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COMMONWEALTH OF KENTUCKY BEFORE THE PUBLIC SERVICE COMMISSION

JUN 0 4 2015

PUBLIC SERVICE COMMISSION

In the Matter of:

APPLICATION OF BULLITT UTILITIES, INC.)FOR A CERTIFICATE OF CONVENIENCE AND)NECESSITY AND SURCHARGE FOR SAME)

SECOND SUPPLEMENT TO ANSWERS OF BULLITT UTILITIES INC., TO THE ATTORNEY GENERAL'S SECOND REQUEST FOR INFORMATION

Comes Bullitt Utilities, Inc. ("Bullitt Utilities"), by counsel, and for its Second Supplement to Answers to the Attorney General's Second Request for Information, states as follows. Bullitt Utilities objects to the Attorney General's Second Request for Information to the extent that they seek to impose requirements in addition to the applicable Public Service Commission rules and/or regulations.

Information Request No. 6: Provide documentation in your possession from either government agencies or privately retained consultants that contain theories or causes for the collapse of the aeration tank.

Answer: Objection. Bullitt Utilities objects to this request on the basis that it seeks information that is subject to the attorney client privilege and/or that is subject to the work product doctrine. Without waiving this objection, see Attachment A.

Respectfully submitted, 1. Mon Du

Robert C. Moore HAZELRIGG & COX, LLP 415 West Main Street, 1st Floor P. O. Box 676 Frankfort, Kentucky 40602-0676 (502) 227-2271

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing was served by hand delivery on Jeff Derouen, Executive Director, Public Service Commission, 211 Sower Blvd., P.O. Box 615, Frankfort, Kentucky 40602 and by United States Mail on Gregory T. Dutton and Jennifer Black Hans, Assistant Attorney General, 1024 Capital Center Drive, Suite 200, Frankfort, Kentucky 40601-8204, on this the 4th day of June, 2015.

Robert C. Moon





April 30, 2014

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Mr. Robert C. Moore Hazelrigg & Cox, LLP 415 West Main Street P. O. Box 676 Frankfort, KY 40602-0676

SUBJECT:

Hunters Hollow Treatment Plant Field Inspection for Steel Tank Bullitt County, Kentucky

Dear Mr. Moore:

This letter serves as a summary of a structural field inspection and review of site conditions for the recent tank failure at the Hunter's Hollow Treatment Plant in Buillitt County, Kentucky:

The field review noted that multiple sections of the steel aeration tank separated from both the concrete foundation and clarifier tankage spilling contents of the treatment facility across the site and parking area. A temporary treatment system has been installed on-site to currently handle treatment of as much flow as possible. Sections of the steel aeration tank were observed laying at different locations just outside the tank's original perimeter with some sections intact while others had been cut to allow sections to be stacked near each other.

Inspection of the existing welds did not identify that structural tank failure occurred at these weld joints, as can be seen in the attached photographs. The steel tank pleces were welded to a piece of 8° channel to join the tank that was originally set in a concrete foundation about 4° deep (on the channel). These steel tank pieces and channel were observed to have been ripped out of the concrete foundation of the tank. Additionally, large chunks of concrete foundation were scattered about the site and around the steel tank pleces that had been stacked.

Since the lower portion of the tank receives a higher structural load and based on review of the channel pulled from the concrete foundation, we have estimated that separation must have occurred at the bottom of the tank and from a possible rupture or failure directly at the concrete foundation level. This could have been caused from concrete cracking, or insufficient sub-surface conditions that allows differential settlement. It was noted from talking with the treatment plant operator that this site has typically been identified as a wet "swampy" area adjacent to this existing stream.

We were unable to inspect the tank concrete foundation in detail due to the great amount of sludge that was covering the entire foundation section. Once this material has been cleared from the site additional inspection of the concrete foundation slab is recommended. Additionally, testing by a geotechnical engineer to determine concrete foundation thickness, soil testing beneath the slab, and depth to rock is also





recommended. This could also determine if any groundwater is present underneath the slab. Additionally, once the sludge has been removed specific areas of the concrete foundation can be further inspected to identify if the exact location of the failure can be determined.

As can be expected, the portion of the exterior wall of the main aeration tank still standing was more heavily reinforced in the area connected to the digestor tank and interior clarifier tank, as well as being supported by the stairs and connecting catwalks at this location. This section is still standing and pieces of the steel tank separated from this section at the welds due to the force of the wall being pulled out of the concrete foundation.

Again, we recommend that additional inspection and testing be performed in order to identify more details of the failure.

If you have questions please do not hesitate to give me a call at (502):292-9288.

