



JOSEPH H. MATTINGLY III
MARION COUNTY ATTORNEY

COMMONWEALTH OF KENTUCKY
OFFICE OF THE MARION COUNTY ATTORNEY
104 WEST MAIN STREET
P.O. BOX 678
LEBANON, KENTUCKY 40033
TELEPHONE: (270) 692-1260
TELEFAX: (270) 692-1249

LISA NALLY-MARTIN
ASSISTANT COUNTY ATTORNEY

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NOV 26 2012

PUBLIC SERVICE
COMMISSION

Writer's Email: jhmlaw@windstream.net

November 20, 2012

Mr. Jeff Derouen, Executive Director
Kentucky Public Service Commission
P. O. Box 615
Frankfort, Kentucky 40602

Re: Application of Marion County Water District for a Certificate of
Public Convenience and Necessity to Construct and Finance
Improvement Projects Pursuant to KRS 278.020 and 278.300

Dear Mr. Derouen:

Enclosed please find the original and ten copies of the Application and supporting documents as well as one paper copy of the specs and plans. I have enclosed a CD containing files of the specs and plans.

If you need anything further, please don't hesitate to contact me.

With kindest regards, I remain,

Very truly yours,

OFFICE OF THE MARION COUNTY ATTORNEY

By

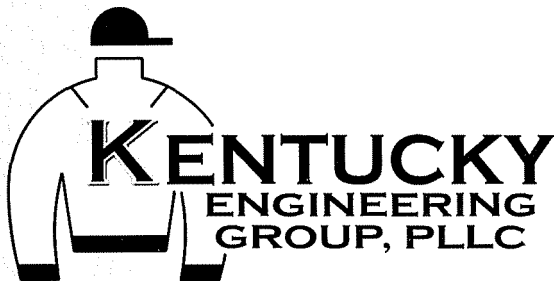

JOSEPH H. MATTINGLY III

JHM III:bo
Enclosures

cc: Ms. Barbara May, Marion County Water District
Ms. Holly Nicholas, Kentucky Engineering Group, PLLC

CONTRACT DOCUMENTS and SPECIFICATIONS

Contract No. 2
2012 Water System Improvements
KY 84 WATER MAIN REPLACEMENT
MARION COUNTY WATER DISTRICT
Lebanon, Kentucky



Kentucky Engineering Group, PLLC

P.O. Box 1034

Versailles, Kentucky 40383

July, 2012

KEG Project No. 12'

Set #1
Digital Planroom
www.lynnimaging.com

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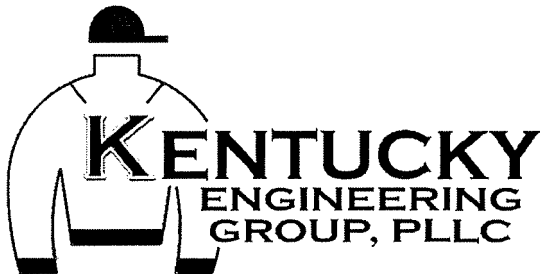
CONTRACT DOCUMENTS and SPECIFICATIONS

Contract No. 2

**2012 Water System Improvements
KY 84 WATER MAIN REPLACEMENT**

MARION COUNTY WATER DISTRICT

Lebanon, Kentucky



Kentucky Engineering Group, PLLC

P.O. Box 1034

Versailles, Kentucky 40383

July, 2012

KEG Project No. 12009

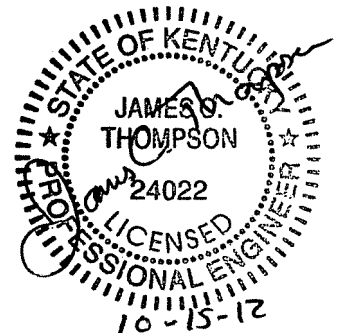


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MARION COUNTY WATER DISTRICT

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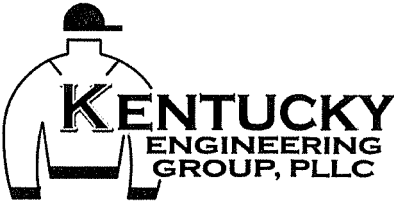
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BIDDING INFORMATION



BIDDING AND CONTRACT REQUIREMENTS

SECTION 00010

PRE BID INFORMATION

Sealed bids for “**Contract No. 2 – 2012 Water System Improvements – KY 84 Water Main Replacement Main**” for the Marion County Water District, Lebanon, Kentucky, will be received at the District’s office located at 1835 Campbellsville Rd., Lebanon, Kentucky 40033 until 11:00 a.m., Local Time, Thursday November 1, 2012 and then publicly opened and read aloud.

The program of work for which bids are to be submitted consists of approximately 23,500 lf of 6-inch PVC water main including all related appurtenances as shown on the Drawings and described in the Specifications for the Marion County Water District.

The Contract Time allotted for the completion of this Contract is Ninety (90) consecutive calendar days.

KENTUCKY ENGINEERING GROUP, PLLC, P.O. Box 1034, Versailles, Kentucky 40383
Phone: (859) 251-4127.

Marion County Water District, 1835 Campbellsville Rd., Lebanon, Kentucky 40033.
Phone: (270) 692-2004

F.W. Dodge/AGC, 950 Contract Street, Suite 100, Lexington, KY 40505

Reed Construction Data, 30 Technology Parkway South Suite 500, Norcross, Georgia 30092,
Ph. 877-891-0601, Fax 800-508-5370, E mail: rcdcentralnews@reedbusiness.com,
Web Site: www.reedbusiness.com

Builders Exchange, 2300 Meadow Drive, Louisville, Kentucky 40213

Copies of the Drawings, in full size and the Specifications and Contract Documents may be obtained from Lynn Imaging, 328 Vine Street, Lexington, Kentucky 40507, Phone 859-255-1021, upon receipt of a non-refundable amount of \$250.00 for each complete set of documents.

All bids must be made on the required Bid Form and must be fully completed and executed with original signatures and corporate seals. All bidders must be listed as a plan holder by the plan distributor, Lynn Imaging.

The contract is being funded by Kentucky Infrastructure Authority Fund B.

Hearing impaired individuals may call 1-800-247-2510 for information.

No Bidder may withdraw his Bid within ninety (90) days after the actual date of bid opening.

Bidders on this work will be required to comply with Title VI of the Civil Rights Act of 1964, the Anti-Kickback Act, and the Contract Work Hours Standard Act.

Bidders must comply with the President's Executive Orders No. 11246 and No. 11375 and any amendments or supplements to those Executive Orders.

State Wage Rates will be applicable to this project.

Attention of bidders is particularly called to the requirements as to conditions of employment to be observed under the contract, Section 3, Segregated Facility, Section 109 and E.O. 11246.

Bidders must certify they do not and will not maintain or provide for their employees any facilities that are segregated or based on race, color, creed, or national origin.

Bidders must comply with 41 CFR 60-4 in regard to affirmative action and to insure equal opportunity to females and minorities, and all that is applicable.

Minorities and small businesses are encouraged to submit bids on this project

The Marion County Water District reserves the right to waive any bidding informalities and to reject any or all bids, for any reason. The right is reserved by the Owner, in the exercise of its sole judgment to reject any or all Bids, and to re-advertise and award the Contract in the regular manner or to waive any informalities, irregularities, mistakes, errors, or omissions in any Bid received and to accept any Bid deemed to be responsive to this invitation and favorable to interests of the Owner.

The sealed bid for this project shall be clearly marked on the outside of the envelope: "**Sealed Bid for Contract No. 2 - 2012 Water System Improvements - KY 84 Water Main Replacement**" for the Marion County Water District. The bid may be mailed to: Marion County Water District, 1835 Campbellsville Rd., Lebanon, Kentucky 40033. A certified check or Bid Bond payable to the Marion County Water District in the amount of five (5) percent of the Bid shall accompany the Bid.

Marion County Water District
Barbara May, Chairperson

Date: October 17, 2012

SECTION 00100**INSTRUCTIONS TO BIDDERS****PART 1 - GENERAL INSTRUCTIONS AND INFORMATION**

1.01 Each Bidder is responsible for inspecting the work site and for being thoroughly familiar with the Contract Documents, including Addenda. The Bidder shall in no way be relieved from any bidding obligation because of unfamiliarity with the site or documents. Neither the Owner nor Engineer assumes any responsibility for errors or misinterpretations resulting from the use of incomplete sets of Bidding Documents.

1.02 All applicable laws, ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the Project shall apply throughout the Contract and they will be deemed to be included in the Contract the same as though herein written out in full.

1.03 Information pertinent to the conditions of the work site is made available to the Bidder in Section 00200, Information Available to Bidders.

1.04 The Owner of the Project is the Marion County Water District, Lebanon, Kentucky.

1.05 The Engineer of the Project is Kentucky Engineering Group, PLLC. P.O. Box 1034, Versailles, Kentucky 40383, Phone: 859-251-4127, Mr. James C. Thompson, PE, Project Manager.

1.06 The Contract Documents contain the provisions for construction of the Project. Information obtained from an officer, agent, or employee of the Owner, or from any other person, shall not affect the risk or obligation assumed by the Contractor or relieves the Contractor from fulfilling any of the conditions of the Contract.

1.07 The Owner may make such investigations as deemed necessary to determine the ability of the Bidder to perform the Work, and the Bidder shall furnish to the Owner all such information and data for this purpose as the Owner may request. The Owner reserves the right to reject any Bid if the evidence submitted by, or an investigation of, such Bidder fails to satisfy the Owner that such Bidder is properly qualified to carry out the obligations of the Agreement and to complete the Work.

PART 2 - SPECIAL INSTRUCTIONS AND INFORMATION

The Contract will be awarded based on the lowest responsible bid, unless all bids are rejected. The Owner reserves the right to reject any and all Bids, to waive any and all informalities, to delete the whole or any part of the project, to negotiate contract terms with the successful bidder, and the right to disregard all non-conforming, non-responsive or conditional bids.

PART 3 - BIDDING PROCEDURE

3.01 Bids will be received by the Marion County Water District's office, 1835 Campbellsville Rd., Lebanon, Kentucky 40033 until 11:00 a.m., Local Time, Thursday November 1, 2012 and then publicly opened and read aloud at said office.

3.02 Each Bid must be submitted in a sealed envelope, addressed to the Marion County Water District, 1835 Campbellsville Rd., Lebanon, Kentucky 40033. Each envelope containing a Bid must be plainly marked on the outside as "Sealed Bid for the Marion County Water District - **Contract No. 2 - 2012 Water System Improvements - KY 84 Water Main Replacement Main**" and the envelope shall bear on the outside the Bidder's name, address and license number, if applicable, and date and time of opening. If

forwarded by mail, the sealed envelope containing the Bid must be enclosed in another envelope addressed to the Marion County Water District, 1835 Campbellville Rd., Lebanon, Kentucky 40033.

3.03 BIDS MUST BE MADE ON THE REQUIRED BID FORM. EACH BIDDER SHALL COMPLETE THE ENTIRE BID FORM. THE ENTIRE BID FORM CONSISTS OF ALL PAGES IN SECTIONS 00300 AND 00400. All blank spaces for Bid prices must be filled in, in ink or typewritten, and the Bid form must be fully completed and executed when submitted. Each bid must be submitted on the prescribed form and accompanied by the required certificates. All foregoing certifications must be fully completed and executed when submitted.

3.04 Each Bid must be accompanied by a separate Bid Bond for the Contract payable to the Owner for five (5) percent of the total amount of the Bid on the Contract. As soon as the Bid prices are compared, the Owner will return the Bonds of all except the three lowest responsible Bidders. When the Agreements are executed, the Bonds of the two remaining unsuccessful Bidders will be returned. The Bid Bonds of the successful Bidder will be retained until the Payment Bonds and Performance Bonds have been executed and approved, after which it will be returned. Certified checks payable to the Owner, equal to five (5) percent of the Bids, may be substituted for the Bid Bonds.

3.05 A Bid may be withdrawn prior to the scheduled time for the opening of Bids, or authorized postponement thereof. A Bid received after the time and date specified will not be considered. No Bidder may withdraw a Bid within ninety (90) days after the actual date of the opening. Should the Contract not be awarded within the specified period, the time may be extended by mutual agreement between the Owner and the Bidder.

3.06 The Owner may consider informal any bid not prepared and submitted in accordance with the provisions hereof. The Owner may waive any bidding informalities or minor defects or reject any and all bids. Any bid may be withdrawn prior to the above scheduled time for the opening of bids or authorized postponement thereof. Any bid received after the time and date specified shall not be considered.

3.07 A conditional or qualified Bid will not be accepted. Bid proposals in which the Owner determines that the prices are unbalanced will not be accepted and may cause the bid to be rejected.

3.08 The Bidder shall supply the names and addresses of major suppliers and subcontractors as part of the Bid Proposal.

3.09 The quantities listed in the Bid Schedule are estimates only. Final payment will be based on unit prices and actual or plan quantities of work performed.

3.10 The Owner reserves the right to add, delete or change any parts or portion of the proposed work. Any changes made by the Owner that affect the work will be compensated for.

3.11 Any bidder may modify his/her bid by telegraphic communication at any time prior to the scheduled closing time for receipt of bids, provided such telegraphic communication is received by the Owner prior to the closing time, and provided further, the Owner is satisfied that a written confirmation of the telegraphic modification over the signature of the bidder was mailed prior to the closing time. The telegraphic communication should not reveal the bid price but should provide the addition or subtraction or other modification so that the final prices or terms will not be known by the Owner until the bid is opened. If written confirmation is not received within two days from the closing time, no consideration will be given to the telegraphic modification.

3.12 The successful bidder, upon failure or refusal to execute and deliver the contract and bonds required within 10 days after receiving notice of the acceptance of their bid, shall forfeit to the Owner, as liquidated damages for such failure or refusal, the security deposited (Bid Bond) with the bid.

3.13 Each bidder must inform themselves fully of the conditions relating to the construction of the project and the employment of labor thereon. Failure to do so will not relieve a successful bidder of his/her obligation to furnish all material and labor necessary to carry out the provisions of the contract. Insofar as possible, the contractor, in carrying out the work, must employ such methods or means as will not cause any interruption of or interference with the work of any other Contractor.

3.14 No interpretation of the meaning of the plans, specifications or other pre-bid documents will be made to any bidder orally.

Every request for such interpretation should be in writing addressed to Kentucky Engineering Group, PLLC. P.O. Box 1034, Versailles, Kentucky 40383, Mr. James C. Thompson, PE, Project Manager and to be given consideration must be received at least five days prior to the date fixed for the opening of bids. Any and all such interpretations and any supplemental instructions will be in the form of written addenda to the specifications which, if used, will be mailed to all prospective bidders (at the respective addresses furnished for such purposes), not later than three days prior to the date fixed for the opening of bids. Failure of any bidder to receive any such addendum or interpretation shall not relieve such bidder from any obligation under his/her bid as submitted. All addenda so issued shall become part of the contract documents.

3.15 At the time of the opening of bids each bidder will be presumed to have inspected the site and to have read and to be thoroughly familiar with the plans and Contract Documents (including all addenda). The failure or omission of any bidder to examine any form, instrument or document shall in no way relieve any bidder from any obligation in respect of his/her bid.

PART 4 - AWARD OF CONTRACT (AGREEMENT)

4.01 Award of Contract will be made to the lowest responsible Bidder for the Contract unless all Bids are rejected. The Owner reserves the right to reject any and all bids, to waive any bidding informalities, and to disregard all nonconforming, nonresponsive or conditional bids. Discrepancies between words and figures will be resolved in favor of words. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.

4.02 The Bidder to whom the Contract is awarded will be required to execute the Agreement and obtain the Performance Bond and Payment Bond within ten (10) calendar days from the date of the Notice of Award. The Notice of Award will be accompanied by the necessary Agreement and Bond forms. In case of failure of the Bidder to execute the Agreement, the Owner may consider the Bidder in default; in which case the Bid Bond accompanying the proposal shall become the property of the Owner.

4.03 A Performance Bond and a Payment Bond each in the amount of 100 percent (100%) of the Contract Price, with a corporate surety approved by the Owner, will be required for the faithful performance of the Contract. Such Bonds shall not be dated with a date earlier than the date of Agreement for the Contract (Project) being bonded.

4.04 Attorneys-in-fact who sign Bid Bonds or Payment Bonds and Performance Bonds must file with each Bond a certified and effective dated copy of their Power of Attorney.

4.05 The Owner within ten (10) calendar days of receipt of acceptable Performance Bond, Payment Bond and Agreement signed by the Bidder to whom the Agreement was awarded, shall sign the Agreement and return to such party an executed duplicate of the Agreement. Should the Owner not execute the Agreement within such period, the Bidder may, by written notice, withdraw the signed Agreement. Such notice of withdrawal shall be effective upon receipt of the notice by the Owner.

4.06 The Notice to Proceed shall be issued by the Owner within ten (10) calendar days of the execution of the Agreement by the Owner. Should there be reasons why the Notice to Proceed cannot be issued within such period, the time may be extended by mutual agreement between the Owner and Contractor. If the Notice to Proceed has not been issued within the specified periods or the period mutually agreed upon, the Contractor may terminate the Agreement without further liability on the part of either party.

- END OF SECTION -

SECTION 00200
INFORMATION AVAILABLE TO BIDDERS

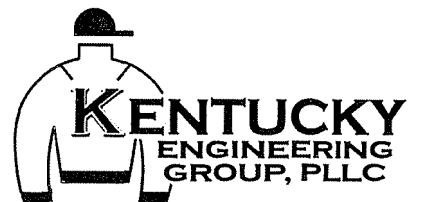
PART 1 - INFORMATION AVAILABLE BUT NOT A PART OF CONTRACT DOCUMENTS.

1.01 The Contractor will be provided copies of each easement upon request.

1.02 The Water District has obtained all applicable permits and approvals from Division of Water and Kentucky Department of Highways.

- END OF SECTION -

BID AND AGREEMENT FORMS



SECTION 00300**BID FORMS****PART 1 - BIDDER'S PROPOSAL FORM****BIDDER'S PROPOSAL****"Contract No. 2 - 2012 Water System Improvements - KY 84 Water Main Replacement"**

Proposal of _____ (hereinafter called "BIDDER"), organized and existing under the laws of the State of _____, doing business as _____ (insert "a corporation", "a partnership", or "an individual" as applicable). To the Marion County Water District (hereinafter called "OWNER").

The undersigned BIDDER offers and agrees, if this Bid is accepted, to enter into an Agreement with OWNER in the form included in the Contract Documents to complete all Work as specified or indicated in the Contract Documents for the Contract Price and within the Contract Time indicated in the Agreement and in accordance with the Contract Documents.

BIDDER declares that no person or persons other than those named herein are interested in this Bid; or in any portion of the profit thereof. By submission of this Bid, the BIDDER certifies and in the case of a joint Bid each party thereto certifies as to its own organization, that this Bid has been arrived at independently without consultation, communication, or agreement as to any matter relating to this Bid, with any other Bidder, or with any competitor.

In submitting this Bid, BIDDER represents, as more fully set forth in the Agreement, that he has examined the Instructions to bidders, all of the other Bidding Documents and all of the Contract Documents; that he has examined the actual site and locality where the Work is to be performed; that he has familiarized himself with the legal requirements (federal, state and local laws, ordinances, rules and regulations); ;that he has made such independent investigations as he deems necessary; and that he has satisfied himself as to all conditions affecting cost, progress or performance of the Work.

BIDDER further agrees as follows: 1) that this Bid shall remain open and may not be withdrawn for the time period set forth in the Instructions to Bidders; 2) that he accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of his bid security; 3) and that, upon acceptance of this Bid, he will execute the Agreement and will furnish the required Contract security and insurance certificates within the time period(s) set forth in the Instructions to Bidders.

In accordance with the above understanding and agreements and in compliance with the Advertisement for Bids, BIDDER hereby proposes to furnish all equipment, materials and labor for the work required to furnish all equipment, materials and labor for the work required to construct the "**Contract No. 2 - 2012 Water System Improvements - KY 84 Water Main Replacement**" - for the Marion County Water District, in strict accordance with the Contract Documents, within the time set forth therein, and at the price stated below. Also, see Section 01025.

BID SCHEDULE

ITEM NO.	APPROX. QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	TOTAL BID AMOUNT
1	13,900	LF	6-inch PVC SDR 21 Class 200 with all appurtenances. Complete in place. 17 250		
2	9,550	LF	6-inch PVC SDR 21 Class 200 with all appurtenances. Complete in place.		
3	200	LF	4-inch PVC SDR 21 Class 200 with all appurtenances. Complete in place.		
4	200	LF	3-inch PVC SDR 21 Class 200 with all appurtenances. Complete in place.		
5	25	EA	6-inch Gate Valve & Box Complete in place.		
6	2	EA	4-inch Gate Valve & Box Complete in place.		
7	6	EA	3-inch Gate Valve & Box Complete in place.		
8	1	EA	2-inch Gate Valve & Box Complete in place.		
9	11	EA	Connection to Existing W.M., including all related appurtenances. Complete in place.		
10	43	EA	Reconnection of Existing Customer Services, Including all related appurtenances. Complete in Place.		
11	5	EA	Hydrant Assembly, Including all related appurtenances. Complete in place.		

ITEM NO.	APPROX. QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	TOTAL BID AMOUNT
12	210	LF	Bore w/Steel Casing Pipe, Including all related appurtenances. Complete in place.		
13	320	LF	Bore w/PVC Casing Pipe, Including all related appurtenances. Complete in place.		
14	60	LF	Type "A" Creek Crossing, and all related appurtenances. Complete in place.		
15	20	LF	Type "B" Creek Crossing, and all related appurtenances. Complete in place.		
16	3	EA	Air Release Valves and all related appurtenances. Complete in place.		

TOTAL AMOUNT BID : _____

Dollars and _____ (Cents) (\$ _____)

The above prices shall include all labor, materials, overhead, profit, insurance and other costs necessary to cover the finished work of the several kinds called for. The price per foot for pipe installation includes all labor, materials, unclassified excavation, rock blasting and removal, clean-up, etc. for a finished product. Changes in the work shall be processed in accordance with Article 60 of the General Conditions.

By submission of this Bid, the BIDDER certifies, and in the case of a joint Bid each party thereto certifies as to its own organization, that this Bid has been arrived at independently, without consultation, communication, or agreement as to any matter relating to this Bid, with any other BIDDER or with any competitor.

TIME OF COMPLETION AND LIQUIDATED DAMAGES

The Time of Completion of the construction of this project is highly important to the OWNER. Should any CONTRACTOR neglect, refuse, or fail to complete his Contract within the Time of Completion specified herein, after giving effect to extensions of time if any, herein provided, then in that event and in view of the difficulty of estimating with exactness the full extent of damages to the OWNER caused by delays, the sums stated herein shall be assessed on the CONTRACTOR for each and every day his work is delayed in its completion beyond the specified Time of Completion and the amount of Liquidated Damages, plus such additional engineering and inspection expenses incurred by the Owner.

Contract completion times for the project are stated as follows and as described in the Advertisement for Bids:

DESCRIPTION OF WORK	CALENDAR DAYS FOR COMPLETION	LIQUIDATED DAMAGES PER DAY
"Contract No. 2 - KY 84 Water Main Replacement"	90	\$500.00

The Contract completion time stipulated above includes an allowance for an average number of inclement weather days as follows:

	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	TOT
Precipitation	7	7	9	8	8	8	8	7	6	5	6	7	86
Freez. Temp.	10	6	1	0	0	0	0	0	0	0	1	5	23
Total	17	13	10	8	8	8	8	7	6	5	7	12	109

When number of days (including Saturdays, Sundays and Holidays) of Precipitation in excess of 0.1" per day or maximum daily temperature of 32 degrees F. exceed those shown above in any month, the CONTRACTOR shall be entitled to that number of additional days for contract completion.

If, in the ENGINEER'S opinion, sustained bad weather conditions prevent satisfactory performance of the work, he may suspend operations for an executed period until weather conditions are favorable. In this event, contract completion time shall be extended an equal number of days. Upon suspension of the work by the ENGINEER, the CONTRACTOR shall properly protect his work during the suspension period.

If the project is not completed within the specified time, the CONTRACTOR'S retainage may be used by the OWNER as one source of funds to compensate the ENGINEER for additional engineering services required because of time delays.

Accompanying this Proposal is a certified check or standard Bid Bond in the sum of _____(Dollars) (\$_____) in accordance with the Instructions to Bidders. The BIDDER, by submittal of this Bid, agrees with the OWNER that the amount of the bid security deposited with this Bid fairly and reasonably represents the amount of damages the OWNER will suffer due to the failure of the BIDDER to fulfill his agreements as provided in this Proposal.

BIDDER acknowledges receipt of the following Addenda:

BIDDER agrees that the OWNER reserves the right to delete the whole or any part of the Project from the Contract.

BIDDER understands that the OWNER reserves the right to reject any or all Bids and to waive any informalities in the Bidding.

BIDDER agrees that this Bid shall be good and may not be withdrawn for a period of ninety (90) calendar days after the actual date of bid opening.

BIDDER agrees to perform all of the Work described in the Specifications and shown on the Plans for the amount stated above.

Within ten (10) calendar days after receiving written notice of the acceptance of this Bid by the OWNER, the BIDDER will execute and deliver to the OWNER ten (10) copies of the Agreement and such other required Contract Documents.

BIDDER:

By _____

Title _____

Address _____

Date Signed _____

(Seal - If bid is by a corporation)

If BIDDER IS

An Individual

By _____
(Individual's Signature)

Doing business as _____

License or Registration Number: _____

Business Address: _____

Phone No.: _____

A Partnership

By _____
(Firm Name)

Doing business as _____

License or Registration Number: _____

Business Address: _____

Phone No.: _____

**SECTION 00400
SUPPLEMENTS TO BID FORMS**

ALL PARTS ARE REQUIRED TO BE COMPLETED AND MUST BE SUBMITTED WITH THE BID

PART 1 - BIDDER'S QUALIFICATIONS

A. The required names and addresses of all persons interested in the foregoing Bid, as Principals, are as follows:

B. The Bidder shall submit the requested information indicated and for work of a similar character in size and total contract price that is included in the proposed Contract and references to enable the Owner to judge the Bidder's experience, skill and business standing.

1. Number of years in business as a contractor under present business name:

2. Number of years of experience, under present business name, in type of construction required for this project:

3. Have you ever been declared in default or failed to complete work awarded to you? If yes, where and why? _____

4. Have you ever been cited by a regulatory agency for failure to comply with any of its contractual obligations? _____. If yes, where and why? _____

5. List and age of owned equipment available for this project (attach list if necessary):

6. List similar project experience with references where the Bidder was the prime contractor and percent work completed as prime and percent completed by subcontractors.

Project Name	Description of Work	Date Completed	Contract Amount	% Prime/ % Subcontract	Owner/Contact	Phone No.
1.						
2.						
3.						
4.						
5.						

PART 2 - SUBCONTRACTORS

Proposed subcontractors are listed below for each branch of work included in the proposed Contract. All subcontractors are subject to the approval of the Owner. Failure to submit a completed list may be cause for rejection of the Bid. Experience of subcontractors shall be described on separate pages.

BRANCH OF WORK	NAME AND ADDRESS OF SUBCONTRACTOR
Blacktopping	_____
Borings	_____
Directional Drilling	_____

(Other)

(Other)

(Add supplementary pages if necessary)

NOTES:

- 1. The OWNER in no way implies acceptance of any proposed subcontractor by acceptance of the Bid.
- 2. The CONTRACTOR will not be allowed to substitute subcontractors not listed herein without prior written approval of OWNER.

PART 3 - MANUFACTURER'S LIST

A. The Bidder proposes to furnish the following equipment contingent upon its conformity to the Specifications and review and acceptance by the ENGINEER and OWNER.

B. Only one manufacturer's name is to be listed.

<u>NAME OF MANUFACTURER</u>	<u>DESCRIPTION OF MATERIAL</u>
_____	PVC Piping
_____	Valves
_____	Fittings
_____	Flushing Hydrant Assembly
_____	_____
_____	_____
_____	_____
_____	_____

(Add supplementary pages if necessary)

NOTES:

- 1. If listed equipment is not by manufacturers specified, OWNER in no way implies acceptance of such listed equipment by acceptance of the Bid.
- 2. The CONTRACTOR will not be allowed to substitute manufacturers not listed for the units above without prior written approval of OWNER.

PART 4 - BID SECURITY (BID BOND)

KNOW ALL PERSONS BY THESE PRESENTS, that we, the undersigned _____ as Principal, and _____ as Surety, are hereby held and firmly bound unto The Marion County Water District as Owner in the penal sum of _____ for the payment of which, well and truly to be made, we hereby jointly and severally bind ourselves, successors and assigns. Signed this ___ day of _____, 2012.

The condition of the above obligation is such that whereas the Principal has submitted to

_____ a certain Bid, attached hereto and hereby made a part hereof to enter into a contract in writing, for **"Contract No. 2 - 2012 Water System Improvements - KY 84 Water Main Replacement" for the Marion County Water District of Lebanon, Kentucky.**

NOW, THEREFORE,

- (a) If said Bid shall be rejected, or
- (b) If said Bid shall be accepted and the Principal shall execute and deliver a contract in the Form of Contract attachment hereto (properly completed in accordance with said Bid) and shall furnish a bond for his faithful performance of said contract, and for the payment of all persons performing labor or furnishing materials in connection therewith, and shall in all other respects perform the agreement created by the acceptance of said Bid,

then this obligation shall be void, otherwise the same shall remain in force and effect; it being expressly understood and agreed that the liability of the Surety for any and all claims hereunder shall, in no event, exceed the penal amount of this obligation as herein stated.

The Surety, for value received, hereby stipulates and agrees that the obligations of said Surety and its bond shall be in no way be impaired or affected by any extension of the time within which the Owner may accept such Bid; and said Surety does hereby waive notice of any such extension.

IN WITNESS WHEREOF, the Principal and the Surety have hereunto set their hands and seals, and such of them as are corporations have caused their corporate seals to be hereto affixed and these presents to be signed by their proper officers, the day and year first set forth above.

Principal (Legal Signature)

Surety

By _____

IMPORTANT: Surety companies executing bonds must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the state where the project is located.

DEBARRED FIRMS

The undersigned hereby certifies that the firm of _____ has not and will not award a subcontract, in connection with any contract awarded to it as the result of this bid, to any firm that has been debarred for noncompliance with the Federal Labor Standards, Title VI of the Civil Rights Act of 1964, Executive Order 11246 as amended or any other Federal Law.

(Name of Firm Submitting Bid)

(Signature of Authorized Official)

Title _____

Date: _____

CERTIFIED COPY OF CORPORATE RESOLUTION

(Name of Company)

I hereby certify that I am the duly elected and acting Secretary of _____ a Corporation duly organized and existing under the laws of the State of _____; that on the _____ day of _____, 2012, the Board of Directors of said Corporation authorized and approved a certain Proposal to _____ (Insert Name of Owner) for the construction of certain improvements for _____ (Insert Name of Owner) by said Corporation and any contract resulting therefrom, and empowered the _____ (Insert Title of Officer) of said Corporation to execute said Proposal and Contract for and in behalf of said Corporation; that said authority is not contrary to any provision in the Articles of Incorporation or code of regulations or code of bylaws of said Corporation; that said authority has not been rescinded or modified; and that _____ is the duly elected and acting _____ (Insert Title of Office) of said Corporation.

IN WITNESS WHEREOF, I have hereunto subscribed my name on _____, 2012.

(Signature)

NONCOLLUSION AFFIDAVIT

State of _____)

County of _____)

Bid Identification

Contractor,

being first duly sworn, deposes and says that he is _____
_____ (sole owner, a partner, president, secretary, etc.) of
_____, the party making the foregoing bid; that such

bid is not made in the interest of or on behalf of any undisclosed person, partnership, company, association, organization, or corporation; that such bid is genuine and not collusive or sham; that said bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that said bidder has not in any manner, directly or indirectly, sought by agreement, communication or conference with anyone to fix the bid price of said bidder or of any other bidder, or to fix any overhead, profit, or cost element of such bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract or anyone interested in the proposed contract; that all statements contained in such bid are true; and, further that said bidder has not, directly or indirectly, submitted his bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid and will not pay any fee in connection therewith, to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof, or to any other individual except to such person or persons as have a partnership or other financial interest with said bidder in his general business.

SIGNED

TITLE

Subscribed and sworn to before me this _____ DAY OF _____, 2012.

(SEAL)

NOTARY PUBLIC

- END OF SECTION -

**SECTION 00500
AGREEMENT FORMS**

PART 1 - NOTICE OF AWARD

TO: _____

PROJECT Description: **“Contract No. 2 – 2012 Water System Improvements – KY 84 Water Main Replacement”** for the Marion County Water District of Lebanon, Kentucky.

The OWNER has considered the BID submitted by you for the above-described WORK in response to its Advertisement for Bids dated _____, and Instructions to Bidders.

You are hereby notified that your BID has been accepted for items in the amount of \$_____.

You are required by the Instructions to Bidders to execute the Agreement and furnish the required CONTRACTOR'S Performance BOND, and Payment BOND and certificates of insurance within ten (10) calendar days from the date of this Notice to you.

If you fail to execute said Agreement and to furnish said BONDS within ten (10) days from the date of this Notice, said OWNER will be entitled to consider all your rights arising out of the OWNER'S acceptance of your BID as abandoned and as a forfeiture of your BID BOND. The OWNER will be entitled to such other rights as may be granted by law.

You are required to return an acknowledged copy of this NOTICE OF AWARD to the OWNER.

Dated this ____ day of _____.

Marion County Water District
OWNER

By: _____

Title: **Chairman** _____

ACCEPTANCE OF NOTICE

Receipt of the above NOTICE OF AWARD is hereby acknowledged by _____, this
the ____ day of _____.

By: _____

Title: _____

PART 2 - AGREEMENT

THIS AGREEMENT, made this ____ day of _____, by and between the **MARION COUNTY WATER DISTRICT**, hereinafter called "OWNER" and _____ doing business as ("a corporation", "a partnership", or "an individual" as applicable), hereinafter called "CONTRACTOR".

WITNESSETH: That for and in consideration of the payments and agreements hereinafter mentioned:

1. Contractor will commence and complete the construction of the **"Contract No. 2 - 2012 Water System Improvements - KY 84 Water Main Replacement"**

2. The CONTRACTOR will furnish all of the materials, supplies, tools, equipment, labor and other services necessary for the construction and completion of the PROJECT described herein.

3. The CONTRACTOR will commence the work required by the CONTRACT DOCUMENTS within ten (10) calendar days after the date of the NOTICE TO PROCEED and will complete the same within Ninety (90) consecutive calendar days unless the period for completion is extended otherwise by the CONTRACT DOCUMENTS.

4. The CONTRACTOR agrees to perform all of the WORK described in the CONTRACT DOCUMENTS and comply with the terms therein for the sum of: _____ as shown in the Bid Schedule.

5. The term "CONTRACT DOCUMENTS" means and includes the following:

- (A) Advertisement for Bids
- (B) Instructions to Bidders
- (C) Bid
- (D) Bid Bond
- (E) Agreement
- (F) General Conditions
- (G) Supplemental General Conditions
- (H) Special Conditions
- (I) Payment Bond
- (J) Performance Bond
- (K) Notice of Award
- (L) Notice to Proceed
- (M) Change Order
- (N) Drawings prepared by Kentucky Engineering Group, PLLC and dated July 2012.
- (O) Technical Specifications prepared and issued. dated July, 2012.
- (P) Addenda: N/A

6. The OWNER will pay to the CONTRACTOR in the manner and at such times as set forth in the General Conditions such amounts as required by the CONTRACT DOCUMENTS.

7. This AGREEMENT shall be binding upon all parties hereto and their respective heirs, executors, administrators, successors and assigns.

IN WITNESS WHEREOF, the parties hereto have executed or caused to be executed by their duly authorized officials, this AGREEMENT in six (6) copies each of which shall be deemed an original on the date first above written.

MARION COUNTY WATER DISTRICT
OWNER

By: _____
(Signature)

Name: _____
(Print Name)

Title: **Chairman** _____

(SEAL)

ATTEST:

(Signature)

Name: _____
(Print Name)

Title: _____

CONTRACTOR

By _____
(Signature)

Name _____
(Print Name)

Address _____

(SEAL)

ATTEST:

(Signature)

Name: _____
(Print Name)

Title: _____

PART 3 - NOTICE TO PROCEED

TO: _____

You are hereby notified to commence WORK in accordance with the AGREEMENT dated _____, on or before _____, and you are to complete the WORK within 90 consecutive calendar days thereafter. The date of completion of all WORK is therefore _____.

MARION COUNTY WATER DISTRICT
OWNER

By: _____
(Signature)

Title: **Chairman** _____

ACCEPTANCE OF NOTICE

Receipt of the above NOTICE TO PROCEED is hereby acknowledged by

this the _____ day of _____.

CONTRACTOR: _____

By: _____

Title: _____

- END OF SECTION -

**SECTION 00600
BONDS AND CERTIFICATES**

PART 1 - PERFORMANCE BOND

KNOW ALL PERSONS BY THESE PRESENT: that

(Name of Contractor)

(Address of Contractor)

a _____, hereinafter called PRINCIPAL, and
(Corporation, Partnership or Individual)

(Name of Surety)

(Address of Surety)

hereinafter called SURETY, are held and firmly bound unto _____

(Name of Owner)

(Address of Owner)

hereinafter called OWNER.

_____ Dollars (\$_____)

in lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the Principal entered into a certain contract with the OWNER, dated the ___ day of _____, 2011, a copy of which is hereto attached and made a part hereof for the construction of:

Contract No.2 – 2012 Water System Improvements – KY 84 Water Main Replacement

PART 1 - PERFORMANCE BOND (Cont'd.)

NOW, THEREFORE, if the PRINCIPAL shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term thereof, and any extensions thereof, which may be granted by the OWNER with or without notice to the SURETY and during the one year guaranty period, and if the PRINCIPAL shall satisfy all claims and demands incurred under such contract, and shall fully indemnify and save harmless the OWNER from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the OWNER all outlay and expense which the OWNER may incur in making good any default, then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, FURTHER, that the liability of the PRINCIPAL and SURETY shall be subject to the same limitations and defenses as may be available to them against a claim hereunder by the OWNER.

