COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

AN INVESTIGATION OF A POSSIBLE VACANCY) CASE NO. ON THE BOARD OF COMMISSIONERS OF) 2015-00305 ADAIR COUNTY WATER DISTRICT)

ORDER

On November 18, 2015, the Commission entered an Order finding that a vacancy exists on the Board of Commissioners of Adair County Water District ("Adair District"), and ordered any person who wished to nominate a candidate to fill the vacancy to submit in writing the candidate's name, address, and telephone number within 20 days of the date of the Order. On December 23, 2015, the Commission issued an Order directing six individuals¹ for whom nominations were submitted to file with the Commission, no later than January 4, 2016, the information listed in Appendix A to the Order. The Commission also ordered Adair District to file with the Commission, no later than January 4, 2016, the information listed in Appendix B to the Order regarding the six candidates.

The Commission did not serve a copy of the December 23, 2015 Order on the six listed candidates. The Commission also did not include in its December 23, 2015 Order

¹ The six nominees listed in the Commission's Order are James Harper, William Harris, Larry Bryant, Joseph Pyles, Lee Stephens, and Bradley Hayes.

the names of two individuals who were nominated to fill the vacancy prior to the November 18, 2015 Order.²

The Commission, on its own motion, finds that the December 23, 2015 Order and Appendix B thereto should be amended to include Sharon Shields-Smock and Rob Wilkerson as candidates to fill the vacancy on the Adair District Board of Commissioners. Additionally, the deadline for the candidates, including Sharon Shields-Smock and Rob Wilkerson, and Adair District to file with the Commission the information listed in the appendices to the Order should be extended.

IT IS THEREFORE ORDERED that:

- 1. Sharon Shields-Smock, Rob Wilkerson, James Harper, William Harris, Larry Bryant, Joseph Pyles, Lee Stephens, and Bradley Hayes shall each file with the Commission, no later than January 11, 2016, the original and two copies of the information listed in Appendix A, with a copy to Adair District. A party's failure to file the requested information in a timely manner shall be considered as notice that the party does not wish to be considered for appointment to Adair District's Board of Commissioners.
- Adair District shall file with the Commission, no later than January 11,
 2016, the original and two copies of the information listed in Appendix B.
- 3. a. Responses to requests for information shall be appropriately bound, tabbed and indexed and shall include the name of the witness responsible for responding to the questions related to the information provided, with copies to all parties of record and two copies to the Commission.

² Prior to the November 18, 2015 Order, the Commission received Margo Miller's nomination of Sharon Shields-Smock, and Anthony Janes' nomination of Rob Wilkerson.

b. Each response shall be answered under oath, or, for representatives of a public or private corporation or a partnership or association or a governmental agency, be accompanied by a signed certification of the preparer or person supervising the preparation of the response on behalf of the entity that the response is true and accurate to the best of the person's knowledge, information and belief formed after a reasonable inquiry.

c. Any party shall make timely amendment to any prior response if it obtains information which indicates that the response was incorrect when made or, though correct when made, is now incorrect in any material aspect.

d. For any request to which a party fails or refuses to furnish all or part of the requested information, that party shall provide a written explanation of the specific grounds for its failure to completely and precisely respond.

e. Careful attention should be given to copied material to ensure that it is legible.

4. The responses to Appendix A, Item 20, shall be afforded confidential treatment. The Executive Director³ shall ensure that this information is redacted from any response to this Order that is made available for public inspection.

ENTERED

By the Commission

DEC 3 n 2015

ATTEST:

KENTUCKY PUBLIC SERVICE COMMISSION

Executive Director

³ See Ky. OAG 07-ORD-120 (May 31, 2007); Ky. OAG 04-ORD-010 (Jan. 14, 2004); Ky. OAG 79-275 (Mar. 27, 1979).

