



Steven L. Beshear  
Governor

Leonard K. Peters  
Secretary  
Energy and Environment Cabinet

Commonwealth of Kentucky  
**Public Service Commission**  
211 Sower Blvd.  
P.O. Box 615  
Frankfort, Kentucky 40602-0615  
Telephone: (502) 564-3940  
Fax: (502) 564-3460  
psc.ky.gov  
April 30, 2015

David L. Armstrong  
Chairman

James W. Gardner  
Vice Chairman

Daniel E. Logsdon Jr.  
Commissioner

## PARTIES OF RECORD

Re: Case No. 2014-00396

Attached is a copy of a memorandum which is being filed in the record of the above-referenced case. If you have any comments you would like to make regarding the contents of the memorandum please do so within five days of receipt of this letter. If you have any questions, please contact Jim Livers at (502)782-2655.

Sincerely,

A handwritten signature in blue ink, appearing to read "Jeff Derouen".

Jeff Derouen  
Executive Director

## Attachments

**INTRA-AGENCY MEMORANDUM**  
**KENTUCKY PUBLIC SERVICE COMMISSION**

**TO:** Case File – Case No. 2014-00396

**FROM:** Jim Livers, Division of Financial Analysis

**DATE:** April 30, 2015

**RE:** Informal Conferences of April 9, 14, and 23, 2015 and Teleconference of April 27, 2015

Pursuant to Orders issued on April 6, 2015 and April 24, 2015 and a Staff Notice issued on April 10, 2015, informal conferences (“IC”) were held in this matter at the Commission’s office in Frankfort, Kentucky on April 9, 2015, April 14, 2015, and April 23, 2015. At the request of Kentucky Power Company, the ICs were scheduled to allow the parties an opportunity to discuss potential settlement of the issues in this proceeding. A list of attendees for each day of the IC is attached to this memorandum.

The Attorney General (“AG”) attended the IC on April 9, 2015 and April 14, 2015; however, when settlement discussions resumed on April 23, 2015, the AG had indicated to the parties that it would not be a signatory to the settlement agreement and therefore participated via phone only at the beginning of the IC in order to discuss procedural issues. Once the procedural issues were discussed, the AG ended its participation at the April 23, 2015 IC. With the exception of the AG, the parties to this matter arrived at an agreement in principle for the resolution of all issues raised in this matter. The parties to the settlement agreement conferred via teleconference on April 27, 2015 to review the language of the draft settlement agreement.

Attachment:

Attendance Lists

COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION OF KENTUCKY POWER )  
COMPANY FOR: (1) A GENERAL )  
ADJUSTMENT OF ITS RATES FOR ELECTRIC )  
SERVICE; (2) AN ORDER APPROVING ITS ) CASE NO.  
2014 ENVIRONMENTAL COMPLIANCE PLAN; ) 2014-00396  
(3) AN ORDER APPROVING ITS TARIFFS AND )  
RIDERS; AND (4) AN ORDER GRANTING ALL )  
OTHER REQUIRED APPROVALS AND RELIEF )

=====  
April 9, 2015 Informal Conference  
=====

Please sign in:

NAME	REPRESENTING
<u>Jim Livers</u>	<u>PSC - FA</u>
<u>Ron Handziak</u>	<u>PSC - FA</u>
<u>Bob Russell</u>	<u>PSC - FA</u>
<u>Aaron Ann Cole</u>	<u>PSC. Legal</u>
<u>Leah Faulkner</u>	<u>PSC - FA</u>
<u>Nancy J Vinsel</u>	<u>PSC - Legal</u>
<u>Jacob Michal</u>	<u>KSBA</u>

JEFF SHAW

PSC - FA

Mary Whitaker

PSC-FA

JEFF JOHNSON

PSC-ENG

Angela M. COAD

OAG

Matt Malone

KSBA

RON WILHITE

KSBA

RICHARD RAFF

PSC-LEGAL

Carrie

~~Richard~~ Harris

Wal-mart (participated telephonically)

Jonathan Bayer

PSC

Bry Pauling

MARK R. OVERSTREET

Rich Munczinski

William Allen

Ranie Wohles

Alex Taylor

KEN GISH

Jennifer Black Hawk

JW Cook

Mike Kurtz

Kurt Boehm

Jody Cahn

Chris Whelan

Derek Williamson

   
   
   
 

KY PCO

STATES' HABERSON FOR KY PCO

AEP

AEP

KPC

AEP

STATES' HABERSON FOR KPCO

OAG

OAG

KIUC

KIUC

KIUC

PSC - FA

wal-mart (telephonic participation)

COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION OF KENTUCKY POWER )  
COMPANY FOR: (1) A GENERAL )  
ADJUSTMENT OF ITS RATES FOR ELECTRIC )  
SERVICE; (2) AN ORDER APPROVING ITS ) CASE NO.  
2014 ENVIRONMENTAL COMPLIANCE PLAN; ) 2014-00396  
(3) AN ORDER APPROVING ITS TARIFFS AND )  
RIDERS; AND (4) AN ORDER GRANTING ALL )  
OTHER REQUIRED APPROVALS AND RELIEF )

=====  
April 14, 2015 Informal Conference  
=====

Please sign in:

NAME

REPRESENTING

Chris Whelan

PSC - FA

Greg Paul

KYPC

MARK R. OUCHETTE

STUART MORRISON PA  
KPCO.