Sincerely,

Christopher T. Crumpton/Civil Engineer

Robert T. Trautwein/Structural Engineer

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Mr. Robert C. Moore Hazelrigg & Cox, LLP 415 West Main Street P. O: Box 676 Frankfort, KY 40602-0676

SUBJECT:

Hunters Hollow Treatment Plant Field Inspection & Analysis for Structural Slab/Foundation Bullitt County, Kentucky

Dear Mr. Moore:

Background:

This letter serves as a summary of an additional structural field inspection to review the concrete foundation, and slab for the subject treatment plant, after the sludge was vacuumed out to clean the area. The purpose of the inspection was to check the remaining concrete slab under the original tank, and to inspect the foundation area. Where the steel tank sides (and channel) were pulled away from the foundation during the failure. Reference Exhibit "A" to identify location of pictures taken during the review process (Exhibit "A" is an aerial view of the site prior to the failure looking east towards Blue Lick Road).

The field review noted that the "interior" concrete slab was actually in very good condition and did not show signs cracking or settlement (Exhibit "B"). A concrete scan was not performed, but the interior slab should have steel reinforcement that held the slab in place during the failure of the tank. While not all of the slab was visible due to some portions still remaining covered with sludge material, and/or the remaining section of reinforced mixing tank/stairs and clarifler, Exhibit "C" shows the areas that were cleared and visable, mostly on the west side to the south and southeast (a majority of the slab that existed under the area of the aeration tank that failed).

Interior Slab Review:

The concrete slab "edge" where the concrete abutted the steel tank channel on the interior side is in good condition as shown in Exhibit "D". Only a couple damaged locations are shown along this interior edge, from where the temporary welded steel reinforcement bars were installed in the foundation at the time of the initial concrete pour to provide a connection for the tankage while the steel channel was installed and the second concrete pour for the foundation was completed. Exhibit "D" additionally shows various locations along the exterior foundation slab indicating the variable width of concrete slab on the "exterior" portion of the foundation. These widths range from only 4 or 5 inches, all the way up to 2 feet.

Most important to note is that none of the exterior portion of the concrete foundation, that held the steel channel in place includes structural steel reinforcement. Upon failure of the tank and foundation, when the steel channel was pulled from the foundation, these exterior pieces of concrete slab separated into typical 3' and smaller sections that are scattered around the site. Examples of some of these exterior foundation slab pleces are shown in Exhibit "F". These exterior slab pieces were found as far as 100 feet away from the tank foundation site.

Note from our initial inspection letter dated April 30, 2014:

Engineers, PLLC

"Inspection of the existing welds did not identify that structural tank failure occurred of these weld joints, as can be seen in the attached photographs. The steel tank pieces were welded to a piece of 8" channel to join the tank that was originally set in a concrete foundation about 4" deep (on the channel). These steel tank pieces and channel were observed to have been ripped out of the concrete foundation of the tank. Additionally, large chunks of concrete foundation were scattered about the site and around the steel tank pieces that had been stacked."

Conclusion:

From inspection of the interior slab condition (very good), as well as inspection of the exterior of the foundation section (pieces that have broken off from the foundation and are scattered about the site) this is indicative of a shear failure of the concrete foundation between the interior and exterior sections of the foundation where the steel channel was installed, see Exhibit "G" for typical detail. Since the exterior portion of the concrete foundation did not include any additional steel reinforcement, the section of foundation from the steel channel outward separated along the shear plane and pulled free from the interior foundation's weakest point along the perimeter, which from the review of the perimeter damage seems to be at location "X" as seen in Exhibit "A" and "B", and as shown on Exhibit "F".

As discussed previously, additional testing may be performed by a geotechnical engineer to excavate the existing slab at specified locations to compare depth and thicknesses of the concrete slab and foundation, however this may not be needed since it is apparent that:

1. Along the exterior of the concrete foundation that the slab and foundation thickness varies.



- 2. The exterior portion of the concrete foundation did not include steel reinforcement to account for "tension" force created by the channel pulling out of the foundation. Thus, the many pleces of concrete foundation that separated from the tank foundation demonstrate this smooth "shear plane" on their interior side.
- 3. The interior slab looks to be in excellent condition

If you have questions please do not hesitate to give me a call at (502) 292.9288.

Sincerely,

Christopher T. Crunipton/Civil Engineer

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Robert T. Trautwein/Structural Engineer



(looking East)



Exhibit "B" – Interior Concrete Slab (looking East)





Exhibit "C" – Interior Concrete Slab

inters, PLLC





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Exhibit "G" – Foundation Section

(shear plane failure)







May 20, 2015

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Mr. Robert C. Moore Hazelrigg & Cox, LLP 415 West Main Street P. O. Box 676 Frankfort, KY 40602-0676

> SUBJECT: Hunters Hollow Treatment Plant Addendum for Field Inspection & Analysis for Structural Slab/Foundation Bullitt County, Kentucky

Dear Mr. Moore:

As discussed in our follow-up summary letter dated June 22, 2014 for structural inspections of the Hunters Hollow Wastewater Treatment Facility, and based on the review of the concrete foundation, the lack of reinforcing steel in the outer foundation section would not have been identified from normal inspection or maintenance activities. Therefore, lack of maintenance of the facility does not appear to be a cause or contributing factor of the failure of the Hunters Hollow WWTP.

If you have questions please do not hesitate to give me a call at (502) 292-9288.

Sincerely,

Christopher T. Crumpton Project Engineer