PROVIDED, FURTHER, that the said SURETY, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the AGREEMENT or to WORK to be performed thereunder or the Specifications accompanying same shall in any way affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the WORK or to the Specifications.

PROVIDED, FURTHER, that it is expressly agreed that the BOND shall be deemed amended automatically and immediately, without formal and separate amendments hereto, upon amendment to the AGREEMENT not increasing the Contract Price more than 20 percent, so as to bind the PRINCIPAL and the SURETY to the full and faithful performance of the AGREEMENT as so amended. The term "Amendment", wherever used in this BOND, and whether referring to this BOND, the AGREEMENT or the Loan Documents shall include any alteration, addition, extension, or modification of any character whatsoever.

PROVIDED, FURTHER, that no final settlement between the OWNER and the PRINCIPAL shall abridge the right of the other beneficiary hereunder, whose claim may be unsatisfied. The OWNER is the only beneficiaries hereunder.

PART 1 - PERFORMANCE BOND (Cont'd.)

IN WITNESS WHEREOF, this instrument is executed in 6 counterparts, each one of which shall be deemed an original, this the day of , 2012.

ATTEST:

PRINCIPAL

(PRINCIPAL) Secretary

By _____ (s)

SEAL:

Address _____

Witness as to PRINCIPAL

Address _____

ATTEST:

SURETY

Witness to SURETY

By _____
Attorney-In-Fact

Address _____

Address _____

NOTE: Date of BOND must not be prior to date of AGREEMENT.
If CONTRACTOR is Partnership, all partners should execute BOND.

IMPORTANT: Surety companies executing BONDS must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the state where the PROJECT is located.

PART 2 - PAYMENT BOND

KNOW ALL PERSONS BY THESE PRESENTS: that

(Name of Contractor)

(Address of Contractor)

a _____, hereinafter called PRINCIPAL and _____
(Corporation, Partnership or Individual)

(Name of Surety)

hereinafter called SURETY, are held and firmly bound unto _____

(Name of Owner)

(Address of Owner)

hereinafter called OWNER.

_____ Dollars (\$) in lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the PRINCIPAL entered into a certain AGREEMENT with the OWNER dated the ____ day of _____, 2012, a copy of which is hereto attached and made a part hereof for the construction of:

Contract No.2 - 2012 Water System Improvements - KY 84 Water Main Replacement

NOW, THEREFORE, if the PRINCIPAL shall promptly make payment to all persons, firms, and corporations furnishing materials for or performing labor in the prosecution of the WORK provided for in such contract, and any authorized extensions or modifications thereof, including all amounts due for materials, lubricants, oil, gasoline, coal and coke, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such WORK, and for all labor cost incurred in such WORK including that by a SUBCONTRACTOR, and to any mechanic or materialman lienholder whether it acquires its lien by operation of State or Federal law; then this obligation shall be void, otherwise to remain in full force and effect.

PART 2 - PAYMENT BOND (Cont'd.)

PROVIDED, that beneficiaries or claimants hereunder shall be limited to the SUBCONTRACTORS, and persons, firms, and corporations having a direct contract with the PRINCIPAL or its SUBCONTRACTORS.

PROVIDED, FURTHER, that the said SURETY for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the Contract or to the WORK to be performed thereunder or the Specifications accompanying the same shall in any way affect its obligation on this BOND, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of this contract or to the WORK or to the Specifications.

PROVIDED, FURTHER, that no suit or action shall be commenced hereunder by any claimant: (a) Unless claimant, other than one having a direct contract with the PRINCIPAL (or with the RUS in the event the RUA is performing the obligations of the OWNER), shall have given written notice to any two of the following: The PRINCIPAL, the OWNER, or the SURETY above named within ninety (90) days after such claimant did or performed the last of the work or labor, or furnished the last of the materials for which said claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were furnished, or for whom the work or labor was done or performed. Such notice shall be served by mailing the same by registered mail or certified mail, postage prepaid, in an envelope addressed to the PRINCIPAL, OWNER, or SURETY, at any place where an office is regularly maintained for the transaction of business, or served in any manner in which legal process may be served in the state in which the aforesaid project is located, save that such service need not be made by a public officer; (b) After the expiration of eighteen (18) months following the date of which PRINCIPAL ceased work on said Contract, it being understood, however, that if any limitation embodied in the BOND is prohibited by any law controlling the construction hereof, such limitation shall be deemed to be amended so as to be equal to the minimum period of limitation permitted by such law.

PROVIDED, FURTHER, that it is expressly agreed that this BOND shall be deemed amended automatically and immediately, without formal and separate amendments hereto, upon amendment to the Contract not increasing the Contract Price more than 20 percent, so as to bind the PRINCIPAL and the SURETY to the full and faithful performance of the Contract as so amended. The term "Amendment", wherever used in this BOND and whether referring to this BOND, the Contract or the Loan Documents shall include any alteration, addition, extension or modification of any character whatsoever.

PROVIDED, FURTHER, that no final settlement between the OWNER and the CONTRACTOR shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

PART 2 - PAYMENT BOND (Cont'd.)

IN WITNESS WHEREOF, this instrument is executed in 6 counterparts, each one of which shall be deemed an original, this the ___ day of _____, 2012.

ATTEST:

PRINCIPAL

(PRINCIPAL) Secretary

By _____ (s)

SEAL:

Address _____

Witness as to PRINCIPAL

Address _____

ATTEST:

SURETY

Witness to SURETY

By _____
Attorney-In-Fact

Address _____

Address _____

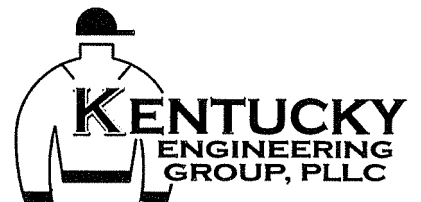
NOTE: Date of BOND must not be prior to date of AGREEMENT.
If CONTRACTOR is Partnership, all partners should execute BOND.

IMPORTANT: Surety companies executing BONDS must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the state where the PROJECT is located.

END OF SECTION

KENTUCKY ENGINEERING GROUP

GENERAL CONDITIONS



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SECTION 00700

GENERAL CONDITIONS

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SECTION 00700
GENERAL CONDITIONS

1. CONTRACT DOCUMENTS

The Notice to Bidders, Instructions to Bidders, Bid, Bid Bond, Agreement, Performance and Payment Bonds, Certificate of Insurance, Notice of Award, Notice to Proceed, Change Order Form, Contractor's Affidavit to Accompany Partial Payment Estimate, General Conditions, Supplementary General Conditions, Drawings, Addenda and Specifications shall all be binding on the Contractor, and shall be fully a part of the Contract as if thereto attached or therein repeated in words and figures.

2. DEFINITIONS AND MEANINGS OF TERMS

Whenever in the Contract Documents the following terms or pronouns referring to them are used, the intent and meaning shall be interpreted as follows which shall be applicable to both the singular and plural thereof:

A. The CONTRACT shall mean the contract executed by the Owner and the Contractor, of which these General Conditions form a part; the terms CONTRACT and AGREEMENT are synonymous.

B. The terms OWNER and CONTRACTOR shall mean the respective parties to the Contract; the OWNER being a public or quasi-public body or authority, corporation, association, partnership, or individual for whom the work is to be performed; the CONTRACTOR being the individual, partnership or corporation with whom the Owner has executed the Contract.

C. The term ENGINEER shall mean Kentucky Engineering Group, PLLC., successor, or duly authorized representative.

D. ADDENDA shall mean written or graphic instruments issued prior to the execution of the Agreement which modify or interpret the CONTRACT DOCUMENTS, DRAWINGS and SPECIFICATIONS, by additions, deletions, clarifications or corrections.

E. BID shall mean the offer or proposal of the BIDDER submitted on the prescribed form setting forth the prices for the WORK to be performed; the terms BID and PROPOSAL are synonymous.

F. BIDDER shall mean any individual, partnership or corporation submitting a BID for the WORK.

G. BONDS shall mean Bid, Performance, and Payment Bonds and other instruments of security, furnished by the CONTRACTOR and his surety in accordance with the CONTRACT DOCUMENTS.

H. CHANGE ORDER shall mean a written order to the CONTRACTOR authorizing an addition, deletion or revision in the WORK within the general scope of the CONTRACT DOCUMENTS, or authorizing an adjustment in the CONTRACT PRICE or CONTRACT TIME.

I. CONTRACT DOCUMENTS shall mean the contract, including NOTICE TO BIDDERS, INSTRUCTIONS TO BIDDERS, BID, BID BOND, AGREEMENT, PAYMENT BOND, PERFORMANCE BOND, CERTIFICATE OF INSURANCE, NOTICE OF AWARD, NOTICE TO PROCEED, CHANGE ORDER, CONTRACTOR'S AFFIDAVIT TO ACCOMPANY PARTIAL PAYMENT ESTIMATE, DRAWINGS, GENERAL CONDITIONS, SUPPLEMENTARY GENERAL CONDITIONS, ADDENDA and SPECIFICATIONS.

J. CONTRACT PRICE shall mean the total monies payable to the CONTRACTOR under the terms and conditions of the CONTRACT DOCUMENTS.

K. CONTRACT TIME shall mean the number of calendar days stated in the CONTRACT DOCUMENTS for the completion of the WORK.

L. DRAWINGS shall mean the part of the CONTRACT DOCUMENTS which show the characteristics and scope of the WORK to be performed and which have been prepared or approved by the ENGINEER.

M. FIELD ORDER shall mean a written order effecting a change on the WORK not involving an adjustment in the CONTRACT PRICE or an extension of the CONTRACT TIME, issued by the ENGINEER to the CONTRACTOR during construction.

N. NOTICE OF AWARD shall mean the written notice of the acceptance of the BID from the OWNER to the successful BIDDER.

O. NOTICE TO PROCEED shall mean written communication issued by the OWNER to the CONTRACTOR authorizing him to proceed with the WORK and establishing the date of commencement of the WORK.

P. PROJECT shall mean the undertaking to be performed as provided in the CONTRACT DOCUMENTS.

Q. RESIDENT PROJECT REPRESENTATIVE shall mean the authorized representative of the OWNER who is assigned to the PROJECT site or any part thereof.

R. SHOP DRAWING shall mean all drawings, diagrams, illustrations, brochures, schedules and other data which are prepared by the CONTRACTOR, a SUBCONTRACTOR, manufacturer, SUPPLIER or distributor, which illustrate how specific portions of the WORK shall be fabricated or installed; the terms SHOP DRAWINGS and SUBMITTALS are synonymous.

S. SPECIFICATIONS shall mean a part of the CONTRACT DOCUMENTS consisting of written descriptions of a technical nature of materials, equipment, construction systems, standards and workmanship.

T. SUBCONTRACTOR shall mean individual, partnership or corporation having a direct contract with the CONTRACTOR or with any other SUBCONTRACTOR for the performance of a part of the WORK at the site.

U. SUBSTANTIAL COMPLETION shall mean that date as certified by the ENGINEER when the construction of the PROJECT or a specified part thereof is sufficiently completed, in accordance with the CONTRACT DOCUMENTS, so that the PROJECT or specified part can be utilized for the purposes for which it is intended.

V. SUPPLIERS shall mean any person, supplier or organization who supplies materials or equipment for the WORK, including that fabricated to a special design, but who does not perform labor at the site.

W. WORK shall mean labor necessary to produce the construction required by the CONTRACT DOCUMENTS, AND all materials and equipment incorporated or to be incorporated in the PROJECT.

X. WRITTEN NOTICE shall mean any notice to any party of the Agreement relative to any part of this Agreement in writing and considered delivered and the service thereof completed, when posted by certified or registered mail to the said party at his last given address, or delivered in person to said party or his authorized representative on the WORK.

3. DRAWINGS AND SPECIFICATIONS

The intent of the Drawings and Specifications is that the Contractor shall furnish all labor, materials, tools, equipment, and transportation necessary for the proper execution of the Work in accordance with the Contract Documents and all incidental work necessary to complete the Project in an acceptable manner, ready for use, occupancy or operation by the Owner.

The Engineer, without charge, will furnish to the Contractor not more than eight (8) sets of the Drawings and Specifications. If additional sets of documents are required by the Contractor for the proper handling of the work, such documents will be furnished to the Contractor at cost.

The Contractor shall keep one set of the Drawings and Specifications on the site of the work. These prints shall be kept and maintained in good condition at the project site and a qualified representative of the Contractor shall enter upon these prints, from day-to-day, the actual "as-built" record of the construction progress. Entries and notations shall be made in a neat and legible manner and these prints shall be delivered to the Engineer upon completion of the construction. APPROVAL OF PARTIAL PAYMENTS AND FINAL PAYMENT WILL BE CONTINGENT UPON COMPLIANCE WITH THIS PROVISION.

The Drawings and Specifications are intended to be explanatory to each other, but should any discrepancy appear or any misunderstanding arise as to the importance of anything contained in either, the Engineer shall make the necessary interpretation. Corrections of errors or omissions in the Drawings or Specifications may be made by the Engineer when such corrections are necessary for the proper fulfillment of their intention as construed by the ENGINEER.

All work or materials shown on the Drawings and not mentioned in the Specifications, or any work specified and not shown on the Drawings, shall be furnished, performed, and done by the Contractor as if same were both mentioned in the Specifications and shown on the Drawings.

Should the Contractor in preparing his bid find anything necessary for the construction of the project that is not mentioned in the Specifications or shown on the Drawings, or find any other discrepancy in the Contract Documents, he shall notify the Engineer so that such discrepancies may be corrected by addendum prior to the bid opening. Should the Contractor fail to notify the Engineer of such discrepancies, it will be assumed that his bid included everything necessary for the complete construction in the spirit and intent of the designs shown.

The Contractor may be furnished additional instructions and detail drawings, by the Engineer, as necessary to carry out the Work required by the Contract Documents. The additional drawings and instructions thus supplied will become a part of the Contract Documents. The Contractor shall carry out the Work in accordance with the additional detail drawings and instructions.

4. SHOP DRAWINGS

The Contractor shall submit (in reproducible transparency form unless otherwise specified) shop and working drawings of concrete reinforcement, structural details, piping layout, wiring, materials fabricated especially for the Contract, and materials and equipment for which such drawings are specifically requested.

Such drawings shall show the principal dimensions, weight, structural and operating features, space required, clearances, type and/or brand of finish or shop coat, grease fittings, etc., depending on the subject of the drawing. When it is customary to do so, when the dimensions are of particular importance, or when so specified, the drawings shall be certified by the manufacturer or fabricator as correct for the Contract.

When so specified or if considered by the Engineer to be acceptable, manufacturer's specifications, catalog data, descriptive matter, illustrations, etc., may be submitted in place of shop and working drawings. In such case, the requirements shall be as specified for shop and working drawings, insofar as possible, except that the submission shall be in quadruplicate.

The Contractor shall be responsible for the prompt and timely submittal of all shop and working drawings so that there shall be no delay to the Work due to the absence of such drawings. Prior to the submittal of any shop drawings, the Contractor shall submit a schedule of proposed shop drawing transmittals. The schedule shall identify the subject matter of each transmittal, the corresponding specification section number and the proposed date of submission. During the progress of the Work, the schedule shall be revised and resubmitted as necessary.

No material or equipment shall be purchased or fabricated especially for the Contract until the required shop and working drawings have been submitted as herein above provided and reviewed for conformance to the Contract requirements. All such materials and equipment and the work involved in their installation or incorporation into the Work shall then be as shown in and represented by said drawings.

Until the necessary review has been made, the Contractor shall not proceed with any portion of the Work (such as the construction of foundations), the design or details of work, materials, equipment or other features for which review is required.

All shop and working drawings shall be submitted to the Engineer by and/or through the Contractor, who shall be responsible for obtaining shop and working drawings from his subcontractors and returning reviewed drawings to them. All shop and working drawings shall be prepared on standard size, 24-in. by 36-in. sheets, except those which are made by changing existing standard shop or working drawings. All drawings shall be clearly marked with the names of the Owner, Contractor, and building, equipment, or structure to which the drawing applies, and shall be suitably numbered. Each shipment of drawings shall be accompanied by a letter of transmittal giving a list of the drawing numbers and the names mentioned above.

Only drawings which have been checked and corrected by the fabricator should be submitted to the Contractor by his subcontractors and vendors. Prior to submitting drawings to the Engineer, the Contractor shall check thoroughly all such drawings to satisfy himself that the subject matter thereof conforms to the Drawings and Specifications in all respects. All drawings which are correct shall be marked with the date, checker's name, and indication of the Contractor's approval, and then shall be submitted to the Engineer; other drawings shall be returned for correction.

If a shop drawing shows any deviation from the Contract requirements, the Contractor shall make specific mention of the deviations in his letter of transmittal.

The review of shop and working drawings hereunder will be general only, and nothing contained in these GENERAL CONDITIONS shall relieve, diminish or alter in any respect the responsibilities of the Contractor under the Contract Documents and in particular, the specific responsibility of the Contractor for details of design and dimensions necessary for proper fitting and construction of the work as required by the Contract and for achieving the result and performance specified thereunder.

Should the Contractor submit equipment that requires modifications to the structures, piping, electrical conduit, wires and appurtenances, layout, etc., detailed on the Drawings, he shall also submit details of the proposed modifications. If such equipment and modifications are accepted, the Contractor, at no additional cost to the Owner, shall do all work necessary to make such modifications.

The marked-up reproducible of the shop and working drawings or one marked-up copy of catalog cuts will be returned to the Contractor. The Contractor shall furnish additional copies of such drawings or catalog cuts when so requested. The Engineer will require approximately fifteen (15) days for review of shop drawings.

5. DISCREPANCIES IN DRAWINGS, SPECIFICATIONS AND SHOP DRAWINGS

In case of a discrepancy on the Drawings, figure dimensions shall govern over scale dimensions and large scale drawings shall govern over small scale drawings. In case of a discrepancy in the Specifications and Contract Documents, detailed technical specifications and special or supplementary conditions shall govern over general conditions and other sections of the Contract Documents. In case of a discrepancy between the Drawings and

Specifications, the Specifications shall govern; addenda shall govern over all Drawings, Specifications and Contract Documents. Supplementary Conditions shall govern over these General Conditions.

In case of discrepancy between the shop drawings and the requirements of the Drawings, Specifications and Contract Documents, the provisions of the Drawings, Specifications, and Contract Documents shall prevail, even though the shop drawings have been reviewed by the Engineer, unless the conflict therein has been specifically waived in writing by the Engineer.

Any discrepancies found between the Drawings and Specifications and site conditions or any inconsistencies or ambiguities in the Drawings or Specifications shall be immediately reported to the Engineer, in writing, who shall promptly correct such inconsistencies or ambiguities in writing. Work done by the Contractor after his discovery of such discrepancies, inconsistencies or ambiguities shall be done at the Contractor's risk.

6. CONTRACTOR

Only one Contractor is recognized as a party to this Contract and where the term CONTRACTOR is used, the prime contractor who signed this Contract is referred to. For convenience, the Specifications may have been divided into separate headings or divisions to cover the various trades represented in the work, and where "Electrical Contractor", "Mechanical Contractor", "Plumbing Contractor" and other such "Contractors" are referred to, it is for convenience only.

It is understood and agreed that the Contractor has satisfied himself as to the nature and location of the work, the topography of the ground, the character and quality of materials to be encountered, the character of equipment or other facilities needed for the proper execution of the Work, the general and local conditions, and all other matters which in any way affect the work under the Contract. No verbal statement of any officer, agent or employee of the Owner or the Engineer, either before or after the execution of the Contract, shall affect or modify any of the terms or obligations contained herein.

7. NOTICE AND SERVICE THEREOF ON CONTRACTOR

The address given in the Proposal upon which this Contract is founded and the Contractor's office at or near the site of the work are hereby designated as places to either of which notices, letters and other communications to the Contractor shall be certified, mailed or delivered. The delivering at the above named places, or depositing in a postpaid wrapper directed to the first named place, in any post office box regularly maintained by the United States Postal Service, of any notice, letter or other communication to the Contractor shall be deemed sufficient service thereof upon the Contractor, and the date of said service shall be the date of delivery or mailing. The first named address may be changed at any time by an instruction in writing, executed and acknowledged by the Contractor and delivered to the Engineer and the Owner. Nothing herein contained shall be deemed to preclude or render inoperative the service of any notice, letter, or other communication upon the Contractor personally.

8. ASSIGNMENT OF CONTRACT

The Contractor shall not assign, sell, transfer or otherwise dispose of his contract or any monies due or that may become due thereunder, without the prior written consent of the Owner.

9. SUBLETTING CONTRACT

The Contractor may utilize the services of specialty Subcontractors on those parts of the Work which, under contracting practices, are performed by specialty Subcontractors. However, the Contractor will not be permitted to sublet any portion of his contract to any individual, co-partnership, or corporation without the prior written consent of the Owner and the approval of the Engineer. The Contractor shall not sublet more than fifty percent (50%) of the work without the consent of the Owner and the approval of the Engineer prior to the receipt

of bids. The Contractor shall, if requested, notify the Owner in writing of the names of subcontractors proposed for the work.

The Contractor shall be as fully responsible to the Owner for the acts and omissions of his subcontractors, and of persons either directly or indirectly employed by them, as he is for the acts and omissions of persons directly employed by him.

The Contractor shall cause appropriate provisions to be inserted in all subcontracts relative to the Work to bind subcontractors to the Contractor by the terms of the General Conditions and other Contract Documents insofar as applicable to the work of subcontractors and to give the Contractor the same power as regards terminating any subcontract that the Owner may exercise over the Contractor under any provisions of the Contract Documents.

Nothing contained in this contract shall create any contractual relation between any subcontractor and the Owner.

All subcontractors are subject to the approval of the Owner.

10. COMMENCEMENT AND COMPLETION OF WORK

The Contractor shall commence work on a date to be specified in a written order of the Owner, and shall fully complete all work under the Contract within the number of days set out in the Bid and Contract. As set forth in the Bid and Contract, the work under the Contract will be subject to liquidated damages in the event the work is not completed within the Contract Time.

11. PROSECUTION OF WORK

The Contractor shall give his personal superintendence to the work or shall have a competent superintendent, satisfactory to the Owner and the Engineer on the work at all times during its progress with full authority to act for him. The superintendent shall have been designated in writing by the Contractor as the Contractor's representative at the site. The Contractor may not change or substitute superintendent without written approval of the Owner. All communications given to the superintendent shall be as binding as if given to the Contractor. The Contractor shall also provide an adequate staff for properly coordinating and expediting his work. The Contractor shall be solely responsible for the means, methods, techniques, sequences and procedures of construction.

The Contractor shall be prepared to start the work as stipulated in the Proposal, but not until he has received official notice from the Owner to do so. Official notice will be in the form of a written Notice to Proceed. The work shall be prosecuted in a manner and with sufficient materials, equipment, and labor as is considered necessary to insure completion within the time set forth in the Contract. The Contractor shall not suspend the work or any portion of it without the written consent of the Owner and the approval of the Engineer.

12. CONTRACT TIME - DELAYS AND EXTENSIONS

The number of days in which the Contractor shall fully perform the proposed work has been set out in the Proposal and/or Contract. The date of beginning and the time for completion of the Work are essential conditions of the Contract.

In arriving at any credit due the Contractor for an extension of time on the Contract, the Owner, upon the recommendation of the Engineer, may allow such credit as in his judgement is deemed equitable and just for all delays occasioned by any act, or failure to act, on the part of the Contractor or caused by forces beyond the Contractor's control. Additional time will also be allowed the Contractor to cover approved over-runs or additions to the Contract in the same proportion that the said over-runs or additions in monetary value bears to the original

contract amount. Delays caused by normal and ordinary weather conditions foreseeable at the time the work is bid will not be the basis for an extension of the Contract Time.

If the Contractor claims that any instructions by Drawings or otherwise involve an extension of time, he shall give the Engineer written notice of said claim within ten (10) days after the receipt of such instructions, and in any event before proceeding to execute the work, stating clearly and in detail the basis of his claim or claims. No such claim shall be valid unless so made.

The Contractor shall make no claim for extra compensation due to delays of the project beyond his control. Such delays may include those caused by any act of neglect on the part of the Owner or Engineer, or by any employee of either, or by any separate contractor employed by the Owner, or by changes ordered in the work, or by labor disputes, fire, unusual delays in transportation, adverse weather conditions not reasonably anticipatable, unavoidable casualties, or by delay authorized by the Owner pending arbitration, or by any other cause which the Engineer determines may justify the delay.

Time extensions may be granted upon proper justification by the Contractor. Any claim for time extensions under these provisions shall be submitted in writing to the Engineer not more than twenty (20) days following commencement of the delay; otherwise claim will be waived. With submission of claim, Contractor shall provide an estimate of the probable effect of such delay on the progress of the work.

Additional costs incurred in accelerating the work to compensate for such delays (as defined above) shall also not form the basis for extra compensation claims.

13. FAILURE TO COMPLETE WORK ON TIME

Should the Contractor fail or refuse to complete the work within the time specified in his Proposal and/or Contract (or extension of time granted by the Owner), the Contractor shall pay liquidated damages in an amount set out in said Proposal and/or Contract. The amount of liquidated damages shall in no event be considered as a penalty, nor other than an amount agreed upon by the Contractor and the Owner for damages, losses, additional engineering, additional resident inspection and other costs that will be sustained by the Owner, if the Contractor fails to complete the work within the specified time. Liquidated damages will be applied on a rate per day for each and every calendar day (Sundays and holidays included) beyond the contract expiration date stipulated in the Contract Documents, considering all time extensions granted.

14. CHARACTER OF WORKMEN, EQUIPMENT, AND MATERIAL

The Contractor shall employ only workmen skilled in their various duties and shall remove from the project, at the request of the Engineer, any person employed in, about, or upon the work, who misconducts himself or is incompetent or negligent in the performance of the duties assigned to him.

The Contractor shall at all times enforce strict discipline and good order among his employees, and shall not employ on the work any unfit person or anyone not skilled in the work assigned to him. Any careless, untrustworthy, or incompetent workman shall be removed forthwith upon the request of the Engineer or his duly authorized representative. Particular application shall be to workmen who ignore quality specifications on pipe bedding, laying, and backfilling, below grade building, concrete pouring, and other work to be covered up or assuming an unalterable set.

Unless otherwise specified, all materials shall be new and both workmanship and materials shall be of good quality. The Contractor shall furnish satisfactory evidence as to the kind and quality of materials.

Materials and equipment shall be so stored as to insure the preservation of their quality and fitness for the Work. Stored materials and equipment to be incorporated in the Work shall be located so as to facilitate prompt inspection. Manufactured articles, materials and equipment shall be applied, installed, connected, erected, used, cleaned and conditioned as directed by the manufacturer.

Materials, supplies or equipment to be incorporated into the Work shall not be purchased by the Contractor or any Subcontractor subject to a chattel mortgage or under a conditional sale contract or other agreement by which an interest is retained by the seller.

Review of manufacturer's shop drawings of materials and equipment shall not mean final acceptance, but shall be subject to inspection and test on delivery and installation. The Contractor shall repair, replace, or adjust any materials or equipment found defective or not operating properly due to improper materials, workmanship, and adjustment on his part, for a period of one year after completion and acceptance of his work.

15. ENGINEER'S STATUS

In rendering general engineering service, resident engineering and inspection of construction, the Engineer is not in charge of, and shall not be responsible for, the methods of construction, the construction forces or the construction equipment, construction safety procedures, or Contractor payment for labor and materials on the project.

The Engineer will inspect the work as the authorized representative of the Owner and will have authority to stop the work whenever, in his opinion, such action is necessary to insure the proper execution of the Contract. He will also have authority to reject work and materials which do not conform to the Drawings, Specifications and Contract Documents and to direct the place or places where work shall be prosecuted. The Engineer is the agent of the Owner only to the extent provided in the Specifications and Contract Documents, except in special instances when this authority is extended; in such latter instances he will, upon request, show the Contractor written proof of his authority.

The Engineer will also interpret the meaning and requirements of the Drawings, Specifications and Contract Documents, decide all engineering questions, and decide all disputes that may arise between the Owner and the Contractor. The Engineer's decisions on these matters will be final and binding on both the Contractor and the Owner unless the dispute is submitted to arbitration or either party resorts to legal action for settlement.

The Engineer is the interpreter of the conditions of the Contract and the judge of its performance. In this duty, he will not favor either the Owner or the Contractor but will use his authority under the Contract to insure and enforce its faithful performance by both parties.

In case of the termination of the employment of the Engineer, the Owner will appoint a capable and reputable Engineer, whose status under the Contract will be the same as that of the former Engineer; any dispute in connection with such appointment shall be subject to arbitration.

16. ENGINEER'S DECISIONS

The Engineer shall, within a reasonable time after their presentation to him, make decisions on all claims of the Owner or Contractor and on all matters relating to the execution and progress of the work or the interpretations of the Drawings, Specifications and Contract Documents.

Unless otherwise expressly provided in the Specifications and Contract Documents, all the Engineer's decisions are subject to arbitration, provided arbitration is agreed to by both the Owner and the Contractor.

If, however, the Engineer fails to render a decision within ten (10) days after the parties have presented their evidence, either party may then request arbitration. If the Engineer renders a decision after arbitration proceedings have been initiated, such decision may be entered as evidence but shall not disturb or interrupt such proceedings except where such decision is acceptable to the parties concerned.

17. INSPECTION OF WORK

The Engineer, his representatives and representatives of regulatory or sponsoring state or federal agencies shall at all times have full access to the work and to all materials intended for use in the work, as well as to plants where such materials are produced. The Contractor shall provide for such access and inspection. If the work shall be covered up without the knowledge or consent of the Engineer, it must, if directed by the Engineer, be uncovered for examination at the Contractor's expense.

18. INSPECTION OF WORK AWAY FROM THE SITE

If work to be done away from the construction site is to be inspected on behalf of the Owner during its fabrication, manufacture, or testing, or before shipment, the Contractor shall give notice to the Engineer of the place and time where such fabrication, manufacture, testing, or shipping is to be done. Such notice shall be in writing and delivered to the Engineer in ample time so that the necessary arrangements for the inspection can be made.

19. STANDARD SPECIFICATIONS

Where standard specifications, such as those of the American Society for Testing and Materials, the American National Standards Institute, the American WaterWorks Association, the American Association of State Highway and Transportation Officials, the Federal Aviation Agency, the Federal Specifications, etc., are referred to in the Specifications and Contract Documents and on the Drawings, said references shall be construed to mean the latest amended and/or revised versions of the said standard or tentative specification.

20. SPECIFIC BRANDS, MAKES OR MANUFACTURERS

Wherever in the Specifications one or more specific brands, makes or manufacturers are set out and qualified by the "or equal" clause, it is intended to denote the quality standard of the article desired, but unless otherwise noted does not restrict the Contractor to the specific brand, make or manufacturer. In cases where one or more specific brands, makes or manufacturers are named and these names are not qualified by the "or equal" clause, it is intended that the Contractor be restricted to one of those named unless otherwise set out.

The Contractor may recommend the substitution of a material, article, or piece of equipment of equal substance and function for those referred to in the Specifications by reference to brand name or catalogue number, and if, in the opinion of the Engineer, such material, article, or piece of equipment is of equal substance and function to that specified, the Engineer may accept its substitution and use by the Contractor. Any cost differential shall be added or deducted from the Contract Price and the Contract Documents shall be appropriately modified by Change Order. The Contractor warrants that if substitutes are accepted, no major changes in the function or general design of the Project will result. Incidental changes or extra component parts required to accommodate the substitute shall be made by the Contractor without a change in the Contract Price or Contract Time.

21. "OR EQUAL" CLAUSE

Whenever the words "or approved equal", "or equal", or "similar to", etc., appear in the Specifications, they shall be interpreted to mean an item of material or equipment that, in the opinion of the Engineer, is similar to that named, suited to the same use, capable of performing the same function as that named, has a record of service equal to that named, and is equal in quality, capacity and/or efficiency to that named.

The Engineer's decision as to the equality of any material or equipment to that specified shall be final, but acceptance by the Engineer shall not relieve the Contractor from his responsibility concerning such materials or equipment or affect the guarantee covering the workmanship, materials and equipment.

22. PERMITS AND CODES

Unless otherwise set out in the Specifications or required by the agencies involved, the Contractor shall make application for, obtain and pay for all licenses and permits of a temporary nature necessary for the prosecution of the Work and shall pay for all fees and charges in connection therewith. Permits, licenses and easements for permanent structures or permanent changes in existing facilities will be secured and paid for by the Owner, unless otherwise specified. The Contractor shall be required to comply with all state or municipal ordinances, laws, and/or codes insofar as the same are binding on the Owner.

The intent of this Contract is that the Contractor shall base his bid upon the Drawings and Specifications, but that all work installed shall comply with all applicable codes and regulations as amended by any waivers. Before installing the work, the Contractor shall examine the Drawings and the Specifications for compliance with applicable codes and regulations bearing on the Work, and shall immediately report any discrepancy to the Engineer. Where the requirements of the Drawings and Specifications fail to comply with the applicable code or regulation, the Owner will adjust the Contract by change order to conform to the code or regulation (unless waivers in writing covering the differences have been granted by the governing authority) and shall make appropriate adjustment in the contract price. Should the Contractor fail to observe the foregoing provisions and install work at variance with any applicable code or regulation as may be amended by waivers (notwithstanding the fact that such installation is in compliance with the Drawings and Specifications), the Contractor shall remove and/or replace such work without cost to the Owner, except that a change order will be issued to cover any additional cost the Contractor would have been entitled to receive if the change had been made before the Contractor commenced work on the items involved.

23. WAGES AND HOURS

The Contractor shall pay not less than the prevailing wage scale set out in these Specifications and Contract Documents, as amended, and shall comply in every respect to applicable rules, regulations and statutes pertaining to wages and hours.

24. NON-REBATE OF WAGES

The Contractor shall comply with the regulations, rulings and interpretations of the Secretary of Labor of the United States, pursuant to the Federal Anti-Kickback Act of June 13, 1934, as amended, 48 Stat. 948; 62 Stat. 74; 63 Stat. 108 (Title 18, U.S.C. Sec. 874 and Title 40 U.S.C. Sec. 276c) including all subsequent amendments which makes it unlawful to induce any person employed in the construction or repair of public buildings or public works to give up any part of the compensation to which he is entitled under his Contract of Employment; and the Contractor agrees to insert a like provision in all subcontracts hereunder. The Contractor may be required to execute an affidavit covering each weekly payroll and certifying compliance with said Anti-Kickback Act.

25. CONTRACT SECURITY OR PERFORMANCE AND PAYMENT BOND

The Contractor will be required to furnish the Owner with a Performance Bond and a Payment Bond to run for one year after the date of final acceptance of the Work by the Owner and the Engineer. The Bonds shall be executed by a surety company duly authorized to do business in the state in which the work is to be performed and named on the current list of "Surety Companies Acceptable on Federal Bonds" as published in the Treasury Department Circular 570. Each Bond shall be in the amount not less than one hundred percent (100%) of the contract price, as security for the faithful performance of this contract and as security for the payment of all persons performing labor and furnishing materials in connection with this Contract. These Bonds must be executed in the form provided as a part of the Contract Documents, and the surety company shall hold a current certificate of authority, as issued by the Treasury Department, as an acceptable surety on Federal Bonds under an act of Congress approved July 30, 1947. The expense of these Bonds shall be borne by the Contractor.

If at any time a surety on any such Bond is declared bankrupt or loses its right to do business in the state in which the Work is to be performed or is removed from the list of Surety Companies acceptable on Federal

Bonds, the Contractor shall within five (5) days after notice from the Owner to do so, substitute an acceptable Bond (or Bonds) in such form and sum and signed by such other surety or sureties as may be satisfactory to the Owner. The premiums on such Bond shall be paid by the Contractor. No further payments shall be deemed due nor shall be made until the new surety or sureties shall have furnished an acceptable Bond to the Owner.

26. SAFETY

The Contractor shall take all necessary precautions and provide all necessary safeguards to prevent personal injury and property damage. The Contractor shall provide protection for all persons including but not limited to his employees and employees of other contractors or subcontractors; members of the public; and employees, agents, and representatives of the Owner, the Engineer, and regulatory agencies that may be on or about the Work. The Contractor shall provide protection for all public and private property including but not limited to structures, pipes, and utilities, above and below ground.

The Contractor shall provide and maintain all necessary safety equipment such as fences, barriers, signs, lights, walkways, guards and fire prevention and fire-fighting equipment and shall take such other action as is required to fulfill his obligations under this subsection.

The Contractor shall comply with all federal, state and local laws, ordinances, rules and regulations and lawful orders of all authorities having jurisdiction for the safety of persons and protection of property.

The Contractor shall exercise proper precaution at all times for the protection of persons and property and shall be responsible for all damages to persons or property, either on or off the site, which occur as a result of his prosecution of the work. The safety provisions of applicable laws and building and construction codes, in addition to specific safety and health regulations described by Chapter XIII, Bureau of Labor Standards, Department of Labor, Part 1518, Safety and Health Regulations for Construction, as outlined in the Federal Register, Volume 36, No. 75, Saturday, April 17, 1971. Title 29 - LABOR, shall be observed and the Contractor shall take or cause to be taken, such additional safety and health measures as the Contracting Authority may determine to be reasonably necessary.

The Contractor shall also comply with 29 CFR Part 1926 as adopted by 803 KAR 2:400 through 2:425 with amendments, including 29 CFR Part 1910 General Industry Safety and Health Standards applicable to Construction and any supplement to 29 CFR Part 1926 as adopted by Kentucky Occupational Safety and Health Program, Kentucky Labor Cabinet.

The Contractor shall designate a responsible member of his organization at the site whose duty shall be the prevention of accidents. This responsible person shall have the authority to take immediate action to correct unsafe or hazardous conditions and to enforce safety precautions and programs.

There shall be absolutely no alcoholic beverages or drugs on the site any time.

