April, 2016, to the following:

Hon. Daniel Clark Cleveland
Office of General Counsel
Energy and Environment Cabinet
2 Hudson Hollow Road
Frankfort, Kentucky 40601
Counsel for Plaintiff

Hon. John B. Baughman
Baughman Harp. PLLC
401 West Main Street
Frankfort, Kentucky 40601
Counsel for Defendants

Amy Feldman BL

Amy Feldman, Franklin County Circuit Court Clerk



AP/John Minchillo

Chicago Cubs starting pitcher Jake Arrieta celebrates with catcher David Ross after the final out of his no-hitter against the Cincinnati Reds Thursday in Cincinnati. The Cubs won 16-0.

REDS

CONTINUED FROM PAGE B1

playoffs, Roy Halladay of the Phillies pitched a no-hitter against Cincinnati.

"We got dominated," Reds outfielder Jay Bruce said. "That's the most dominating game I've ever been a part of. He was great; we weren't.

"Every time he goes out there, he's got no-hit stuff. He's arguably the best pitcher in the game today."

Arrieta is among several pitchers in the last decade to pitch two no-hitters. The 30-year-old righty is on the list with Max Scherzer, Justin Verlander, Tim Lincecum and Homer Bailey, who threw the only other no-hitter at Great American Ball Park on July 2, 2013, against the Giants.

Arrieta is the first Cubs pitcher to win his first four starts in a season since Greg Maddux went 5-0 in 2006. Ken Holtzman is the only other Cubs pitcher to throw more than one no-hitter in the modern era, doing it in 1969 and 1971.

By Arrieta's standards, it was a bit of a struggle. He walked three batters — he had allowed only two walks in his first three starts combined — and needed 85 pitches to get through six innings.

After that, he dug in and made quick work of the Reds' lineup.

The thousands of Cubs fans in the crowd of 16,497 were on their feet cheering as Arrieta walked Scott Schebler to open the ninth, got pinch-hitter Tucker Barnhart on a popup, Zack Cozart on a fly to center, and Suarez on a routine flyout.

Arrieta and catcher David Ross embraced halfway between home plate and the mound as the rest of the Cubs circled around him.

In September 2014, Arrieta lost a no-hit bid against the Reds on Brandon Phillips' one-out double in the eighth inning at Wrigley Field.

This time, the offense made the outcome a moot point while extending the Cubs' best start since 1970.

Bryant hit a two-run shot in the first off Brandon Finnegan (1-1), and his third career grand slam in the seventh off Drew Hayes made it 13-0. Ben Zobrist and Ross added solo shots, and Anthony Rizzo had a three-run homer.

Finnegan started at Wrigley Field on April 11 and didn't allow a hit until Ross singled with two outs in the seventh. They were ready for the left-hander this time. Dexter Fowler doubled off the wall in center on Finnegan's first pitch of the game, and Bryant's homer made it 2-0.

Zobrist homered in the second inning as the Cubs made it 4-0. They're unbeaten this season when scoring at least four times, going 11-0.

Arrieta's surge

Arrieta added to his club-record of 24 consecutive quality starts since June 21, going 20-1 with a 0.86 ERA. He's 15-0 in 16 starts since Aug. 1 of last season with a 0.53 ERA, allowing a total of seven earned runs in 119 1/3 innings.

Beating the Reds

The Cubs have won their last five games in Cincinnati, the first time they've done that since the 1972-73 seasons.

Trainer's room

Reds: CF Billy Hamilton was out of the lineup with a sore left thumb, injured when he hit his glove while trying for a catch in St. Louis last weekend. He aggravated it on Wednesday and will be sidelined a couple of days. ... RHP Alfredo Simon is still expected to start on Sunday against the Cubs. He had to miss his last scheduled start because of biceps tendinitis and got an injection of anti-inflammatories.

TENNIS

CONTINUED FROM PAGE B1

at one and two with Midkiff and Stumbo. Our doubles are improving, the guys are learning to play together as a team. We are going to be a good program."

Singles: Sam Midkiff (FH) def. Nick Lewis 6-2, 6-3; Myles Stumbo (FH) def. Carl Cassady 6-0, 6-0; FCHS won No. 3 singles by default.

