

Goss ■ Samford PLLC

 Attorneys at Law

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August 27, 2013

RECEIVED

AUG 27 2013

PLC 2013-00172

Via Hand-Delivery

Mr. Jeffrey Derouen
Executive Director
Kentucky Public Service Commission
P.O. Box 615
211 Sower Boulevard
Frankfort, KY 40602

Case No. 2013-00172

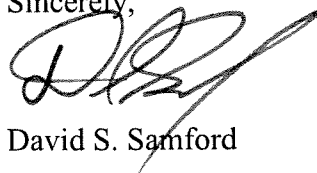
Re: In the Matter of the Application of Fern Lake Company for Rate Adjustment for Small Utilities Pursuant to 807 KAR 5:076

Dear Mr. Derouen:

Please accept this in response to your letter dated August, 20, 2013. Enclosed for filing is a completed ARF Form 3 for Mr. Gary Asher, who owns a 50% interest in Fern Lake Company. Mr. Larry Asher also owns a 50% interest in Fern Lake Company, but has been unable to complete the ARF Form 3. Based upon conversations with my client, Larry Asher is currently hospitalized and is not competent to complete the ARF Form 3 at this time.

Please file these documents in the record and return a copy to me. Feel free to contact me should you have any questions.

Sincerely,



David S. Samford

Enclosures

cc: Todd Osterloh
Gregory Dutton

REGISTRATION

AUG 27 2013

BOARD OF COMMISSIONERS
REGISTRATION

**STATEMENT OF DISCLOSURE OF
RELATED PARTY TRANSACTIONS**

I swear or affirm to the best of my knowledge and belief the information set forth below represents all present transactions and those transactions occurring within the past twenty-four (24) months between Fern Lake Company ("Utility") and related parties that exceed \$25.00 in value. For the purpose of this statement, "related party transactions" include, all transactions and payments in excess of \$25.00, except regular salary, wages and benefits, made directly to or on behalf of: 1) the Utility's current or former employees; 2) current or former members of the Utility's board of commissioners or board of directors; 3) persons who have a 10 percent or greater ownership interest in the Utility; 4) family members* of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or 5) a business enterprise in which any current or former Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or a family member of such person has an ownership interest.

Name of Related Party (Individual or Business)	Type of Service Provided By Related Party	Amount of Compensation

Check this box if the Utility has no related party transactions.

Check box if additional transactions are listed on the supplemental page.

GARY ASTER
(Print Name)

Gary Aster
(Signed)

President
(Position/Office)

* "Family Member" means any person who is the spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild of any current Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility; or is a dependent for tax purposes of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility or his or her spouse; or who is a member of the household of any Utility employee, director, commissioner or person with a 10 percent or greater ownership interest in the Utility.

COMMONWEALTH OF KENTUCKY

COUNTY OF Knox

Subscribed and sworn to before me by Gary Asher
(Name)

this 22nd day of AUGUST, 2013.

Dawn Renee Mackey-Wilson
NOTARY PUBLIC
State-at-Large