27. INSURANCE, CONTRACTOR'S COVERAGE AND CANCELLATION PROVISION

The Contractor will not be permitted to commence work until he has obtained all insurance required by these documents and such insurance has been approved by the Engineer and/or Owner, nor shall the Contractor allow any subcontractor to commence work on his subcontract until all insurance required has been so obtained and approved. Certificates of Insurance acceptable to the Owner shall be filed with the Owner prior to commencement of the Work.

Such insurance shall be secured from an insurance company authorized to write casualty insurance in the state where the Work is located and shall protect the Contractor, his subcontractors, and the Owner from claims of bodily injury, death, property damage, fire and other risks set out herein.

Each policy of insurance covering the Contractor's operations under the Contract shall provide either in the body of the policy, or by appropriate endorsement (rider) to the policy, that such policy cannot be altered or cancelled in less than fifteen (15) days after the mailing of written notice of such alteration or cancellation to the Owner (insured) and the Engineer or not less than ten (10) days after actual receipt by the Owner (insured) and the Engineer, of written notice of such pending alteration or cancellation.

Certificates of Insurance coverage shall include a statement of alteration or cancellation provisions of the policy, sufficient to show definitely that such provisions comply with the requirements stated herein.

28. INSURANCE, WORKMEN'S COMPENSATION

The Contractor shall take out and maintain during the life of this Contract, Workmen's Compensation Insurance, as required by statute, for all of his employees employed at the site of the Project, and in case any work is sublet, for all the subcontractor's employees not otherwise insured. In case any class of employees engaged in hazardous work under this contract at the site of the project is not protected under the Workmen's Compensation Statute, the Contractor shall provide adequate coverage for the protection of the employees not otherwise protected.

29. INSURANCE, PUBLIC LIABILITY

The Contractor shall take out and maintain during the life of this Contract such Public Liability (Bodily Injury and Property Damage) Insurance as shall protect him and any subcontractor performing work covered by this Contract from claims for damages because of bodily injury, including accidental death and from claims for property damages, which may arise from operations under this Contract, whether such operations be by him or by any subcontractor, or by anyone directly or indirectly employed by either of them.

Liability coverage is to be written on a comprehensive general liability policy and must include: (a) premises-operations, manufacturers and contractors, and owners, landlords and tenants; (b) contractors protective; (c) products-completed operations; (d) contractual liability per Paragraph 34 of the General Conditions. General liability shall also include "underground property damage by mechanical equipment" and when blasting is done coverage must be provided for the explosion hazard.

Where work on railroad rights-of-way is involved, the Contractor shall also be covered by Railroad Protective Liability Insurance with limits of liability as required by the railroad company on whose property the work is being performed.

30. INSURANCE, BUILDERS RISK

The Contractor shall provide Builders Risk Insurance (fire and extended coverage) on all work in place and/or materials stored at the site. Such insurance shall provide coverage as set forth in Paragraph 31 hereinafter. The policy shall name as the insured the Contractor, the Engineer and the Owner.

31. MINIMUM INSURANCE LIMITS

The minimum amounts of insurance to be furnished by and for the general contractor and the subcontractors under this Contract are:

- a. Workmen's Compensation - Applicable State Statutes
Employers Liability - \$1,000,000 limit of liability
- b. Comprehensive General Liability:
 - Coverage A - Bodily Injury Liability -
\$2,000,000 each occurrence

\$2,000,000 aggregate
Coverage B - Property Damage Liability -
\$1,000,000 each occurrence
\$1,000,000 aggregate

c. Comprehensive Automobile Liability:

Coverage A - Bodily Injury Liability -
\$1,000,000 each person
\$1,000,000 each occurrence
Coverage B - Property Damage Liability -
\$1,000,000 each occurrence

d. Umbrella Excess Liability.....\$2,000,000

e. Builders Risk Insurance - To include coverage for not less than the losses due to Fire, Explosion, Hail, Lightning, Vandalism, Malicious Mischief, Wind, Collapse, Riot, Aircraft, Smoke, Transportation and Extended Coverage for benefit of the Owner, Engineer, Contractor, and subcontractors as their interests may appear during the Contract Time and until the Work is accepted by the Owner.

100% of Insurable Value of Materials and Accessories to be used in conjunction with the Project.

f. Railroad Protection Insurance - (where work to be within railroad right-of-way)

Loss of Life or Injury to Person - As required by Railroad
Property Damage - As required by Railroad

32. INSURANCE, PROOF OF CARRIAGE

The Contractor shall furnish the Owner and the Engineer with satisfactory proof of carriage of the insurance required by submitting completed Insurance Certificates.

33. ROYALTIES AND PATENT FEES

The Contractor shall pay license fees and royalties and assume all costs incident to the use of any invention, design, process or device which is the subject of patent rights or copyrights held by others. As set forth in Paragraph 34, hereinafter, he shall indemnify and hold harmless the Owner and all of its officers, agents and employees from and against all claims, damages, losses and expenses (including attorneys' fees) arising out of any infringement of such rights during or after completion of the work, and shall defend all such claims in connection with any alleged infringement of such rights.

34. RESPONSIBILITY FOR DAMAGE, CLAIMS, ETC.

The Contractor shall indemnify and save harmless the Owner, the Engineer and subconsultants and all of their officers, agents and employees, from all claims, damages, losses and expenses including attorneys' fees of any character, name and description brought for, or on account of any injuries or damages received or sustained by any person, persons, or property by or from the said Contractor or by or in consequence of any neglect in safeguarding the work or through the use of unacceptable materials used on construction or by or on account of any act or omission, neglect, or misconduct of the said Contractor or by or on account of any claims or amounts recovered from any infringement of patent, trademark or copyright, or from any claims or amounts arising or recovered under any law, ordinance, order, or decree, and so much of the money due the said Contractor under and by virtue of his contract as shall be considered necessary by the Owner may be retained for the use of the

Owner, or in case no money is due, his surety shall be held until such suit or suits, action or actions, claim or claims for injuries or damages as aforesaid, shall have been settled and suitable evidence to that effect furnished to the Owner.

In any and all claims against the Owner or the Engineer, or any of their agents or employees, by any employee of the Contractor, and subcontractor, anyone directly or indirectly employed by any of them, or anyone for whose acts any of them may be liable, the indemnification obligation shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor or any Subcontractor under workmen's compensation acts, disability benefit acts or other employee benefit acts.

The obligation of the Contractor under this paragraph shall not extend to the liability of the Engineer, his agents or employees arising out of the preparation or approval of maps, Drawings, opinions, reports, surveys, Change Orders, designs or Specifications.

35. HANDLING AND DISTRIBUTION

The Contractor shall handle, haul, and distribute all materials and all surplus materials on the different portions of the Work, as necessary or required; shall provide suitable and adequate storage room for materials and equipment during the progress of the Work; and shall be responsible for the protection, loss of, or damage to materials and equipment furnished by him, until the final completion and acceptance of the Work.

Storage and demurrage charges by transportation companies and vendors shall be borne by the Contractor.

36. MATERIALS - SAMPLES - INSPECTION

Unless otherwise expressly provided on the Drawings or in any of the other Contract Documents, only new materials and equipment shall be incorporated in the Work. All materials and equipment furnished by the Contractor to be incorporated in the Work shall be subject to the inspection of the Engineer. No material shall be processed or fabricated for the Work or delivered to the Work site without prior concurrence of the Engineer.

As soon as possible after execution of the Agreement, the Contractor shall submit to the Engineer the names and addresses of the manufacturers and suppliers of all materials and equipment he proposes to incorporate into the Work. When shop and working drawings are required as specified below, the Contractor shall submit prior to the submission of such drawings, data in sufficient detail to enable the Engineer to determine whether the manufacturer and/or the supplier have the ability to furnish a product meeting the Specifications. The Contractor shall also submit data relating to the materials and equipment he proposes to incorporate into the Work in sufficient detail to enable the Engineer to identify and evaluate the particular product and to determine whether it conforms to the Contract requirements. Such data shall be submitted in a manner similar to that specified for submission of shop and working drawings.

Facilities and labor for the storage, handling, and inspection of all materials and equipment shall be furnished by the Contractor. Defective materials and equipment shall be removed immediately from the site of the Work.

If the Engineer so requires, either prior to or after commencement of the Work, the Contractor shall submit samples of materials for such special tests as the Engineer deems necessary to demonstrate that they conform to the Specifications. Such samples, including concrete test cylinders, shall be furnished, taken, stored, packed, and shipped by the Contractor as directed. The Contractor shall furnish suitable molds for making concrete test cylinders.

All samples shall be packed so as to reach their destination in good condition, and shall be labeled to indicate the material represented, the name of the building or work and location for which the material is intended, and the name of the Contractor submitting the sample. To ensure consideration of samples, the

Contractor shall notify the Engineer by letter that the samples have been shipped and shall properly describe the samples in the letter. The letter of notification shall be sent separate from and should not be enclosed with the samples.

The Contractor shall submit data and samples, or place his orders, sufficiently early to permit consideration, inspection and testing before the materials and equipment are needed for incorporation in the Work. The consequences of his failure to do so shall be the Contractor's sole responsibility.

In order to demonstrate the proficiency of workmen, or to facilitate the choice among several textures, types, finishes, surfaces, etc., the Contractor shall provide such samples of workmanship of wall, floor, finish, etc., as may be required.

When required, the Contractor shall furnish to the Engineer triplicate sworn copies of manufacturer's shop or mill tests (or reports from independent testing laboratories) relative to materials, equipment performance ratings, and concrete data.

After review of the samples, data, etc., the materials and equipment used on the Work shall in all respects conform therewith.

37. PAYMENT FOR MATERIALS STORED AT SITE OF PROJECT

Payment for materials or equipment purchased and stored at the site of the Project will be allowed by the Owner at the cost of such materials or equipment, less the same percentage of retainage applicable to payment for completed work, upon specific recommendation of the Engineer. Such payment shall be conditional upon submission by the Contractor of bills of sale or such other procedure as will establish the Owner's title to such material or otherwise adequately protect the Owner's interest.

Only durable materials and equipment which in the opinion of the Engineer have been properly stored and protected shall be included in materials furnished in partial payment estimates. Clay pipe, brick and tile will be excluded. In the interest of simplification of checking and bookkeeping, miscellaneous supplies will also be excluded.

38. MATERIALS

A. **Materials, Domestic and Foreign Manufacture:** Unless otherwise specified, only such unmanufactured articles, materials and supplies as have been mined or produced in the United States of America, and only such manufactured articles, materials and supplies as have been manufactured in the United States of America substantially all from articles, materials, or supplies mined, produced, or manufactured -- as the case may be -- in the United States of America, shall be employed under this Contract in the construction of the Project.

B. **Materials, Convict Manufacture:** No materials manufactured or produced in a penal or correctional institution shall be incorporated in the Work under this Contract.

39. DEFECTIVE MATERIALS AND WORKMANSHIP

Materials brought to the site which are not in accordance with the Specifications shall be removed from the site of the Work by the Contractor at his own expense. Such material shall be so disposed of that there will be no probability of their being used on the work or in the construction.

Upon notice from the Engineer, all defective workmanship shall be immediately remedied by the Contractor, at his own expense.

If the Contractor fails to remove defective materials or to correct defective workmanship within a reasonable time, fixed in the notice from the Engineer, the Owner may remove the defective materials and/or correct the defective work and charge all the expense in connection therewith to the Contractor.

40. GUARANTY

The Contractor shall guarantee all materials and equipment furnished and Work performed for a period of one (1) year from the date of Substantial Completion. The Contractor warrants and guarantees for a period of one (1) year from the date of Substantial Completion of the system that the completed system is free from all defects due to faulty materials or workmanship and the Contractor shall promptly make such corrections as may be necessary by reason of such defects including the repairs of any damage to other parts of the system resulting from such defects. Repairs made during the warranty period shall be guaranteed for one (1) year. The Owner will give notice of observed defects with reasonable promptness. In the event that the Contractor should fail to make such repairs, adjustments, or other Work that may be made necessary by such defects, the Owner may do so and charge the Contractor the cost thereby incurred. The Performance Bond shall remain in full force and effect through the guarantee period.

41. FIELD OFFICE

Each Contractor shall establish and maintain a field office on his project and have available at the office a responsible representative who can officially receive instructions from the Engineer. The Contractor shall have one complete, up-to-date set of Drawings, Specifications and Addenda in this office at all times.

Each office shall contain facilities for a Resident Project Representative, including a desk or table, chair and filing cabinet for his use.

Each office shall be provided with telephone service, facsimile machine, toilet facilities, light and heat; the cost of which shall be borne by the Contractor.

42. SANITARY FACILITIES

The Contractor shall provide adequate sanitary facilities for the use of those employed on the Work. Such facilities shall be made available when the first employees arrive on the site of the Work, shall be properly secluded from public observation, and shall be constructed and maintained during the progress of the Work in suitable numbers and at such points and in such manner as may be required.

The Contractor shall maintain the sanitary facilities in a satisfactory and sanitary condition at all times and shall enforce their use. He shall rigorously prohibit the committing of nuisances on the site of the Work, on the lands of the Owner, or on adjacent property.

43. EMPLOYMENT QUALIFICATIONS

No person under the age of eighteen (18) years and no convict labor shall be employed to perform any work under this Contract. No person whose age or physical condition is such as to make his employment dangerous to his health or safety or to the health or safety of others shall be employed to perform any work under this Contract, provided that this shall not operate against the employment of physically handicapped persons, otherwise employable, where such persons may be safely assigned to work which they can ably perform. There shall be no discrimination because of race, creed, color, sex or political affiliation in the employment of persons for work under this Contract.

44. EMPLOYMENT SERVICES AND LABOR PREFERENCES

With respect to additional skilled, semi-skilled and unskilled workers employed to perform work on the Project, preference in employment shall be given first to persons who reside in the city in which the Work is to be performed, and second to persons residing in the county in which the Work is to be performed.

45. PAYMENT OF EMPLOYEES

The Contractor and each of his subcontractors shall pay each of his employees engaged in work on the Project in full (less deductions made mandatory by law) in cash or by check once each week.

46. SCHEDULES, REPORTS AND RECORDS

The Contractor shall submit to the Owner such schedule of quantities and costs, progress schedules, payrolls, reports, estimates, records and other data as the Owner may request concerning Work performed or to be performed.

When required, the Contractor shall furnish the Owner with proof that all payrolls for services rendered and invoices for materials or equipment supplied have been duly paid. The Contractor shall provide all such other data as the Engineer and/or Owner may required.

In connection with all lump sum contracts or lump sum portions of unit price contracts, the Contractor shall furnish the Engineer a detailed breakdown on which to base partial payment estimates. The detailed breakdown shall be subject to review by the Engineer.

The Contractor shall furnish and keep current a progress chart or schedule showing the estimated and actual progress of the Work. The progress chart or schedule shall be subject to review by the Engineer.

The Contractor shall furnish all the necessary information for and assist in the preparation of, and/or prepare the partial payment estimates on forms furnished by the Engineer.

Record drawings and specifications shall be reviewed by the Engineer prior to submittal of partial payment estimates. Approval of partial or final payments will be contingent upon compliance with this provision.

47. PLANNING AND PROGRESS SCHEDULES

Before starting the Work and from time to time during its progress, as the Engineer may request, the Contractor shall submit to the Engineer a written description of the methods he plans to use in doing the Work and the various steps he intends to take. Within fifteen (15) days after the date of formal execution of the Agreement, the Contractor shall prepare and submit to the Engineer: (a) a written schedule fixing the dates on which additional drawings, if any, will be needed by the Contractor; and (b) a written schedule fixing the respective dates for the start and completion of various parts of the Work. Each such schedule shall be subject to review from time to time during the progress of the Work.

The Contractor shall also submit a schedule of payments that he anticipates he will earn during the course of the Work.

The Owner, or his authorized representatives and agents, shall be permitted to inspect all payroll, records of personnel, invoices for materials or equipment and other relevant data and records.

48. PAYMENTS BY CONTRACTOR

The Contractor shall pay: (a) for all transportation and utility services not later than the 20th day of the calendar month following the month in which such services are rendered; (b) for all materials, tools and other expendable equipment to the extent of ninety percent (90%) of the cost thereof, not later than the 20th day of the

calendar month following the month in which such materials, tools and equipment are delivered at the site of the Project, and the balance of the cost thereof not later than the 30th day following completion of that part of the Work in or on which such materials, tools and equipment are incorporated or used; and (c) to each of his subcontractors, not later than the 5th day following each payment to the Contractor, the respective amounts allowed the Contractor on account of the work performed by his subcontractors, to the extent of each subcontractor's interest therein.

49. FUNDS FOR PARTIAL PAYMENT ESTIMATES

Funds for partial payment estimates have been provided by the Owner so that they may be paid in cash as set out herein. The Contractor must understand, however, that in handling the financing of such work, delays beyond the control of the Owner are liable to occur in meeting the partial payments, and a reasonable delay on the part of the Owner in making payment to the Contractor for any period shall not be construed as a breach of contract on the part of the Owner.

50. PARTIAL PAYMENT ESTIMATES

On or about the 15th of each calendar month, the Owner will make partial payment to the Contractor on the basis of a duly certified approved estimate of the Work performed during the preceding calendar month by the Contractor, but the Owner will retain not more than ten percent (10%) of the amount of each estimate until final completion and acceptance of all Work covered by this Contract, subject to possible modification as set out hereinafter.

The partial payment estimate shall be completed and signed by the Contractor and shall be supported by such data as the Engineer may reasonably require. The Contractor shall delineate on each partial payment estimate for each item in the bid form, the amounts associated with bond costs, overhead, insurance, labor and materials. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at or near the site, the partial payment estimate shall also be accompanied by such supporting data, satisfactory to the Owner, as will establish the Owner's title to the material and equipment and protect his interest therein, including applicable insurance. The Engineer will, within ten days after receipt of each partial payment estimate, either indicate in writing his approval of payment or present the partial payment estimate to the Contractor indicating in writing his reasons for refusing to approve payment. In the latter case, the Contractor may make the necessary corrections and resubmit the partial payment estimate. The Owner will, within ten (10) days of presentation to him of an approved partial payment estimate, pay the Contractor a progress payment on the basis of the approved partial payment estimate.

The request for payment may also include an allowance for the cost of such major materials and equipment which are suitably stored either at or near the site.

All Work covered by partial payment made shall thereupon become the sole property of the Owner, but this provision shall not be construed as relieving the Contractor of the sole responsibility for the care and protection of the Work upon which payments have been made or the restoration of any damaged Work, or as a waiver of the right of the Owner to require the fulfillment of all terms of the Contract Documents.

Upon completion and acceptance of the Work, the Engineer shall issue a certificate attached to the final payment request that the Work has been accepted by him under the conditions of the Contract Documents. The entire balance found to be due the Contractor, including the retained percentages, but except such sums as may be lawfully retained by the Owner, shall be paid to the Contractor within thirty (30) days of completion and acceptance of the Work.

The Contractor will indemnify and save the Owner and the Owner's agents harmless from all claims growing out of the lawful demands of subcontractors, laborers, workmen, mechanics, materialmen, and furnishers of machinery and parts thereof, equipment, tools, and all supplies, incurred in the furtherance of the performance of the Work. The Contractor shall, at the Owner's request, furnish satisfactory evidence that all obligations of the

nature designated above have been paid, discharged, or waived. If the Contractor fails to do so the Owner may, after having notified the Contractor, either pay unpaid bills or withhold from the Contractor's unpaid compensation a sum of money deemed reasonably sufficient to pay any and all such lawful claims until satisfactory evidence is furnished that all liabilities have been fully discharged whereupon payment to the Contractor shall be resumed, in accordance with the terms of the Contract Documents, but in no event shall the provisions of this sentence be construed to impose any obligations upon the Owner to either the Contractor, his Surety, or any third party. In paying any unpaid bills of the Contractor, any payment so made by the Owner shall be considered as a payment made under the Contract Documents by the Owner to the Contractor and the Owner shall not be liable to the Contractor for any such payments made in good faith.

If the Owner fails to make payment thirty (30) days after approval by the Engineer, in addition to other remedies available to the Contractor, there shall be added to each such payment interest at prime rate plus two (2) percentage points commencing on the first day after said payment is due and continuing until the payment is received by the Contractor.

51. OWNER'S RIGHT TO WITHHOLD PAYMENTS

In order to protect the Owner from loss, payment may be withheld which would otherwise be due the Contractor on account of:

- A. Defective work not remedied or defective materials not removed from site.
- B. Claims filed, or reasonable evidence indicating imminent filing of claims, against the Contractor.
- C. Failure of the Contractor to make payments properly to subcontractors or for material or labor.
- D. A reasonable doubt that the Contract can be completed for the balance then unpaid.
- E. Damage to another Contractor.
- F. Performance of work in violation of the terms of the Contract.
- G. Expiration of Contract Time.

Should the Owner withhold payment for any of the above reasons, the Owner will provide written notice to the Contractor giving reason for withholding payment.

52. DEDUCTIONS FOR UNCORRECTED WORK

If the Engineer and Owner deem it inexpedient to correct work damaged or not done in accordance with the Contract, a deduction from the Contract price may be negotiated.

53. PROTECTION OF WORK, PROPERTY AND PERSONS

The Contractor will be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. He shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to all employees on the Work and other persons who may be affected thereby, all the Work and all materials or equipment to be incorporated therein, whether in storage on or off the site, and other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures and utilities not designated for removal, relocation or replacement in the course of construction.

The Contractor shall comply with all applicable laws, ordinances, rules, regulations and orders of any public body having jurisdiction. He shall erect and maintain, as required by the conditions and progress of the

Work, all necessary safeguards for safety and protection. He shall notify owners of adjacent utilities when prosecution of the Work may affect them. The Contractor shall remedy all damage, injury or loss to any property caused, directly or indirectly, in whole or in part, by the Contractor, any subcontractor of anyone directly and indirectly employed by any of them or anyone for whose acts any of them be liable, except damage or loss attributable to the fault of the Contract Documents or to the acts or omissions of the Owner or the Engineer or anyone employed by either of them or anyone for whose acts either of them maybe liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of the Contractor.

In emergencies affecting the safety of persons or the Work or property at the site or adjacent thereto, the Contractor with special instruction or authorization from the Engineer or Owner, shall act to prevent threatened damage, injury or loss. He shall give the Engineer prompt Written Notice of any significant changes in the Work or deviations from the Contract Documents caused thereby, and a Change Order shall thereupon be issued covering the changes and deviations involved.

54. WORK ON "PRIVATE PROPERTY"

Private property is defined as property other than that belonging to the Owner. Highway and railroad rights-of-way, public parks, schoolyards and other such properties shall be considered "private properties" for the purpose of this Paragraph.

In connection with water line, sewer line, gas line or similar work performed on "private property", the Contractor shall confine his equipment, the storage of materials and the operations of his workmen to the limits indicated on the Drawings, or to lands and rights-of-way provided for the Project by the Owner, and shall take every precaution to avoid damage to the buildings, grounds and facilities of the owners' of private property.

Fences, walls, hedges, shrubs, etc., shall be carefully removed, preserved, and replaced when the construction is completed. Grassed areas, other than lawns, shall be graded, fertilized and seeded when construction is completed and in accordance with the requirements of the technical Specifications. Where ditches or excavations cross lawns, the sod shall be removed carefully and replaced when the backfilling has been completed. If sod is damaged or not handled properly, it shall be replaced with new sod equal to existing sod at the Contractor's expense. When construction is completed, the facilities and grounds of the private property owners shall be restored to as good or better condition than found as quickly as possible at the Contractor's expense.

When directed by the Engineer, large trees or other facilities that cannot be preserved and replaced shall be removed by the Contractor. The Owner will assume the responsibility for settling with the property owner for the loss of said trees or facilities. The Contractor shall be solely and entirely responsible for any damage to all other trees or facilities.

Foundations, adjacent to where an excavation is to be made below the bottom of the foundation, shall be supported by shoring, bracing or underpinning as long as the excavation shall remain open, or thereafter if required to insure the stability of the foundation and the Contractor shall be held strictly responsible for any damage to said foundations.

55. LANDS FOR WORK

The Owner will provide the lands upon which the work under this Contract is to be done or the necessary easements over said lands to include sufficient space for the proper execution of the work, together with right of access to same. The Owner will provide the Contractor information which delineates and describes the lands owned and rights-of-way acquired. The Contractor shall, at his own expense and without liability to the Owner, provide land required for storage of his construction materials and for any temporary construction facilities for the storage of his equipment. The Contractor will construct at his own expense, any temporary roads or bridges necessary for his own use; he will also furnish his own power and water supply unless otherwise specifically set out herein.

56. INTERFERENCE WITH AND PROTECTION OF STREETS

The Contractor shall not close or obstruct any portion of a street, road, or private way without obtaining permits therefor from the proper authorities. If any street, road or private way shall be rendered unsafe by the Contractor's operations, he shall make such repairs or provide such temporary ways or guards as shall be acceptable to the proper authorities.

Streets, roads, private ways, and walks not closed shall be maintained passable and safe by the Contractor, who shall assume and have full responsibility for the adequacy and safety of provisions made therefor.

The Contractor shall, at least 24 hours in advance, notify the Police and Fire Departments in writing, with a copy to the Engineer, if the closure of a street or road is necessary. He shall cooperate with the Police Department in the establishment of alternate routes and shall provide adequate detour signs, plainly marked and well lighted, in order to minimize confusion.

All excavated materials and equipment to be incorporated in the Work shall be placed so as not to injure any part of the Work or existing facilities and so that free access can be had at all times to all parts of the Work and to all public utility installations in the vicinity of the Work. Materials and equipment shall be kept neatly piled and compactly stored in such locations as will cause a minimum of inconvenience to public travel and adjoining owners, tenants and occupants.

57. EXISTING UTILITIES

Special precautions shall be taken by the Contractor to avoid damage to existing overhead and underground utilities owned and operated by the Owner or by public or private utility companies.

The available information concerning the location of existing underground utilities is shown on the Drawings. While it is believed that the locations shown are reasonably correct, neither the Engineer nor the Owner can guarantee the accuracy or adequacy of this information.

Before proceeding with the Work, the Contractor shall confer with all public or private companies, agencies or departments that own and operate utilities in the vicinity of the Construction Work. The purpose of the conference, or conferences, shall be to notify said companies, agencies or departments of the proposed construction schedule, verify the location of, and possible interference with, the existing utilities that are shown on the Drawings, arrange for necessary suspension of service, and make arrangements to locate and avoid interference with all utilities (including house connections) that are not shown on the Drawings. The Engineer and Owner have no objection to the Contractor arranging for the said utility companies, agencies, or departments to locate and uncover their own utilities; however, the Contractor shall bear the entire responsibility and cost for locating and avoiding, or repairing, damage to said existing utilities.

The Contractor shall locate all unknown metallic hazards, namely buried pipe, metals, etc., by using a pipe locator. The pipe locator shall immediately precede the trench ditching and all hazards located shall be marked in such manner as to notify the machine operator of such hazard.

Where existing utilities or appurtenant structures, either underground or above-ground, are encountered, they shall not be displaced or molested unless necessary, and in such case shall be replaced in as good or better condition than found as quickly as possible. Relocation and/or replacement of all utilities and appurtenant structures to accommodate the construction work shall be at the Contractor's expense, unless such relocation and/or replacement is by statute or agreement the responsibility of the owner of the utility.

58. ARBITRATION

A. Request for Arbitration

Any decision of the Engineer which is subject to arbitration may be submitted to arbitration only upon agreement of both parties to the dispute.

The Contractor shall not cause a delay of the Work because of pending arbitration proceedings, except with the written permission of the Engineer, and then only until the arbitrators shall have had an opportunity to determine whether or not the Work shall continue until they decide the matters in dispute.

The request for arbitration shall be delivered in writing to the Engineer and the adverse party, either personally or by registered mail to the last known address of each, within ten (10) days of the receipt of the Engineer's decision, and in no case after final payment has been accepted except as otherwise expressly stipulated in the Contract Documents. If the Engineer fails to make a decision within a reasonable time, a request for arbitration may be made as if his decision has been rendered against a requesting party.

B. Arbitrator

No one shall be nominated or act as an arbitrator who is in any way financially interested in this Contract or in the business affairs of the Owner, or the Contractor, or the Engineer or otherwise connected with any of them. Each arbitrator shall be a person in general familiar with the work or the problem involved in the dispute submitted to arbitration, preferably a recognized Engineer, experienced in the type of construction in question.

Unless otherwise provided by controlling statutes, the parties may agree upon one arbitrator; otherwise there shall be three, one named in writing by each party to this Contract, and a third chosen by these two arbitrators, or, if they should fail to select a third within fifteen (15) days, then he shall be appointed by the presiding officer, if a disinterested party, of the Bar Association nearest to the location of the Work. Should the party requesting arbitration fail to name an arbitrator within ten (10) days and upon his failure to do so then such arbitrator shall be appointed, on the petition of the party requesting arbitration, by a judge of the Federal Court in the District where such arbitration is to be held.

The said presiding officer shall have the power to declare the position of any arbitrator vacant by reason of refusal or inability to act, sickness, death, resignation, absence or neglect. Any vacancy shall be filled by the party making the original appointment, and unless so filled within five (5) days after the same has been declared vacant, it shall be filled by the said presiding officer. If testimony has been taken before a vacancy has been filled by the presiding officer, the matter must be reheard unless a rehearing is waived in the submission or by the written consent of the parties. If there be one arbitrator, his decision shall be binding; if three, the decision of any two shall be binding in respect to both the matters submitted and the procedure followed during the arbitration.

C. Arbitration Procedure

The arbitrators shall deliver a written notice to each of the parties and to the Engineer, either personally or by registered mail to the last known address of each, of the time and place for the beginning of the hearing of the matters submitted to them. Each party may submit to the arbitrators such evidence and argument as he may desire and the arbitrators may consider pertinent. The arbitrators shall, however, be the judge of all matters of law and fact relating to both the subject matter of and the procedure during arbitration and shall not be bound by technical rules of law or procedure. They may hear evidence in whatever form they desire. The parties may be represented before them by such person or persons as each may select, subject to the disciplinary power of the arbitrators if such representative shall not interfere with the orderly or speedy conduct of the proceedings.

Each party and the Engineer shall supply the arbitrators with such papers and information as they may request, or with any witness whose movements are subject to the respective control, and upon refusal to comply with such requests, the arbitrators may render their decision without the evidence which might have been elicited therefrom and the absence of such evidence shall afford no ground for challenge of the award by the party refusing or neglecting to comply with such demand.

The submission to arbitrators (the statement of the matters in dispute between the parties to be passed upon by the arbitrators) shall be in writing duly acknowledged before a notary. Unless waived in writing by both parties to the arbitration, the arbitrators, before hearing testimony, shall be sworn by an officer authorized by law to administer an oath, to faithfully and fairly hear and examine the matters in controversy and to make a just award according to the best of their understanding.

The arbitrators, if they deem the case demands it, are authorized to award to the party whose contention is sustained such sums as they shall consider proper for the time, expense and trouble incident to the arbitration, and if the arbitration was requested without reasonable cause, damages for delay and other losses. The arbitrators shall fix their own compensation, unless otherwise provided by agreement, and shall assess the costs and charges of the arbitration upon either or both parties.

The award of the arbitrators shall be in writing and acknowledged like a deed to be recorded, and a duplicate shall be delivered personally or by registered mail, forthwith upon its rendition, to each of the parties to the controversy and to the Engineer. Judgment may be rendered upon the award by the Federal Court or the highest State Court having jurisdiction to render same.

The award of the arbitrators shall not be open to objection on account of the form of proceedings or the award, unless otherwise provided by controlling statutes. In the event such statutes provide otherwise on any matter covered by this Article than hereinbefore specified, the method procedure throughout and the legal effect of the award shall be wholly in accord with said statutes, it being the intention hereby to lay down a principle of action to be followed, leaving its local application to be adapted to the legal requirements of the jurisdiction having authority over the arbitration.

The Engineer shall not be deemed a party to the dispute. He is given the right to appear before the arbitrators to explain the basis of his decision and give such evidence as they may require.

59. ALTERATION IN DRAWINGS AND SPECIFICATIONS

The Owner reserves the right to make such alteration in the Drawings and Specifications or in the character of the Work as may be considered by the Engineer necessary or desirable from time to time to complete the Project in an acceptable manner; provided that, if alterations are made, the general character of the Work as a whole is not changed thereby.

Such alterations shall not be considered as a waiver of any condition of the Contract nor to invalidate any of the provisions nor to release the bond thereof.

60. CHANGES IN THE WORK

The Owner may make changes in the work of the Contractor by making alterations therein, or by making additions thereto, or by omitting work therefrom, without invalidating the Contract, and without relieving or releasing the Contractor from any guarantee given by him pursuant to the Contract provisions, and without affecting the validity of the guaranty bonds, and without relieving or releasing the surety or sureties of said bonds. All such changes shall be in the form of a Change Order issued by the Engineer, and executed by the Owner and Contractor, under the conditions of the original Contract.

Except in an emergency endangering life or property, no change shall be made by the Contractor unless in pursuance of a written Change Order. No claim for an adjustment of the Contract Price or Time shall be valid unless so ordered.

The Engineer, also, may at any time, by issuing a field order, make changes in the details of the Work. The Contractor shall proceed with the performance of any changes in the Work so ordered by the Engineer unless the Contractor believes that such field order entitles him to a change in Contract Price or Time, or both, in which event he shall give the Engineer written notice thereof within fifteen (15) days after the receipt of the ordered change, and the Contractor shall not execute such changes pending the receipt of an executed Change Order or further instruction from the Owner.

Should the Contractor encounter or discover during the progress of the Work subsurface or latent conditions at the site materially differing from those shown on the Drawings or indicated in the Specifications, the attention of the Engineer shall immediately be called to such conditions before they are disturbed. If the Engineer finds that they so materially differ, he will at once make such changes in the Drawings or Specifications as he may find necessary. Any adjustment in the Contract Price or Time as may be justifiable shall be made by means of a written Change Order and must be negotiated with the owner, engineer and DOW/KIA as provided herein.

61. CLAIMS FOR EXTRA WORK

If the Contractor claims that any instructions by Drawings or otherwise involve extra cost, he shall give the Engineer written notice of said claim within ten (10) days after the receipt of such instructions, and in any event before proceeding to execute the Work, stating clearly and in detail the basis of his claim or claims. No such claim shall be valid unless so made.

Claims for additional compensation for extra work, due to alleged errors in spot elevations, contour lines, or bench marks, will not be recognized unless accompanied by certified survey data, made prior to the time the original ground was disturbed, clearly showing that errors exist which resulted, or would result, in handling more material, or performing more work than would reasonably be estimated from the Drawings and topographical maps issued.

Any discrepancies which may be discovered between actual conditions and those represented by the topographical maps and Drawings shall at once be reported to the Engineer, and Work shall not proceed, except at the Contractor's risk, until written instructions have been received by him from the Engineer.

If, on the basis of the available evidence, the Engineer determines that an adjustment of the Contract Price or Time is justifiable, the procedure shall then be as provided herein for "Changes in the Work".

By execution of this Contract, the Contractor warrants that he has visited the site of the proposed work and fully acquainted himself with the conditions there existing relating to construction and labor, and that he fully understands the facilities, difficulties, and restrictions attending the execution of the work under this Contract. The Contractor further warrants that he has thoroughly examined and is familiar with the Drawings, Specifications and all other documents comprising the Contract. The Contractor further warrants that by execution of this Contract his failure when he was bidding on this Contract to receive or examine any form, instrument or document, or to visit the site and acquaint himself with conditions there existing, in no way relieves him from any obligation under the Contract, and the Contractor agrees that the Owner shall be justified in rejecting any claim based on facts regarding which he should have been on notice as a result thereof.

62. DETERMINATION OF THE VALUE OF EXTRA (ADDITIONAL) OR OMITTED WORK

The value of extra (additional) or omitted work shall be determined in one or more of the following ways:

A. On the basis of the actual cost of all the items of labor (including on-the-job supervision), materials, and use of equipment, plus 15 percent which shall cover the Contractor's general supervision, overhead

and profit. In case of subcontracts, the 15 percent is interpreted to mean the subcontractor's supervision, overhead and profit, and an additional 5 percent may then be added to such costs to cover the General Contractor's supervision, overhead and profit. The cost of labor shall include required insurance, taxes and fringe benefits. Equipment costs shall be based on current rental rates in the areas where the work is being performed but, in no case shall such costs be greater than the current rates published by the Associated Equipment Distributors, Chicago, Illinois.

- B. By estimate and acceptance in a lump sum.
- C. By unit prices named in the Contract or subsequently agreed upon.

Provided, however, that the cost or estimated cost of all extra (additional) work shall be determined in advance of authorization by the Engineer and approved by the Owner.

All extra (additional) work shall be executed under the conditions of the original Contract. Any claim for extension of time shall be adjusted according to the proportionate increase or decrease in the final total cost of the work unless negotiated on another basis.

Except for over-runs in contract unit price items, no extra (additional) work shall be done except upon a written Change Order from the Engineer, and no claim on the part of the Contractor for pay for extra (additional) work shall be recognized unless so ordered in writing by the Engineer.

63. SEPARATE CONTRACTS

The Owner reserves the right to let other contracts in connection with this Work. The Contractor shall afford other contractors reasonable opportunity for ingress, egress, storage of their materials, the execution of their work, and shall properly connect and coordinate his work with theirs. The respective rights of various interests involved shall be established by the Engineer to secure proper completion of the various portions of the Work.

If the proper execution or results of any part of the Contractor's Work depends upon the work of any other Contractor, the Contractor shall inspect and promptly report to the Engineer any defects in such work that render it unsuitable for such proper execution and results.

64. OWNER'S RIGHT TO DO WORK

If the Contractor should neglect or fail to prosecute the Work properly or fail or refuse to perform any provision of the Contract, the Owner, after ten (10) days written notice to the Contractor, may without prejudice to any other remedy he may have, make good such deficiencies and may deduct the cost thereof from any monies due or which may thereafter become due to the Contractor.

65. SUSPENSION OF WORK

The Owner shall have authority to suspend the Work in whole or in part by giving five (5) days notice to the Contractor in writing. The written notice shall fix the date on which the Work shall be resumed, and the Contractor shall resume the Work on the date so fixed. The Owner shall reimburse the Contractor for expenses incurred by him in connection with the Work under this Contract as a result of such suspension if the suspension of the Work is caused through no fault of the Contractor himself.