Doubles: Cameron Smith-Palmer Drake (FC) def. Gage Miller-Mark Bryan 6-2, 6-2; Trey Hockensmith-Charles Cassady (FC) def. Dylan Glasgow-Alex Luther 6-1, 6-3.

Legal Notice

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:
RIDGELEA INVESTMENTS, INC'S NOTICE OF SURRENDER AND ABANDONMENT OF UTILITY PROPERTY NAMELY THREE (3) FRANKLIN COUNTY WASTEWATER TREATMENT PLANTS
Case No. 2016-00109

NOTICE OF HEARING

Pursuant to an order of the Kentucky Public Service Commission (PSC), Ridgelea Investments, Inc. gives Notice it has filed a Petition to Surrender and Abandon utility property in Franklin County, Kentucky known as Meadowbrook Wastewater Treatment Plant, Farmgate Wastewater Treatment Plant, and Edgewood Wastewater Treatment Plant.

Pursuant to said Petition a hearing will be held on Tuesday, May 3, 2016 at 9 a.m. Daylight Savings Time at the offices of the Public Service Commission, 211 Sower Boulevard, Frankfort, Kentucky. This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov.

Respectfully submitted,
John B. Baughman
BAUGHMAN, HARP, PLLC
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502-352-2956 (Fax)
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Attorney for Ridgelea Investments, Inc.
04/22/16

Legal Notice

Mai Saigon LLC, mailing address 328 St. Clair, Frankfort, KY 40601 hereby declares intention(s) to apply for a NQ4 - Malt Beverage drink license no later than April 25, 2016. The business to be licensed will be located at 328 St. Clair, Frankfort, KY 40601 doing business as Mai Saigon.

The owners are as follows: member, Thao P. Mai of 216 Corral Ct., Frankfort, KY 40601; member, Thao D. Ngo of 216 Corral Ct., Frankfort, KY 40601.

Any person, association, corporation, or body politic may protest the granting of the license(s) by writing the Dept. of Alcoholic Beverage Control, 1003 Twilight Trail, Frankfort, KY 40601-8400 within 30 days (KRS 243.430) of the date of this legal publication.

04/22/16

Legal Notice

MASTER COMMISSIONER'S SALES

In order to comply with the orders of the Franklin Circuit Court, the Master Commissioner will sell the properties described in the following actions on Monday, April 25, 2016, at 11:30 am in Courtroom C on the second floor of the Franklin County Courthouse, 222 St. Clair Street, Frankfort, Kentucky. The properties shall be sold to raise the amounts hereinafter set forth, together with interest and the costs of the actions, and upon the following terms and conditions (unless otherwise indicated):

(1) At the time of the sale, the successful bidder shall either pay cash or make a deposit of ten percent (10%) of the purchase price, with the balance on credit for thirty (30) days. In the event the successful bidder elects to credit the balance, he or she will be required to post bond and furnish surety acceptable to the Master Commissioner. The bond shall be for the unpaid purchase price and bear interest at the rate of twelve percent (12%) per annum until paid in full.

(2) The purchaser shall be required to assume and pay all taxes and/or assessments upon the property for the current tax year (the amount due through December 31, 2016) and all subsequent years. All other delinquent taxes or assessments upon the property for prior years shall be paid from the sale proceeds if proclaimed in writing and filed of record by the purchaser within ten (10) days from the date of sale.

(3) Easements, restrictions, stipulations and agreements of record in the Franklin County Court Clerk's Office. Assessments for public improvements levied against the property, any facts which an inspection and accurate survey of the property may disclose and any and all planning and zoning regulations imposed upon the subject property.

(4) Where the real estate has insurable improvements, the successful bidder shall, at his or her own expense, carry fire and extended coverage insurance on said improvements from the date of sale until the purchase price is fully paid, to the extent of the Court appraised value of said improvements or the unpaid balance of the purchase price, whichever is less, at a minimum, with a loss clause payable to the Master Commissioner or the appropriate Plaintiff. Failure of the successful bidder to effect such insurance shall not affect the validity of the sale or the successful bidder's liability thereunder, but shall entitle, but not require, the Plaintiff to effect said insurance and furnish policy or evidence thereof to the Master Commissioner, if he so desires, and the premium thereon or the property portion thereof shall be charged to the successful bidder as the successful bidder's cost.