Ramona Wohlfahrt

KPCO.

JEFF SHAW

PSC - FA

Virginia Stegg

PSC - Legal

COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION OF KENTUCKY POWER )  
COMPANY FOR: (1) A GENERAL )  
ADJUSTMENT OF ITS RATES FOR ELECTRIC )  
SERVICE; (2) AN ORDER APPROVING ITS ) CASE NO.  
2014 ENVIRONMENTAL COMPLIANCE PLAN; ) 2014-00396  
(3) AN ORDER APPROVING ITS TARIFFS AND )  
RIDERS; AND (4) AN ORDER GRANTING ALL )  
OTHER REQUIRED APPROVALS AND RELIEF )

=====  
April 14, 2015 Informal Conference  
=====

Please sign in:

**NAME**

**REPRESENTING**

RON WILLHITE

KSBA

William Allen

KPCO

Rich Munczinsk

AEP

Alex Taylor

AEP

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COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION OF KENTUCKY POWER )  
COMPANY FOR: (1) A GENERAL )  
ADJUSTMENT OF ITS RATES FOR ELECTRIC )  
SERVICE; (2) AN ORDER APPROVING ITS ) CASE NO.  
2014 ENVIRONMENTAL COMPLIANCE PLAN; ) 2014-00396  
(3) AN ORDER APPROVING ITS TARIFFS AND )  
RIDERS; AND (4) AN ORDER GRANTING ALL )  
OTHER REQUIRED APPROVALS AND RELIEF )

=====  
April 14, 2015 Informal Conference  
=====

Please sign in:

NAME

REPRESENTING

RICHARD G. RAFF

PSC-LEGAL

Ann Ann Cole

PSC-legal

Tim LIVERS

PSC-FA

Ron Handzate

Psc-FA

Bob Russell

PSC-FA

Nancy J. Vinsel

PSC-legal

Leah Faulkner

PSC-FA

Derrick Williamson

Walmart (on telephone)

Carrie Harris

Walmart Co ~ telephone



COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION OF KENTUCKY POWER )  
COMPANY FOR: (1) A GENERAL )  
ADJUSTMENT OF ITS RATES FOR ELECTRIC )  
SERVICE; (2) AN ORDER APPROVING ITS ) CASE NO.  
2014 ENVIRONMENTAL COMPLIANCE PLAN; ) 2014-00396  
(3) AN ORDER APPROVING ITS TARIFFS AND )  
RIDERS; AND (4) AN ORDER GRANTING ALL )  
OTHER REQUIRED APPROVALS AND RELIEF )

=====  
April 14, 2015 Informal Conference  
=====

Please sign in:

NAME

REPRESENTING

<u>JEFF JOHNSON</u>	<u>PSC, ENG</u>
<u>Mary Whitaker</u>	<u>PSC-FA</u>
<u>Jim Stevens</u>	<u>PSC-FA</u>
<u>Angela Gump</u>	<u>OAG</u>
<u>Matt Malone</u>	<u>KSBA</u>
<u>JENNIFER BLACK HANS <i>BA</i></u>	<u>OAG</u>
<u>Larry Cook</u>	<u>OAG</u>

Mike Kurtz  
LANE KOLLEN  
Kurt Boehm  
Jody Ghn

KIUC  
KIUC  
KIUC  
KIUC

Case No. 2014-00396  
4/14/15  
P. 5

COMMONWEALTH OF KENTUCKY  
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION OF KENTUCKY POWER COMPANY )  
FOR: (1) A GENERAL ADJUSTMENT OF ITS ) CASE NO.  
RATES FOR ELECTRIC SERVICE; (2) AN ORDER ) 2014-00396  
APPROVING ITS 2014 ENVIRONMENTAL )  
COMPLIANCE PLAN; (3) AN ORDER APPROVING )  
ITS TARIFFS AND RIDERS; AND (4) AN ORDER )  
GRANTING ALL OTHER REQUIRED APPROVALS )  
AND RELIEF )

April 23, 2015

Please sign in:

NAME	REPRESENTING
<u>Quang D. Nguyen</u>	<u>PSC</u>
<u>Tim Livers</u>	<u>PSC</u>
<u>JEFF SHAW</u>	<u>PSC</u>
<u>Leah Faulkner</u>	<u>PSC</u>
<u>Chris Whelan</u>	<u>PSC</u>
<u>Rene Wohnhaas</u>	<u>KPC</u>
<u>MARK R. O'NEAL</u>	<u>STAFF REPRESENTATIVE FOR KY. POWER</u>
<u>Wile A. Allen</u>	<u>ABP</u>
<u>Matt Malone</u>	<u>KSBA</u>
<u>Kurt Boehm</u>	<u>KIUC</u>
<u>Milke Kurtz</u>	<u>KIUC</u>

Jody Kyler Cohn

RON WILLHITE

KEN GISH

JEFF JOHNSON

Mary Whitaker

Nancy Vinsel

RICHARD RAFF

Angela Goad

Lamy Cook

Jennifer Hans

Derrick Wilson

KIUC

KSBA

STITES + HARRISON FOR KPCO

PSC

PSC-FA

PSC-Legal

PSC-LEGAL

AG

AG

AG

Wal-Mart

4/23/18

**Livers, Jim (PSC)**

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**Subject:**

FW: KY PSC Case No. 2014-00396 (Kentucky Power)

Case No. 2014-00396

April 27, 2015

Informal Conference

1. Quang Nguyen PSC Staff
2. David Spenard PSC Staff
3. Leah Faulkner PSC Staff
4. Chris Whelan PSC Staff
5. Bob Russell PSC Staff
6. Jeff Johnson PSC Staff
7. Jim Livers PSC Staff
8. Michael L. Kurtz KIUC
9. Kurt Boehn KIUC
10. Jody Cohn KIUC
11. Matthew R. Malone Kentucky School Boards Association
12. Ranie Wohnhas Kentucky Power/AEP
13. Mark Overstreet Kentucky Power/AEP
14. Kenneth Gish Kentucky Power/AEP
15. Bill Allen (?) Kentucky Power/AEP
16. David Roush Kentucky Power/AEP
17. Derrick P. Williamson Walmarts

\*Lawrence W Cook  
Assistant Attorney General  
Office of the Attorney General Utility & Rate  
1024 Capital Center Drive  
Suite 200  
Frankfort, KENTUCKY 40601-8204

\*Honorable Matthew R Malone  
Attorney at Law  
Hurt, Crosbie & May PLLC  
The Equus Building  
127 West Main Street  
Lexington, KENTUCKY 40507

\*Kenneth J Gish, Jr.  
Stites & Harbison  
250 West Main Street, Suite 2300  
Lexington, KENTUCKY 40507

\*Honorable William H May, III  
Attorney at Law  
Hurt, Crosbie & May PLLC  
The Equus Building  
127 West Main Street  
Lexington, KENTUCKY 40507

\*Angela M Goad  
Assistant Attorney General  
Office of the Attorney General Utility & Rate  
1024 Capital Center Drive  
Suite 200  
Frankfort, KENTUCKY 40601-8204

\*Barry Alan Naum  
Spilman Thomas & Battle, PLLC  
1100 Brent Creek Blvd., Suite 101  
Mechanicsburg, PENNSYLVANIA 17050

\*Jennifer Black Hans  
Assistant Attorney General  
Office of the Attorney General Utility & Rate  
1024 Capital Center Drive  
Suite 200  
Frankfort, KENTUCKY 40601-8204

\*Honorable Mark R Overstreet  
Attorney at Law  
Stites & Harbison  
421 West Main Street  
P. O. Box 634  
Frankfort, KENTUCKY 40602-0634

\*Carrie M Harris  
Spilman Thomas & Battle, PLLC  
1100 Brent Creek Blvd., Suite 101  
Mechanicsburg, PENNSYLVANIA 17050

\*Don C A Parker  
Spilman Thomas & Battle, PLLC  
1100 Brent Creek Blvd., Suite 101  
Mechanicsburg, PENNSYLVANIA 17050

\*Kentucky Power Company  
101 A Enterprise Drive  
P. O. Box 5190  
Frankfort, KY 40602

\*Derrick P Williamson  
Spilman Thomas & Battle, PLLC  
1100 Brent Creek Blvd., Suite 101  
Mechanicsburg, PENNSYLVANIA 17050

\*Honorable Michael L Kurtz  
Attorney at Law  
Boehm, Kurtz & Lowry  
36 East Seventh Street  
Suite 1510  
Cincinnati, OHIO 45202

\*Ranie Wohnhas  
Managing Director, Reg & Finance  
Kentucky Power Company  
101 A Enterprise Drive  
P. O. Box 5190  
Frankfort, KY 40602