66. RIGHT OF OWNER TO TERMINATE CONTRACT

If the Contractor fails to begin the Work under the Contract within the specified time, or fails to perform the Work with sufficient workmen and equipment or with sufficient materials to insure the prompt completion of said Work within the specified time, or shall, in the opinion of the Engineer, perform the Work improperly, or shall

neglect or refuse to remove materials or perform anew such Work as shall be rejected as defective or unsuitable or shall be stopped by court order resulting from injunctive action, or shall become insolvent or be declared bankrupt or commit any act of bankruptcy or insolvency, or allow any final judgment to stand against him unsatisfied for a period of five (5) days, or shall fail or refuse to remove within forty-eight (48) hours after receipt of proper notice, any employee or person engaged in work under the Contract, or shall make an assignment for the benefit of creditors or from any other cause whatsoever shall not carry out the Work in an acceptable manner, the Owner shall give notice in writing to the Contractor and his surety, of such delay, neglect, or default, specifying the same, and if the Contractor within a period of ten (10) days after such notice shall not proceed in accordance therewith, then the Owner shall, upon written certificate from the Engineer of the face of such delay, neglect or default, and the Contractor's failure to comply with such notice, have full power and authority without violating the Contract to terminate the Contractor's right to proceed with the Work, to take over the prosecution of the work of said Contractor, to appropriate or use any and all materials and equipment on the ground as may be suitable and acceptable, and may enter into an agreement for the completion of said Contract according to the terms and provisions thereof, and use such other methods as in the Owner's opinion shall be required for the completion of said Contract in an acceptable manner. All costs and charges incurred by the Owner, together with the costs of completing the Work under Contract, shall be deducted from any monies due or which may become due said Contractor. In case the expense so incurred by the Owner shall be less than the sum which would have been payable under the Contract, if it had been completed by said Contractor, then the Contractor shall be entitled to receive the difference, and in case such expense shall exceed the sum which would have been payable under the Contract, then the Contractor and/or his surety shall be liable and shall pay to the Owner the amount of said excess.

After ten (10) days from delivery of a Written Notice to the Contractor and the Engineer, the Owner may, without cause and without prejudice to any other right or remedy, elect to abandon the Project and terminate the Contract. In such case, the Contractor shall be paid for all Work executed and any expense sustained plus reasonable profit.

67. CONTRACTOR'S RIGHT TO STOP WORK OR TERMINATE CONTRACT

If the Work shall be stopped under an order of any court, or other public authority, for a period of three (3) months, through no fault of the Contractor or of anyone employed by him, or if the Engineer should fail to issue any estimate of payment within thirty (30) days after it is due, or if the Owner shall fail to pay the Contractor within thirty (30) days of its maturity and presentation of any sum certified by the Engineer or award by arbitrators, then the Contractor may, upon fifteen (15) days written notice to the Owner and the Engineer, terminate this Contract and recover from the Owner payment for all work executed, plus loss sustained upon any plant or materials, plus reasonable profit and damages.

In addition and in lieu of terminating the Contract, if the Engineer has failed to make any payment as aforesaid, the Contractor may upon ten (10) days notice to the Owner and the Engineer stop the Work until he has been paid all amounts then due, in which event and upon resumption of the Work, Change Orders shall be issued for adjusting the Contract Price or extending the Contract Time or both to compensate for the costs and delays attributable to the stoppage of the Work.

68. USING COMPLETED PORTION OF WORK

The Owner shall have the right to take possession of and use any completed portion or portions of the Work even though the time of completing the entire work or such portions may not have expired. The possession and use by the Owner shall not be deemed an acceptance of any work not completed in accordance with the Contract. If such prior use increases the cost of or delays the Work, the Contractor shall be entitled to such extra compensation, or extension of time, or both as the Engineer may determine. The use by the Owner of any portion of the Work shall release the Contractor from his Builders Risk Insurance covering such portion used.

69. ACCEPTANCE AND FINAL PAYMENT

Upon written notice from the Contractor that the work is ready for final inspection, the Engineer will make such an inspection and subsequent inspections as required. When, in the Engineer's opinion, the Work is acceptable under the Contract, he will promptly issue a Certificate of Acceptance.

Upon acceptance of the Work by the Owner, the balance due the Contractor including the percentage retained during the construction period, will then be paid in approximately sixty (60) days, and said final payment shall evidence the Owner's acceptance of the Work unless the Owner has made acceptance or partial acceptance thereof in writing prior to said final payment.

Before the Owner makes final payment, the Contractor shall submit to the Owner a final release, as described hereinafter, stating that all payrolls, material bills, subcontractors, and other indebtedness connected with the Work have been paid and providing for handling claims that may be outstanding or that may arise after the settlement.

Any payment, however, final or otherwise, shall not release the Contractor or his sureties from any obligations under the Contract Documents or the Performance Bond and Payment Bond.

70. CONTRACTOR'S FINAL RELEASE

Before the Owner pays the Contractor his final payment on the Work, the Contractor will be required to sign a final release as set out hereinbefore. This final release shall be notarized and shall state that all claims against the Owner on the Contractor's part have been met in full; it shall further state that all accounts for labor performed, materials furnished, liens, judgments and claims of every nature against the Contractor have been satisfied by him. It shall further state that any obligation or lawsuit whatsoever arising from the Contractor's operations on the Project which may be presented or filed after the settlement shall be borne by the Contractor. In case the Contractor is unable to settle any claim that may be in dispute or litigation, the Owner may allow him to furnish a proper bond to indemnify the Owner against the claim and then release the final payment to him.

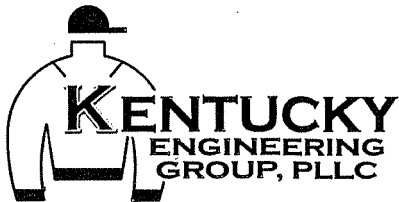
It is understood that the Contractor is to guarantee to the Owner all construction against defective materials, equipment and workmanship for a period of twelve (12) months after acceptance, and shall take immediate steps to correct or replace such defective materials, equipment or workmanship without cost to the Owner.

71. FINAL CLEAN-UP

The Work will not be considered as completed, and final payment will not be made, until all final clean up has been done by the Contractor in a manner satisfactory to the Engineer.

- END OF SECTION -

DIVISION 1
GENERAL REQUIREMENTS



SECTION 01010**SUMMARY****PART 1 - GENERAL****1.01 SUMMARY**

- A. This Section includes the following:
1. Work covered by the Contract Documents.
 2. Sequence of Operations.
 3. Utility Shutdowns
 4. Tie-ins and Disconnections
 5. Temporary Systems
 6. Use of premises.
 7. Specification formats and conventions.

1.02 WORK COVERED BY CONTRACT DOCUMENTS

- A. The Contractor shall provide all material, services, labor, tools and equipment, necessary to construct this project. The following is a brief description of the major work items included in the contract:
- Approximately 23,500 LF of 6-inch water main and all appurtenances
 - Reconnection of existing customers services to new water main
 - Reconnection of existing branch lines to new water main

1.03 SEQUENCE OF OPERATIONS

- A. The Contractor shall install new water main while keeping the existing water main in service. Tie-ins to existing branch lines shall be made when that section of new water main has been tested and available for use.

1.04 UTILITY SHUTDOWNS

- A. One-week advance notice to the Owner is required prior to performing any utility shutdown unless of an emergency in nature.
- B. Contractor shall know where all existing valves are located on the water line replacement section and shall be able to shut down expeditiously in case of line breaks.
- C. The existing water line is shown as an approximate location on the plans. The contractor shall use extreme caution while laying line not to break existing line and interrupt service to owner's existing customers.
- D. Length of shutdowns on the existing system should be pre-determined before construction by owner, engineer, and contractor.

1.05 TIE-INS AND DISCONNECTIONS

- A. Contractor shall furnish all materials and shall provide excavation, de-watering, scaffolding and support operations to support tie-ins.

1.06 TEMPORARY SYSTEM (S)

- A. All temporary water lines and hoses shall be depressurized and all temporary electrical lines and equipment de-energized when not in use and at the end of each workday.

1.07 SPECIFICATION FORMATS AND CONVENTIONS

- A. Specification Format: The Specifications are organized into Division and Sections using the 17-division format.

PART 2 - PRODUCTS

Not used

PART 3 - EXECUTION

Not used

- END OF SECTION -

SECTION 01015
WORK SEQUENCE

PART 1 - GENERAL

1.01 WORK INCLUDED

The Contractor shall submit to the Engineer for review and acceptance a complete schedule of his proposed sequence of construction operations and payment prior to commencement of work. However, the Engineer shall not accept a construction schedule that fails to utilize the entire time allocated for the construction of the water system extension. This schedule requirement in no way prevents the Contractor from completing the project in a shorter time frame than scheduled. The construction schedule shall be submitted and approved by the Owner prior to the submittal of the first partial payment request. A revised construction schedule shall be submitted with every subsequent partial payment request. This revised schedule must be approved by the Owner prior to payment

1.02 RELATED WORK

- A. Section 01010 - Summary of Work.

1.03 ADDITIONAL INFORMATION

Any delays caused by the Contractor shall be at his expense and at no cost to the Owner or Engineer.

- END OF SECTION -

SECTION 01016**OCCUPANCY****PART 1 - GENERAL****1.01 WORK INCLUDED**

A. The Contractor shall be aware that after each major portion of the project is completed, the Contractor shall notify the Engineer that those specific operations are complete and prior to replacing that portion of the work into service shall request an interim inspection of the work to be returned to or placed into service.

B. The interim inspection requested by the Contractor shall not preclude or supersede the final inspection of the project or reduce the Contractor's responsibility for the completed portion prior to final acceptance of the work by the Owner.

C. The Contractor shall provide all necessary temporary controls and other items required for operation of all work placed into service prior to final acceptance as required. At such time as new controls, etc. are complete and functioning, the Contractor shall remove all temporary installed items.

- END OF SECTION -

SECTION 01025
MEASUREMENT AND PAYMENT

PART 1 - GENERAL

1.01 WORK INCLUDED

The Contractor shall furnish all necessary labor, machinery, tools, apparatus, equipment, materials, equipment, service, other necessary supplies and perform all work, including all excavation, backfilling, & cleanup (without additional compensation, except where specifically set out in these specifications) at the unit or lump sum prices for the following items.

1.02 PROGRESS AND PAYMENTS SCHEDULES

A. Within ten (10) days after the date of formal execution of the AGREEMENT, the Contractor shall prepare and submit to the Engineer, for approval, a construction schedule which depicts the Contractor's plan for completing the contract requirements and show work placement in dollars versus contract time. The Contractor's construction schedule must be approved by the Engineer before any payments will be made on this contract.

B. Within ten (10) days after the date of formal execution of the CONTRACT AGREEMENT, the Contractor shall prepare and submit to the Engineer, for approval, a periodic estimate which depicts the Contractor's cost for completing the contract requirements and show by major unit of the project work, the Contractor's dollar value for the material and the labor (two separate amounts) to be used as a basis for the periodic payments. The Contractor's periodic estimate must be approved by the Engineer before any payments will be made on this contract.

C. The Engineer's decision as to sufficiency and completeness of the Contractor's construction schedule and periodic estimate will be final.

D. The Contractor must make current, to the satisfaction of the Engineer, the construction schedule and periodic estimate each time he requests a payment on this contract.

E. The Contractor's construction schedule and periodic estimate must be maintained at the construction site available for inspection and shall be revised to incorporate approved change orders as they occur.

F. When the Contractor requests a payment on this contract, it must be on the approved periodic estimate and be current. Further, the current periodic estimate and construction schedule (both updated and revised) shall be submitted for review and approval by the Engineer before monthly payments will be made by the Owner. The Contractor shall submit six (6) current copies of each (periodic estimate and construction schedule) when requesting payment.

1.03 CONDITIONS FOR PAYMENT

A. The Owner will make payments for acceptable work in place and materials properly stored on-site. The value of payment shall be as established on the approved construction schedule and periodic estimate, EXCEPT the Owner will retain five percent (5%) of the work in place and a percentage as hereinafter listed for items properly stored or untested.

B. No payment will be made for stored materials unless a proper invoice from the supplier is attached to the pay request. Items submitted for payment shall be limited to pipe, valves, meter setters, meter boxes, meters, hydrants, vaults, and pump stations.

C. Payment for stored materials that are submitted with each monthly pay request will require documentation from the material supplier indicating that those items have been paid. Proof of payment for stored materials shall be in the form of "paid invoice" receipts or cancelled checks. Failure to provide adequate documentation will result in delays in processing subsequent pay requests.

D. Payment for pipeline items shall be limited to eighty percent (80%) of the bid price until the pipeline items have been tested and clean up has been completed and accepted by the Engineer.

E. Payment for equipment items shall be limited to eighty-five percent (85%) of their scheduled value (materials portion only) until they are set in place. Eighty-five percent (85%) for stored materials and equipment shall be contingent on proper on-site storage as recommended by the manufacturer or required by the Engineer.

F. Payment for equipment items set in place shall be limited to ninety percent (90%) of their scheduled value until they are ready for operation and have been certified by the manufacturer. Ninety percent (90%) payment for installed equipment shall be contingent on proper routine maintenance of the equipment in accordance with the manufacturer's recommendations.

G. Payment for equipment items set in place and ready for operation shall be limited to ninety-five percent (95%) of their scheduled value until all acceptance tests have been completed and the required manufacturer's pre-startup operator's training has been completed.

H. Payment for the labor portion of equipment items will be subject only to the degree of completeness and the appropriate retainage.

I. The retainage shall be an amount equal to 5% of said estimate. The retainage on the equipment items shall be 5% as defined hereinbefore.

J. If at any time thereafter when the progress of the WORK is not satisfactory or determine that the Contractor is not making satisfactory progress, additional amounts may be retained.

1.04 DETERMINATION OF THE VALUE OF EXTRA (ADDITIONAL) OR OMITTED WORK

A. The value of extra (additional) or omitted work shall be determined in one or more of the following ways:

1. On the basis of the actual cost of all the items of labor (including on-the-job supervision), materials and use of equipment, plus a maximum 20% for added work or a minimum 20% for deleted work which shall cover the Contractor's general supervision, overhead and profit. In case of subcontracts, the sum of total overhead amounts of the subcontractors and Contractor, plus total profit amounts for the subcontracts and Contractor shall not exceed 25% of the cost. Subcontractors shall be limited to 15% and Contractors shall be limited to 10% for combined overhead and profit. The cost of labor shall include required insurance, taxes and fringe benefits. Contractor to provide detailed breakdown of all cost as justification of change in work. Equipment costs shall be based on current rental rates in the areas where the work is being performed, but in no case shall such costs be greater than the current rates published by the Associated Equipment Distributors, Chicago, Illinois.
2. By estimate and acceptance in a lump sum.
3. By unit prices named in the Contract or subsequently agreed upon.

B. Provided, however, that the cost or estimated cost of all extra (additional) work shall be determined in advance of authorization by the Engineer and approved by the Owner.

- C. All extra (additional) work shall be executed under the conditions of the original Contract. Any claim for extension of time shall be adjusted according to the proportionate increase or decrease in the final total cost of the work unless negotiated on another basis.
- D. Except for over-runs in contract unit price items, no extra (additional) work shall be done except upon a written change Order from the Engineer, and no claim on the part of the Contractor for pay for extra (additional) work shall be recognized unless so ordered in writing by the Engineer.
- E. Change Orders to the construction contract must comply with DOW Procurement Guidance for Construction and Equipment Contracts. Contract requires cost, pricing, and certification for change orders exceeding \$25,000 as required by DOW Procurement Guidance for Construction and Equipment Contracts.

PART 2 - PRODUCTS

2.01 WATER MAIN

A. Payment for installing the water main will be made at the contract unit price per linear foot, complete in place, which shall include compensation for furnishing pipe, trenching (including rock excavation), earth or Class I material bedding, thrust-blocking, concrete anchors, earth backfill, fittings with restraint joint device, tracer wire, (crushed stone, bituminous (HMA), and/or concrete pavement replacement, unless specified on plan sheets with a pay item), sidewalk repair or replacement, laying in/with/parallel a crushed stone, bituminous, or concrete roadway with appropriate backfill, loading existing casing with water main including spacers and end seals, disinfecting, clean up and restoration of all disturbed areas, including seeding and mulching as required, testing and all appurtenances required. The quantity of water mains to be paid for shall be the length of the completed line as measured along its centerline without any deduction for lengths of fittings, valves or other appurtenances.

B. Payment of the cut, plug, capping, and thrust-blocking of the existing water main as shown on the drawings and as may be necessary in order to abandon the existing water mains shall be considered incidental to this bid item and is not a separate pay item.

C. Payment for installing the water main shall include locating existing water main ahead of (approximately 100' intervals) the new water main installation.

D. Payment for installing the water main shall include PVC casing pipe beneath all driveways a minimum of 2' from each edge of driveway surface. Concrete and Asphalt driveways shall be bored. Gravel driveways shall be open cut for casing installation with complete crushed stone backfill. Also included shall be spacers and end seals. This shall be considered incidental to this bid item and is not a separate pay item.

2.02 GATE VALVES AND BOXES

Payment for furnishing and installing gate valves and valve boxes with covers in water mains will be made at the contract unit price each, complete in place, which shall include compensation for furnishing, hauling, trenching (including rock excavation), bedding, laying, jointing, anchor coupling, backfilling, concrete supports and concrete collars.

2.03 CONNECTION TO EXISTING WATER MAIN

Payment for connecting to an existing water main at the location listed shall include all materials and labor necessary for making a connection to the existing water main as shown on the plans. Payment will be made per connection and will include but not limited to, mechanical joint fittings, thrust blocking, necessary piping, tapping valve, tapping sleeve, and box. Connections at dry tie-ins or without use of wet tap method will be paid per connection to the existing water main and shall include fittings, anchor couplings, thrust blocking and all necessary piping for a complete connection. Size of the connections and piping will be paid as one price and will not be differentiated.

2.04 RECONNECT EXISTING CUSTOMER SERVICES

Payment for re-connecting an existing service line to a new or existing water main will be paid on a per unit basis. This shall include all materials and labor including service tubing, saddle, corporation stop, required service tubing, PVC casing pipe, inserts and pushing under the existing road to complete the installation.

2.05 HYDRANT ASSEMBLY

Payment for hydrant assemblies will be made at the unit price, complete in place, which shall include all hydrants, piping, fittings, gate valve and valve box and cover, 6 feet of connecting pipe, concrete blocking and supporting pad, drainage bed, anchor tee, anchor couplings, wrenches, and all other materials and labor necessary to complete the installation.

2.06 BORED CROSSINGS

Payment for water mains crossing the highway, roadway, driveway or other areas shown on the plans shall include the respective encasement pipe bored under roadways or driveways and will be paid for at the contract unit price per linear foot of encasement pipe for the various sizes and types. This work shall include the encasement pipe, complete in place with fittings, spacers, end seals, skids, blocking, and all items necessary for its construction and installation. Carrier pipe is paid separately under item 2.01. The casing pipe shall be as noted in section 02302-2.

2.07 CREEK CROSSING

Payment for water mains crossing major creeks or streams shall include excavation, concrete, rip-rap, crushed stone, gravel backfill, anchors and PVC/Steel casing pipe whichever one is called for on the contract drawings, will be paid for at the contract unit price per linear foot of creek crossing. This work shall include the excavation, concrete, gravel backfill material and anchors complete in place with fittings, blocking, and all items necessary for its construction. The length of the creek crossing to be paid for shall be measured from end to end of the encasement pipe. Carrier pipe is paid separately under item 2.01.

2.08 AIR RELEASE VALVE

Payment for an air release valve will be made at the contract unit price each, complete in place, including all excavation, material, valve box and lid, saddles, fittings, 1" copper service tubing to locate ARV out of ditchline, backfilling, and labor necessary to complete to complete the installation.

PART 3 - EXECUTION

3.01 PAY ITEMS

A. The pay items listed herein before refer to the items listed in the Bid Schedule and cover all of the pay items under the base bid for this contract.

B. Any and all other items of work listed in the specifications or shown on the Contract Drawings for this contract shall be considered incidental to and included in those pay items.

3.02 QUANTITIES OF ESTIMATE

A. Wherever the estimated quantities of work to be done and materials to be furnished under this Contract are shown in any of the documents, including the Bid Proposal, they are given for use in comparing bids and the right is especially reserved except as herein otherwise specifically limited, to increase or diminish them as may be deemed reasonably necessary or desirable by the Owner to complete the work contemplated by this Contract, and such increase or diminution shall not give cause for claims or liability for damages. The Engineer

will not be financially responsible for any omissions from the Contract Documents and therefore not included by the Contractor in his proposal.

B. Aerial photographs utilized for plan sheets in the Contract Documents are indicated at an approximate scale and shall not be scaled for quantity take-offs. The pipeline quantities listed in the Bid Schedule are given for use in comparing bids and may not be the actual quantities to be installed. It is the Contractor's responsibility to field verify the length and quantities of pipeline to be installed prior to the ordering of materials. Payment on unit price contracts are based on actual quantities installed. The Owner or Engineer will not be financially responsible for any shortage of pipe or overrun of pipe ordered for the pipeline quantities.

C. The actual quantities of all materials to be used for this project shall be field verified prior to the Contractor ordering the necessary materials. The quantity listed in the bid schedule is given for use in comparing bids and may increase or diminish as may be deemed necessary or as directed by the Owner. Any such increase or diminution shall not give cause for claims or liability for damages. The Engineer or Owner will not be financially responsible for any charges incurred for restocking of materials ordered.

- END OF SECTION -

SECTION 01030
LABOR PROVISIONS

PART 1 - GENERAL

1.01 WORK INCLUDED

A. The Contractor shall conform to all provisions of the Kentucky Department of Labor, Wage Decisions (latest revisions), relative to minimum wages and hours as they may apply to the work to be accomplished under these specifications.

B. In addition to the above, certain Federal laws and regulations shall govern the work and shall supplement or supplant the Kentucky Department of Labor Wage Decisions cited above, as the case may be.

1.02 RELATED SECTIONS

A. Section 3 - Part 1 Hours and Wages

1.03 WAGE RATES

Prevailing wage rates apply to this job. The Contractor will utilize, when feasible, local labor and will pay them wages commensurate with the wages prevailing in the Community.

1.04 LABOR PREFERENCE

Where feasible, the Contractor will utilize local labor.

1.05 HOURS OF WORK

A. Hours of work shall be as set out in Kentucky Department of Labor Wage Decisions (latest revisions); that is, not more than eight (8) hours in one calendar day, nor more than forty (40) hours in one week, except in case of emergency caused by fire, flood or damage to life and property.

B. Any laborer, workman, mechanic, helper, assistant or apprentice working in excess of forty (40) hours per week, except in case of emergency, shall be paid not less than 1-1/2 times the wage rate. Whenever overtime work is scheduled, the Contractor shall give prior notice to the Owner.

- END OF SECTION -

KENTUCKY LABOR CABINET
PREVAILING WAGE DETERMINATION
CURRENT REVISION
LOCALITY NO. 16

MARION, NELSON, TAYLOR & WASHINGTON COUNTIES

Determination No. CR 3-016 2012

Date of Determination: July 18, 2012

Project No. 078-H-00068-12-3
Type: ___ Bldg __x__ HH

This schedule of the prevailing rate of wages for Marion, Nelson, Taylor, & Washington Counties has been determined in accordance with the provisions of KRS 337.505 to 337.550. This determination shall be referred to as Prevailing Wage Determination No. CR 3-016 2012.

Apprentices shall be permitted to work as such subject to Administrative Regulations adopted by the Commissioner of Workplace Standards. Copies of these regulations will be furnished upon request to any interested person.

Overtime is to be computed at not less than one and one-half (1 1 / 2) times the indicated BASE RATE for all hours worked in excess of eight (8) hours per day, and / or in excess of forty (40) hours per week. However, KRS 337.540 permits an employee and employer to agree, in writing, that the employee will be compensated at a straight time base rate for hours worked in excess of eight (8) hours in any one calendar day, but not more than ten (10) hours worked in any one calendar day, if such written agreement is prior to the over eight (8) hours in a calendar day actually being worked, or where provided for in a collective bargaining agreement. The fringe benefit rate is to be paid for each hour worked at a straight time rate for all hours worked.

Fringe benefit amounts are applicable for all hours worked except when otherwise noted. Welders will receive rate for craft in which welding is incidental.

NOTE: The type of construction shall be determined by applying the following definitions:

BUILDING CONSTRUCTION

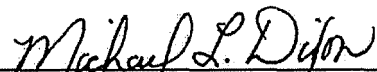
Building construction is the construction of sheltered enclosures with walk-in access for the purpose of housing persons, machinery, equipment, or supplies. It includes all construction of such structures, the installation of utilities and the installation of equipment, both above and below grade level, as well as incidental grading, utilities and paving.

HIGHWAY CONSTRUCTION

Highway construction includes the construction, alteration or repair of roads, streets, highways, runways, taxiways, alleys, trails, paths, parking areas, and other similar projects not incidental to building or heavy construction. It includes all incidental construction in conjunction with the highway construction project.

HEAVY CONSTRUCTION

Heavy projects are those projects that are not properly classified as either "building" or "highway". For example, dredging projects, water and sewer line projects, dams, flood control projects, sewage treatment plants and facilities, and water treatment plants and facilities are considered heavy.


Michael L. Dixon, Commissioner
Department of Workplace Standards
Kentucky Department of Labor

Determination No. CR 3-016 2012
July 18, 2012

ASBESTOS / INSULATION WORKERS:

MARION, NELSON, TAYLOR & WASHINGTON COUNTIES:
 (Includes duct (hot / cold), pipe insulator, pipe wrapping):

BUILDING	BASE RATE	\$24.67
	FRINGE BENEFITS	10.92

Hazardous Material Handlers: (Includes preparation, wetting, stripping, removal, scrapping, vacuuming, bagging & disposing of all insulation materials, whether they contain asbestos or not, from mechanical systems):

BUILDING	BASE RATE	\$19.35
	FRINGE BENEFITS	10.35

BOILERMAKERS:

MARION, NELSON & WASHINGTON COUNTIES:

BUILDING	BASE RATE	\$35.79
	FRINGE BENEFITS	16.71

BOILERMAKERS:

TAYLOR COUNTY:

BUILDING	BASE RATE	\$35.79
	FRINGE BENEFITS	16.71

HEAVY & HIGHWAY	BASE RATE	\$24.65
	FRINGE BENEFITS	12.94

BRICKLAYERS:

NELSON COUNTY:

Bricklayers:	BUILDING	BASE RATE	\$24.11
		FRINGE BENEFITS	10.07

Brick Refractory, Brick Placement Worker:

BUILDING	BASE RATE	\$24.61
	FRINGE BENEFITS	10.07

Tile Setters:

BUILDING	BASE RATE	\$22.64
	FRINGE BENEFITS	6.10

Tile Finishers:

BUILDING	BASE RATE	\$15.42
	FRINGE BENEFITS	5.42

BRICKLAYERS / BUILDING:

MARION COUNTY:

BUILDING	BASE RATE	\$21.54
	FRINGE BENEFITS	2.06

BRICKLAYERS / BUILDING:

WASHINGTON COUNTY:

Bricklayers:	BUILDING	BASE RATE	\$21.54
		FRINGE BENEFITS	2.06

Marble Setters / Terrazzo Workers / Tile Setters:

BUILDING	BASE RATE	\$21.49
	FRINGE BENEFITS	8.59

BRICKLAYERS / BUILDING: CONTINUED

WASHINGTON COUNTY:

Marble / Terrazzo / Tile Finishers:

BUILDING

BASE RATE \$14.89
 FRINGE BENEFITS 8.59

BRICKLAYERS / BUILDING:

TAYLOR COUNTY:

Bricklayers:

BUILDING

BASE RATE \$21.54
 FRINGE BENEFITS 2.06

Marble Setters, Terrazzo Workers & Tile Setters:

BUILDING

BASE RATE \$21.49
 FRINGE BENEFITS 8.59

Marble, Terrazzo & Tile Finishers:

BUILDING

BASE RATE \$14.89
 FRINGE BENEFITS 8.59

BRICKLAYERS / HEAVY HIGHWAY:

MARION, NELSON & WASHINGTON COUNTIES:

Bricklayers:

HEAVY & HIGHWAY

BASE RATE \$24.11
 FRINGE BENEFITS 10.07

BRICKLAYERS / HEAVY HIGHWAY:

TAYLOR COUNTY:

Bricklayers:

HEAVY & HIGHWAY

BASE RATE \$22.90
 FRINGE BENEFITS 8.50

CARPENTERS / BUILDING:

MARION, NELSON, & WASHINGTON COUNTIES:

Acoustical ceiling installation, cabinet installation, drywall hanging, form work, metal stud installation & soft floor-carpet only:

BUILDING

BASE RATE \$23.70
 FRINGE BENEFITS 14.09

CARPENTERS / BUILDING:

NELSON COUNTY:

Carpenter (all other work)

BUILDING

BASE RATE \$16.45
 FRINGE BENEFITS 2.25

CARPENTERS / BUILDING:

MARION & WASHINGTON COUNTIES:

Carpenter: (Form Work Only)

BUILDING

BASE RATE \$15.26
 FRINGE BENEFITS 2.85

CARPENTERS / BUILDING:

MARION COUNTY::

BUILDING

BASE RATE \$17.08
 FRINGE BENEFITS 3.67

CARPENTERS / BUILDING:

WASHINGTON COUNTY::

BUILDING

BASE RATE \$13.63
 FRINGE BENEFITS 2.90

CARPENTERS / BUILDING: CONTINUED

TAYLOR COUNTY

Cabinet Installation Only:	BUILDING	BASE RATE	\$20.88
		FRINGE BENEFITS	11.65
Form Work Only:	BUILDING	BASE RATE	\$15.26
		FRINGE BENEFITS	2.85
All other work:	BUILDING	BASE RATE	\$17.08
		FRINGE BENEFITS	3.67

CARPENTERS / HEAVY HIGHWAY:

MARION, NELSON, & WASHINGTON COUNTIES:

Carpenters:	HEAVY & HIGHWAY	BASE RATE	\$25.95
		FRINGE BENEFITS	13.26
Piledrivermen:	HEAVY & HIGHWAY	BASE RATE	\$26.20
		FRINGE BENEFITS	13.26
Divers:	HEAVY & HIGHWAY	BASE RATE	\$39.30
		FRINGE BENEFITS	13.26

CARPENTERS / HEAVY HIGHWAY:

TAYLOR COUNTY:

Carpenters:	HEAVY & HIGHWAY	BASE RATE	\$21.40
		FRINGE BENEFITS	8.50
Piledrivermen:	HEAVY & HIGHWAY	BASE RATE	\$21.05
		FRINGE BENEFITS	8.50

CEMENT MASONS / CONCRETE FINISHERS:

MARION, TAYLOR & WASHINGTON COUNTIES:

	BUILDING	BASE RATE	\$19.08
		FRINGE BENEFITS	0.00

CEMENT MASONS:

NELSON COUNTY:

	BUILDING	BASE RATE	\$21.00
		FRINGE BENEFITS	9.80

CEMENT MASONS:

TAYLOR COUNTY:

	HEAVY & HIGHWAY	BASE RATE	\$21.25
		FRINGE BENEFITS	8.50

ELECTRICIANS:

MARION, TAYLOR & WASHINGTON COUNTIES:

Electricians:	BUILDING	BASE RATE	\$29.32
		FRINGE BENEFITS	13.78

ELECTRICIANS: CONTINUED

NELSON COUNTY:

Electricians:	BUILDING	BASE RATE	\$24.15
		FRINGE BENEFITS	1.97

ELECTRICIANS:

TAYLOR COUNTY:

Electricians:	HEAVY & HIGHWAY	*BASE RATE	\$29.36
		FRINGE BENEFITS	10.55

***When required to work from bosom chairs on bridges where subject to direct fall, except when using JLG's and bucket trucks up to 75 feet; Add 25% to base rate for 50 to 75 feet and 50% over 75 feet.**

ELECTRICIANS / LINE CONSTRUCTION (BUILDING):

MARION, NELSON, TAYLOR & WASHINGTON COUNTIES:

Cable Splicer		BASE RATE	\$32.19
		FRINGE BENEFITS	11.88

Equipment Operator:

Operator A: John Henry Rock Drill, D6 (or equivalent) and above, Trackhoe Digger, Cranes (> than 25 tons < than 45 tons) :

		BASE RATE	\$28.81
		FRINGE BENEFITS	11.13

Operator B: Cranes (6-25 tons), Backhoes, Road Tractor, Dozer up to D5, Pressure Digger-Wheeled or Tracked, all Tension Wire Stringing Equipment:

		BASE RATE	\$25.42
		FRINGE BENEFITS	10.38

Operator C: Trencher, Vibratory Compactor, Ground Rod Driver, Boom Truck (6 ton or below), Skid Steer Loaders:

		BASE RATE	\$20.33
		FRINGE BENEFITS	9.25

Groundman:

		BASE RATE	\$17.12
		FRINGE BENEFITS	8.55

Lineman and Technician:

		BASE RATE	\$29.36
		FRINGE BENEFITS	11.25

Cranes 45 tons or larger paid 100% of journeyman lineman's rate.