(5) The property shall otherwise be sold free and clear of any right, title and interest of all parties to the action and of their liens and encumbrances thereon, excepting any right of redemption as may exist in favor of the United States of America or the Defendant(s).

SALE 1: 1420 Harp Pike - Parcel No. 044-00-00-023.02 (8.786 acres - Deed Book 440, Page 81) -- Civil Action No. 12-CI-1337 CitiMortgage, Inc. Successor by merger to ABN AMRO Mortgage Group, Inc v Roger Smith, et al, to raise the amount of $25,853.25 together with interest, charges and costs

SALE 2: 423 Goebel Drive - Parcel No. 086-30-14-008.00 (Lot 8, Block E, Section 5, Governor [illegible]) -- Civil Action No. 13-CI-0519 Bene[illegible] sum of $176,193.88 together with interest, charges and costs

SALE 3: 971 Bedford Road, Midway - Parcel No. 112-00-00-002.00 (Tract B - Deed Book 435, Page 837 and 465/680) -- Civil Action No. 13-CI-1226 U.S. Bank Trust, N.A., as Trustee for LSF8 Master Participation Trust v Patricia Mink, et al, to raise the sum of $103,654.67 together with interest, charges and costs

SALE 4: 305 Green Fields Lane - Parcel No. 037-20-01-024.00 (Lot 275, Coolbrook Farms Subdivision, Section IV - Deed Book 508, Page 335) -- Civil Action No. 14-CI-0578 Bank of America, N.A. v Nancy Aldridge, et al, to raise the sum of $125,307.76 together with interest, charges and costs

SALE 5: 414 Village Drive - Parcel No. 095-40-08-045.00 (Lot 161, Phase V, Section 1, The Village at Duckers Lake Subdivision - Deed Book 466, Page 769) -- Civil Action No. 14-CI-0634 Green Tree Servicing LLC v Juanita S. Cooper, et al, to raise the sum of $218,710.75 together with interest, charges and costs

SALE 6: 136 Elkhorn Drive - Parcel No. 085-21-02-013.00 (Two Tracts - Deed Book 446, Page 45) -- Civil Action No. 15-CI-0028 U.S. Bank Trust, N.A., as Trustee for LSF9 Master Participation Trust v Don R. Gordon, et al, to raise the sum of $65,481.49 together with interest, charges and costs

SALE 7: 425 Briarpatch Lane - Parcel No. 050-40-05-002.00 (Lot 102, Twin Oaks Subdivision - Deed Book 471, Page 572) -- Civil Action No. 15-CI-0152 Mainsource Bank v Cassandra S. Royce, et al, to raise the sum of $104,989.76 together with interest, charges and costs

SALE 8: 830 Fifth Avenue - Parcel No. 074-12-01-002.00 (Lots 36, 37 and 38, Block J, Henry Park Addition - Deed Book 454, Page 92) -- Civil Action No. 15-CI-0766 Federal National Mortgage Association v Lewis H. Shaffer, et al, to raise the sum of $31,731.19 together with interest, charges and costs

SALE 9: 418 Cedar Way - Parcel No. 037-20-09-002.00 (Lot 344, Coolbrook Farms Subdivision, Section IV - Deed Book 513, Page 313) -- Civil Action No. 15-CI-0882 Nationstar Mortgage LLC v Trevor Watkins, et al, to raise the sum of $118,963.45 together with interest, charges and costs

SALE 10: 208 Glass Avenue - Parcel No. 061-20-05-008.00 (Lots 78-79, A.L. Glass Subdivision - Deed Bo[illegible] -- Civil Action No. 15-CI-[illegible] Trust Mortgage, Inc. v Jessie C[illegible] interest, charges and costs

SALE 11: 3415 Flat Creek Road - Parcel No. 045-00-00-006.00 (Deed Book 526, Page 414) -- Civil Action No. 15-CI-0969 JPMorgan Chase Bank, National Association, v Mark S. Clifton, et al, to raise the sum of $138,371.10 together with interest, charges and costs

