

Commissioners:
Robert Tucker, Chairman
Ruby Poe
Roy McGregor

South Hopkins Water District

Phone 270-797-5760
Fax 270-797-3800
129 S. Main St. P. O. Box 487
Dawson Springs, KY 42408

RECEIVED
JAN - 2 2014
PUBLIC SERVICE
COMMISSION

December 26, 2013

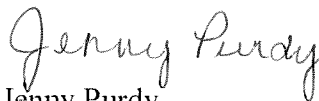
Ms. Linda Faulkner
Filings Division Director
Public Service Commission
P O Box 615
Frankfort, KY 40602-0615

RE: Case No. 2013-428
South Hopkins Water District
Alternative Rate Filing Adjustment

Per your letter dated December 16, 2013 enclosed are four (4) ARF Form-3s signed by each of our commissioners and our superintendent.

If you need anything else please contact me at 270-797-5760.

Sincerely,



Jenny Purdy
Office Manager

Enclosures

STATEMENT OF DISCLOSURE OF RELATED PARTY TRANSACTIONS

PUBLIC SERVICE COMMISSION

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between 12-19-11 and 12-19-13 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

Check this box if the Utility has no related party transactions.

Check box if additional transactions are listed on the supplemental page.

Ruby W. Poe
(Print Name)

Ruby W. Poe
(Signed)

Treasurer
(Position/Office)

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF Hopkins

Subscribed and sworn to before me by Ruby W. Poe
(Name)

this 26th day of December, 20 13.

Jenny L. Purdy
NOTARY PUBLIC
State-at-Large ID # 464695

My commission expires 4-26-16

JAN 02 2014

PUBLIC SERVICE COMMISSION

STATEMENT OF DISCLOSURE OF RELATED PARTY TRANSACTIONS

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between 12-19-11 and 12-19-13 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation
<i>Melissa Goodaker Harned Ins Agency</i>	<i>Package insurance policy</i>	<i>\$26,000/yr</i>

Check this box if the Utility has no related party transactions.

Check box if additional transactions are listed on the supplemental page.

Jon D. Blalock
(Print Name)

Jon Blalock
(Signed)

Superintendent
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Hopkins

Subscribed and sworn to before me by Jon D. Blalock
(Name)

this 19th day of December, 20 13.

Jenny L. Pender
NOTARY PUBLIC
State-at-Large ID# 1164695

my commission expires 4-26-2016

JAN 02 2014

PUBLIC SERVICE
 COMMISSION

**STATEMENT OF DISCLOSURE OF
 RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between 12-19-11 and 12-19-13 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

- Check this box if the Utility has no related party transactions.
- Check box if additional transactions are listed on the supplemental page.

Robert Joe Tucker
 (Print Name)

Robert J. Tucker
 (Signed)

Chairman
 (Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Hopkins

Subscribed and sworn to before me by Robert J. Swain
(Name)

this 20th day of December, 2013.

Jenny L. Pardy
NOTARY PUBLIC
State-at-Large ID# 464695

My commission expires 4-26-16

JAN 02 2014

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

PUBLIC SERVICE
COMMISSION

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between 12-19-11 and 12-19-13 ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

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Check box if additional transactions are listed on the supplemental page.

Roy O. McCreary
(Print Name)

Roy O. McCreary
(Signed)

Secretary
(Position/Office)

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COMMONWEALTH OF KENTUCKY

COUNTY OF Hopkins

Subscribed and sworn to before me by Roy O. McGr
(Name)

this 23rd day of Dec, 2013.

Mary Jopper
NOTARY PUBLIC
State-at-Large