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West Carroll Water District P O Box 45 Carrollton, KY 41008 (502) 732-7055

MAY 09 2013 PUBLIC SERVICE COMMISSION

Mr. Jeff Derouen, Executive Director Public Service Commission P.O. Box 615 Frankfort, KY 40602

2013-00041

Re: West Carroll Water District PSC Application for Certificate of Convenience and Necessity: Response to Request for Further Information

Dear Mr. Derouen:

Below and attached are the responses to Commission Staff's Request for Information to WCWD received by our office on May 1, 2013. The numbering and order of response matches the order set out in the Request for Information and the question is repeated for clarity with our response in bold and italics.

1) Provide detailed engineering drawings of the proposed extensions. These drawings shall be stamped, signed, and dated by a Kentucky licensed professional engineer in accordance with KRS 322.340.

Attached are five (5) additional copies of the stamped engineering plans. WCWD submitted ten (10) sets of plans and specifications on February 7, 2013 to PSC with the Certificate of Convenience and Necessity.

2) a. State whether a request for bids has been published in accordance with KRS 424.260. Yes, a request for bids was published in the April 24, 2013 edition of the News Democrat.

b. If no, state when West Carroll Water District or Carrollton Utilities expects to publish the request for bids.

See below.

- c. If yes,
 - State when the request was published and provide a copy of the notice.
 The request for bids was published on April 24, 2013 in the News Democrat and a copy of the request is attached.

- State the deadline for submission of bids to West Carroll Water District or Carrollton Utilities.
 Bids are due on May 15, 2013.
- 3) State the period of time for which a submitted bid must remain effective. *Potential contractors must keep their bids effective for 115 days.*
- 4) State when West Carroll Water District or Carrollton Utilities anticipates awarding the contract.
 Carrollton Utilities anticipates awarding the contract by June 7, 2013.
- 5) If bids have been submitted, or when bids are submitted, provide:
 - a. Each submitted bid; A copy of all bids will be submitted to PSC once the project is bid.
 - b. The tabulation or summary of submitted bids; *The engineer will supply a bid tabulation of the bids once the project is bid.*
 - c. The project engineer's recommendation regarding the submitted bids; *The engineer will supply a recommendation once the project is bid and the bids are reviewed for accuracy and compliance with specifications.*
 - d. The minutes of the meeting in which West Carroll Water District's Board of Commissioners or Carrollton Utility Commission selected the winning bid; *The Carrollton Utility Commission will select the winning bid once the project is bid and the bids have been reviewed. The West Carroll Water District will get a copy of the bids, bid tabulation and the memo to the CU Commission recommending award.*
 - e. Provide a breakdown of the total cost of the project including, but not limited to, the administrative costs, construction cost, engineering fees, inspection fees, contingencies, and legal expenses.

The total cost of the project as it is defined above will be provided to PSC once the project is bid and the cost to each utility will be defined.

6) Refer to County Underserved Project Preliminary Engineering Report at page 25. Using Table 10, state the number of households that each extension project will add to the West Carroll District's system.

Table 10 has been revised to reflect the number of households the extension project will add to the West Carroll Water District's system and it is attached.

If you have any questions or comments regarding the above or attached information please do not hesitate to call. Carrollton Utilities in conjunction with the West Carroll Water District are anxious to get the bids opened and awarded as soon as possible since the funding obligation from the Kentucky Infrastructure Authority expires on **June 8, 2013**. While there are options to extending this date we do want to burden KIA with additional requests since many entities are seeking funding. My contact information is as follows:

Terry A. Roach, PE Carrollton Utilities 225 6th Street Carrollton, KY 41008 Office: (502) 732-7055 Cell: (502) 525-0457 Email: <u>troach@carrolltonutilities.com</u>

Thank you again for your attention to this matter.

Sincerely:

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Terry Á. Roach, PE Utility Engineer

2) c. (1) Copy of Request for Bids

Being the same property conveyed to Brenda Kinman, single, from Herbert D. Kinman and Mary Catherine Kinman, husband and wife, by Deed dated July 17, 2007, of record in Deed Book 172, Page 696, in the Office of the Carroll County Court Clerk

THERE IS NO MOBILE HOME, DOUBLEWIDE AND/OR MANUFACTURED HOME INCLUDED IN THIS SALE

SECTION 00100

ADVERTISEMENT TO BID

COUNTYWIDE UNDERSERVED PROJECT WATER DISTRIBUTION AND WATER TREATMENT PLANT UPGRADES CONTRACTS 1-2012 AND 2-2012

CARROLLTON UTILITIES

Carrollton Utilities will receive sealed Bids for its Countywide Underserved Project until 3:30 P.M., local time, May 15, 2013, at 225 Sixth Street, Carrollton, Kentucky 41008, at which time the Bids will be publicly opened and read aloud.