ELEVATOR MECHANICS:

MARION, NELSON, TAYLOR & WASHINGTON COUNTIES:

		BASE RATE	\$36.94
		FRINGE BENEFITS	20.035

GLAZIERS:

MARION, TAYLOR & WASHINGTON COUNTIES:

BUILDING

		BASE RATE	\$25.18
		FRINGE BENEFITS	10.30

GLAZIERS:

NELSON COUNTY:

BUILDING

		BASE RATE	\$21.61
		FRINGE BENEFITS	9.84

IRONWORKERS:

MARION, NELSON & WASHINGTON COUNTIES:

Structural / Ornamental / Reinforcing:

BASE RATE \$26.34
 FRINGE BENEFITS 18.58

IRONWORKERS:

TAYLOR COUNTY:

Structural / Ornamental / Reinforcing:

BASE RATE \$26.34
 FRINGE BENEFITS 18.58

Ironworker: HEAVY & HIGHWAY

BASE RATE \$24.99
 FRINGE BENEFITS 18.22

LABORERS / BUILDING:

MARION, TAYLOR & WASHINGTON COUNTIES:

Grade Checker & Mason Tender-Brick:

BUILDING

BASE RATE \$18.62
 FRINGE BENEFITS 9.13

Carpenter Tender: BUILDING

BASE RATE \$11.55
 FRINGE BENEFITS 0.00

Mason Tender-Cement / Concrete

BUILDING

BASE RATE \$11.46
 FRINGE BENEFITS 0.00

LABORERS / BUILDING:

MARION & WASHINGTON COUNTIES:

Common or General

BUILDING

BASE RATE \$15.07
 FRINGE BENEFITS 4.78

LABORERS / BUILDING:

TAYLOR COUNTY:

Common or General

BUILDING

BASE RATE \$11.80
 FRINGE BENEFITS 2.26

LABORERS / BUILDING:

NELSON COUNTY:

Grader checker, mason tender-cement / concrete, mason tender-brick and screw operator:

BUILDING

BASE RATE \$18.62
 FRINGE BENEFITS 9.13

Landscape Laborer: BUILDING

BASE RATE 18.42
 FRINGE BENEFITS 9.13

Laborer: Common or General BUILDING

BASE RATE \$14.36
 FRINGE BENEFITS 2.86

Laborer: Fence Erection BUILDING

BASE RATE \$23.72
 FRINGE BENEFITS 0.00

LABORERS / BUILDING: CONTINUED

NELSON COUNTY:

Laborer: Mason Tender Brick (Hod)

BUILDING

BASE RATE \$21.83
 FRINGE BENEFITS 0.00

Laborer: Pipelayer

BUILDING

BASE RATE \$16.87
 FRINGE BENEFITS 8.03

LABORERS / HEAVY HIGHWAY:

MARION, NELSON & WASHINGTON COUNTIES:

GROUP 1: Aging & Curing of Concrete; Asbestos Abatement Worker; Asphalt Plant; Asphalt; Batch Truck Dump; Carpenter Tender; Cement Mason Tender; Cleaning of Machines; Concrete; Demolition; Dredging; Environmental – Nuclear, Radiation, Toxic & Hazardous Waste – Level D; Flagperson; Grade Checker; Hand Digging & Hand Back Filling; Highway Marker Placer; Landscaping, Mesh Handler & Placer; Puddler; Railroad; Rip-rap & Grouter; Right-of-Way; Sign, Guard Rail & Fence Installer; Signal Person; Sound Barrier Installer; Storm & Sanitary Sewer; Swamper; Truck Spotter & Dumper; Wrecking of Concrete Form; & General Cleanup:

HEAVY & HIGHWAY

BASE RATE \$21.26
 FRINGE BENEFITS 10.40

GROUP 2: Batter Board Man (Sanitary & Storm Sewer); Brickmason Tender; Mortar Mixer Operator; Scaffold Builders: Burner & Welder; Bushhammer; Chain Saw Operator; Hand Held or Walk Behind Concrete Saw Operator; Deckhand Scow Man; Dry Cement Handler; Environmental – Nuclear, Radiation, Toxic & Hazardous Waste – Level C; Forklift Operator for Masonry; Form Setter; Green Concrete Cutting; Hand Operated Grouter & Grinder Machine Operator; Jackhammer; Pavement Breaker; Paving Joint Machine; Pipelayer; Plastic Pipe Fusion; Power Driven Georgia Buggy & Wheel Barrow; Power Post Hole Digger; Precast Manhole Setter; Walk-Behind Tamper; Walk-Behind Trencher; Sand Blaster; Concrete Chipper; Surface Grinder; Vibrator Operator; & Wagon Driller:

HEAVY & HIGHWAY

BASE RATE \$21.51
 FRINGE BENEFITS 10.40

GROUP 3: Asphalt Luteman & Raker; Gunnite Nozzleman; Gunnite Operator & Mixer; Grout Pump Operator; Side Rail Setter; Rail Paved Ditch; Screw Operator; Tunnel (Free air); & Water Blaster:

HEAVY & HIGHWAY

BASE RATE \$21.56
 FRINGE BENEFITS 10.40

GROUP 4: Caisson Worker (Free Air); Cement Finisher; Environmental - Nuclear, Radiation, Toxic & Hazardous Waste - Levels A & B; Miner & Driller (Free Air); Tunnel Blaster; & Tunnel Mucker (Free Air), Directional & Horizontal Boring; Air Truck Driller (All Types); Powderman & Blaster; Troxler & Concrete Tester if Laborer is Utilized:

HEAVY & HIGHWAY

BASE RATE \$22.16
 FRINGE BENEFITS 10.40

LABORERS / HEAVY HIGHWAY:

TAYLOR COUNTY:

General, Flagperson, & Steam Jenny:

HEAVY & HIGHWAY

BASE RATE \$19.45
 FRINGE BENEFITS 8.50

Batch Truck Dumper, & Deck Hand or Scow Man & Hand Blade Operator:

HEAVY & HIGHWAY

BASE RATE \$19.70
 FRINGE BENEFITS 8.50

LABORERS / HEAVY HIGHWAY: CONTINUED

TAYLOR COUNTY:

Power Driven Tool Operator of the following: Wagon Drill, Chain Saw, Sand Blaster, Concrete Chipper, Pavement Breaker, Vibrator, Power Wheelbarrow & Power Buggy, Sewer Pipe Layer, Bottom Man, Dry Cement Handler, Concrete Rubber, & Mason Tender:

HEAVY & HIGHWAY	BASE RATE	\$19.80	
	FRINGE BENEFITS	8.50	
Asphalt Lute & Rakerman, Side Rail Setter:			
HEAVY & HIGHWAY	BASE RATE	\$19.85	
	FRINGE BENEFITS	8.50	
Gunnite Nozzle Man & Gunnite Operator:			
HEAVY & HIGHWAY	BASE RATE	\$19.95	
	FRINGE BENEFITS	8.50	
Tunnel Laborer (Free Air):	HEAVY & HIGHWAY	BASE RATE	\$20.00
		FRINGE BENEFITS	8.50
Tunnel Mucker (Free Air):	HEAVY & HIGHWAY	BASE RATE	\$20.05
		FRINGE BENEFITS	8.50
Tunnel Miner, Blaster & Driller (Free Air):	HEAVY & HIGHWAY	BASE RATE	\$20.40
		FRINGE BENEFITS	8.50
Caisson Worker:	HEAVY & HIGHWAY	BASE RATE	\$20.95
		FRINGE BENEFITS	8.50
Powderman:	HEAVY & HIGHWAY	BASE RATE	\$21.05
		FRINGE BENEFITS	8.50
Drill Operator of Percussion Type Drills which are both powered & propelled by an independent air supply:	HEAVY & HIGHWAY	BASE RATE	\$22.25
		FRINGE BENEFITS	8.50

MILLWRIGHTS:

MARION, NELSON & WASHINGTON COUNTIES:

BASE RATE \$24.18
 FRINGE BENEFITS 15.64

MILLWRIGHTS:

TAYLOR COUNTY::

BASE RATE \$22.95
 FRINGE BENEFITS 13.50

OPERATING ENGINEERS / BUILDING:

MARION, TAYLOR & WASHINGTON COUNTIES:

GROUP 1: Bobcat, skid loader, bulldozer, cherry picker, crane, forklift, grader / blade & trackhoe:

BUILDING

*BASE RATE \$26.27
 FRINGE BENEFITS 13.40

***Crane with boom 150 feet and over, including jib, shall received \$.75 above Rate.
 All cranes with piling leads will receive \$.50 above rate regardless of boom length.**

OPERATING ENGINEERS / BUILDING: CONTINUED

MARION, TAYLOR & WASHINGTON COUNTIES:

Oiler:	BUILDING	BASE RATE	\$22.66
		FRINGE BENEFITS	13.40
Operator: Backhoe / Excavator	BUILDING	BASE RATE	\$22.61
		FRINGE BENEFIT	6.82
Operator: Loader	BUILDING	BASE RATE	\$21.10
		FRINGE BENEFITS	9.15

OPERATING ENGINEERS / BUILDING:

NELSON COUNTY:

GROUP 1: Concrete pump, elevating grader and all types of loaders, forklift (regardless of lift height), motor scraper, bulldozer, mechanic, power blade, motor grader, forklift (regardless of lift height & except when used for masonry construction) self contained core drill, rotary drill, kecal loader, hydrocrane, subgrader, backhoe, backhoe track, excavator, trackhoe:

BUILDING	*BASE RATE	\$25.65
	FRINGE BENEFITS	13.00

***Crane with boom 150 feet and over, including jib, shall received \$.75 above Group 1**

GROUP 2: Crane (including overhead, rough terrain, truck & tower), hoist (1 drum), hoisting engine (2 or more drums), hoist:

BUILDING	BASE RATE	\$26.65
	FRINGE BENEFITS	13.00

GROUP 3: Form grader, tractor (50 hp and over), farm tractor with attachments, except backhoe, highlift & endloader, elevator (when used for hoisting), hoisting engine (1 drum or buck hoist):

BUILDING	BASE RATE	\$22.16
	FRINGE BENEFITS	13.00

GROUP 4: Tractor (under 50 hp), crane oiler:

BUILDING	BASE RATE	\$20.64
	FRINGE BENEFITS	13.00

All cranes with piling leads will receive \$.50 above Group 1 regardless of boom length.

OPERATOR	COMPACTOR	BASE RATE	\$24.53
		FRINGE BENEFITS	0.00
OPERATOR	HIGHLIFT	BASE RATE	\$25.00
		FRINGE BENEFITS	0.00
OPERATOR	PAVER	BASE RATE	\$17.18
		FRINGE BENEFITS	8.03
OPERATOR	ROLLER	BASE RATE	\$18.42
		FRINGE BENEFITS	9.15

OPERATING ENGINEERS / HEAVY & HIGHWAY:

MARION, NELSON & WASHINGTON COUNTIES:

GROUP 1: A-Frame Winch Truck; Auto Patrol; Backfiller; Batch Plant; Bituminous Paver; Bituminous Transfer Machine; Boom Cat; Bulldozer; Mechanic; Cableway; Carry-all Scoop; Carry Deck Crane; Central Compressor Plant; Clamshell; Concrete Mixer (21 cu. ft. or Over); Concrete Paver; Truck-Mounted Concrete Pump; Core Drill; Crane; Crusher Plant; Derrick; Derrick Boat; Ditching & Trenching Machine; Dragline; Dredge Operator; Dredge Engineer; Elevating Grader & Loaders; Grade-All; Gurries; Heavy Equipment Robotics Operator / Mechanic; High Lift; Hoe-Type Machine; Hoist (Two or More Drums); Hoisting Engine (Two or More Drums); Horizontal Directional Drill Operator; Hydrocrane; Hyster; KeCal Loader; LeTourneau; Locomotive; Mechanic; Mechanically Operated Laser Screed; Mechanic Welder; Mucking Machine; Motor Scraper; Orangepeel Bucket; Piledriver; Power Blade; Pumpcrete; Push Dozer; Rock Spreader, attached to equipment; Rotary Drill; Roller (Bituminous); Scarifier; Scoopmobile; Shovel; Side Boom; Subgrader; Tailboom; Telescoping Type Forklift; Tow or Push Boat; Tower Crane (French, German & other types); Tractor Shovel; Truck Crane; Tunnel Mining Machines, including Moles, Shields or similar types of Tunnel Mining Equipment:

HEAVY & HIGHWAY	*BASE RATE	\$26.50
	FRINGE BENEFITS	13.00

GROUP 2: Air Compressor (Over 900 cu. ft. per min.); Bituminous Mixer; Boom Type Tamping Machine; Bull Float; Concrete Mixer (Under 21 cu. ft.); Dredge Engineer; Electric Vibrator; Compactor / Self-Propelled Compactor; Elevator (One Drum or Buck Hoist); Elevator (when used to Hoist Building Material); Finish Machine; Firemen & Hoist (One Drum); Flexplane; Forklift (Regardless of Lift Height); Form Grader; Joint Sealing Machine; Outboard Motor Boat; Power Sweeper (Riding Type); Roller (Rock); Ross Carrier; Skid Mounted or Trailer Mounted Concrete Pump; Skid Steer Machine with all Attachments; Switchman or Brakeman; Throttle Valve Person; Tractair & Road Widening Trencher; Tractor (50 HP or Over); Truck Crane Oiler; Tugger; Welding Machine; Well Points; & Whirley Oiler:

HEAVY & HIGHWAY	*BASE RATE	\$24.08
	FRINGE BENEFITS	13.00

GROUP 3: All Off Road Material Handling Equipment, Including Articulating Dump Truck; Greaser on Grease Facilities servicing Heavy Equipment:

HEAVY & HIGHWAY	*BASE RATE	\$24.46
	FRINGE BENEFITS	13.00

GROUP 4: Bituminous Distributor; Burlap & Curing Machine; Cement Gun; Concrete Saw; Conveyor; Deckhand Oiler; Grout Pump; Hydraulic Post Driver; Hydro Seeder; Mud Jack; Oiler; Paving Joint Machine; Power Form Handling Equipment; Pump; Roller (Earth); Steersman; Tamping Machine; Tractor (Under 50 HP); & Vibrator:

HEAVY & HIGHWAY	*BASE RATE	\$23.82
	FRINGE BENEFITS	13.00

***Cranes with booms 150 ft. & over (including jib) \$1.00 over Group 1 Rate.**
Employees assigned to work below ground level are to be paid 10% above basic wage rate.
This does not apply to open cut work.

OPERATING ENGINEERS / HEAVY & HIGHWAY:

TAYLOR COUNTY:

Group 1: Auto patrol, batcher plant, bituminous paver, cable-way, clamshell, concrete mixer (21 cu. ft. or over), concrete pump, crane, crusher plant, derrick, derrick boat, ditching and trenching machine, dragline, dredge engineer, elevator (regardless of ownership when used for hoisting any building material), elevating grader and all types of loaders, hoe-type machine, hoisting engine, locomotive, LeTourneau or carry-all scoop, bulldozer, mechanic, orangepeel bucket, piledriver, power blade, roller (bituminous), roller (earth), roller (rock), scarifier, shovel, tractor shovel, truck crane, well points, winch truck, push dozer, grout pump, high lift, fork lift (regardless of lift height), all types of boom cats, multiple operator, core drill, tow or push boat, A-Frame winch truck, concrete paver, gradeall, hoist, hyster, material pump, pumpcrete, ross carrier, sheepfoot, sideboom, throttle-valve man, rotary drill, power generator, mucking machine, rock spreader attached to equipment, scoopmobile, KeCal loader, tower cranes (French, German and other types), hydrocrane, tugger, backfiller guries, self-propelled compactor, self-contained hydraulic percussion drill:

HEAVY & HIGHWAY	BASE RATE	\$24.10
	FRINGE BENEFITS	8.50

Group 2: All air compressors (200 cu. ft. per min. or greater capacity), bituminous mixer, concrete mixer (under 21 cu. ft.), welding machine, form grader, tractor (50 H.P. and over), bull float, finish machine, outboard motor boat, brakeman, whirly oiler, tractair and road widening trencher, articulating trucks:

HEAVY & HIGHWAY	BASE RATE	\$21.20
	FRINGE BENEFITS	8.50

Group 3: Greaser on grease facilities servicing heavy equipment:

HEAVY & HIGHWAY	BASE RATE	\$21.40
	FRINGE BENEFITS	8.50

Group 4: Bituminous distributor, cement gun, conveyor, mud jack, paving joint machine, pump, tamping machine, tractors (under 50 H.P.), vibrator, oiler, air compressors (under 200 cu. ft. per min. capacity), concrete saw, burlap and curing machine, hydro seeder, power form handling equipment, deckhand oiler, hydraulic post driver:

HEAVY & HIGHWAY	BASE RATE	\$20.79
	FRINGE BENEFITS	8.50

PAINTERS:

MARION, NELSON & WASHINGTON COUNTIES:

Brush & Roller Only:

BASE RATE	\$18.50
FRINGE BENEFITS	11.05

Sign Painter & Erector: BUILDING

BASE RATE	\$20.23
FRINGE BENEFITS	3.25

Power Tools, Spray, Sandblasting & Waterblasting & Steam Cleaning:

HEAVY HIGHWAY	BASE RATE	\$19.50
	FRINGE BENEFITS	10.30

PAINTERS:

TAYLOR COUNTY:

Brush & Roller Only:

BUILDING

BASE RATE	\$18.50
FRINGE BENEFITS	11.05

Sign Painter & Erector: BUILDING

BASE RATE	\$20.23
FRINGE BENEFITS	3.25

PAINTERS: CONTINUED

TAYLOR COUNTY:

Bridges:	HEAVY & HIGHWAY	BASE RATE	\$23.92
		FRINGE BENEFITS	10.07
All Other:	HEAVY & HIGHWAY	BASE RATE	\$19.92
		FRINGE BENEFITS	9.57

PLUMBERS / PIPEFITTERS / STEAMFITTERS:

MARION, NELSON & WASHINGTON COUNTIES:
(Includes HVAC Pipe Installation)

BASE RATE	\$31.00
FRINGE BENEFITS	16.13

PLUMBERS / PIPEFITTERS / STEAMFITTERS:

TAYLOR COUNTY:

(Includes HVAC Pipe Installation)

BUILDING

BASE RATE	\$31.00
FRINGE BENEFITS	16.13

Plumbers: HEAVY & HIGHWAY

BASE RATE	\$22.52
FRINGE BENEFITS	7.80

ROOFERS:

NELSON COUNTY:

(Including Built Up, Composition and Single Ply, excluding metal roofs):

BASE RATE	\$21.28
FRINGE BENEFITS	7.07

Shake & Shingle Roof:

BASE RATE	\$19.31
FRINGE BENEFITS	6.25

ROOFERS:

MARION, TAYLOR & WASHINGTON COUNTIES:

BUILDING

BASE RATE	\$16.42
FRINGE BENEFITS	1.50

SHEETMETAL WORKERS:

MARION, NELSON & WASHINGTON COUNTIES:

(Including Metal Roofs):

BASE RATE	\$28.30
FRINGE BENEFITS	16.67

SHEETMETAL WORKERS:

TAYLOR COUNTY:

(Including Metal Roofs & HVAC duct & system installation):

BUILDING

BASE RATE	\$28.30
FRINGE BENEFITS	16.67

HEAVY & HIGHWAY

BASE RATE	\$20.40
FRINGE BENEFITS	7.80

SPRINKLER FITTERS:

MARION, NELSON, TAYLOR & WASHINGTON COUNTIES:

BASE RATE	\$29.55
FRINGE BENEFITS	16.97

TRUCK DRIVERS / BUILDING:

MARION, NELSON & WASHINGTON COUNTIES:

3 Tons & Under, Greaser, Tire Changer, & Mechanic Tender:

BUILDING

BASE RATE	\$19.57
FRINGE BENEFITS	12.57

Over 3 Tons, Semi-Trailer or Pole Trailer, Dump Tandem Axles, Farm Tractor (When used to pull building material & equipment):

BUILDING

BASE RATE	\$19.68
FRINGE BENEFITS	12.57

Concrete Mixer (Hauling on jobsites), & Truck Mechanic:

BUILDING

BASE RATE	\$19.75
FRINGE BENEFITS	12.17

Euclid's & Other Heavy Moving Equipment, Lowboy, Winch, A-Frame & Monorail Truck (To transport building materials):

BUILDING

BASE RATE	\$19.85
FRINGE BENEFITS	12.17

Building: Truck Drivers working on hazardous or toxic waste sites, add \$4.00 to base.

TRUCK DRIVERS / BUILDING:

TAYLOR COUNTY:

3 Tons & Under, Greaser, Tire Changer, & Mechanic Tender:

BUILDING

BASE RATE	\$19.57
FRINGE BENEFITS	12.17

Over 3 Tons, Semi-Trailer or Pole Trailer, Dump Tandem Axles, Farm Tractor (When used to pull building material & equipment):

BUILDING

BASE RATE	\$19.68
FRINGE BENEFITS	12.17

Concrete Mixer (Hauling on jobsites), & Truck Mechanic:

BUILDING

BASE RATE	\$19.75
FRINGE BENEFITS	12.17

Euclid's & Other Heavy Moving Equipment, Lowboy, Winch, A-Frame & Monorail Truck (To transport building materials):

BUILDING

BASE RATE	\$19.85
FRINGE BENEFITS	12.17

Building: Truck Drivers working on hazardous or toxic waste sites, add \$4.00 to base.

TRUCK DRIVERS / HEAVY HIGHWAY:

MARION, NELSON & WASHINGTON COUNTIES:

Mobile Batch Truck Tender: HEAVY & HIGHWAY

BASE RATE	\$16.57
FRINGE BENEFITS	7.34

Greaser, Tire Changer, & Mechanic Tender:

HEAVY & HIGHWAY

BASE RATE	\$16.68
FRINGE BENEFITS	7.34

TRUCK DRIVERS / HEAVY HIGHWAY: CONTINUED

MARION, NELSON & WASHINGTON COUNTIES:

Single Axle Dump & Flatbed; Semi-Trailer or Pole Trailer when used to pull building materials & equipment;
 Tandem Axle Dump; Distributor; Mixer & Truck Mechanic:

HEAVY & HIGHWAY	BASE RATE	\$16.86
	FRINGE BENEFITS	7.34

Euclid, Other Heavy Earthmoving Equipment & Lowboy; Articulator Cat Truck, 5 Axle Vehicle; Winch & A-Frame
 when used in transporting materials; Ross Carrier; Forklift when used to transport building materials; & Pavement
 Breaker:

HEAVY & HIGHWAY	BASE RATE	\$16.96
	FRINGE BENEFITS	7.34

TRUCK DRIVERS / HEAVY HIGHWAY:

TAYLOR COUNTY:

Truck Tender and Warehouseman:

HEAVY & HIGHWAY	BASE RATE	\$19.70
	FRINGE BENEFITS	8.50

Driver, Winch Truck and A-Frame when used in transporting materials:

HEAVY & HIGHWAY	BASE RATE	\$19.80
	FRINGE BENEFITS	8.50

Driver (Semi-trailer or pole trailer), Driver (Dump Truck, Tandem Axle), Driver of Distributor:

HEAVY & HIGHWAY	BASE RATE	\$19.90
	FRINGE BENEFITS	8.50

Driver on Mixer trucks (all types):

HEAVY & HIGHWAY	BASE RATE	\$19.95
	FRINGE BENEFITS	8.50

Truck Mechanic:

HEAVY & HIGHWAY	BASE RATE	\$20.00
	FRINGE BENEFITS	8.50

Driver (3 tons and under), Tire Changer, Truck Mechanic Tender):

HEAVY & HIGHWAY	BASE RATE	\$20.03
	FRINGE BENEFITS	8.50

Driver on Pavement Brakers:

HEAVY & HIGHWAY	BASE RATE	\$20.05
	FRINGE BENEFITS	8.50

Driver (over 3 tons), Driver (truck mounted rotary drill):

HEAVY & HIGHWAY	BASE RATE	\$20.24
	FRINGE BENEFITS	8.50

Driver, Euclid and other Heavy Earth Moving Equipment:

HEAVY & HIGHWAY	BASE RATE	\$20.81
	FRINGE BENEFITS	8.50

Greaser on greasing facilities:

HEAVY & HIGHWAY	BASE RATE	\$20.90
	FRINGE BENEFITS	8.50

END OF DOCUMENT
CR 3-016 2012
July 18, 2012

SECTION 01040**COORDINATION****PART 1 - GENERAL****1.01 COORDINATION OF THE WORK**

The Contractor shall coordinate the work of all the crafts, trades and subcontractors engaged on the Work, and he shall have final responsibility as regards the schedule, workmanship and completeness of each and all parts of the Work.

All crafts, trades and subcontractors shall be made to cooperate with each other and with others as they may be involved in the installation of work which adjoins, incorporates, precedes or follows the work of another. It shall be the Contractor's responsibility to point out areas of cooperation prior to the execution of subcontract agreements and the assignment of the parts of the Work. Each craft, trade and subcontractor shall be made responsible to the Owner, for furnishing embedded items, giving directions for doing all cutting and fitting, making all provisions for accommodating the Work, and for protecting, patching, repairing and cleaning as required to satisfactorily perform the Work.

The Contractor shall be responsible for all cutting, digging and other action of his subcontractors and workmen. Where such action impairs the safety or function of any structure or component of the Project, the Contractor shall make such repairs, alterations and additions as will, in the opinion of the Engineer, bring said structure or component back to its original design condition at no additional cost to the Owner.

Each subcontractor is expected to be familiar with the General Requirements and all sections of the Detailed Specifications for all other trades and to study all Drawings applicable to his work to the end that complete coordination between trades will be affected. Each Contractor shall consult with the Engineer if conflicts exist on the Drawings.

The Contractor shall conduct testing of water lines in a timely manner. The Contractor shall make provisions to test all water lines regardless of whether or not planned pump stations have been delivered and/or installed.

- END OF SECTION -

SECTION 01300**SUBMITTALS****PART 1 - GENERAL****1.01 WORK INCLUDED**

Shop drawings, descriptive literature, project data and samples (when samples are specifically requested) for all manufactured or fabricated items shall be submitted by the Contractor to the Engineer for examination and review in the form and in the manner required by the Engineer. All SUBMITTALS shall be furnished in at least six (6) copies and shall be checked and reviewed by the Contractor before submission to the Engineer. The review of the Drawings by the Engineer shall not be construed as a complete check but only for conformance with the design concept of the Project and for compliance with information given in the Contract Documents. Review of such drawings will not relieve the Contractor of the responsibility for any errors that may exist, as the Contractor shall be responsible for the dimensions and design of adequate connections, details, and satisfactory construction of all work.

1.02 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

- A. General Provision.
- B. Section 01720 - Project Record Documents (As-Builts).

1.03 DEFINITIONS

The term "submittals" shall mean shop drawings, manufacturer's drawings, catalog sheets, brochures, descriptive literature, diagrams, schedules, calculations, material lists, performance charts, test reports, office and field samples, and items of similar nature which are normally submitted for the Engineer's review for conformance with the design concept and compliance with the Contract Documents.

1.04 GENERAL CONDITIONS

A. Review by the Engineer of shop drawings or SUBMITTALS of material and equipment shall not relieve the Contractor from the responsibilities of furnishing same of proper dimension, size, quality, quantity, materials and all performance characteristics to efficiently perform the requirements and intent of the Contract Documents. Review shall not relieve the Contractor from responsibility for errors of any kind on the shop drawings. Review is intended only to assure conformance with the design concept of the Project and compliance with the information given in the Contract Documents.

B. Review of shop drawings shall not be construed as releasing the Contractor from the responsibility of complying with the Specifications.

1.05 GENERAL REQUIREMENTS FOR SUBMITTALS

- A. Shop Drawings:
 - 1. Shop drawings shall be prepared by a qualified detailer. Details shall be identified by reference to sheet and detail numbers shown on Contract Drawings. Where applicable, show fabrication, layout, setting and erection details.
 - 2. Shop drawings are defined as original drawings prepared by the Contractor, subcontractors, suppliers, or distributors performing work under this Contract. Shop drawings illustrate some portion of the work and show fabrication, layout, setting or

erection details of equipment, materials and components. The Contractor shall, except as otherwise noted, have prepared the number of reviewed copies required for his distribution plus two (2) which will be retained by the Engineer. Shop drawings shall be folded to an approximate size of 8-1/2" x 11" and in such manner that the title block will be located in the lower righthand corner of the exposed surface.

B. Project data shall include manufacturer's standard schematic drawings modified to delete information which is not applicable to the Project, and shall be supplemented to provide additional information applicable to the Project. Each copy of descriptive literature shall be clearly marked to identify pertinent information as it applies to the Project.

C. Where samples are required, they shall be adequate to illustrate materials, equipment or workmanship, and to establish standards by which completed work is judged. Providesufficient size and quantity to clearly illustrate functional characteristics of product and material, with integrally related parts and attachment devices, along with a full range of color samples.

D. All submittals shall be referenced to the applicable item, section and division of the Specifications, and to the applicable Drawing(s) or Drawing schedule(s).

E. The Contractor shall review and check SUBMITTALS, and shall indicate his review by initials and date.

F. If the submittals deviate from the Contract Drawings and/or Specifications, the Contractor shall advise the Engineer, in letter of transmittal of the deviation and the reasons therefor. All changes shall be clearly marked on the submittal with a bold red mark. Any additional costs for modifications shall be borne by the Contractor.

G. In the event the Engineer does not specifically reject the use of material or equipment at variance to that which is shown on the Drawings or specified, the Contractor shall, at no additional expense to the Owner, and using methods reviewed by the Engineer, make any changes to structures, piping, controls, electrical work, mechanical work, etc., that may be necessary to accommodate this equipment or material. Should equipment other than that on which design drawings are based be accepted by the Engineer, shop drawings shall be submitted detailing all modification work and equipment changes made necessary by the substituted item.

H. Additional information on particular items, such as special drawings, schedules, calculations, performance curves, and material details, shall be provided when specifically requested in the technical Specifications.

I. Submittals for all electrically operated items (including instrumentation and controls) shall include complete wiring diagrams showing leads, runs, number of wires, wire size, color coding, all terminations and connections, and coordination with related equipment.

J. Equipment shop drawings shall indicate all factory or shop paint coatings applied by suppliers, manufacturers and fabricators; the Contractor shall be responsible for insuring the compatibility of such coatings with the field-applied paint products and systems.

K. Fastener specifications of manufacturer shall be indicated on equipment shop drawings.

L. Where manufacturers' brand names are given in the Specifications for building and construction materials and products, such as grout, bonding compounds, curing compounds, masonry cleaners, waterproofing solutions and similar products, the Contractor shall submit names and descriptive literature of such materials and products he proposes to use in this Contract.

M. No material shall be fabricated or shipped unless the applicable drawings or submittals have been reviewed by the Engineer and returned to the Contractor.

N. All bulletins, brochures, instructions, parts lists, and warranties packaged with and accompanying materials and products delivered to and installed in the Project shall be saved and transmitted to the Owner through the Engineer.

1.06 CONTRACTOR RESPONSIBILITIES

- A. Verify field measurements, field construction criteria, catalog numbers and similar data.
- B. Coordinate each submittal with requirements of Work and of Contract Documents.
- C. Notify Engineer, in writing at time of submission, of deviations in submittals from requirements of Contract Documents.
- D. Begin no work, and have no material or products fabricated or shipped which required submittals until return of submittals with Engineer's stamp and initials or signature indicating review.

- END OF SECTION -

SECTION 01380
CONSTRUCTION PHOTOGRAPHY

PART 1 - GENERAL

1.01 WORK INCLUDED

The Contractor shall be responsible for video taping the entire project site both prior to construction and immediately after completion and acceptance of all work. Video tapes shall be produced by a videographer acceptable to the Engineer and of a professional quality. Video recording shall be included in the contractor's price for water main.

1.02 VIDEO TAPE

The video tape shall be of a high quality VHS or DVD format. Video tapes shall show the time, date, and project location on screen during playback.

1.03 SUBMITTALS

The Contractor shall provide two copies of the project video tape or DVD with jackets. Both the video tapes or DVD's and jackets shall be clearly labeled with project name start date and completion date as shown below.

Project Name and Contract No.
Owner Name
Start Date: _____
Completion Date: _____

-END OF SECTION-

SECTION 01450
QUALITY CONTROL

PART 1 - GENERAL

1.01 QUALITY CONTROL

A. Work of all crafts and trades shall be laid out to lines and elevations as established by the Contractor from the Drawings or from instructions by the Engineer.

B. Unless otherwise shown, all work shall be plumb and level, in straight lines and true planes, parallel or square to the established lines and levels. The Work shall be accurately measured and fitted to tolerance as established by the best practices of the crafts and trades involved, and shall be as required to fit all parts of the Work carefully and neatly together.

C. All equipment, materials and articles incorporated into the Work shall be new and of comparable quality as specified. All workmanship shall be first-class and shall be performed by mechanics skilled and regularly employed in their respective trades.

1.02 TESTS, INSPECTIONS, AND CERTIFICATIONS OF MATERIALS

A. Tests, inspections and certifications of materials, equipment, subcontractors or completed work, as required by the various sections of the Specifications shall be obtained by the Contractor and all costs shall be included in the Contract Price.

B. The Contractor shall submit to the Engineer the name of testing laboratory to be used.

C. Contractor shall deliver written notice to the Engineer at least 24 hours in advance of any inspections or tests to be made at the Project site. All inspections, tests, samples for water quality or other procedures requiring the Engineer to attest to be conducted in the field shall be done in the presence of the Engineer or his representative.

D. Certifications by independent testing laboratories may be by copy of the attestation(s) and shall give scientific procedures and results of tests. Certifications by persons having interest in the matter shall be by original attest properly sworn to and notarized.

- END OF SECTION -

SECTION 01500**TEMPORARY FACILITIES AND CONTROLS****PART 1 - GENERAL****1.01 DESCRIPTION**

A. The Contractor shall make his own provisions for temporary electricity and water and maintain strict supervision of use of temporary utility services as follows:

1. Enforce compliance with applicable standards.
2. Enforce safety practices
3. Prevent abuse of services.
4. Pay all utility charges required.

1.02 REQUIREMENTS OF REGULATORY AGENCIES

A. The Contractor shall obtain and pay for all permits as required by governing authorities.

B. Obtain and pay for temporary easements required across property other than that of Owner or that is shown on the Contract Drawings.

C. The Contractor shall comply with applicable codes.

1.03 REMOVAL

A. The Contractor shall completely remove temporary materials, equipment, and offices upon completion of construction.

B. The Contractor shall repair damage caused by installation and restore to specified or original condition.

1.04 TEMPORARY LIGHTING

A. The Contractor shall furnish and install temporary lighting required for:

1. Construction needs.
2. Safe and adequate working conditions.
3. Public Safety.
4. Security lighting.
5. Temporary office and storage area lighting.

B. Service periods for safety lighting shall be as follows:

1. Within construction area: All times that authorized personnel are present.
2. Public areas: At all times.

C. Costs of Installation and Preparation: Contractor shall pay all installation, maintenance and removal costs of temporary lighting.

D. Maintenance of temporary lighting service (replacement of bulbs, etc.) shall be the sole responsibility of the General Contractor.

1.05 TEMPORARY WATER

The Contractor shall provide the water necessary for testing and disinfection. The Contractor shall supply his own hoses, chlorine for disinfection, etc. The Owner will make available water to the Contractor at the cost of \$4.26 per 1,000 gallons.

1.06 SANITARY FACILITIES

Contractor shall provide sanitary facilities as set forth in General Provisions (GP-2.04. Sanitary Regulations).

1.07 FIELD OFFICE (Office Trailer not Required for this Contract)

The Contractor shall make his own provisions for providing the electricity, telephone, gas, water, sewer, and other utilities to his office trailer that are required or as necessary for completion of the work.

The Contractor shall be responsible for all utility charges.

PART 2 - PRODUCTS

Not used.

PART 3 - EXECUTION

3.01 IMPLEMENTATION

- B. The Contractor shall provide measures to prevent soil erosion and discharge of soil-bearing water runoff and airborne dust to storm drains, adjacent areas and walkways prior to the start of any site work.
- C. Straw bale dikes, silt fencing and synthetic filter fabric shall be used as necessary to protect adjacent lands, surface waters, and vegetation to achieve environmental objectives.
- D. Provide barriers in and around excavations and subgrade construction to prevent flooding by runoff of stormwater from heavy rains.
- E. Soil deposited on pavement by construction and other contractor vehicles shall be removed and the pavement swept as required.
- F. Plan and execute construction by methods to control surface drainage from cuts and fills, from borrow and waste disposal areas. Prevent erosion and sedimentation.
- G. Minimize amount of bare soil exposed at one time.
- H. Provide temporary measures such as berms, dikes, drains, hay bales, gabions, etc., as directed by the Engineer so as to minimize siltation due to runoff.

- I. Construct fill and waste areas by selective placement to avoid erosive exposed surface of silts or clays.
- J. Periodically inspect earthwork to detect evidence of erosion and sedimentation; promptly apply corrective measures.

3.02 OPERATION AND MAINTENANCE

- A. The Contractor shall inspect, repair, and maintain erosion and sediment control measures until final stabilization has been established.

3.03 REMOVAL OF FACILITIES

- A. The Contractor shall remove the temporary facilities after final stabilization has been established. Used devices (including old straw bales) shall be disposed of as Construction & Demolition debris.

3.04 DUST CONTROL

- A. Execute work by methods to minimize raising dust from construction operations. Provide positive means to prevent air-borne dust from dispersing into atmosphere.

- END OF SECTION -

SECTION 01530

BARRIERS

PART 1 - GENERAL

1.01 WORK INCLUDED

Temporary Railing: Temporary railing shall be provided around open pits and other locations where needed, to prevent accidents or injury to persons.

1.02 COST

The Contractor shall pay all costs for temporary railing.

- END OF SECTION -

SECTION 01540

SECURITY

PART 1 - GENERAL

1.01 WORK INCLUDED

A. Provide barricades, lanterns and other such signs and signals as may be necessary to warn of the dangers in connection with open excavation and obstructions.

B. Provide an adequate and approved system to secure the Project area at all times, especially during non-construction periods; the Contractor shall be solely responsible for taking proper security measures.

1.02 COSTS

Contractor shall pay all costs for protection and security systems.

- END OF SECTION -

SECTION 01550
ACCESS ROADS AND PARKING AREAS

PART 1 - GENERAL

1.01 REQUIREMENTS INCLUDED

- A. Access roads.
- B. Parking.
- C. Existing pavements and parking areas.
- D. Permanent pavements and parking areas.
- E. Maintenance.
- F. Removal, resurfacing.

PART 2 - PRODUCTS

2.01 MATERIALS

For temporary construction: Contractor's option.

PART 3 - EXECUTION

3.01 ACCESS ROADS

- A. Construct temporary all-weather access roads from public thoroughfares to serve construction area, of a width and load-bearing capacity to provide unimpeded traffic for construction purposes.
- B. Construct temporary bridges and/or culverts to span low areas and allow unimpeded drainage.
- C. Extend and relocate as work progress requires, provide detours as necessary for unimpeded traffic flow.
- D. Locate temporary access roads as approved by the Owner and/or the Engineer.

3.02 PARKING

The Contractor shall construct temporary parking areas to accommodate use of construction personnel in the area.

3.03 REMOVAL, REPAIR

- A. Remove temporary materials and construction when permanent facilities are usable, as directed by the Engineer.
- B. Repair existing permanent facilities damaged by usage to original and/or specified condition.

- END OF SECTION -

SECTION 01570
TRAFFIC REGULATION

PART 1 - GENERAL

1.01 REQUIREMENTS INCLUDED

- A. Construction parking control.
- B. Flagmen.
- C. Flares and lights.
- D. Haul routes.
- E. Traffic signs and signals.
- F. Removal.

1.02 RELATED REQUIREMENTS

- A. Section 01530 - Barriers.
- B. Section 01580 - Project Identification and Signs.

PART 2 - PRODUCTS

2.01 SIGNS, SIGNALS AND DEVICES

- A. Post-mounted and wall-mounted traffic control and informational signs as specified and required by local jurisdictions.
- B. Automatic Traffic Control Signals: As approved by local jurisdictions.
- C. Traffic Cones and Drums, Flares and Lights: As approved by local jurisdictions.
- D. Flagman Equipment: As required by local jurisdictions.

PART 3 - EXECUTION

3.01 CONSTRUCTION PARKING CONTROL

- A. Control vehicular parking to prevent interference with public traffic and parking, access by emergency vehicles, and Owner's operations.
- B. Monitor parking of construction personnel's vehicles in existing facilities. Maintain vehicular access to and through parking areas.
- C. Prevent parking on or adjacent to access roads or in nondesignated areas.

3.02 TRAFFIC CONTROL

A. Whenever and wherever, in the Engineer's opinion, traffic is sufficiently congested or public safety is endangered, Contractor shall furnish uniformed officers to direct traffic and to keep traffic off the highway area affected by construction operations.

B. Contractor shall abide by City regulations governing utility construction work.

C. Traffic control shall be provided according to the Kentucky Department of Highways Manual on Uniform Traffic Control Devices for Streets and Highways.

3.03 FLAGMEN

Provide trained and equipped flagmen to regulate traffic when construction operations or traffic encroach on public traffic lanes.

3.04 FLARES AND LIGHTS

Use flares and lights during hours of low visibility to delineate traffic lanes and to guide traffic.

3.05 HAUL ROUTES

A. Consult with authorities, establish public thoroughfares to be used for haul routes and site access.

B. Confine construction traffic to designated haul routes.

C. Provide traffic control at critical areas of haul routes to regulate traffic and minimize interference with public traffic.

3.06 TRAFFIC SIGNS AND SIGNALS

A. At approaches to site and on site, install appropriate signs at crossroads, detours, parking areas, and elsewhere as needed to direct construction and affected public traffic.

B. Install and operate traffic control signals to direct and maintain orderly flow of traffic in areas under Contractor's control, and areas affected by Contractor's operations.

C. Relocate as work progresses, to maintain effective traffic control.

3.07 REMOVAL

Remove equipment and devices when no longer required. Repair damage caused by installation. Remove post settings to a depth of 2 feet.

- END OF SECTION -

SECTION 01580**PROJECT IDENTIFICATION AND SIGNS****PART 1 - GENERAL****1.01 WORK INCLUDED**

A. The Contractor shall provide all signs required by these specifications near the site of the work. The sign shall set forth the description of the work and the names of the Owner, Engineer and Contractor as shown on the Plans or in these Specifications.

B. The Contractor shall furnish and install one (1) sign on the Project. The sign shall conform to the specifications and painted as shown on Figure 1.

C. All signs shall be erected level, plumb and in accordance with the specifications prior to the first progress meeting. Signs shall be maintained throughout the contract period as a condition for payment to the contractor.