APPENDIX A

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2015-00305 DATED DEC 3 0 2015

- Provide your resume or curriculum vitae.
- State your date of birth.
- State your current street address and your current mailing address (if different from your street address.)
- 4. State whether you have been convicted of violating any law (other than minor traffic offenses). If yes, list the conviction, the court that adjudged the sentence, and date and place.
 - State whether you reside in Adair District's territory.
- 6. State whether you have fought a duel with deadly weapons or sent or accepted a challenge to fight a duel with deadly weapons, acted as a second in in carrying a challenge, or aided or assisted any person doing so.
 - 7. State whether you are a customer of Adair District.
 - 8. Describe your educational background.
 - 9. Describe your experience in operating or managing a water utility.
- Describe your experience in managing or operating organizations similar to a water utility.
- List all positions that you currently hold with any local, state, or federal governmental entity.
- a. List all non-governmental organizations and non-profit corporations for which you serve as an officer.

- b. For each organization and corporation listed, state whether the organization or corporation transacts business with Adair District.
- List all contracts that you have entered into with Adair District to provide goods or services. Provide a copy of each contract.
- 14. List all business transactions that you have had with Adair District in a personal or non-official capacity.
- 15. List all family members that Adair District currently employs and the position that each family member holds. State the date on which each family member began his or her employment with Adair District.
- 16. List all family members that Adair District has previously employed and the position that each family member held. State the date on which each family member began and ended his or her employment with Adair District.
- 17. To the best of your knowledge, list all contracts that businesses owned by family members have entered into with Adair District to provide goods and services.
- 18. To the best of your knowledge, list all business transactions that businesses owned by family members have entered into with Adair District to provide goods and services
- 19. List all courses of water district management training that you have attended since January 1, 2001.
 - 20. State your Social Security number.
- 21. If you have previously served as a member of Adair District's Board of Commissioners, state:
 - a. The period in which you served;

b. The number of Adair District Board of Commissioner meetings during this period that you failed to attend.

APPENDIX B

APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 2015-00305 DATED DEC 3 0 2015

- 1. List all contracts that Sharon Shields-Smock, Rob Wilkerson, James Harper, William Harris, Larry Bryant, Joseph Pyles, Lee Stephens, and Bradley Hayes have entered into with Adair District to provide goods or services. Provide a copy of each contract and the minutes of the meeting of the Adair District Board of Commissioners in which the contract was approved.
- List all business transactions that Sharon Shields-Smock, Rob Wilkerson,
 James Harper, William Harris, Larry Bryant, Joseph Pyles, Lee Stephens, and Bradley
 Hayes have entered into with Adair District in a personal or non-official capacity.
- 3. List all family members of Sharon Shields-Smock, Rob Wilkerson, James Harper, William Harris, Larry Bryant, Joseph Pyles, Lee Stephens, and Bradley Hayes that Adair District currently employs and the position that each family member holds. State the date on which each family member began his or her employment with Adair District.
- 4. List all family members of Sharon Shields-Smock, Rob Wilkerson, James Harper, William Harris, Larry Bryant, Joseph Pyles, Lee Stephens, and Bradley Hayes that Adair District has previously employed and the position that each family member held. State the date on which each family member began and ended his or her employment with Adair District.

Adair County Fiscal Court 424 Public Square Ste 1 Columbia, KENTUCKY 42728 Bradley Hayes 2507 Old Grayville Rd Columbia, KENTUCKY 42742

*Adair County Water District 109 Grant Lane P. O. Box 567 Columbia, KY 42728 Hon. Jennifer Hutchison-Corbin Adair County Attorney 424 Public Square Suite 22 Columbia, KENTUCKY 42728

Board of Commissioners Adair County Water 109 Grant Lane Columbia, KENTUCKY 42728 Joseph Pyles P.O. Box 216 403 Fairground St. Columbia, KENTUCKY 42728

Larry Bryant 1601 Bull Run Road Columbia, KENTUCKY 42728 Sharon Shields-Smock 1475 Murrah Rd Columbia, KENTUCKY 42728

*Jennifer Carter Office Manager Adair County Water District 109 Grant Lane P. O. Box 567 Columbia, KY 42728 Lee Stephens 375 Office Park Dr. Columbia, KENTUCKY 42728

James Harper 613 Greensburg St. Columbia, KENTUCKY 42728 *Judge Michael L Stephens Adair County Judge/Executive 424 Public Square Ste 1 Columbia, KENTUCKY 42728

William "Wid" Harris 17 Cox Road Columbia, KENTUCKY 42728 Rob Wilkerson P.O. Box 74 Columbia, KENTUCKY 42728