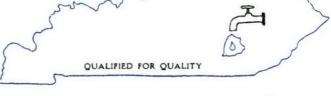
Laurel County Water District No. 2 α

THIRTY NINE TEN SOUTH LAUREL RD.

London, Kentucky 40744

Linda Faulkner Filings Division Director Public Service Commission 211 Sower Blvd Frankfort, KY 40602-0615

RE: Case No. 2015-00341



6 2015 NOA

PUBLIC SERVICE COMMISSION

Dear Ms. Faulkner:

Please find enclosed 5 copies of each ARF Form-3 signed by the other commissioners and the general manager that you requested in your letter dated October 22, 2015, a copy of which is enclosed.

If you need any additional information, please let me know.

Sincerely,

Wanda Smith

Office Manager/Accountant

Wanda Smeth

Steven L. Beshear Governor

Leonard K. Peters Secretary Energy and Environment Cabinet



Commonwealth of Kentucky
Public Service Commission
211 Sower Blvd.
P.O. Box 615
Frankfort, Kentucky 40602-0615
Telephone: (502) 564-3940
Fax: (502) 564-3460
psc.ky.gov

October 22, 2015

James W. Gardner Chairman

Daniel E. Logsdon Jr. Vice Chairman

RECEIVED

NOV 6 2015

PUBLIC SERVICE COMMISSION

Wanda Smith Office Manager/Accountant Laurel County Water District #2 3910 South Laurel Road London, KY 40744

RE: Laurel County Water District #2

Case No. 2015-00341 - Filing Deficiencies

The Commission Staff has reviewed the application in the above case. This filing is rejected for the reasons set forth below.

Filing deficiencies pursuant to:

(1) 807 KAR 5:076, Section 4(1)(h) – Completed ARF Form-3 for each member of board of directors/commissioners, CEO or general manager, ownership of 10% or more.

The statutory time period in which the Commission must process this case will not commence until the above-mentioned required information is filed with the Commission. You are requested to file this information within 10 days of the date of this letter.

If you need further assistance, please contact my staff at 502-564-3940.

Sincerely

Linda Faulkner

Filings Division Director

LF/rcs



RECEIVED

NOV 6 2015

PUBLIC SERVICE COMMISSION

STATEMENT OF DISCLOSURE OF RELATED PARTY TRANSACTIONS

I swear or affirm to the best of	of my knowledge and belief the information	n set forth below
•	those transactions occurring within the pas	st twenty-four (24)
months between Laure		Itility") and related
parties that exceed \$25.00 in value. Fi	or the purpose of this statement, "related p	arty transactions
include, all transactions and payments	in excess of \$25.00, except regular salary, w	ages and benefits,
made directly to or on behalf of: 1) th	ne Utility's current or former employees; 2)	no who have a 10
members of the Utility's board of con	nmissioners or board of directors; 3) person	ny current Utility
percent or greater ownership interes	t in the Utility; 4) family members* of a person with a 10 percent or greater owners	hin interest in the
employee, director, commissioner or p	n which any current or former Utility er	mployee director
commissioner or person with a 10 pe	rcent or greater ownership interest in the	Utility or a family
member of such person has an ownersh		ouncy of a fairing
Hember of such person has an owners	np merest	
		
Name of Related Party	Type of Service Provided	Amount of
(Individual or Business)	By Related Party	Compensation
Rush's Transmission	Transmission repairs	\$5,505.00
Amy Cupp	Uniform T-shirts	\$250.00
Check this box if the Utility has no	o related party transactions.	
Check box if additional transaction	ons are listed on the supplemental page.	
Check box if any employee of the	Utility is a family member of the Utility's chi	ef executive officer, a
	percent or greater ownership interest in the	
	y are related and the nature of the relationsh	
supplemental page entitled "Employee	•	
supplemental page cilitiaa ampioyat	·	
	_ ADABO	1/
Ronnie Ball	(11/1/50	
(Print Name)	(Signed)	
	<u> </u>	
Secretary / Commissioner		

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF

Subscribed and sworn to before me by

Name)

this <u>day</u> day of

/

NOTARY PUBLIC

State-at-Large

EMPLOYEE

RELATED TO

Carlos M. Scarbrough (Meter Reader)

	of my knowledge and belief the information							
	those transactions occurring within the past							
months between Laurel County Water District #2 ("Utility") and related								
parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family								
						member of such person has an ownersl		Julity of a fairily
						member of such person has an owners	iip interest.	
						Name of Related Party	Type of Service Provided	Amount of
						(Individual or Business)	By Related Party	Compensation
						Rush's Transmission	Transmission repairs	\$5,505.00
Amy Cupp	Uniform T-shirts	\$250.00						
Check this box if the Utility has no	n related party transactions							
Check this box in the other has no	related party transactions.							
Check box if additional transactions are listed on the supplemental page.								
Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility								
commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the								
supplemental page entitled "Employees Related to Utility Officials."								
supplemental page entitled 'Employee	official control of ficial states							
	11	n						
Kenneth Fisher	Meuneth Fish	, . 10a						
(Print Name)	(Signed)							
	13							
General Manager / Superintendent								

^{* &}quot;Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COUNTY OF Sautel

Subscribed and sworn to before me by Anneth Stisher (Name)

this 2nd day of Avenuer , 20 15.