The Work includes the installation of approximately 38,890 linear feet of new water main extensions, and two pump stations (Contract 1-2012), and installation of a new pump and water softening system at the Carrollton Utilities Water Treatment Plant with associated appurtenances and controls (Contract 2-2012).

Bids are to be addressed to the Carrollton Utilities, 225 Sixth Street, Carrollton, KY 41088 and shall be marked "Sealed Bid-Countywide Underserved Project-Contracts 1-2012 and 2-2012.1

Complete digital project bidding documents are available at www.strand.com.or at www.questcdn.com. Download the digital plan documents for \$30 by inputting Quest project number 2637271 on the website's Project Search page. Please contact QuestCDN.com at (952) 233 1632 or info@questcdn.com for assistance in free membership registration, downloading, and working with this digital project information.

Bidding Documents may be reviewed and paper copies may be obtained from the Issuing Office which is Strand Associates, Inc.®, 325 West Main Street, Suite 710, Louisville, Kentucky 40202, (502) 583 7020. A nonrefundable fee of \$100 will be required (shipping and handling fees included). Overnight mailing of Bidding Documents will not be provided.

All Bidders submitting a sealed Bid shall obtain the Bidding Documents from QuestCDN com or from Strand Associates, Inc.®

Bidders who submit a Bid must be a Plan Holder of record at the Issuing Office. Bids from Bidders who are not on the Plan Holders List may be returned as not being responsive.

The Bid must be accompanied by Bid security made payable to OWNER in an amount of 5% of the Bidder's maximum Bid price

Plan Holders are requested to provide an e mail address if they wish to receive addenda and other information electronically. Plan Holders are requested to designate whether they are a prime contractor, subcontractor, or supplier if they want this information posted on the project Plan Holders List.

Bidders shall comply with all provisions of KRS Chapter 337 with respect to labor wage rates and with Federal Davis Bacon Wage Rates. Not less than the higher

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For further information, see the Final Judgment and Order of Sale and pleadings of record in the Office of the Circuit Court of Carroll County.

EDWARD M. BOURNE SPECIAL MASTER COMMISSIONER CARROLL CIRCUIT COURT Ew

11Emocart prevailing hourly rate of wages shall be paid for Work under the Contract

13

Carrollton Utilities reserves the right to reject any or all Bids, to waive any technicality, and to accept any Bid which it deems advantageous. All Bids shall remain subject to acceptance for 115 days after the time set for receiving Bids.

Contract award shall be made based on the lowest responsive and responsible Bid.

This Bid is subject to Kentucky Revised Statutes Section 45A.490 through 45A.494, which in general provides that a "resident bidder" of Kentucky is to be given a bidding preference over a "nonresident bidder" who is registered in a state that gives preference to its in state resident bidders over a Kentucky resident bidder. The bidding preference is to be the same as that stipulated for the state of the "nonresident bidder". If the state of a "nonresident bidder" provides no specific preference then "resident" and "nonresident bidders" are to be treated the same when evaluating Bids.

All Bidders must comply with all Federal, State, and local Equal Employment Opportunity laws and regulations which prohibit discrimination in employment regarding race, creed, color, sex, or national origin.

All taxes are the responsibility of the successful Bidder unless specifically exempted in the Bidding Documents .

Bidder's attention is called to the fact that any Contract awarded under this Advertisement to Bid is expected to be funded in part by a loan from the Kentucky Infrastructure Authority (KIA) State Revolving Fund (SRF). The Bidder shall comply with the Supplemental General Conditions of the Clean Water State Revolving Fund and the Drinking Water State Revolving Fund (Water and Wastewater).

Bidders shall comply with 40 CFR 31.36 which includes but is not limited to the following: (1) the President's Executive Order 11246 (Equal Employment Opportunity) as amended, which prohibits discrimination in employment regarding race, creed, color, sex, or national origin, (2) Title VI of the Civil Rights Act of 1964, (3) the Anti Kickback Act, and (4) the Contract Work Hours Standards Act

CONTRACTOR and its Subcontractors shall comply with 41 CFR 60 4, in regard to affirmative action, to ensure equal opportunity to females and minorities and will apply the timetables and goals set forth in 41 CFR 60 4, if applicable to the area of the Project. Bidder shall make positive efforts to use small, minority, women owned, and disadvantaged businesses.