PART 2 - PRODUCT**2.01 SIGN**

The signs shall be constructed of 3/4" thick APA A-B Exterior grade or marine plywood. Posts shall be 4" x 4" of fencing type material. Prime all wood with white primer.

PART 3 - EXECUTION**3.01 MAINTENANCE**

The sign shall be maintained in good condition until completion of the Project.

3.02 LOCATION

The location of the project signs shall be one set at each location. The actual location of the project sign shall be determined at the pre-construction conference after the contract has been awarded.

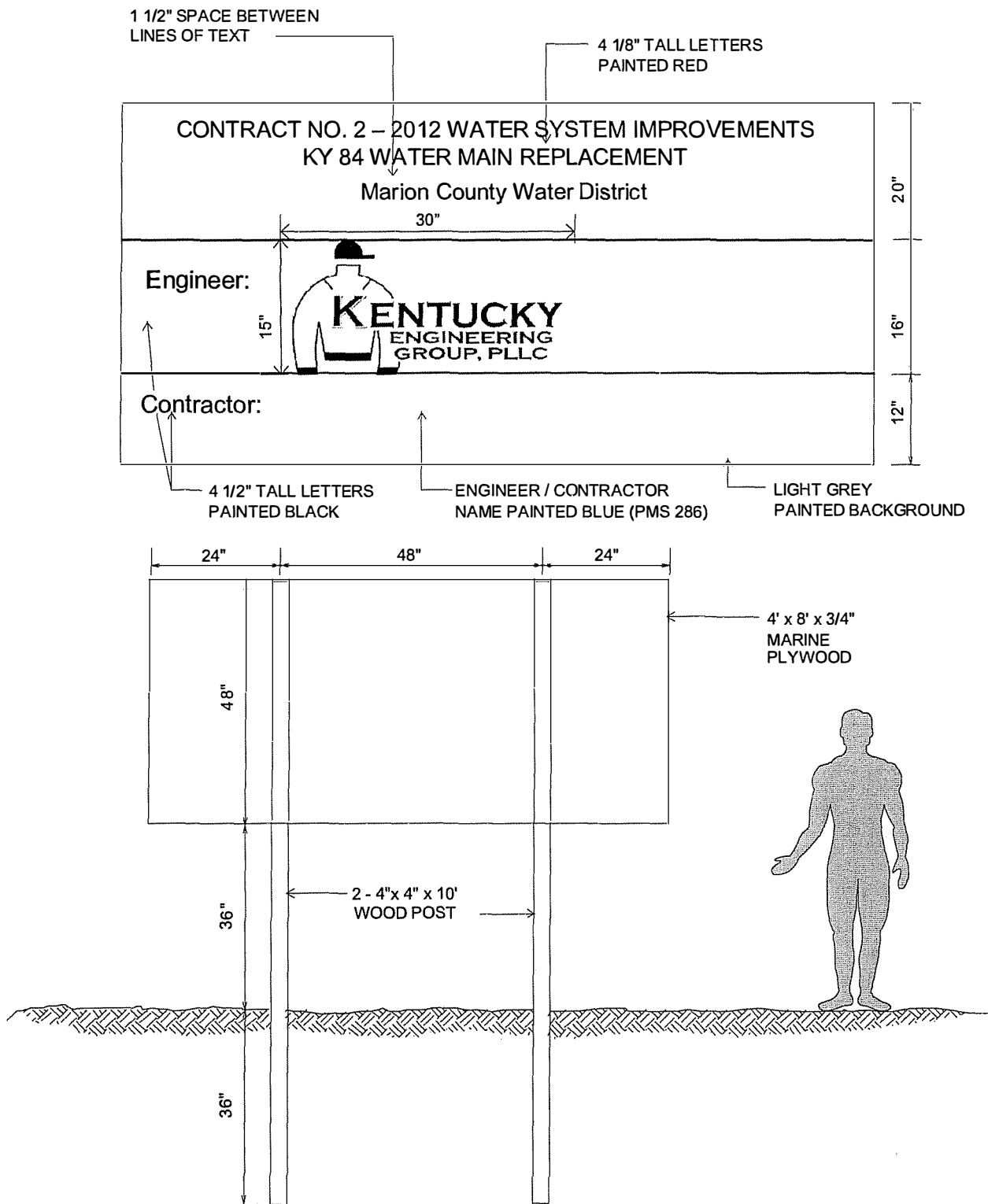


FIGURE 1
 01580-2

SECTION 01600

MATERIAL AND EQUIPMENT

PART 1 - GENERAL

1.01 COMPLIANCE WITH SAFETY REGULATIONS

The equipment items furnished shall comply with all governing Federal and State laws regarding safety, including all requirements of the Occupational Safety and Health Act of 1970 (OSHA).

PART 2 - PRODUCTS

2.01 REFERENCES

A. General Provisions: Section 10 Correction and Guarantee of Work, Section 13 Materials and Equipment.

B. Section 02600 – Pipe, Fittings, and Installation

C. Section 02640 - Valves.

D. All material shall meet applicable American Water Works Association (AWWA), American Standard Testing Methods (ASTM), Underwriters Laboratories (UL), Factory Mutual (FM), National Sanitation Foundation (NSF) standards.

MARION COUNTY WATER DISTRICT

The following is a list of approved manufacturers for the materials to be provided on the project. All material shall meet applicable AWWA, ASTM, Underwriters Laboratories, and Factory Mutual standards. The Owner approves this list and the Owner and Engineer shall approve any deviation.

MATERIAL/ITEM	APPROVED MANUFACTURER
Air Release Valve	APCO #50 Series or approved equal
All Brass Fittings (AWWA brass)	Ford or approved equal
Aluminum Hatch	Haliday S1R or approved equal
Blowoff Hydrant Assembly	M & H Style 33 Post Hydrant
Flushing Hydrant Assembly	MUELLER CENTURION 200 2-V Kennedy K81D 2 Way or approved equal
Bolted Cast Couplings	Ford FC3 Series or approved equal
Brass Nipples and Pipe	BMI
Brass Service Saddles	Ford S70 Series or approved equal
Butterfly Valves (Class 150)	M & H Style 4500 or approved equal
Butterfly Valves (Class 250)	M & H Style 4500 or approved equal
Casing Spacers	CCI CSP Poly/End Seal Mod Esc or approved equal

MATERIAL/ITEM	APPROVED MANUFACTURER
Check Valve	Kennedy Ken-Flex 506 or approved equal
Control Valve	CLA-VAL or approved equal
Gate Valves	Kennedy, M & H C515 D.I. or approved equal
Valve Boxes	Sigma, Mushroom Lid or approved equal
Restraint Joint MJ Packs	Sigma ONE LOK™ 3-12-inch, Midco 2-inch or approved equal
MJ Fittings Compact/Full Body MJ Packs	Sigma or approved equal
Blowoff & Air Release Boxes	ETI Ultra Rib or approved equal
Copper Tracing Wire	#12 Solid Copper
Mainline Pressure Reducing Valve	CLA-VAL or approved equal
Customer Individual Pressure Reducing Valve	Wilkins #600 LUSC 70 DM or approved equal
Mainline Master Meter	
Customer Meter	Sensus Radio Read 5/8 x 3/4 or approved equal
Customer Meter Box	18x24 Ultra Rib Box or approved equal
Customer Meter Box Cover	Sigma LC 219 or approved equal
Customer Meter Setter	Ford VHH72-7W-11-33 Ford Curb Valve B11-333 3/4" x Close Brass Nipple 3/4" x 6" Brass Nipple 3/4" x 12" Brass Nipple Ford 2 C14-33-Q
Service Tubing - Polyethylene Tubing (CTS Service Tubing)	Endot blue or approved equal
1-Inch Service Tubing - Type K Copper Soft	Kemper Domestic
Steel Tapping Valves and Sleeves (Check Working Pressure)	Kennedy C515 Tapping Valve/JCM 462 Stainless Steel Tapping Sleeve
Full Body Tapping Sleeves	JCM 415 Series or approved equal (Stainless Steel Only)
DI Double Strap Service Saddles	Ford FB202 Series or approved equal
DI Pipe Class 350	Clow or approved equal
Dual Disc Check Valve	Watts 709 or approved equal
Full Circle Repair Clamps	Ford FS1 ss/JCM Model 131
Above Ground Valve Marker	Carsonite CUM-375 66" or approved equal
Precast Concrete Manholes	Old Castle Pre-Cast or approved equal
PVC Couplings	Harco or approved equal

MATERIAL/ITEM	APPROVED MANUFACTURER
PVC Pipe Class 200, 250, or C900	Vulcan or approved equal

SECTION 01610
TRANSPORTATION AND HANDLING

PART 1 - GENERAL

1.01 WORK INCLUDED

A. Handling and Distribution:

1. The Contractor shall handle, haul, and distribute all materials and all surplus materials on the different portions of the work, as necessary or required; shall provide suitable and adequate storage room for materials and equipment during the progress of the work, and be responsible for the protection, loss of, or damage to materials and equipment furnished by him, until the final completion and acceptance of the work.
2. Storage and demurrage charges by transportation companies and vendors shall be borne by the Contractor.

B. Storage of Materials and Equipment: All excavated materials and equipment to be incorporated in the work shall be placed so as not to injure any part of the work or the existing facilities and so that free access can be had at all times to all parts of the work and to all public utility installations in the vicinity of the work. Materials and equipment shall be kept neatly piled and compactly stored in such locations as will cause a minimum of inconvenience to public travel and adjoining owners, tenants and occupants.

- END OF SECTION -

SECTION 01700
PROJECT CLOSEOUT

PART 1 - GENERAL

1.01 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

- A. Liquidated Damages: Section 00700 - General Conditions-13. Failure to Complete Work on Time
Section 00300 – Bid Forms – Time of Completion and Liquidated Damages
- B. Cleaning: Section 01710.
- C. Project Record Documents: Section 01720.

1.02 SUBSTANTIAL COMPLETION

- A. Contractor:
1. Submit written certification to Engineer that project is substantially complete.
 2. Submit list of major items to be completed or corrected.
- B. Engineer will make an inspection within seven days after receipt of certification, together with Owner's Representative.
- C. Should Engineer consider that work is substantially complete:
1. Contractor shall prepare, and submit to Engineer, a list of items to be completed or corrected, as determined by the inspection.
 2. Engineer will prepare and issue a Certificate of Substantial Completion, containing:
 - a. Date of Substantial Completion.
 - b. Contractor's list of items to be completed or corrected, verified and amended by Engineer.
 - c. The time within which Contractor shall complete or correct work of listed items.
 - d. Time and date Owner will assume possession of work or designated portion thereof.
 - e. Responsibilities of Owner and Contractor for:
 - (1) Insurance
 - (2) Utilities
 - (3) Operation of mechanical, electrical and other systems.

- (4) Maintenance and cleaning.
 - (5) Security
 - f. Signatures of:
 - (1) Engineer.
 - (2) Contractor.
 - (3) Owner.
- 3. Owner occupancy of Project or Designated Portion of Project:
 - a. Contractor shall:
 - (1) Obtain certificate of occupancy.
 - (2) Perform final cleaning in accordance with Section 01710.
 - b. Owner will occupy Project, under provisions stated in Certificate of Substantial Completion.
- 4. Contractor shall complete work listed for completion or correction, within designated time.
- D. Should Engineer consider that work is not substantially complete.
 - 1. He shall immediately notify Contractor, in writing, stating reasons.
 - 2. Contractor shall complete work, and send second written notice to Engineer, certifying that Project, or designated portion of Project is substantially complete.
 - 3. Engineer will reinspect work.

1.03 FINAL INSPECTION

- A. Contractor shall submit written certification that:
 - 1. Contract Documents have been reviewed.
 - 2. Project has been inspected for compliance with Contract Documents.
 - 3. Work has been completed in accordance with Contract Documents.
 - 4. Equipment and systems have been tested in presence of Owner's Representative and are operational.
 - 5. Project is completed and ready for final inspection.
- B. Engineer will make final inspection within seven (7) days after receipt of certification.
- C. Should Engineer consider that work is finally complete in accordance with requirements of Contract Documents, he shall request Contractor to make Project Closeout submittals.
- D. Should Engineer consider that work is not finally complete:
 - 1. He shall notify Contractor, in writing, stating reasons.

2. Contractor shall take immediate steps to remedy the stated deficiencies, and send second written notice to Engineer certifying that work is complete.
3. Engineer will reinspect work.

1.04 FINAL CLEAN UP

The Work will not be considered as completed and final payment made until all final clean up has been done by the Contractor in a manner satisfactory to the Engineer. See Section 01710 for detailed requirements.

1.05 CLOSEOUT SUBMITTALS

Project Record Documents: To requirements of Section 01720.

1.06 FINAL APPLICATION FOR PAYMENT

Contractor shall submit final applications in accordance with requirements of GENERAL PROVISIONS.

1.07 FINAL CERTIFICATE FOR PAYMENT

- A. Engineer will issue final certificate in accordance with provisions of GENERAL PROVISIONS.
- B. Should final completion be materially delayed through no fault of Contractor, Engineer may issue a Semi-Final Certificate for Payment.

- END OF SECTION -

SECTION 01710**CLEANING****PART 1 - GENERAL****1.01 WORK INCLUDED**

A. During its progress the work and the adjacent areas affected thereby shall be kept cleaned up and all rubbish, surplus materials, and unneeded construction equipment shall be removed and all damage repaired so that the public and property owners will be inconvenienced as little as possible.

B. Where material or debris has washed or flowed into or been placed in existing watercourses, ditches, gutters, drains, pipes, structures, by work done under this contract, or elsewhere during the course of the Contractor's operations, such material or debris shall be entirely removed and satisfactorily disposed of during the progress of the work, and the ditches, channels, drains, pipes, structures, and work, etc., shall, upon completion of the work, be left in a clean and neat condition.

C. On or before the completion of the work, the Contractor shall, unless otherwise especially directed or permitted in writing, tear down and remove all temporary buildings and structures built by him; shall remove all temporary works, tools, and machinery or other construction equipment furnished by him; shall remove, acceptably disinfect, and cover all organic matter and material containing organics in, under, and around privies, houses, and other buildings used by him; shall remove all rubbish from any grounds which he has occupied; and shall leave the roads and all parts of the premises and adjacent property affected by his operations in a neat and satisfactory condition.

D. The Contractor shall thoroughly clean all materials and equipment installed by him and his subcontractors, and on completion of the work shall deliver it undamaged and in fresh and new appearing condition.

E. The Contractor shall restore or replace, when and as directed, any public or private property damaged by his work, equipment, or employees, to a condition equal or better than that existing immediately prior to the beginning of operations. To this end the Contractor shall do as required all necessary highway or driveway, walk, and landscaping work. Suitable materials, equipment, and methods shall be used for such restoration. The restoration of existing property or structures shall be done as promptly as practicable as work progresses and shall not be left until the end of the contract period.

1.02 DESCRIPTION

A. Related Requirements Specified Elsewhere:

1. Project Closeout: Section 01700.
2. Cleaning for Specific Products or Work: Specification Section for that work.

B. On a continuous basis, maintain premises free from accumulations of waste, debris, and rubbish, caused by operations.

C. At completion of Work, remove waste materials, rubbish, tools, equipment, machinery and surplus materials, and clean all sight-exposed surfaces; leave Project clean and ready for occupancy.

1.03 SAFETY REQUIREMENTS

- A. Hazards Control:
 - 1. Store volatile wastes in covered metal containers, and remove from premises daily.
 - 2. Prevent accumulation of wastes, which create hazardous conditions.
 - 3. Provide adequate ventilation during use of volatile or noxious substances.
- B. Conduct cleaning and disposal operations in compliance with local ordinances and anti-pollution laws.
 - 1. Do not burn or bury rubbish and waste materials on Project site without written permission from the Owner.
 - 2. Do not dispose of volatile wastes such as mineral spirits, oil, or fuel in open drainage ditches or storm or sanitary drains.
 - 3. Do not dispose of wastes into streams or waterways.

PART 2 - PRODUCTS**2.01 MATERIALS**

- A. Use only cleaning materials recommended by manufacturer of surface to be cleaned.
- B. Use cleaning materials only on surfaces recommended by cleaning material manufacturer.

PART 3 - EXECUTION**3.01 DURING CONSTRUCTION**

- A. Execute cleaning to ensure that grounds and public properties are maintained free from accumulations of waste materials and rubbish.
- B. Wet down dry materials and rubbish to minimize blowing dust.
- C. At reasonable intervals during progress of Work, clean site and public properties, and dispose of waste materials, debris and rubbish.
- D. Provide on-site containers for collection of waste materials, debris and rubbish.
- E. Remove waste materials, debris and rubbish from site and legally dispose of at public or private dumping areas off construction site.
- F. The Contractor shall thoroughly clean all materials and equipment installed.

3.02 FINAL CLEANING

- A. Employ experienced workmen, or professional cleaners, for final cleaning.
- B. In preparation for substantial completion, conduct final inspection of project area(s).
- C. Broom clean paved surfaces; rake clean other surfaces of grounds.
- D. Maintain cleaning until Project, or portion thereof, is accepted by Owner.

- END OF SECTION -

SECTION 01720
PROJECT RECORD DOCUMENTS

PART 1 - GENERAL

1.01 WORK INCLUDED

The Contractor shall obtain from the Engineer, one (1) set of prints of the Contract Drawings. These prints shall be kept and maintained in good condition at the project site and a qualified representative of the Contractor shall enter upon these prints, from day-to-day, the actual "as-built" record of the construction progress. Entries and notations shall be made in a neat and legible manner and these prints shall be delivered to the Engineer upon completion of the construction. APPROVAL FOR FINAL PAYMENT WILL BE CONTINGENT UPON COMPLIANCE WITH THIS PROVISION.

1.02 RELATED REQUIREMENTS SPECIFIED ELSEWHERE:

- A. Section 01300 - Submittals.
- B. General Provisions – Kentucky Engineering Group

1.03 MAINTENANCE OF DOCUMENTS

- A. Maintain at job site, one copy of:
 - 1. Contract Drawings
 - 2. Specifications
 - 3. Addenda
 - 4. Reviewed Shop Drawings
 - 5. Change Orders
 - 6. Other Modifications to Contract
- B. Store documents in approved location, apart from documents used for construction.
- C. Provide files and racks for storage of documents.
- D. Maintain documents in clean, dry legible condition.
- E. Do not use record documents for construction purposes.
- F. Make documents available at all times for inspection by Engineer and Owner.

1.04 MARKING DEVICES

Provide colored pencil or felt-tip marking pen for all marking.

1.05 RECORDING

- A. Label each document "PROJECT RECORD" in 2-inch high printed letters.

- B. Keep record documents current.
- C. Do not permanently conceal any work until required information has been recorded.
- D. Contract Drawings: Legibly mark to record actual construction:
 - 1. Horizontal and vertical location of underground utilities and appurtenances referenced to permanent surface improvements.
 - 2. Location of internal utilities and appurtenances concealed in construction referenced to visible and accessible features of structure.
 - 3. Field changes of dimension and detail.
 - 4. Changes made by Change Order or Field Order.
 - 5. Details not on original Contract Drawings.
- E. Specifications and Addenda: Legibly mark up each Section to record:
 - 1. Manufacturer, trade name, catalog number, and supplier of each product and item of equipment actually installed.
 - 2. Changes made by Change Order or Field Order.
 - 3. Other matters not originally specified.
- F. Shop Drawings: Maintain as record documents; legibly annotate Shop Drawings to record changes made after review.

1.06 SUBMITTAL

- A. At completion of project, deliver record documents to Engineer.
- B. Accompany submittal with transmittal letter, in duplicate, containing:
 - 1. Date.
 - 2. Project Title and Number.
 - 3. Contractor's Name and Address.
 - 4. Title and Number of each Record Document.
 - 5. Certification that each Document as Submitted is Complete and Accurate.
 - 6. Signature of Contractor, or his authorized Representative.

- END OF SECTION -

SECTION 01730
OPERATING AND MAINTENANCE DATA

PART 1 - GENERAL

1.01 WORK INCLUDED

A. Compile product data and related information appropriate for Owner's maintenance and operation of equipment furnished under the contract. Prepare operating and maintenance data as specified.

B. Instruct Owner's personnel in the maintenance and operation of equipment and systems as outlined herein and/or in other Divisions.

C. In addition to maintenance and operations data, the manufacturer's printed recommended installation practice shall also be included. If not part of the operations and maintenance manual, separate written installation instructions shall be provided, serving to assist the Contractor in equipment installation.

D. Related Requirements Specified Elsewhere:

1. Section 01300 - Submittals.
2. Section 01700 - Project Closeout.
3. Section 01720 - Project Record Documents.
4. Section 01740 - Warranties and Bonds.
5. General Provisions

1.02 MAINTENANCE AND OPERATIONS MANUAL

Every piece of equipment furnished and installed shall be provided with complete maintenance and operations manuals. These shall be detailed in instructions to the Owner's personnel. They shall be attractively bound for the Owner's records.

The manuals shall be submitted to the Engineer for review as to adequacy and completeness. Provide three (3) copies each.

1.03 FORM OF SUBMITTALS

A. Prepare data in the form of an instructional manual for use by Owner's personnel.

B. Format:

1. Size: 8-1/2 x 11 in.
2. Paper: 20 pound minimum, white, for typed pages.
3. Text: Manufacturer's printed data, or neatly typewritten.
4. Drawings:
 - a. Provide reinforced punched binder tab, bind with text.

- b. Fold large drawings to the size of the text pages where feasible.
 - c. For all drawings included within manuals, furnish a 3 mil mylar copy in standard size drawings 36" x 24", 8" x 16" or 8-1/2" x 11".
 - d. For flow or piping diagrams that cannot be detailed on the standard size drawings, a larger, appropriate size drawing may be submitted.
5. Provide fly-leaf for each separate product, or each piece of operating equipment.
- a. Provide typed description of product, and major component parts of equipment.
 - b. Provide indexed tabs.
6. Cover: Identify each volume with types or printed title "OPERATING AND MAINTENANCE INSTRUCTIONS". List:
- a. Title of Project.
 - b. Identity of separate structure as applicable.
 - c. Identity of general subject matter covered in the manual.
- C. Binders:
- 1. Commercial quality, durable and cleanable, 3-hole, 3" or 4" post type binders, with oil and moisture resistant hard covers.
 - 2. When multiple binders are used, correlate the data into related consistent grouping.
 - 3. Labeled on the front cover and side of each binder shall be the name of the Project, the Contract Number and Volume Number.

1.04 CONTENT OF MANUAL

- A. Neatly typewritten table of contents for each volume, arranged in systematic order.
- 1. Contractor, name of responsible principal, address and telephone number.
 - 2. A list of each product required to be included, indexed to the content of the volume.
 - 3. List, with each product, the name, address and telephone number of:
 - a. Subcontractor or installer.
 - b. Maintenance contractor, as appropriate.
 - c. Identify the area of responsibility of each.
 - d. Local source of supply for parts and replacement.
 - 4. Identify each product by product name and other identifying symbols as set forth in Contract Documents.

- B. Product Data:
1. Include only those sheets which are pertinent to the specific product. References to other sizes and types or models of similar equipment shall be deleted or lined out.
 2. Annotate each sheet to:
 - a. Clearly identify the specific product or part installed.
 - b. Clearly identify the data applicable to the installation.
 - c. Provide a parts list for all new equipment items, with catalog numbers and other data necessary for ordering replacement parts.
 - d. Delete references to inapplicable information.
 3. Clear and concise instructions for the operation, adjustment, lubrication, and other maintenance of the equipment including a lubrication chart.
- C. Drawings:
1. Supplement product data with drawings as necessary to clearly illustrate:
 - a. Relations of component parts of equipment and systems.
 - b. Control and flow diagrams.
 2. Coordinate drawings with information in Project Record Documents to assure correct illustration of completed installation.
 3. Do not use Project Record Documents as maintenance drawings.
- D. Written text, as required to supplement product data for the particular installation:
1. Organize in a consistent format under separate headings for different procedures.
 2. Provide a logical sequence of instructions for each procedure.
- E. Copy of each warranty, bond and service contract issued: Provide information sheet for Owner's personnel.
1. Proper procedures in the event of failure.
 2. Instances which might affect the validity of warranties or bonds.
- F. These manuals shall be delivered to the Engineer at the time designated by the Engineer. The manuals must be approved by the Engineer before final payment on the equipment is made.

- END OF SECTION -

SECTION 01740
WARRANTIES AND BONDS

PART 1 - GENERAL

1.01 WORK INCLUDED

- A. Compile specified warranties and bonds.
- B. Compile specified service and maintenance contracts.
- C. Co-execute submittals when so specified.
- D. Review submittals to verify compliance with Contract Documents.
- E. Related requirements specified elsewhere:
 - 1. Bid Bond: Instructions to Bidders.
 - 2. Performance and Payment Bonds: General Provisions, Section 00600
 - 3. Guaranty: General Provisions.
 - 4. General Warranty of Construction: General Provisions.
 - 5. Project Closeout: Section 01700.
 - 6. Warranties and Bonds required for specific products: As listed herein.
 - 7. Provisions of Warranties and Bonds, Duration: Respective specification sections for particular products.
 - 8. Operating and Maintenance Data: Section 01730.

1.02 SUBMITTALS REQUIREMENTS

- A. Assemble warranties, bonds and service and maintenance contracts, executed by each of the respective manufacturers, suppliers and subcontractors.
- B. Furnish two (2) original signed copies.
- C. Table of Contents: Neatly typed, in orderly sequence. Provide complete information for each item.
 - 1. Product, equipment or work item.
 - 2. Firm name, address and telephone number.
 - 3. Scope
 - 4. Date of beginning of warranty, bond or service and maintenance contract.
 - 5. Duration of warranty, bond or service and maintenance contract.

6. Provide information for Owner's personnel:
 - a. Proper procedure in case of failure.
 - b. Instances which might affect the validity of warranty or bond.
7. Contractor name, address and telephone number.

1.03 FORM OF SUBMITTALS

- A. Prepare in duplicate packets.
- B. Format:
 1. Size 8-1/2 in. x 11 in., punch sheets for 3-ring binder: Fold larger sheets to fit into binders.
 2. Cover: Identify each packet with typed or printed title "WARRANTIES AND BONDS". List:
 - a. Title of Project.
 - b. Name of Contractor.
- C. Binders: Commercial quality, three-ring, with durable and cleanable plastic covers.

1.04 TIME OF SUBMITTALS

- A. For equipment or component parts of equipment put into service during progress of construction: Submit documents within 10 days after inspection and acceptance.
- B. Otherwise, make submittals within 10 days after date of substantial completion, prior to final request for payment.
- C. For items of work, where acceptance is delayed materially beyond the Date of Substantial Completion, provide updated submittal within 10 days after acceptance, listing the date of acceptance as the start of the warranty period.

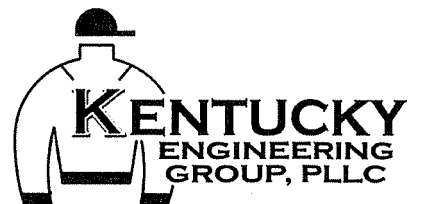
1.05 SUBMITTALS REQUIRED

Submit warranties, bonds, service and maintenance contracts as specified in the respective sections of the Specifications.

- END OF SECTION -

DIVISION 2

SITE WORK



SECTION 02110**SITE CLEARING****PART 1 - GENERAL****1.01 WORK INCLUDED**

- A. Clear site within construction limits of plant life.
- B. Remove grass and topsoil in area of access road and foundation.
- C. Remove root system of trees and shrubs.
- D. Remove surface debris

1.02 RELATED WORK

- A. Section 02228 - Rock Removal.
- B. Section 02211 - Rough Grading.
- C. Section 02222 - Excavation.

1.03 REGULATORY REQUIREMENTS

Conform to applicable local codes and ordinances for disposal of debris.

PART 2 - PRODUCTS

Not Used.

PART 3 - EXECUTION**3.01 CLEARING**

- A. Clear areas required for access to site and execution of work.
- B. Remove trees, shrubs, brush, and other vegetable matter such as snags, bark, and refuse.

3.02 PROTECTION

Reasonable care shall be taken during construction to avoid damage to vegetation. Ornamental shrubbery and tree branches shall be temporarily tied back, where appropriate, to minimize damage. Trees that receive damage to branches shall be trimmed of those branches to improve the appearance of the tree. Tree trunks receiving damage from equipment shall be treated with a tree dressing. The Contractor shall not cut or injure any trees or other vegetation outside the easement lines and outside the areas to be cleared, as indicated on the Drawings, without written permission from the Engineer. The Contractor shall be responsible for all damage done outside these lines.

3.03 GRUBBING

From areas to be grubbed, the Contractor shall remove completely all stumps, remove to a depth of at least 24 inches below subgrade elevation all roots larger than 1 1/2 in. in diameter, and remove to a depth of 12 in. all roots larger than 1/2 in. in diameter. Such depths shall be measured from the existing ground surface, the proposed finished grade or subgrade, whichever is lower.

3.04 STRIPPING

All stumps, roots, foreign matter, topsoil, loam, and unsuitable earth shall be stripped from the ground surface. The topsoil and loam shall be utilized insofar as possible, for finished surfacing. Loam shall not be taken from the site.

3.05 DISPOSAL

A. All material resulting from clearing and grubbing and not scheduled for reuse or stockpiling shall become the property of the Contractor and shall be suitably disposed of off site, unless otherwise directed by the Engineer, in accordance with all applicable laws, ordinances, rules and regulations.

B. Such disposal shall be performed as promptly as possible after removal of the material and shall not be left until the final period of cleaning up.

3.06 FENCES

Wherever fences need to be removed to provide access to the work or are damaged during the progress of work, they shall be restored or repaired to as good a condition as existed prior to construction at the Contractor's expense.

- END OF SECTION -

SECTION 02211
ROUGH GRADING

PART 1 - GENERAL

1.01 WORK INCLUDED

- A. Remove topsoil and stockpile for later reuse.
- B. Excavate subsoil and stockpile for later reuse as directed in Section 022110, Backfilling and Embankments.
- C. Grade and rough contour site.

1.02 RELATED WORK

- A. Geotechnical data as indicated in Appendix A of the specifications. (None provided or available for this Contract)
- B. Section 02228 - Rock Removal.
- C. Section 02222 - Excavation.
- D. Section 02220 - Earthwork.

1.03 PROJECT RECORD DOCUMENTS

- A. Submit documents under provisions of Section 01720.
- B. Accurately record location of utilities remaining, rerouted utilities, new utilities by horizontal dimensions, elevations or inverts, and slope gradients.

1.04 PROTECTION

- A. Protect trees and other features remaining as portion of final landscaping.
- B. Protect bench marks, existing structures, fences, roads, sidewalks and other features not designated for demolition.
- C. Protect above or below grade utilities which are to remain.
- D. Contractor shall be responsible for repairing any damage to those items not designated for demolition or removal in a manner satisfactory to the Owner at no additional cost to the Owner.

PART 2 - PRODUCTS

2.01 MATERIALS

- A. Topsoil: Excavated material, graded free of roots, rocks larger than one inch, subsoil, debris, and large weeds.

B. Subsoil: Excavated material, graded free of lumps larger than 12 inches, rocks larger than 12 inches, and debris.

PART 3 - EXECUTION

3.01 PREPARATION

- A. Identify required lines, levels, contours, and datum.
- B. Identify known below grade utilities. Stake and flag locations.
- C. Identify and flag above grade utilities.
- D. Maintain and protect existing utilities remaining which pass through work area.
- E. Upon discovery of unknown utility or concealed conditions, discontinue affected work; notify Engineer.

3.02 TOPSOIL EXCAVATION

- A. Excavate topsoil from areas to be further excavated, and stockpile in area designated on site by the Engineer.
- B. Do not excavate wet topsoil.
- C. Stockpile topsoil to depth not exceeding 8 feet.

3.03 SUBSOIL EXCAVATION

- A. Excavate subsoil from indicated areas and stockpile in area designated on site. Excess subsoil may be reused according to Section 02220, Backfilling.
- B. Do not excavate wet subsoil.
- C. Stockpile subsoil to depth not exceeding 8 feet.
- D. When excavation through roots is necessary, perform work by hand and cut roots with a sharp axe.

3.04 TOLERANCES

Top Surface of Subgrade: Plus or minus three inches.

- END OF SECTION -

SECTION 02220**EARTHWORK****PART 1 GENERAL****1.01 SUMMARY**

- A. This Section includes excavation and backfilling including the loosening, removing, refilling, transporting, storage and disposal of all materials classified as "earth" necessary to be removed for the construction and completion of all work under the Contract, and as shown on the Contract Drawings, specified or directed.

1.02 REFERENCES

- A. Materials and installation shall be in accordance with the latest revisions of the following codes, standards, and specifications, except where more stringent requirements have been specified herein:

1. American Society for Testing and Materials (ASTM)
 - a. A328 Specification for Steel Sheet Piling
 - b. D698 Test Method for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³) (600 kN-m/m³)
 - c. D1556 Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method
 - d. D1760 Specification for Pressure Treatment of Timber Products
 - e. D2922 Test Methods for Density of Soil and Soil Aggregate in Place by Nuclear Methods (Shallow Depth)

1.03 DEFINITIONS

- A. Excavation (or Trenching)
1. Grubbing, stripping, removing, storing and rehandling of all materials of every name and nature necessary to be removed for all purposes incidental to the construction and completion of all the work under construction.
 2. All sheeting, sheetpiling, bracing and shoring, and the placing, driving, cutting off and removing of the same.
 3. All diking, ditching, fluming, cofferdamming, pumping, bailing, draining, well pointing, or otherwise disposing of water.
 4. The removing and disposing of all surplus materials from the excavations in the manner specified.

5. The maintenance, accommodation and protection of travel and the temporary paving of highways, roads and driveways.
 6. The supporting and protecting of all tracks, rails, buildings, curbs, sidewalks, pavements, overhead wires, poles, trees, vines, shrubbery, pipes, sewers, conduits or other structures or property in the vicinity of the work, whether over- or underground or which appear within or adjacent to the excavations, and the restoration of the same in case of settlement or other injury.
 7. All temporary bridging and fencing and the removing of same.
- B. Earth
1. All materials such as sand, gravel, clay, loam, ashes, cinders, pavements, muck, roots or pieces of timber, soft or disintegrated rock, not requiring blasting, barring, or wedging from their original beds, and specifically excluding all ledge or bedrock and individual boulders or masonry larger than one-half cubic yard in volume.
- C. Backfill
1. The refilling of excavation and trenches to the line of filling indicated on the Contract Drawings or as directed using materials suitable for refilling of excavations and trenches; and the compacting of all materials used in filling or refilling by rolling, ramming, watering, puddling, etc., as may be required.
- D. Spoil
1. Surplus excavated materials not required or not suitable for backfills or embankments.
- E. Embankments
1. Fills constructed above the original surface of the ground or such other elevation as specified or directed.
- F. Limiting Subgrade
1. The underside of the pipe barrel for pipelines
 2. The underside of footing lines for structures
- G. Excavation Below Subgrade
1. Excavation below the limiting subgrades of structures or pipelines.
 2. Where materials encountered at the limiting subgrades are not suitable for proper support of structures or pipelines, the Contractor shall excavate to such new lines and grades as required.

PART 2 PRODUCTS**2.01 MATERIALS AND CONSTRUCTION****A. Wood Sheeting and Bracing**

1. Shall be sound and straight; free from cracks, shakes and large or loose knots; and shall have dressed edges where directed.
2. Shall conform to National Design Specifications for Stress Grade Lumber having a minimum fiber stress of 1200 pounds per square inch.
3. Sheeting and bracing to be left-in-place shall be pressure treated in accordance with ASTM D1760 for the type of lumber used and with a preservative approved by the Engineer.

B. Steel Sheeting and Bracing

1. Shall be sound
2. Shall conform to ASTM A328 with a minimum thickness of 3/8 inch.

PART 3 EXECUTION**3.01 UNAUTHORIZED EXCAVATION**

- A. Whenever excavations are carried beyond or below the lines and grades shown on the Contract Drawings, or as given or directed by the Engineer, all such excavated space shall be refilled with special granular materials, concrete or other materials as the Engineer may direct. All refilling of unauthorized excavations shall be at the Contractor's expense.
- B. All material which slides, falls or caves into the established limits of excavations due to any cause whatsoever, shall be removed and disposed of at the Contractor's expense and no extra compensation will be paid the Contractor for any materials ordered for refilling the void areas left by the slide, fall or cave-in.

3.02 REMOVAL OF WATER**A. General**

1. The Contractor shall at all times provide and maintain proper and satisfactory means and devices for the removal of all water entering the excavations, and shall remove all such water as fast as it may collect, in such manner as shall not interfere with the prosecution of the work or the proper placing of pipes, structures, or other work.
2. Unless otherwise specified, all excavations which extend down to or below the static groundwater elevations shall be dewatered by lowering and maintaining the groundwater beneath such excavations at all times when work thereon is in progress, during subgrade preparation and the placing of the structure or pipe thereon.
3. Water shall not be allowed to rise over or come in contact with any masonry, concrete or mortar, until at least 24 hours after placement, and no stream of

water shall be allowed to flow over such work until such time as the Engineer may permit.

4. Where the presence of fine grained subsurface materials and a high groundwater table may cause the upward flow of water into the excavation with a resulting quick or unstable condition, the Contractor shall install and operate a well point system to prevent the upward flow of water during construction.
5. Water pumped or drained from excavations, or any sewers, drains or water courses encountered in the work, shall be disposed of in a suitable manner without injury to adjacent property, the work under construction, or to pavements, roads, drives, and water courses. No water shall be discharged to sanitary sewers. Sanitary sewage shall be pumped to sanitary sewers or shall be disposed of by an approved method.
6. Any damage caused by or resulting from dewatering operations shall be the sole responsibility of the Contractor.

B. Work Included

1. The construction and removal of cofferdams, sheeting and bracing, and the furnishing of materials and labor necessary therefor.
2. The excavation and maintenance of ditches and sluiceways.
3. The furnishing and operation of pumps, well points, and appliances needed to maintain thorough drainage of the work in a satisfactory manner.