SALE 12: 164 Landings Drive - Parcel No. 074-30-07-011.00 (Lot 10, Block A, Unit 1, Landings Subdivision - Deed Book 498, Page 87 and 541/751) -- Civil Action No. 15-CI-1047 Dtech Financial, LLC fka Green Tree Servicing, LLC v Robert L. Osborne, et al, to raise the sum of $116,050.17 together with interest, charges and costs

SALE 13: 108 Baltrusol Court - Parcel No. 095-40-07-065.00 (Lot 77, The Village at Duckers Lake, Phase III - Deed Book 477, Page 495) -- Civil Action No. 15-CI-1053 Nationstar Mortgage LLC v Scott Tibbetts, et al, to raise the sum of $122,204.96 together with interest, charges and costs

SALE 14: 7184 Peaks Mill Road - Parcel No. 081-30-02-004.00 (Deed Book 369, Page 324) -- Civil Action No. 15-CI-1133 Lakeview Loan Servicing, LLC v Shila A. Karimi-Johnsten, et al, to raise the sum of $ 112,113.30 together with interest, charges and costs

SALE 15: 153 Hickory Drive - Parcel No. 074-42-01-038.00 (Lot 48, Hickory Hill Addition - Deed Book 516, Page 220) -- Civil Action No. 15-CI-1195 Kentucky Housing Corporation v Tina M. Hockensmith to raise the sum of $68,728.13 together with interest, charges and costs

SALE 16: 503 Alfa Drive - Parcel No. 062-20-12-016.00 (Lot 69, Cloverdale Subdivision No. 7, Section No. 2 - Deed Book 472, Page 462) -- Civil Action No. 15-CI-1240 Mainsource Bank v Dwight F. Royce a/k/a Dwight Fortune Royce, et al, to raise the sum of $79,206.07 together with interest, charges and costs

SALE 17: 114 Springside Court - Parcel No. 037-40-04-070.00 (Lot 200, Coolbrook Farms Subdivision, Section 3 - Deed Book 545, Page 603) -- Civil Action No. 15-CI-1294 PennyMac Loan Services, LLC v Kimberly A. Simpson to raise the sum of $89,967.44 together with interest, charges and costs

SALE 18: 313 Cardinal Avenue - Parcel No. 086-10-19-004.00 (Lots 5, 6, 7, 8 and 9, Block D, Cardinal Hill Subdivision - Deed Book 462, Page 39) -- Civil Action No. 15-CI-1322 American Founders Bank, Inc v Charlene Roberts, et al, to raise the sum of $255,434.00 together with interest, charges and costs

FURTHER INFORMATION REGARDING THESE SALES AND OTHER UPCOMING SALES, AS WELL AS PAST SALES, CAN BE FOUND AT www.franklincomc.com. PROSPECTIVE BIDDERS ARE ADVISED AND ENCOURAGED TO REFER TO THAT WEBSITE FOR ANSWERS TO THEIR QUESTIONS.

Charles E Jones
Master Commissioner
Franklin Circuit Court
315 High Street
Frankfort, KY 40601
(502) 875-8808

04/08,15,22/16

CANCELLED

CANCELLED

Legal Notice

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:
RIDGELEA INVESTMENTS, INC.'S NOTICE OF SURRENDER AND ABANDONMENT OF UTILITY PROPERTY NAMELY THREE (3) FRANKLIN COUNTY WASTEWATER TREATMENT PLANTS

Case No. 2016-00106

NOTICE OF HEARING

Pursuant to an order of the Kentucky Public Service Commission (PSC), Ridgelea Investments, Inc. gives Notice it has filed a Petition to Surrender and Abandon utility property in Franklin County, Kentucky known as Meadowbrook Wastewater Treatment Plant, Farmgate Wastewater Treatment Plant, and Edgewood Wastewater Treatment Plant.

Pursuant to said Petition a hearing will be held on Tuesday, May 3, 2016 at 9 a.m. Daylight Savings Time at the offices of the Public Service Commission, 211 Sower Boulevard, Frankfort, Kentucky. This hearing will be streamed live and may be viewed on the PSC website, psc.ky.gov.

Respectfully submitted,
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04/22/16

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