NOTARY PUBLIC/ State-at-Large

EMPLOYEE

RELATED TO

Carlos M. Scarbrough (Meter Reader)

represents all present transactions and those transactions occurring within the past twenty-four (24) months between Laurel County Water District #2 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits,					
parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions"					
include, all transactions and navments in eyeess of \$75 (10) eyeent regular salany wages and henetits					
made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10					
employee, director, commissioner or person with a 10 percent or greater ownership interest in the					
Utility or 5) a business enterprise in which any current or former Utility employee, director,					
commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.					
member of such person has an ownership interest.					
Name of Related Party Type of Service Provided Amount of					
(Individual or Business) By Related Party Compensation					
Rush's Transmission Transmission repairs \$5,505.00					
Amy Cupp Uniform T-shirts \$250.00					
Check this box if the Utility has no related party transactions.					
Check box if additional transactions are listed on the supplemental page.					
Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Util					
commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each					
employee and the official to whom they are related and the nature of the relationship are listed on the					
supplemental page entitled "Employees Related to Utility Officials."					
David Moore Thank & Morre					
(Print Name) David Moore (Signed) (Signed)					
Commissioner					

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF <u>Saurel</u>

Subscribed and sworn to before me by

Name) (1000)

this $\frac{2Nd}{d}$ day of _

NOTARY PUBLIC State-at-Large

EMPLOYEE

RELATED TO

Carlos M. Scarbrough (Meter Reader)

represents all present transactions and months between Laure parties that exceed \$25.00 in value. For include, all transactions and payments made directly to or on behalf of: 1) the members of the Utility's board of compercent or greater ownership interest employee, director, commissioner or putility or 5) a business enterprise in	or the purpose of this statement, "related in excess of \$25.00, except regular salary, be Utility's current or former employees; in missioners or board of directors; 3) persent in the Utility; 4) family members* of person with a 10 percent or greater owned which any current or former Utility reent or greater owners or greater owners.	past twenty-four (24) "Utility") and related diparty transactions" wages and benefits, 2) current or former sons who have a 10 any current Utility ership interest in the employee, director,			
Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation			
Rush's Transmission	Transmission repairs	\$5,505.00			
Amy Cupp	Uniform T-shirts	\$250.00			
Check this box if the Utility has no	o related party transactions.				
Check box if additional transaction	ons are listed on the supplemental page.				
Check box if any employee of the Utility is a family member of the Utility's chief executive officer, a Utility commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each employee and the official to whom they are related and the nature of the relationship are listed on the supplemental page entitled "Employees Related to Utility Officials."					
Kenneth Finley (Print Name) Vice-President / Treasurer / Commission	Lemet J (signed)	inle			

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COUNTY OF <u>Jaurel</u>

Subscribed and sworn to before me by <u>Inneth</u> (Name)

this <u>and</u> day of <u>Jaurel</u>, 20 15.

State-at-Large

EMPLOYEE

RELATED TO

Carlos M. Scarbrough (Meter Reader)

I swear or affirm to the best of	my knowledge and belief the informa	tion set forth below						
represents all present transactions and t								
months between Laurel County Water District #2 ("Utility") and related								
parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10								
						percent or greater ownership interest	in the Utility; 4) family members* of	f any current Utility
						employee, director, commissioner or pe	rson with a 10 percent or greater own	ership interest in the
						Utility or 5) a business enterprise in which any current or former Utility employee, director,		
commissioner or person with a 10 person		ne Utility or a family						
member of such person has an ownershi	p interest.							
Name of Related Party	Type of Service Provided	Amount of						
(Individual or Business)	By Related Party	Compensation						
To death of the second of the								
Rush's Transmission	Transmission repairs	\$5,505.00						
Amy Cupp	Uniform T-shirts	\$250.00						
Check this box if the Utility has no	related party transactions							
Check this box if the othicy has no	related party transactions.							
Check box if additional transaction	ns are listed on the supplemental page.							
	Utility is a family member of the Utility's o							
commissioner, or any person with a 10 percent or greater ownership interest in the Utility. The name of each								
employee and the official to whom they		nship are listed on the						
supplemental page entitled "Employees	Related to Utility Officials."							
	TO.	~ #						
Tom Baker III		~ <u> </u>						
(Print Name)	(Signed)							
C								
Commissioner (Position/Office)								

^{* &}quot;Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF

Subscribed and sworn to before me by

v Baker

this <u>de</u>day of

NOTARY PUBLIC State-at-Large

EMPLOYEE

RELATED TO

Carlos M. Scarbrough (Meter Reader)