C. Well Point Systems

1. Installation
 - a. The well point system shall be designed and installed by or under the supervision of an organization whose principal business is well pointing and which has at least five consecutive years of similar experience and can furnish a representative list of satisfactory similar operations.
 - b. Well point headers, points and other pertinent equipment shall not be placed within the limits of the excavation in such a manner or location as to interfere with the laying of pipe or trenching operations or with the excavation and construction of other structures.
 - c. Detached observation wells of similar construction to the well points shall be installed at intervals of not less than 50 feet along the opposite side of the excavation from the header pipe and line of well points, to a depth of at least 5 feet below the proposed excavation. In addition, one well point in every 50 feet shall be fitted with a tee, plug and valve so that the well point can be converted for use as an observation well. Observation wells shall be not less than 1-½ inches in diameter.
 - d. Standby gasoline or diesel powered equipment shall be provided so that in the event of failure of the operating equipment, the standby equipment can be readily connected to the system. The standby

equipment shall be maintained in good order and actuated regularly not less than twice a week.

2. Operation

- a. Where well points are used, the groundwater shall be lowered and maintained continuously (day and night) at a level not less than 2 feet below the bottom of the excavation. Excavation will not be permitted at a level lower than 2 feet above the water level as indicated by the observation wells.
- b. The effluent pumped from the well points shall be examined periodically by qualified personnel to determine if the system is operating satisfactorily without the removal of fines.
- c. The water level shall not be permitted to rise until construction in the immediate area is completed and the excavation backfilled.

3.03 STORAGE OF MATERIALS

A. Sod

1. Any sod cut during excavation shall be removed and stored during construction so as to preserve the grass growth. Sod damaged while in storage shall be replaced in like kind at the sole expense of the Contractor.

B. Topsoil

1. Topsoil suitable for final grading shall be removed and stored separately from other excavated material.

C. Excavated Materials

1. All excavated materials shall be stored in locations so as not to endanger the work, and so that easy access may be had at all times to all parts of the excavation. Stored materials shall be kept neatly piled and trimmed, so as to cause as little inconvenience as possible to public travel or to adjoining property holders.
2. Special precautions must be taken to permit access at all times to fire hydrants, fire alarm boxes, police and fire department driveways, and other points where access may involve the safety and welfare of the general public.
- 3.

3.04 DISPOSAL OF MATERIALS

A. Spoil Material

1. All spoil materials shall be disposed of as required by the local, state or federal regulations pertaining to the area or as described in the Special Provisions or on the Contract Drawings.
2. The surface of all spoil areas shall be graded and dressed and no unsightly mounds or heaps shall be left on completion of the work.

3.05 SHEETING AND BRACING

A. Installation

1. The Contractor shall furnish, place and maintain such sheeting, bracing and shoring as may be required to support the sides and ends of excavations in such manner as to prevent any movement which could, in any way, injure the pipe, structures, or other work; diminish the width necessary for construction; otherwise damage or delay the work of the Contract; endanger existing structures, pipes or pavements; or cause the excavation limits to exceed the right-of-way limits.
2. In no case will bracing be permitted against pipes or structures in trenches or other excavations.
3. Sheeting shall be driven as the excavation progresses, and in such manner as to maintain pressure against the original ground at all times. The sheeting shall be driven vertically with the edges tight together, and all bracing shall be of such design and strength as to maintain the sheeting in its proper position. Seepage which carries fines through the sheeting shall be plugged to retain the fines.
4. Where breast boards are used between soldier pile, the boards shall be back packed with soil to maintain support.
5. The Contractor shall be solely responsible for the adequacy of all sheeting and bracing.

B. Removal

1. In general, all sheeting and bracing, whether of steel, wood or other material, used to support the sides of trenches or other open excavations, shall be withdrawn as the trenches or other open excavations are being refilled. That portion of the sheeting extending below the top of a pipe or structural foundation shall not be withdrawn, unless otherwise directed, before more than 6 inches of earth is placed above the top of the pipe or structural foundation and before any bracing is removed. The voids left by the sheeting shall be carefully refilled with selected material and rammed tight with tools especially adapted for the purpose or otherwise as may be approved.
2. The Contractor shall not remove sheeting and bracing until the work has attained the necessary strength to permit placing of backfill.

C. Left in Place

1. If, to serve any purpose of his own, the Contractor files a written request for permission to leave sheeting or bracing in the trench or excavation, the Engineer may grant such permission, in writing, on condition that the cost of such sheeting and bracing be assumed and paid by the Contractor.
2. The Contractor shall leave in place all sheeting, shoring and bracing which are shown on the Contract Drawings or specified to be left in place or which the Engineer may order, in writing, to be left in place. All shoring, sheeting and bracing shown or ordered to be left in place will be paid for under the appropriate item of the Contract. No payment allowance will be made for

wasted ends or for portions above the proposed cutoff level which are driven down instead of cut-off.

3. In case sheeting is left in place, it shall be cut off or driven down as directed so that no portion of the same shall remain within 12 inches of the street subgrade or finished ground surface.

3.06 BACKFILLING

A. General

1. All excavations shall be backfilled to the original surface of the ground or to such other grades as may be shown, specified or directed.
2. Backfilling shall be done with suitable excavated materials which can be satisfactorily compacted during refilling of the excavation. In the event the excavated materials are not suitable, Special Backfill as specified or ordered by the Engineer shall be used for backfilling.
4. Any settlement occurring in the backfilled excavations shall be refilled and compacted.

B. Unsuitable Materials

1. Stones, pieces of rock or pieces of pavement greater than 1 cubic foot in volume or greater than 1.5 feet in any single dimension shall not be used in any portion of the backfill.
2. All stones, pieces of rock or pavement shall be distributed through the backfill and alternated with earth backfill in such a manner that all interstices between them shall be filled with earth.
3. Frozen earth shall not be used for backfilling.

C. Compaction and Density Control

1. The compaction shall be as specified for the type of earthwork, i.e., structural, trenching or embankment.
 - a. The compaction specified shall be the percent of maximum dry density.
 - b. The compaction equipment shall be suitable for the material encountered.
2. Where required, to assure adequate compaction, in-place density test shall at the expense of the Contractor be made by an approved testing laboratory.
 - a. The moisture-density relationship of the backfill material shall be determined by ASTM D698, Method D.
 - 1) Compaction curves for the full range of materials used shall be developed.

- b. In-place density shall be determined by the methods of ASTM D1556 or ASTM D2922 and shall be expressed as a percentage of maximum dry density.
3. Where required, to obtain the optimum moisture content, the Contractor shall add, at his expense, sufficient water during compaction to assure the specified maximum density of the backfill. If, due to rain or other causes, the material exceeds the optimum moisture content, it shall be allowed to dry, assisted if necessary, before resuming compaction or filling efforts.
4. The Contractor shall be responsible for all damage or injury done to pipes, structures, property or persons due to improper placing or compacting of backfill.

3.07 OTHER REQUIREMENTS

A. Drainage

1. All material deposited in roadway ditches or other water courses shall be removed immediately after backfilling is completed and the section, grades and contours of such ditches or water courses restored to their original condition, in order that surface drainage will be obstructed no longer than necessary.

B. Unfinished Work

1. When, for any reason, the work is to be left unfinished, all trenches and excavations shall be filled and all roadways, sidewalks and watercourses left unobstructed with their surfaces in a safe and satisfactory condition. The surface of all roadways and sidewalks shall have a temporary pavement.

C. Hauling Material on Streets

1. When it is necessary to haul material over the streets or pavements, the Contractor shall provide suitable tight vehicles so as to prevent deposits on the streets or pavements. In all cases where any materials are dropped from the vehicles, the Contractor shall clean up the same as often as required to keep the crosswalks, streets and pavements clean and free from dirt, mud, stone and other hauled material.

D. Dust Control

1. It shall be the sole responsibility of the Contractor to control the dust created by any and all of his operations to such a degree that it will not endanger the safety and welfare of the general public.
2. Calcium chloride and petroleum products shall not to be used for dust control.

E. Test Pits

1. For the purpose of obtaining detail locations of underground obstructions, the Contractor shall make excavations in advance of the work. Payment for the excavations ordered by the Engineer will be made under an appropriate item of the Contract and shall include sheeting, bracing, pumping, excavation and backfilling.

- END OF SECTION -

SECTION 02222**EXCAVATION****PART 1 - GENERAL****1.01 WORK INCLUDED**

- A. Structure excavation.
- B. Shoring excavations.

1.02 RELATED WORK

- A. Geotechnical Report in Appendix A of these specifications.
(None provided or available for this Contract)
- B. Section 01450 - Quality Control.
- C. Section 02228 - Rock Removal.
- D. Section 02211 - Rough Grading.
- E. Section 02220 - Backfilling and Embankments.
- F. Section 02226 - Trenching.

1.03 REGULATORY REQUIREMENTS

- A. Protect excavations by shoring, bracing, sheet piling, underpinning, or other methods required to prevent cave-in or loose soil from falling into excavation.
- B. Underpin adjacent structures which may be damaged by excavation work, including service utilities and pipe chases.
- C. Notify Engineer of unexpected subsurface conditions and discontinue affected work in area until notified to resume work.
- D. Protect bottom of excavations and soil adjacent to and beneath foundations from frost.
- E. Grade excavation top perimeter to prevent surface water run-off into excavation.

PART 2 - PRODUCTS**2.01 MATERIALS**

- A. Subsoil: Excavated material, graded free of lumps larger than 12 inches, rocks larger than 12 inches, and debris.
- B. # 57's or # 9's: Mineral aggregate graded 1/4 inch to 5/8 inch, free of soil, subsoil, clay, shale, or foreign matter.

PART 3 - EXECUTION**3.01 PREPARATION**

Identify required liens, levels, contours, and datum.

3.02 EXCAVATION

A. Excavate subsoil required for structure foundations, construction operations, and other work. All excavation shall be unclassified excavation.

B. Contractor is responsible to adequately brace open cuts and protect workmen and equipment from cave-in.

C. Remove lumped subsoil, boulders, and rock up to 1/3 cu. yd., measured by volume. Remove larger material under Section 02228.

D. Correct unauthorized excavation at no cost to Owner.

E. Fill over-excavated areas under structure bearing surfaces in accordance with direction by Engineer.

F. Stockpile excavated material in area designated on site.

3.03 FIELD QUALITY CONTROL

Provide for visual inspection of rock surfaces under provisions of Section 01450.

- END OF SECTION -

SECTION 02226

TRENCHING, BACKFILLING AND COMPACTING

PART 1 GENERAL

1.01 SUMMARY

- A. This Section includes excavation and backfill as required for pipe installation or other construction in the trench, and removal and disposal of water, in accordance with the applicable provisions of the Section entitled "Earthwork" unless modified herein.

PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION

3.01 EXCAVATION

- A. The trench excavation shall be located as shown on the Contract Drawings or as specified. Under ordinary conditions, excavation shall be by open cut from the ground surface. Where the depth of trench and soil conditions permit, tunneling may be required beneath cross walks, curbs, gutters, pavements, trees, driveways, railroad tracks and other surface structures. No additional compensation will be allowed for such tunneling over the price bid for open cut excavation of equivalent depths below the ground surface unless such tunnel excavation is specifically provided for in the Contract Documents.
- B. Trenches shall be excavated to maintain the depths as shown on the Contract Drawings or as specified for the type of pipe to be installed.
- C. The alignment and depth shall be determined and maintained by the use of a string line installed on batter boards above the trench, a double string line installed along side of the trench or a laser beam system.
- D. The minimum width of trench excavation shall be 6-inches on each side of the pipe hub for 21-inch diameter pipe and smaller and 12-inches on each side of the pipe hub for 24-inch diameter pipe and larger.
- E. Trenches shall not be opened for more than 300 feet in advance of pipe installation nor left unfilled for more than 100 feet in the rear of the installed pipe when work is in progress without the consent of the Engineer. Open trenches shall be protected and barricaded as required.
- F. Bridging across open trenches shall be constructed and maintained where required.

3.02 SUBGRADE PREPARATION FOR PIPE

- A. Where pipe is to be laid on undisturbed bottom of excavated trench, mechanical excavation shall not extend lower than the finished subgrade elevation at any point.

- B. Where pipe is to be laid on special granular material the excavation below subgrade shall be to the depth specified or directed. The excavation below subgrade shall be refilled with special granular material as specified or directed, shall be deposited in layers not to exceed 6 inches and shall be thoroughly compacted prior to the preparation of pipe subgrade.
- C. The subgrade shall be prepared by shaping with hand tools to the contour of the pipe barrel to allow for uniform and continuous bearing and support on solid undisturbed ground or embedment for the entire length of the pipe.
- D. Pipe subgrade preparation shall be performed immediately prior to installing the pipe in the trench. Where bell holes are required they shall be made after the subgrade preparation is complete and shall be only of sufficient length to prevent any part of the bell from becoming in contact with the trench bottom and allowing space for joint assembly.

3.03 STORAGE OF MATERIALS

- A. Traffic shall be maintained at all times in accordance with the applicable Highway Permits. Where no Highway Permit is required at least one-half of the street must be kept open for traffic.
- B. Where conditions do not permit storage of materials adjacent to the trench, the material excavated from a length as may be required, shall be removed by the Contractor, at his cost and expense, as soon as excavated. The material subsequently excavated shall be used to refill the trench where the pipe had been built, provided it be of suitable character. The excess material shall be removed to locations selected and obtained by the Contractor.
 - 1. The Contractor shall, at his cost and expense, bring back adequate amounts of satisfactory excavated materials as may be required to properly refill the trenches.
- C. If directed by the Engineer, the Contractor shall refill trenches with select fill or other suitable materials and excess excavated materials shall be disposed of as spoil.

3.04 REMOVAL OF WATER AND DRAINAGE

- A. The Contractor shall at all times provide and maintain proper and satisfactory means and devices for the removal of all water entering the trench, and shall remove all such water as fast as it may collect, in such manner as shall not interfere with the prosecution of the work.
- B. The removal of water shall be in accordance with the Section entitled "Earthwork".

3.05 PIPE EMBEDMENT

- A. All pipe shall be protected from lateral displacement and possible damage resulting from superimposed backfill loads, impact or unbalanced loading during backfilling operations by being adequately embedded in suitable pipe embedment material. To ensure adequate lateral and vertical stability of the installed pipe during pipe jointing and embedment operations, a sufficient amount of the pipe embedment material to hold the pipe in rigid alignment shall be uniformly deposited and thoroughly compacted on each side, and back of the bell, of each pipe as laid.

- B. Concrete cradle and encasement of the class specified shall be installed where and as shown on the Contract Drawings or ordered by the Engineer. Before any concrete is placed, the pipe shall be securely blocked and braced to prevent movement or flotation. The concrete cradle or encasement shall extend the full width of the trench as excavated unless otherwise authorized by the Engineer. Where concrete is to be placed in a sheeted trench it shall be poured directly against sheeting to be left in place or against a bond-breaker if the sheeting is to be removed.
- C. Embedment materials placed above the centerline of the pipe or above the concrete cradle to a depth of 12 inches above the top of the pipe barrel shall be deposited in such manner as to not damage the pipe. Compaction shall be as required for the type of embedment being installed.

3.06 BACKFILL ABOVE EMBEDMENT

- A. The remaining portion of the pipe trench above the embedment shall be refilled with suitable materials compacted as specified.
 - 1. Where trenches are within the ditch-to-ditch limits of any street or road or within a driveway or sidewalk, or shall be under a structure, the trench shall be refilled in horizontal layers not more than 8 inches in thickness, and compacted to obtain 95% maximum density, and determined as set forth in the Section entitled "Earthwork".
 - 2. Where trenches are in open fields or unimproved areas outside of the ditch limits of roads, the backfilling may be by placing the material in the trench and mounding the surface.
 - 3. Hand tamping shall be required around buried utility lines or other subsurface features that could be damaged by mechanical compaction equipment.
- B. Backfilling of trenches beneath, across or adjacent to drainage ditches and water courses shall be done in such a manner that water will not accumulate in unfilled or partially filled trenches and the backfill shall be protected from surface erosion by adequate means.
 - 1. Where trenches cross waterways, the backfill surface exposed on the bottom and slopes thereof shall be protected by means of stone or concrete rip-rap or pavement.
- C. All settlement of the backfill shall be refilled and compacted as it occurs.
- D. Temporary pavement shall be placed as specified in the Section entitled "Restoration of Surfaces".

-END OF SECTION-

SECTION 02228**ROCK REMOVAL****PART 1 GENERAL****1.01 SUMMARY**

- A. This Section includes removal to the widths and depths shown on the Contract Drawings or as directed by the Engineer, including the loosening, removing, transporting, storing and disposal of all materials requiring blasting, barring, or wedging for removal from their original beds, and backfill of rock excavations with acceptable materials
- B. Use of explosives for rock removal shall be used only with prior permission from both the Engineer and Owner.
- C. Rock removal is part of and incidental to unclassified excavation. No separate payment shall be made for rock removal.

1.02 SUBMITTALS

- A. In addition to those submittals identified in the General Provisions, the following items shall be submitted:
 - 1. Before any blasting operations begin the Contractor shall obtain all permits and licenses required.

1.03 DEFINITIONS

- A. Rock
 - 1. All pieces of ledge or bedrock, boulders or masonry larger than one-half cubic yard in volume.
 - 2. Any material requiring blasting, barring, or wedging for removal from its original bed.

PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION**3.01 BLASTING (Use of explosives for rock removal shall be used only with prior permission from both the Engineer and Owner.)**

- A. General
 - 1. Handling of explosives and blasting shall be done only by experienced persons.
 - 2. Handling and blasting shall be in accordance with all Federal, State and local laws, rules and regulations relating to the possession, handling, storage and transportation and use of explosives.

3. All blasts in open cut shall be properly covered and protected with approved blasting mats.
 4. Charges shall be of such size that the excavation will not be unduly large and shall be so arranged and timed that adjacent rock, upon or against which pipelines or structures are to be built, will not be shattered.
 5. Blasting will not be permitted within 25 feet of pipelines or structures.
 6. All existing pipes or structures exposed during excavation shall be adequately protected from damage before proceeding with the blasting.
 7. NFPA 495 - Code for Manufacture, Transportation, Storage and Use of Explosive Materials.
 8. Commonwealth of Kentucky Department of Mines and Minerals, Laws and Regulations Governing Explosives and Blasting.
- B. Repair of Damages Due to Blasting
1. Any injury or damage to the work or to existing pipes or structures shall be repaired or rebuilt by the Contractor at his expense.
 2. Whenever blasting may damage adjacent rock, pipes or structures, blasting shall be discontinued and the rock removed by drilling, barring, wedging or other methods.
- C. Explosives
1. At no time shall an excessive amount of explosives be kept at the site of the work. Such explosives shall be stored, handled and used in conformity with all applicable laws and regulations.
 2. Accurate daily records shall be kept showing the amounts of explosives on hand, both at the site and at any storage magazine, the quantities received and issued, and the purpose for which issued.
 3. The Contractor shall be responsible for any damage or injury to any persons, property or structures as a result of his handling, storage or use of explosives.
- D. Rock Clearance in Trenches
1. Ledge rock, boulders and large stones shall be removed from the sides and bottom of the trench to provide clearance for the specified embedment of each pipe section, joint or appurtenance; but in no instance shall the clearance be less than 6 inches. Additional clearance at the pipe bell or joint shall be provided to allow for the proper make-up of the joint.
 2. At the transition from an earth bottom to a rock bottom the minimum bottom clearance shall be 12 inches for a distance of not less than 5 feet.
- E. Rock Clearance at Structures
1. Concrete for structures shall be placed directly on the rock and the excavation shall be only to the elevations and grades shown on the Contract Drawings.

3.02 EXCAVATION AND BACKFILL

- A. Rock removal and backfilling shall be performed in accordance with the applicable provisions of the Section entitled "Earthwork".
- B. The rock excavated which cannot be incorporated into the backfill material, as specified, shall be disposed of as spoil and shall be replaced with the quantity of acceptable material required for backfilling.

-END OF SECTION-

SECTION 02270**SLOPE PROTECTION AND EROSION CONTROL****PART 1 - GENERAL****1.01 WORK INCLUDED**

A. The Contractor shall do all work and take all measures necessary to control soil erosion resulting from construction operations, shall prevent the flow of sediment from the construction site, and shall contain construction materials (including excavation and backfill) within his protected working area so as to prevent damage to adjacent property.

B. The Contractor shall not employ any construction method that violates a rule, regulation, guideline or procedure established by Federal, State or local agencies having jurisdiction over the environmental effects of construction. The Contractor shall be responsible for obtaining all associated permits.

C. Pollutants such as chemicals, fuels, lubricants, bitumen, raw sewage and other harmful waste shall not be discharged into or alongside of any body of water or into natural or man-made channels leading thereto.

D. Permits:

1. The Contractor shall apply for a permit (if applicable) for stormwater runoff from the construction site for the project. It shall be the Contractor's responsibility to determine if the proposed construction activities will require a permit from the federal, state and local regulatory agencies.
2. For this project, the stormwater permitting process falls under the Kentucky Pollutant Discharge Elimination System (KPDES) permit program administered by the Kentucky Division of Water, Frankfort, Kentucky.
3. See attached for permits form.

PART 2 - PRODUCTS**2.01 MATERIALS**

A. Temporary Slope Protection and Erosion Control:

Bales may be hay or straw, and shall be reasonably clean and free of noxious weeds and deleterious materials. Filter fabric for sediment traps shall be of suitable materials acceptable to the Engineer.

B. Permanent Slope Protection and Erosion Control:

On slopes 2H:1V and steeper, and where shown on the drawings place Type A Dumped Rock Fill with a 24-inch minimum thickness over non-woven geotextile filter fabric.

PART 3 - EXECUTION**3.01 METHODS OF CONSTRUCTION**

A. The Contractor shall use any of the acceptable methods necessary to control soil erosion and prevent the flow of sediment to the maximum extent possible. These methods shall include, but not be limited to, the use of water diversion structures, diversion ditches and settling basins.

B. Construction operations shall be restricted to the areas of work indicated on the Drawings and to the area which must be entered for the construction of temporary or permanent facilities. The Engineer has the authority to limit the surface area of erodible earth material exposed by clearing and grubbing, excavation, borrow and fill operations and to direct the Contractor to provide immediate permanent or temporary pollution control measures to prevent contamination of the wetlands and adjacent watercourses. Such work may involve the construction of temporary berms, dikes, dams, sediment basins, slope drains, and use of temporary mulches, mats, or other control devices or methods as necessary to control erosion.

C. Excavated soil material shall not be placed adjacent to the wetlands or watercourses in a manner that will cause it to be washed away by high water or runoff. Earth berms or diversions shall be constructed to intercept and divert runoff water away from critical areas. Diversion outlets shall be stable or shall be stabilized by means acceptable to the Engineer. If for any reason construction materials are washed away during the course of construction, the Contractor shall remove those materials from the fouled areas as directed by the Engineer.

D. For work within easements, all materials used in construction such as excavation, backfill, roadway, and pipe bedding and equipment shall be kept within the limits of the easements.

E. The Contractor shall not pump silt-laden water from trenches or other excavations into the wetlands, or adjacent watercourses. Instead, silt-laden water from his excavations shall be discharged within areas surrounded by baled hay or into sediment traps to ensure that only sediment-free water is returned to the watercourses. Damage to vegetation by excessive watering or silt accumulation in the discharge area shall be avoided.

F. Prohibited construction procedures include, but are not limited to, the following:

1. Dumping of spoil material into any streams, wetlands, surface waters, or unspecified locations.
2. Indiscriminate, arbitrary, or capricious operation of equipment in wetlands or surface waters.
3. Pumping of silt-laden water from trenches or excavations into surface waters, or wetlands.
4. Damaging vegetation adjacent to or outside of the construction area limits.
5. Disposal of trees, brush, debris, paints, chemicals, asphalt products, concrete curing compounds, fuels, lubricants, insecticides, washwater from concrete trucks or hydroseeders, or any other pollutant in wetlands, surface waters, or unspecified locations.
6. Permanent or unauthorized alteration of the flow line of any stream.
7. Open burning of debris from the construction work.

G. Any temporary working roadways required shall be clean fill approved by the Engineer. In the

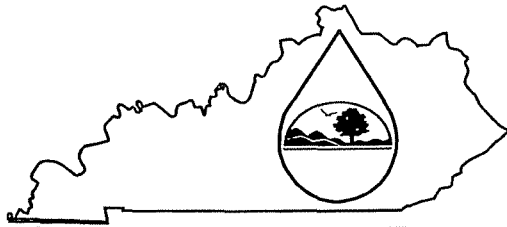
event fill is used, the Contractor shall take every precaution to prevent the fill from mixing with native materials of the site. All such foreign fill materials shall be removed from the site following construction.

3.02 EROSION CHECKS

The Contractor shall furnish and install baled hay or straw erosion checks in all locations indicated on the Drawings, surrounding the base of all deposits of stored excavated material outside of the disturbed area, and where indicated by the Engineer. Checks, where indicated on the Drawings, shall be installed immediately after the site is cleared and before trench excavation is begun at the location indicated. Checks located surrounding stored material shall be located approximately 6 ft. from that material. Bales shall be held in place with two 2 in. by 2 in. by 3 ft. wooden stakes. Each bale shall be butted tightly against the adjoining bale to preclude short circuiting of the erosion check.

- END OF SECTION -

KPDES FORM NOI-SW



Kentucky Pollutant Discharge Elimination System
 (KPDES)
Notice of Intent (NOI)
for Storm Water Discharges
Associated with Industrial Activity Under the
KPDES General Permit

Submission of this Notice of Intent constitutes notice that the party identified in Section I of this form intends to be authorized by a KPDES permit issued for storm water discharges associated with industrial activity. Becoming a permittee obligates such discharger to comply with the terms and conditions of the permit.

ALL NECESSARY INFORMATION MUST BE PROVIDED ON THIS FORM (See Instructions on back)

I. Facility Operator Information

Name:		Phone:	
Address:		Status of Owner/Operator:	
City, State, Zip Code:			

II. Facility/Site Location Information

Name:			
Address:			
City, State, Zip Code:			
County:			
Site Latitude: (degrees/minutes/seconds)		Site Longitude: (degrees/minutes/seconds)	

III. Site Activity Information

MS4 Operator Name:				
Receiving Water Body:				
Are there existing quantitative data?	Yes <input type="checkbox"/>	If Yes, submit with this form.		
	No <input type="checkbox"/>			
SIC or Designated Activity Code Primary		2nd		3rd
				4th
If this facility is a member of a Group Application, enter Group Application Number:				
If you have other existing KPDES Permits, enter Permit Numbers:				

IV. Additional Information Required FOR CONSTRUCTION ACTIVITIES ONLY

Project Start Date:		Completion Date:	
Estimated Area to be disturbed (in acres):			
Is the Storm Water Pollution Prevention Plan in Compliance with State and/or Local Sediment and Erosion Plans?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

V. Certification: I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Printed or Typed Name:			
Signature:		Date:	

**Kentucky Pollutant Discharge Elimination System (KPDES)
Instructions
Notice of Intent (NOI) for Storm Water Discharges Associated with Industrial Activity
To Be Covered Under The KPDES General Permit**

WHO MUST FILE A NOTICE OF INTENT (NOI) FORM

Federal law at 40 CFR Part 122 prohibits point source discharges of stormwater associated with industrial activity to a water body of the Commonwealth of Kentucky without a Kentucky Pollutant Discharge Elimination System (KPDES) permit. The operator of an industrial activity that has such a storm water discharge must submit a NOI to obtain coverage under the KPDES Storm Water General Permit. If you have questions about whether you need a permit under the KPDES Storm Water program, or if you need information as to whether a particular program is administered by the state agency, call the Storm Water Contact, Industrial Section, Kentucky Division of Water at (502) 564-3410.

WHERE TO FILE NOI FORM

NOIs must be sent to the following address:

**Section Supervisor
Inventory & Data Management Section
KPDES Branch, Division of Water
Frankfort Office Park
14 Reilly Road
Frankfort, KY 40601**

COMPLETING THE FORM

Type or print legibly in the appropriate areas only. If you have any questions regarding the completion of this form call the Storm Water Contact, Industrial Section, at (502) 564-3410.

SECTION I - FACILITY OPERATOR INFORMATION

Give the legal name of the person, firm, public organization, or any other entity that operates the facility or site described in this application. The name of the operator may or may not be the same as the name of the facility. The responsible party is the legal entity that controls the facility's operation, rather than the plant or site manager. Do not use a colloquial name. Enter the complete address and telephone number of the operator.

Enter the appropriate letter to indicate the legal status of the operator of the facility.

F = Federal M = Public (other than federal or state)
S = State P = Private

SECTION II - FACILITY/SITE LOCATION INFORMATION

Enter the facility's or site's official or legal name and complete street address, including city, state, and ZIP code.

SECTION III - SITE ACTIVITY INFORMATION

If the storm water discharges to a municipal separate storm sewer system (MS4), enter the name of the operator of the MS4 (e.g., municipality name, county name) and the receiving water of the discharge from the MS4. (A MS4 is defined as a conveyance or system of conveyances (including roads with drainage systems, municipal streets, catch basins, curbs, gutters, ditches, man-made channels, or storm drains) that is owned or operated by a state, city, town, borough, county, parish, district, association, or other public body which is designed or used for collecting or conveying storm water.)

If the facility discharges storm water directly to receiving water(s), enter the name of the receiving water.

Indicate whether or not the owner or operator of the facility has existing quantitative data that represent the characteristics and concentration of pollutants in storm water discharges. If data is available submit with this form.

List, in descending order of significance, up to four 4-digit standard industrial classification (SIC) codes that best describe the principal products or services provided at the facility or site identified in Section II of this application.

If the facility listed in Section II has participated in Part 1 of an approved storm water group application and a group number has been assigned, enter the group application number in the space provided.

If there are other KPDES permits presently issued for the facility or site listed in Section II, list the permit numbers.

SECTION IV - ADDITIONAL INFORMATION REQUIRED FOR CONSTRUCTION ACTIVITIES ONLY

Construction activities must complete Section IV in addition of Sections I through III. Only construction activities need to complete Section IV.

Enter the project start date and the estimated completion date for the entire development plan.

Provide an estimate of the total number of acres of the site on which soil will be disturbed (round to the nearest acre).

Indicate whether the storm water pollution prevention plan for the site is in compliance with approved state and/or local sediment and erosion plans, permits, or storm water management plans.

SECTION V - CERTIFICATION

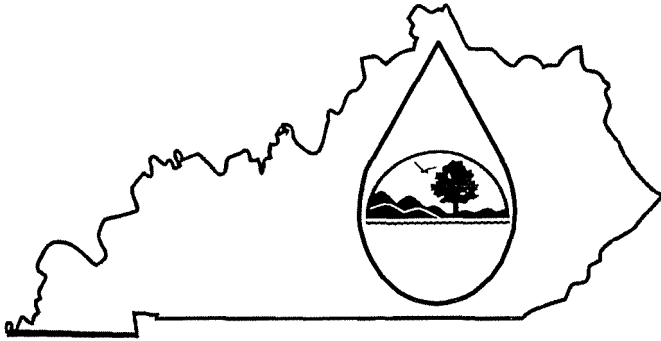
Federal statutes provide for severe penalties for submitting false information on this application form. Federal regulations require this application to be signed as follows:

For a corporation: by a responsible corporate officer, which means: (i) president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision making functions, or (ii) the manager of one or more manufacturing, production, or operating facilities employing more than 250 persons or having gross annual sales or expenditures exceeding \$25 million (in second-quarter 1980 dollars), if authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures;

For a partnership or sole proprietorship: by a general partner or the proprietor; or

For a municipality, state, Federal, or other public facility: by either a principal executive officer or ranking elected official.

KPDES FORM NOT-SW

	<p style="text-align: center;">Kentucky Pollutant Discharge Elimination System (KPDES)</p> <p style="text-align: center;">NOTICE OF TERMINATION (NOT) of Coverage Under the KPDES General Permit for Storm Water Discharges Associated with Industrial Activity</p>
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Submission of this Notice of Termination constitutes notice that the party identified in Section II of this form is no longer authorized to discharge storm water associated with industrial activity under the KPDES program.

ALL NECESSARY INFORMATION MUST BE PROVIDED ON THIS FORM.
(Please see instructions on back before completing this form.)

I. PERMIT INFORMATION
KPDES Storm Water General Permit Number:
Check here if you are no longer the Operator of the Facility: <input type="checkbox"/>
Check here if the Storm Water Discharge is Being Terminated: <input type="checkbox"/>
II. FACILITY OPERATOR INFORMATION
Name:
Address:
City/State/Zip Code:
Telephone Number:
III. FACILITY/SITE LOCATION INFORMATION
Name:
Address:
City/State/Zip Code:

Certification: I certify under penalty of law that all storm water discharges associated with industrial activity from the identified facility that are authorized by a KPDES general permit have been eliminated or that I am no longer the operator of the facility or construction site. I understand that by submitting this Notice of Termination, I am no longer authorized to discharge storm water associated with industrial activity under this general permit, and that discharging pollutants in storm water associated with industrial activity of waters of the Commonwealth is unlawful under the Clean Water Act and Kentucky Regulations where the discharge is not authorized by a KPDES permit. I also understand that the submittal of this Notice of Termination does not release an operator from liability for any violations of this permit or the Kentucky Revised Statutes.

NAME (Print or Type)	TITLE
SIGNATURE	DATE

INSTRUCTIONS
NOTICE OF TERMINATION (NOT) OF COVERAGE UNDER THE KPDES GENERAL PERMIT
FOR STORM WATER DISCHARGES ASSOCIATED WITH INDUSTRIAL ACTIVITY

Who May File a Notice of Termination (NOT) Form

Permittees who are presently covered under the Kentucky Pollutant Discharge Elimination System (KPDES) General Permit for Storm Water Discharges Associated with Industrial Activity may submit a Notice of Termination (NOT) form when their facilities no longer have any storm water discharges associated with industrial activity as defined in the storm water regulations at 40 CFR 122.26 (b)(14), or when they are no longer the operator of the facilities.

For construction activities, elimination of all storm water discharges associated with industrial activity occurs when disturbed soils at the construction site have been finally stabilized and temporary erosion and sediment control measures have been removed or will be removed at an appropriate time, or that all storm water discharges associated with industrial activity from the construction site that are authorized by a KPDES general permit have otherwise been eliminated. Final stabilization means that all soil-disturbing activities at the site have been completed, and that a uniform perennial vegetative cover with a density of 70% of the cover for unpaved areas and areas not covered by permanent structures has been established, or equivalent permanent stabilization measures (such as the use of riprap, gabions, or geotextiles) have been employed.

Where to File NOT Form

Send this form to the following address:

Section Supervisor
Inventory & Data Management Section
KPDES Branch, Division of Water
14 Reilly Road, Frankfort Office Park
Frankfort, KY 40601

Completing the Form

Type or print legibly in the appropriate areas and according to the instructions given for each section. If you have questions about this form, call the Storm Water Contact, Industrial Section, at (502) 564-3410.

Section I - Permit Information

Enter the existing KPDES Storm Water General Permit number assigned to the facility or site identified in Section III. If you do not know the permit number, call the Storm Water Contact, Industrial Section at (502) 564-3410.

Indicate your reason for submitting this Notice of Termination by checking the appropriate box:

If there has been a change of operator and you are no longer the operator of the facility or site identified in Section III, check the corresponding box.

If all storm water discharges at the facility or site identified in Section III have been terminated, check the corresponding box.

Section II - Facility Operator Information

Give the legal name of the person, firm, public organization, or any other entity that operates the facility or site described in this application. The name of the operator may or may not be the same name as the facility. The operator of the facility is the legal entity which controls the facility's operation, rather than the plant or site manager. Do not use a colloquial name. Enter the complete address and telephone number of the operator.

Section III - Facility/Site Location Information

Enter the facility's or site's official or legal name and complete address, including city, state and ZIP code. If the facility lacks a street address, indicate the state, the latitude and longitude of the facility to the nearest 15 seconds, or the quarter, section, township, and range (to the nearest quarter section) of the approximate center of the site.

Section IV - Certification

Federal statutes provide for severe penalties for submitting false information on this application form. Federal regulations require this application to be signed as follows:

For a corporation: by a responsible corporate officer, which means: (i) president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy or decision making functions, or (ii) the manager of one or more manufacturing, production or operating facilities employing more than 250 persons or having gross annual sales or expenditures exceeding \$25 million (in second-quarter 1980 dollars), if authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures;

For a partnership or sole proprietorship: by a general partner or the proprietor; or

For a municipality, State, Federal, or other public facility: by either a principal executive

SECTION 02302**RAILROAD OR HIGHWAY CROSSINGS****PART 1 GENERAL****1.01 SUMMARY**

- A. This Section includes railroad or highway crossings including casing pipes for pipelines installed by (jacking), (tunneling) or (boring) method, and installation of the carrier pipe within the casing in the location(s) and to the limits as shown on the Contract Drawings. The engineer has filed for the permits, but it is the responsibility of the contractor to put up the bond for the State Highway crossings.
- B. All work shall be performed in accordance with the applicable rules and regulations of the State and Federal Codes and with the terms and conditions of the permit issued by the railroad or highway having jurisdiction.

1.02 SUBMITTALS

- A. In addition to those submittals identified in the General Provisions, the following items shall be submitted:
 - 1. Method of Installation
 - a. Following the award of the Contract, the Contractor shall submit a description of the method and equipment which is proposed to be employed in installing the casing.
 - b. A Professional Engineer licensed in the State of Kentucky shall design all sheeting and bracing at the Contractor's expense. The seal of the Professional Engineer shall appear on all drawings and design sheets submitted for review.
 - 2. Materials
 - a. Drawings and manufacturer's data of the casing materials showing compliance with this specification.
 - 3. Contractor's Data
 - a. The Contractor shall submit such data as may be required as conditions of the Railroad or Highway Permit.

1.03 QUALITY ASSURANCE

- A. Contractor's Qualifications
 - 1. The casing shall be installed by a contractor who has experience in this field of construction and can furnish a record of satisfactory performance on at least three projects for work of comparable type.