The Strand Associates, Inc.® project manager is Chris Keil, P.E. and can be contacted at Strand Associates. Inc.®, 325 West Main Street, Suite 710, Louisville, Kentucky 40202, (502) 583 7020 regarding the project.

Published by the authority of theCarrollton Utilities Bill Osborne, General Manager

Dated at Carrollton Utilities, Kentucky April 24, 2013



dishonorable discharge from the military. The successful applicant must attend a two-week training course in Richmond at the Kentucky Department of Criminal Justice training academy.

The position pays \$9.50 per hour and the successful applicant will work approximately 20 hours per week at the Carroll County Hall of Justice. Applications will be accepted April 19, 2013 through May 3, 2013 at the Carroll County Sheriff's Office, 440 Main Street, Carrollton. The office is open from 8:30 a.m. to 4:30 p.m. Monday-Friday.

PART TIME POSITION CARROLLTON UTILITIES

is accepting applications for a part time position of approximately 20 hours per week to perform meter reading and other service work. Candidate should have ability to read meters in an accurately and timely manner and ability to perform moderately strenuous

work for extended periods of time, often under adverse weather conditions. The successful applicant must possess and maintain a valid driver's license and complete a preemployment drug screen in accordance with U.S. Department of Transportation regulations and a background check. Applications for employment are available at the office of Carrollton Utilities, 225 Sixth Street, Carrollton KY, Monday through Friday from 8:00 A.M. until 4:30 P.M. Position open until filled. Carrollton Utilities is an equal opportunity employer.

Home Care



laheous Book 2, Page 94, in the Office of the Carroll

The amount of money to be raised by this sale is the principal sum of SEVENTY-SIX THOUSAND ONE HUNDRED THIRTY-FOUR DOLLARS AND THIRTY-ONE CENTS (\$76,134.31), together with accrued interest thereon to January 7, 2013, in the amount of \$2,997.92, and interest thereafter at the rate of \$14.2752 per day until Judgment, late fees of \$66.52, and interest thereafter at the rate of 12% per annum until the entire amount has been paid in full; and together with reasonable attorneys' fees and court

The real estate shall be sold on the terms of 10% cash at the time of the sale, except that said deposit shall be waived if the Plaintiff The First National Bank of Carrollton is the successful bidder at the sale, and the balance on a credit of thirty (30) days bearing in-

6) Revised Table 10

Name	Main Size/Road	Supply Main Size/Road	Supply Tank and Overflow Elevation	Supply Pump	High Ground Elevation (feet)	Minimum Pressure* (psi)	Potential # of new homes
A	3-inch water main Painter's Ridge Road	3-inch water main Wrights Ridge Road	Bells Ridge Tank 1,075 feet	N/A	875	38	2
В	3-inch water main RD Kendall Road	3-inch water main RD Kendall Road	Bells Ridge Tank 1, 075 feet	N/A	890	48	4
С	3-inch water main Miller's Branch	3-inch water main Miller's Branch	Bells Ridge Tank 1,075 feet	N/A	590	200	3
D	3-inch water main Hardy Creek	3-inch water main Hardy Creek	Bells Ridge Tank 1,075 feet	N/A	570	172	2
E	6-inch water main US 42/KY 36/Kings Ridge Rd	6-inch water main US 42/KY 36	General Butler Tank 721 feet	Proposed Kings Ridge Pump Station	815	50	0
F	3-inch water main Mound Hill Road	6-inch water main Mound Hill Road	Mound Hill Tank 999 feet	N/A	880	43	2
G	4-inch water main Gilgal Road	3-inch water main Gilgal Road	CU MM–73 psi min. at tie-in location or 688 feet	Proposed Gilgal Road PS	850	60	0
Н	3-inch water main Nora Lane	3-inch water main English Road	Gilgal Tank 976 feet	N/A	880	30	4

* Minimum Pressure calculations based on tank down 10 feet and peak demand headloss.

1) Engineering Plans

West Carroll Water District Case No. 2013-00041 CONTAINS LARGE OR OVERSIZED

MAP(S)

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