PART 2 PRODUCTS

2.01 MATERIALS AND CONSTRUCTION

A. Casings

1. The casing shall be of the size and type as shown on the Contract Drawings.
 - a. Steel pipe of the thickness specified shall have a minimum yield strength of 35,000 psi and a minimum ultimate strength of 60,000 psi. Steel casing pipe shall be uncoated .
 - b. Liner plate of the gauge specified shall be pressed steel, galvanized and bituminous coated.
 - c. Concrete pipe shall be designed for the purpose of jacking and shall be tongue and grooved.
 - d. All joints in the encasement pipe shall be of continuous solid weld.

TABLE OF MINIMUM WALL THICKNESS FOR STEEL CASING PIPE

<u>Minimum Thickness</u> <u>Inches</u>	<u>Normal Diameter</u> <u>Inches</u>
0.250	4 thru 12
0.312	14 thru 18
0.375	20 thru 24
0.500	26 thru 42

- B. The steel casing pipe for all highway crossings shall be as follows:**

<u>Carrier Pipe Size</u>	<u>Casing Pipe Size</u>
2"	6"
3"	8"
4"	12"
6"	14"
8"	16"
10"	18"
12"	20
14"	24"
16"	26"
20"	30"
24"	34"
30"	40"

B. Carrier Pipes

1. The carrier pipe shall be as specified on the Contract Drawings and in accordance with the Section for the type of pipe.

C. Signs

1. Signs shall be weatherproof.

PART 3 EXECUTION**3.01 INSTALLATION****A. General**

1. Unless otherwise shown or specified, the Contractor may employ any one of jacking, tunneling or boring methods within the limits shown for the installation of the casing.
 - a. The remaining portion of the casing may be constructed by open cut method in a sheeted trench.
2. Installation of the casing pipe shall be carried out without disturbance of the embankment, pavement, tracks or other railroad or highway facilities and without obstructing the passage of traffic at any time.
3. Once the jacking, tunneling or boring operation is started, it shall proceed on a 24-hour basis without interruption until completed.
4. The casing pipe shall be maintained accurately to line and grade during the installation operation.
5. The casing shall be advanced from the lower end.
6. The use of water or other liquid, except bentonite slurry with prior approval of the Engineer, to facilitate casing placement or spoil removal is prohibited.
7. Dewatering shall be in accordance with the Section entitled "Earthwork".

B. Jacking

1. The jacking force shall be properly distributed through the jacking frame to the casing and parallel with the axis.
2. The soil shall be trimmed with care and shall not precede the jacking operation, to insure a minimum disturbance to the natural soils adjacent to the casing.
 - a. No augering will be allowed.

C. Tunneling

1. Excavation shall be in such a manner that voids behind the liner plates shall be held to a minimum.
2. Poling plates shall be used as necessary to prevent caving of material above the tunnel prior to liner plate installation.
 - a. Poling plates shall not be driven into the unexcavated material.
3. Liner plates shall be installed as soon as excavation proceeds the necessary distance for the next set of plates.

4. Grout plugs shall be placed on approximately 4-foot centers, at the top, bottom and on the spring line.
 - a. Grout holes shall be not less than 1-inch diameter.
 - b. Voids between the liner plates and the excavation shall be filled with a 1:6 cement grout placed under pressure.
 - c. Not more than 6 lineal feet of tunnel shall progress beyond the grouting.
5. Tunneled casings shall have a foundation of Class "C" concrete placed for the entire length of the interior of the casing.
 - a. The leveling course shall be at such an elevation that the carrier pipe, when installed, shall be at the grade specified.

D. Boring

1. Boring shall consist of pushing the casing with an auger rotating within to remove the spoil.
2. The auger or cutting head shall not lead the casing and shall be removable from within the casing.
3. The face of the cutting head shall be arranged to provide reasonable obstruction to the free flow of soft or poor materials.

E. Pressure Carrier Pipe

1. No contact shall be permitted between the casing and the carrier pipe.
 - a. Casing spacers shall be used between the casing pipe and carrier pipe. Spacers shall be manufactured by Pipeline Seal & Insulator, Inc. (PSI) of Houston Texas, or equal and be of the type to separate dissimilar metals and keep the carrier pipe centered within the casing. The spacers shall be installed within the casing in the quantity and at the locations recommended by the manufacturer.
 - b. Both ends of the casing pipe shall be sealed with rubber boot "End Seals" by PSI or equal, held in place by stainless steel bands/clamps.

F. Non-Pressure Carrier Pipe

1. No contact shall be permitted between the casing and the carrier pipe.
 - a. Casing spacers shall be used between the casing pipe and carrier pipe. Spacers shall be manufactured by Pipeline Seal & Insulator, Inc. (PSI) of Houston Texas, or equal and be of the type to separate dissimilar metals and keep the carrier pipe centered within the casing. The spacers shall be installed within the casing in the quantity and at the locations recommended by the manufacturer.
 - e. Both ends of the casing pipe shall be sealed with rubber boot "End Seals" by PSI or equal, held in place by stainless steel bands/clamps.

-END OF SECTION-

SECTION 02502
RESTORATION OF SURFACES

PART 1 GENERAL

1.01 SUMMARY

- A. This Section includes restoration and maintenance of all types of surfaces, sidewalks, curbs, gutters, culverts and other features disturbed, damaged or destroyed during the performance of the work under or as a result of the operations of the Contract.
- B. The quality of materials and the performance of work used in the restoration shall produce a surface or feature equal to the condition of each before the work began.

1.02 REFERENCES

- A. Materials and installation shall be in accordance with the latest revisions of the following codes, standards and specifications, except where more stringent requirements have been specified herein:
 - 1. American Society for Testing and Materials (ASTM)
 - a. D698 - Test Method for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³) (600 kN-m/m³)

1.03 SUBMITTALS

- A. In addition to those submittals identified in the General Provisions, the following items shall be submitted:
 - 1. A schedule of restoration operations. After an accepted schedule has been agreed upon it shall be adhered to unless otherwise revised with the approval of the Engineer.

PART 2 PRODUCTS

NOT USED

PART 3 EXECUTION

3.01 GENERAL

- A. In general, permanent restoration of paved surfaces will not be permitted until one month's time has elapsed after excavations have been completely backfilled as specified. A greater length of time, but not more than nine months may be allowed to elapse before permanent restoration of street surfaces is undertaken, if additional time is required for shrinkage and settlement of the backfill.
- B. The replacement of surfaces at any time, as scheduled or as directed, shall not relieve the Contractor of responsibility to repair damages by settlement or other failures.

3.02 TEMPORARY PAVEMENT

- A. Immediately upon completion of refilling of the trench or excavation, the Contractor shall place a temporary pavement over all disturbed areas of streets, driveways, sidewalks, and other traveled places where the original surface has been disturbed as a result of his operations.
- B. Unless otherwise specified or directed the temporary pavement shall consist of compacted run-of-crusher limestone to such a depth as required to withstand the traffic to which it will be subjected.
- C. Where concrete pavements are removed, the temporary pavement shall be surfaced with "cold patch". The surface of the temporary pavement shall conform to the slope and grade of the area being restored.
- D. For dust prevention, the Contractor shall treat all surfaces, not covered with cold patch, as frequently as may be required.
- E. The temporary pavement shall be maintained by the Contractor in a safe and satisfactory condition until such time as the permanent paving is completed. The Contractor shall immediately remove and restore all pavement as shall become unsatisfactory.

3.03 PERMANENT PAVEMENT REPLACEMENT

- A. The permanent and final repaving of all streets, driveways and similar surfaces where pavement has been removed, disturbed, settled or damaged by or as a result of performance of the Contract shall be repaired and replaced by the Contractor, by a new and similar pavement.
 - 1. The top surface shall conform with the grade of existing adjacent pavement and the entire replacement shall meet the current specifications of the local community for the particular types of pavement.
 - 2. Where the local community has no specification for the type of pavement, the work shall be done in conformity with the State Department of Transportation Standard which conforms the closest to the type of surfacing being replaced, as determined by the Engineer.

3.04 PREPARATION FOR PERMANENT PAVEMENT

- A. When scheduled and within the time specified, the temporary pavement shall be removed and a base prepared, at the depth required by the local community or Highway Permit, to receive the permanent pavement.
 - 1. The base shall be brought to the required grade and cross-section and thoroughly compacted before placing the permanent pavement.
 - 2. Any base material which has become unstable for any reason shall be removed and replaced with compacted base materials.
- B. Prior to placing the permanent pavement all service boxes, manhole frames and covers and similar structures within the area shall be adjusted to the established grade and cross-section.

- C. The edges of existing asphalt pavement shall be cut a minimum of 1 foot beyond the excavation or disturbed base whichever is greater.
 - 1. All cuts shall be parallel or perpendicular to the centerline of the street.

3.05 ASPHALT PAVEMENT

- A. The permanent asphalt pavement replacement for streets, driveways and parking area surfaces shall be replaced with bituminous materials of the same depth and kind as the existing unless otherwise specified.
- B. Prior to placing of any bituminous pavement a sealer shall be applied to the edges of the existing pavement and other features.
- C. The furnishing, handling and compaction of all bituminous materials shall be in accordance with the State Department of Transportation Standards.

3.06 CONCRETE PAVEMENT AND PAVEMENT BASE

- A. Concrete pavements and concrete bases for asphalt, brick or other pavement surfaces shall be replaced with Class "B" Concrete, air-entrained.
- B. Paving slabs or concrete bases shall be constructed to extend 1 foot beyond each side of the trench and be supported on undisturbed soil. Where such extension of the pavement will leave less than 2 feet of original pavement slab or base, the repair of the pavement slab or base shall be extended to replace the slab to the original edge of the pavement or base unless otherwise indicated on the Contract Drawings.
- C. Where the edge of the pavement slab or concrete base slab falls within the excavation, the excavation shall be backfilled with Special Backfill compacted to 95% maximum dry density as determined by ASTM D 698 up to the base of the concrete.
- D. The new concrete shall be of the same thickness as the slab being replaced and shall contain reinforcement equal to the old pavement.
 - 1. New concrete shall be placed and cured in accordance with the applicable provisions of the State Department of Transportation Standards.

3.07 STONE OR GRAVEL PAVEMENT

- A. All pavement and other areas surfaced with stone or gravel shall be replaced with material to match the existing surface unless otherwise specified.
 - 1. The depth of the stone or gravel shall be at least equal to the existing.
 - 2. After compaction the surface shall conform to the slope and grade of the area being replaced.

3.08 CONCRETE WALKS, CURBS AND GUTTER REPLACEMENT

- A. Concrete walks, curbs and gutters removed or damaged in connection with or as a result of the construction operations shall be replaced with new construction.
 - 1. The minimum replacement will be a flag or block of sidewalk and 5 feet of curb or gutter.

- B. Walks shall be constructed of Class "B" concrete, air-entrained with KY-DOT #2 stone aggregate on a 4-inch base of compacted gravel or stone.
 - 1. The walk shall be not less than 4 inches in thickness or the thickness of the replaced walk where greater than 4 inches, shall have construction joints spaced not more than 25 feet apart, shall have expansion joints spaced not more than 50 feet apart and shall be sloped at right angles to the longitudinal centerline approximately inch per foot of width.
- C. 1/2-inch expansion joint material shall be placed around all objects within the sidewalk area as well as objects to which the new concrete will abut, such as valve boxes, manhole frames, curbs, buildings and others.
- D. Walks shall be hand-floated and broom-finished, edged and grooved at construction joints and at intermediate intervals matching those intervals of the walk being replaced.
 - 1. The intermediate grooves shall be scored a minimum of 1/4 of the depth of the walk.
 - 2. The lengths of blocks formed by the grooving tool, and distances between construction and expansion joints shall be uniform throughout the length of the walk in any one location.
- E. The minimum length of curb or gutter to be left in place or replaced shall be 5 feet. Where a full section is not being replaced, the existing curb or gutter shall be saw cut to provide a true edge.
 - 1. The restored curb or gutter shall be the same shape, thickness and finish as being replaced and shall be built of the same concrete and have construction and expansion joints as stated above for sidewalks.
- F. All concrete shall be placed and cured as specified in the Section for concrete.

3.09 LAWNS AND IMPROVED AREAS

- A. The area to receive topsoil shall be graded to a depth of not less than 4 inches or as specified, below the proposed finished surface.
 - 1. If the depth of existing topsoil prior to construction was greater than 4 inches, topsoil shall be replaced to that depth.
- B. The furnishing and placing of topsoil, seed and mulch shall be in accordance with the Section entitled "Topsoil and Seeding".
- C. When required to obtain germination, the seeded areas shall be watered in such a manner as to prevent washing out of the seed.
- D. Any washout or damage which occurs shall be regraded and reseeded until a good sod is established.
- E. The Contractor shall maintain the newly seeded areas, including regrading, reseeding, watering and mowing, in good condition.

3.10 CULTIVATED AREA REPLACEMENT

- A. Areas of cultivated lands shall be graded to a depth to receive topsoil of not less than the depth of the topsoil before being disturbed. All debris and inorganic material shall be removed prior to the placing of the topsoil.
- B. The furnishing and placing of topsoil shall be in accordance with the Section entitled "Topsoil and Seeding".
- C. After the topsoil has been placed and graded, the entire area disturbed during construction shall be cultivated to a minimum depth of 12-inches with normal farm equipment.
 - 1. Any debris or inorganic materials appearing shall be removed.
 - 2. The removal of stones shall be governed by the adjacent undisturbed cultivated area.
- D. Grass areas shall be reseeded using a mixture equal to that of the area before being disturbed, unless otherwise specified.

3.11 OTHER TYPES OF RESTORATION

- A. Trees, shrubs and landscape items damaged or destroyed as a result of the construction operations shall be replaced in like species and size.
 - 1. All planting and care thereof shall meet the standards of the American Association of Nurserymen.
- B. Water courses shall be reshaped to the original grade and cross-section and all debris removed. Where required to prevent erosion, the bottom and sides of the water course shall be protected.
- C. Culverts destroyed or removed as a result of the construction operations shall be replaced in like size and material and shall be replaced at the original location and grade. When there is minor damage to a culvert and with the consent of the Engineer, a repair may be undertaken, if satisfactory results can be obtained.
- D. Should brick pavements be encountered in the work, the restoration shall be as set forth in the Special Provisions or as directed.

3.12 MAINTENANCE

- A. The finished products of restoration shall be maintained in an acceptable condition for and during a period of one year following the date of Substantial Completion or other such date as set forth elsewhere in the Contract Documents.

-END OF SECTION-

SECTION 02600**PIPE, FITTINGS AND INSTALLATION****PART 1 - GENERAL****1.01 SCOPE**

A. Furnish all labor, materials, equipment and incidentals necessary to install and test pipe and fittings as shown on the Drawings and required by the Specifications.

B. Piping shall be located substantially as shown. The Engineer reserves the right to make such modifications in locations as may be found desirable to avoid interference between pipes or for other reasons.

C. Wherever the word pipe or piping is used it shall mean pipe and fittings unless otherwise noted. All ductile iron pipe (D.I.P.), fittings, glands and accessories shall be of the same manufacturer unless approved otherwise.

PART 2 - PRODUCTS**2.01 DUCTILE IRON PIPE (D.I.P.) AND FITTINGS**

A. Ductile iron pipe (D.I.P.) shall conform to ANSI/AWWA C150/A21.50, ANSI/AWWA C151/A21.51 Standard. The pipe shall conform to thickness class 350 unless noted otherwise. All pipe, fittings and joints should be capable of accommodating pressure up to 350 psi. Joint restraints required. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

B. Ductile iron mechanical joint fittings shall have a body thickness and radii of curvature conforming to ANSI A21.10 and have joints in accordance with ANSI/AWWA C1.11.A21.11. Fittings and joints shall be supplied with all accessories.

C. All pipe and fittings shall be tar coated outside and shall receive a standard cement lining with bituminous seal coat on the inside in accordance with ASA Specification A21.40 (AWWA-C104).

D. Cement mortar lining and seal coating for pipe and fittings, where applicable, shall be in accordance with ANSI/AWWA C104/A21.4. Bituminous outside coating shall be in accordance with ANSI/AWWA C151/A21.51 for pipe and ANSI/AWWA C110/A21.10 for fittings.

E. All ductile fittings shall be rated at 350 psi water working pressure plus water hammer. Ductile iron fittings shall be ductile cast-iron grade 80-60-03 per ASTM Specification A339-55.

F. No separate pay item has been established for fittings and no determination of the number of fittings required on the job has been made. The Contractor, during the bidding phase, shall determine the number of fittings required on the job and include the cost of the fittings and installation in the unit price for pipe.

G. Push-on type joints shall be single rubber gasket, with cast gasket socket and recessed bell with a tapered annular opening and flared socket and shall conform to ANSI/AWWA C111/A21.11. Plain spigot ends shall be suitably beveled to permit easy entry into the bell, centering and compressing the gasket.

H. Ductile iron flanged joint pipe shall conform to ANSI/AWWA C115/A 21.15 Standard and have a Class of 350. The pipe shall have a rated working pressure of 350 psi with Class 125 flanges. Gaskets shall be ring gaskets with a thickness of 1/8-inch. Flange bolts shall conform to ANSI B16.1.

I. Flanged fittings shall meet all requirements of ANSI/AWWA C110/A21.10 and have Class 125 flanges. Fittings shall accommodate a working pressure up to 350 psi and be supplied with all accessories.

J. Ball and Socket type joint. The joint shall be boltless with restraint provided by a bayonet-type locking of the retainer over the bell. All pipe components shall be rugged, high strength ductile iron. The barrel is cast of 60-42-10 ductile iron in accordance with American National Standard A21.51. The bell, ball, and retainer are cast of 70-50-05 ductile iron in accordance with the applicable requirements of American National Standard A21.10. The gasket will be of high quality rubber and symmetrical in shape. The first and last section of river crossing pipe shall be furnished with mechanical joint ends suitable for connection to the remaining system piping.

K. No separate pay item has been established for fittings and no determination of the number of fittings required on the job has been made. The Contractor, during the bidding phase, shall determine the number of fittings required on the job and include the cost of the fittings and installation in the unit price for pipe.

L. Restraint glands or fittings shall be either "Meg-a-Lug" or "Series 100" or "Series 1200" as manufactured by EBBA Iron Sales, Inc., Eastland, Texas.

M. **Restrained Joint Pipe:**

1. Restrained joints for 4" through 16" push-on joint pipe installation is required and indicated in the project plans or specifications, restrained push-on joint pipe and fittings utilizing ductile iron components shall be provided.

2. Restrained joint pipe shall be ductile iron manufactured in accordance with the requirements of ANSI/AWWA C151/A21.51. Push-on joints for such pipe shall be in accordance with ANSI/AWWA C111/A21.11. Pipe thickness shall be designed in accordance with ANSI/AWWA C150/A21.50, and shall be based on laying conditions and internal pressures as stated in the project plans and specifications. Pipe shall be U.S. Pipe TR FLEX pipe or equal.

3. Restrained joint fittings shall be ductile iron in accordance with applicable requirements of ANSI/AWWA C110/A21.10 with the exception of the manufacturer's proprietary design dimensions. Push-on joints for such fittings shall be in accordance with ANSI/AWWA C111/A21.11. Fittings shall be U.S. Pipe TR FLEX fittings or equal.

4. Cement mortar lining and seal coating for pipe and fittings, where applicable, shall be in accordance with ANSI/AWWA C104/A21.4. Bituminous outside coating shall be in accordance with ANSI/AWWA C151/A21.51 for pipe and ANSI/AWWA C110/A21.10 for fittings.

5. Restrained push-on joints for pipe and fittings shall be designed for a water working pressure of 350 psi in sizes 4" through 24" and 250 psi for sizes 30" through 54".

6. Restrained push-on joint pipe and fittings shall be capable of being deflected after assembly.

2.02 POLYVINYL CHLORIDE (PVC) PIPE (SDR 21 AND SDR 17)

A. Polyvinyl chloride (PVC) pipe for water mains shall be Class 200 (SDR 21) or Class 250 (SDR 17) PVC pressure rated pipe as shown on the Drawings or indicated in the proposal form with either twin gasket joints or integral bell joints with rubber O-ring seals.

B. All PVC pipe shall conform to the latest revisions of ASTM D-1784 (PVC Compounds), ASTM D-2241 (PVC Plastic Pipe, SDR) and ASTM D-2672 (Bell-End PVC Pipe). Rubber gasketed joints shall conform to ASTM D-3139. The gaskets for the PVC pipe joint shall conform to ASTM F-477 and D-1869.

C. Couplings shall be furnished by the pipe manufacturer and shall accommodate the pipe for which they are used. Rubber gasket joints shall provide adequate expansion to allow for a 50 degree change in

temperature on one length of pipe. Lubrication for rubber connected couplings shall be water soluble, non-toxic, be non-objectionable in taste and odor and have no deteriorating affect on the PVC or rubber gaskets and shall be as supplied by the pipe manufacturer. Couplings shall conform to ASTM D-3139; SDR-21, 200 psi.

D. All pipe and couplings shall bear identification markings that will remain legible during normal handling, storage and installation, which have been applied in a manner that will not reduce the strength of the pipe or coupling or otherwise damage them. Pipe and coupling markings shall include the normal size and OD base, material code designation, dimension ratio number, ASTM Pressure Class, ASTM designation number for this standard, manufacturer's name or trademark, seal (mark) of the testing agency that verified the suitability of the pipe material for potable-water service. Each marking shall be applied at intervals of not more than 5 feet for the pipe and shall be marked on each coupling.

2.03 POLYVINYL CHLORINE (PVC) PIPE - C.I. PIPESIZEDR14 AND DR 18

A. Pipe shall meet the requirements of AWWA C-900 Polyvinyl Chlorine (PVC) Pressure Pipe. All Class 200 pipe shall meet the requirements of DR 14 and all Class 150 pipe shall meet the requirements of DR 18. Joints shall be integral bell or twin gasket joints with rubber O-ring seals.

B. All pipe shall be suitable for use as a pressure conduit. Provisions must be made for expansion and contractions at each joint with an elastomeric ring. The bell shall consist of an integral wall section with a solid cross-section elastomeric ring which meets the requirements of ASTM D-1869 and F-477. The bell section shall be designed to be at least as strong as the pipe wall. Sizes and dimensions shall be as shown in this specification.

C. Gaskets and lubricants intended for use with PVC pipe and couplings shall be made from materials that are compatible with the plastic material and with each other when used together, will not support the growth of bacteria, and will not adversely affect the potable qualities of the water that is to be transported. Gaskets and lubricants shall be supplied by the pipe manufacturer.

D. Physical Requirements:

1. Standard Laying Lengths - Standard laying lengths shall be 20 ft. (plus or minus 1") for all sizes. The total footage of pipe of any class and size shall be furnished in standard lengths. Each length of pipe shall be tested to four times the class pressure of the pipe for minimum of 5 second. The integral bell shall be tested with the pipe.
2. Pipe Stiffness - The pipe stiffness using F/y for PVC class water pipe shall be as follows:

<u>Class</u>	<u>DR</u>	<u>F/y</u>
200	14	815
150	18	364

3. Quick Burst Test - Randomly selected tested in accordance with ASTM D-1599 shall withstand without failure pressures listed below when applied in 60 - 70 seconds. Class 150 shall have a minimum burst pressure of 755 psi and Class 200 shall have a minimum burst pressure of 986 psi at 73 degrees F. for all sizes.
4. Drop Impact Test - Pipe shall withstand without failure at 73 degrees F. an impact of 120 ft/lbs created by a falling 12 lb missile with a 2" radius nose without visible evidence of shattering or splitting.

E. All pipe and couplings shall bear identification markings that will remain legible during normal handling, storage and installation, which have been applied in a manner that will not reduce the strength of the

pipe or coupling or otherwise damage them. Pipe and coupling markings shall include the nominal size and OD base, material code designation, dimension ratio number, AWWA Pressure Class, AWWA designation number for this standard, manufacturer's name or trademark, seal (mark) of the testing agency that verified the suitability of the pipe material for potable-water service. Each marking shall be applied at intervals of not more than 5 feet for the pipe and shall be marked on each coupling.

2.04 DUCTILE IRON MECHANICAL JOINT FITTINGS FOR PVC PIPE

A. General: Cast-iron mechanical joints shall conform to the latest revision of ANSI A21.11 for centrifugally cast-iron water pipe.

1. 3" to 12". All Working Pressures: Fittings shall conform to ASA Specification A21.10 for 250 psi water working pressure plus water hammer.
2. Fittings 12" and Over, for 150 psi and Less WWP: Fittings for use on 150 psi WWP pipe shall be AWWA Class D Pattern.
3. Fittings 12" and Larger, for 200 psi and Above WWP: Fittings shall be ductile iron or gray iron rated at 250 psi water working pressure plus water hammer. Ductile iron fittings only will be used with ductile iron pipe.

B. All ductile iron fittings shall be rated at 250 psi water working pressure plus water hammer. Ductile iron fittings shall be ductile cast-iron grad 80-60-03 per ASTM Specification A33955. All fittings for connection to PVC pipe-all classes, shall be ductile iron.

C. No separate pay item has been established for fittings and no determination of the number of fittings required on the job has been made. The Contractor, during the bidding phase, shall determine the number of fittings required on the job and include the cost of the fittings and installation in the unit price for pipe.

D. Lining and Coating: All mechanical joint fittings shall be cement lined and bituminous seal coated per Federal Specification WW-P-42lb and ASA Specification A421.40 (AWWA C104). Bituminous outside coating shall be in accordance with ANSI/AWWA C110/A21.10.

2.05 HIGH-DENSITY POLYETHYLENE - AWWA APPROVED POTABLE WATER PIPE

A. General: This section is for High-density Polyethylene AWWA C906 and NSF 14 Approved Pipe for Potable Water Service in Sizes 4" to 24" DIPS (Ductile Iron Pipe Size) and defines the characteristics and properties of high-density polyethylene pipe. This specification governs the material, pipe, fittings, butt fusion, and general construction practice for HDPE piping systems.

Pipe shall have a hydrostatic design stress rating of 800 psi based on a material with a 1,600 psi at 23° hydrostatic design basis as determined in accordance with ASTM D-2837.

Fittings shall be molded or fabricated from material meeting the same standards as the pipe.

Joints shall be made by the thermal butt fusion system. All joints shall be completely watertight, airtight and as strong as or stronger than the pipe wall, in strict accordance with the manufacturer's recommendations.

Sections of polyethylene pipe shall be joined into continuous lengths on the job site above ground. The joining method shall be the heat fusion method and shall be performed in strict accordance with the pipe manufacturer's recommendations. The heat fusion equipment used in the joining procedures shall be capable of meeting all conditions recommended by the pipe manufacturer, including, but not limited to, temperature requirements of 400°F, alignment, and 150 psi interfacial fusion pressure.

Heat fusion joining shall be 100% efficient offering a joint weld strength equal to or greater than the tensile strength of the pipe. Socket fusion shall not be used.

B. **References:** Where all or part of a Federal, ASTM, ANSI, AWWA, etc., standard specification is incorporated by reference in these Specifications, the reference standard shall be the latest edition and revision and considered a part of these specifications.

C. **Material:** Materials used for the manufacture of polyethylene pipe and fittings shall be extra high molecular weight, high density PE 3408 polyethylene resin. The material shall be listed by PPI (Plastics Pipe Institute, a division of the Society of the Plastics Industry) in PPI TR-4 with a 73°F hydrostatic design basis of 1,600 psi and a 140°F hydrostatic design basis of 800 psi. The PPI listing shall be in the name of the pipe manufacturer and shall be based on ASTM D 2837 testing.

D. **Pipe and Fittings: Qualification of Manufacturers.** The Manufacturer shall have manufacturing and quality assurance facilities capable of producing and assuring the quality of the pipe and fittings required by these Specifications. The Manufacturer's production facilities shall be open for inspection by the Owner or his Authorized Representative.

1. **Pipe:** Pipe supplied under this specification shall have a nominal DIPS (Ductile Iron Pipe Size) OD unless otherwise specified. The DR (Dimension Ratio) and the pressure rating of the pipe supplied shall be as shown on the drawings. The pipe shall be produced from approved HDPE pipe grade resin with the nominal physical properties as specified in the appropriate ASTM specifications for the sizes indicated. Pipe having a diameter 3" and larger will be made to the dimensions and tolerances specified in ASTM F 714.

The pipe shall contain no recycled compound except that generated in the manufacturer's own plant. The pipe shall be homogeneous throughout and free of visible cracks, holes, voids, foreign inclusions, or other defects that may affect the wall integrity.

2. **Pipe Performance:** The pipe will be extruded from resin meeting the specifications of ASTM D 3350 with a minimum cell classification of 345464C.
3. **Fittings:** HDPE fittings shall be in accordance with ASTM D 3261 and shall be manufactured by injection molding, a combination of extrusion and machining, or fabrication from HDPE pipe conforming to this specification. The fittings shall be fully pressure rated and provide a working pressure equal to that of the pipe with an included 2:1 safety factor. The fittings shall be manufactured from the same base resin type and cell classification as the pipe itself. The fittings shall be homogeneous throughout and free from cracks, holes, foreign inclusions, voids, or other injurious defects.
4. **Molded Fittings.** Molded fittings shall be manufactured and tested in accordance with ASTM D 3261 and shall be so marked. Molded fittings shall be tested in accordance with AWWA C906.
5. **X-Ray Inspection.** The Manufacturer shall submit samples from each molded fittings production lot to x-ray inspection.
6. **Fabricated Fittings.** Fabricated fittings shall be made by heat fusion joining specially machined shapes cut from pipe, polyethylene sheet stock or molded fittings. Fabricated fittings shall be rated for internal pressure service at least equal to the full service pressure rating of the mating pipe. Fabricated fittings shall be tested in accordance with AWWA C906.
7. **Polyethylene Flange Adapters.** Flange adapters shall be made with sufficient throughbore length to be clamped in a butt fusion-joining machine without the use of a stub-end holder. The sealing

surface of the flange adapter shall be machined with a series of small v-shaped grooves (serrations) to promote gasketless sealing, or restrain the gasket against blowout.

E. **Joining - Butt Fusion:** Sections of polyethylene pipe shall be joined by the butt fusion process into continuous lengths at the job site. The joining method shall be the heat fusion method and shall be performed in strict accordance with the pipe manufacturer's recommendations. The heat fusion equipment used in the joining procedures should be capable of meeting all conditions recommended by the pipe manufacturer. Properly executed electrofusion fittings may be used. Extrusion welding or hot gas welding of HDPE shall not be used for pressure pipe applications or fabrications where shear or structural strength is important. Mechanical joint adapters, flanges, unions, grooved-couplers, transition fittings, and some mechanical couplings may be used to mechanically connect HDPE pipe. Refer to the manufacturer's recommendations.

F. **Joining - Other Means:** Polyethylene pipe and fittings may be joined together or to other materials by means of (a) flanged connections (flange adapters and back-up rings), (b) mechanical couplings designed for joining polyethylene pipe or for joining polyethylene pipe to another material, (c) MJ Adapters or (d) electrofusion. When joining by other means, the installation instructions of the joining device manufacturer shall be observed.

ID Stiffener and Restraint. A stiffener shall be installed in the bore of the polyethylene pipe when an OD compression mechanical coupling is used and when connecting plain end PE pipe to a mechanical joint pipe, fitting or appurtenance. External clamp and tie rod restraint shall be installed where PE pipe is connected to the socket of a mechanical joint pipe, fitting or appurtenance except where an MJ Adapter is used.

G. **Quality and Workmanship:** The pipe and/or fitting manufacturer's production facilities shall be open for inspection by the owner or his designated agents with a reasonable advanced notice. During inspection, the manufacturer shall demonstrate that it has facilities capable of manufacturing and testing the pipe and/or fittings to standards required by this specification. Pipe which has been tested by the manufacturer and falls outside of the appropriate limits set forth in this specification will be cause for rejection.

H. **QA Records:** QA/QC records shall be maintained intact for a minimum of one year from the date of production.

I. **Pipe Marking:** During extrusion production, the HDPE pipe shall be continuously marked with durable printing including the following information:

- Nominal Size
- Dimension Ratio
- Pressure Class, psi
- Manufacturer's Name and Product Series
- Cell Class
- ASTM Basis
- "NSF-PW"
- Pipe Test Category
- Plant Code & Extruder
- Production Date
- Operator Number (Shift Letter optional)
- Resin Supplier Code

J. **Pipe Packaging, Handling, & Storage:** The manufacturer shall package the pipe in a manner designed to deliver the pipe to the project neatly, intact, and without physical damage. The transportation carrier shall use appropriate methods and intermittent checks to insure the pipe is properly supported, stacked, and restrained during transport such that the pipe is not nicked, gouged, or physically damaged. Pipe shall be stored on clean, level ground to prevent undue scratching or gouging. If the pipe must be stacked for storage, such stacking shall be done in accordance with the pipe manufacturer's recommendations. The pipe shall be handled in such a manner

that it is not pulled over sharp objects or cut by chokers or lifting equipment. Sections of pipe having been discovered with cuts or gouges in excess of 10% of the pipe wall thickness shall be cut out and removed. The undamaged portions of the pipe shall be rejoined using the heat fusion joining method. Fused segments of pipe shall be handled so as to avoid damage to the pipe. Chains or cable type chokers must be avoided when lifting fused sections of pipe. Nylon slings are preferred. Spreader bars are recommended when lifting long fused sections.

K Testing:

- **Fusion Quality.** The Contractor shall ensure the field set-up and operation of the fusion equipment, and the fusion procedure used by the Contractor's fusion operator while on site. Upon request by the Owner, the Contractor shall verify field fusion quality by making and testing a trial fusion. The trial fusion shall be allowed to cool completely; then test straps shall be cut out and bent strap tested in accordance with ASTM D 2657. If the bent strap test of the trial fusion fails at the joint, the field fusions represented by the trial fusion shall be rejected. The Contractor at his expense shall make all necessary corrections to equipment, set-up, operation and fusion procedure, and shall re-make the rejected fusions.
- **Hydro-Test:** Pipelines shall be tested to the requirements and specifications of the engineer of record. HDPE pressure pipe shall be tested in accordance with the specifications and requirements of the engineer of record and/or with the manufacturer's recommendations. The pressure rating of the pipe is a function of temperature at the time of hydro-test. Refer to the manufacturer's temperature related pressure ratings. At a minimum and if not specified elsewhere, hydro-test the piping system at 1.5 times the pressure rating of the pipe for 2 to 3 hours per Driscopipe Technical Note #35. If a system component such as a fabricated or mechanical fitting has a pressure rating less than that of the pipe, the piping system should be pressure tested to manufacturer's guidelines on that component.

PART 3 - EXECUTION

3.01 LAYING DEPTHS FOR WATER MAINS

In general, water mains shall be laid with a minimum cover of 36" above the top of the main, unless otherwise noted on the Drawings, i.e. for minimum separation between water main and other utilities, connections to existing mains, valve locations, or when required by Kentucky Department of Highways, i.e. ditch lines and borings shall be 42" minimum cover.

3.02 PIPE BEDDING

A. The foundation for pipes laid in trenches shall be prepared so that the entire load of the backfill on top of the pipe will be carried uniformly on the barrel of the pipe. Pipe bells shall not carry any of the load of the backfill.

B. The Contractor shall use the "Undercutting Method" of pipe bedding.

C. When the "Undercutting Method" is used in rock bottom trenches, Class I granular bedding (No.9 crushed stone aggregate) or earth shall be of such depth that the bottom of the barrel of the pipe will be at least 6" above the bottom of the trench as excavated. Pipe bedding required in this paragraph is NOT considered a separate pay item.

D. In wet, yielding and mucky locations where pipe is in danger of sinking below grade or floating out of line or grade, the pipe must be weighted or secured permanently in place by such means as will prove effective. In areas where a high water table exists, the Contractor is cautioned to exercise extreme care in the placement of the backfill material to prevent flotation of the pipe at any time.

E. Where an unstable (i.e., water, mud, etc.) trench bottom is encountered, stabilization of the trench bottom is required. This is to be accomplished by undercutting the trench depth and replacing to grade with a foundation of crushed stone aggregate. The depth of the foundations dependent upon the severity of the trench bottom. The size of stone aggregate used in the foundation will be determined by the condition of the unstable material. Once the trench bottom has been stabilized, the required Class I bedding can be placed. The amount of crushed stone aggregate required to bring the top of the foundation to the trench bottom prior to the removal of the unstable material will be considered a separate pay item following negotiation between the Contractor and Owner and constitute a change order item. No compensation will be made if the instability of the trench bottom is caused by the Contractor's neglect.

F. The Contractor shall use compacted earth material or Class I granular bedding (No.9 crushed stone aggregate) when the pipe is to be placed in the rock bottom trenches or in trenches with excavated rock present. This type of bedding material shall be placed 12" above and 6" below the pipe as shown on the Contract Drawings as "Class C Bedding Detail".

G. It should be noted that no pipe shall be laid on solid or blasted rock. No rock shall be allowed to rest against the pipe once it is placed in the trench.

H. Pipe bedding as required in Paragraphs C and D of this Article is NOT considered a separate pay item.

3.03 PIPE LAYING

A. All pipe shall be laid with ends abutting and true to the lines and grades indicated on the Plans. Pipe shall be fitted and matched so that when laid in the work, it will provide a smooth and uniform invert. Supporting of pipe shall be as set out hereinbefore under "Pipe Bedding" and in no case shall the supporting of pipe on blocks be permitted.

B. Fittings and specials for the water main shall be provided and laid as and where directed by the Engineer or as shown on the Plans.

C. Before each piece of pipe is lowered into the trench, it shall be thoroughly swabbed out to insure its being clean. Any piece of pipe or fitting which is known to be defective shall not be laid or placed in the lines. If any defective pipe or fitting shall be discovered after the pipe is laid, it shall be removed and replaced with a satisfactory pipe or fitting without additional charge. In case a length of pipe is cut to fit in a line, it shall be so cut as to leave a smooth end at right angles to the longitudinal axis of the pipe.

D. The interior of the pipe, as the work progresses, shall be cleaned of dirt, jointing materials, and superfluous materials of every description. When laying of pipe is topped for any reason, the exposed end of such pipe shall be closed with a plywood plug fitted into the pipe bell so as to exclude earth or other material and precautions shall be taken to prevent flotation of pipe by runoff into trench.

E. No backfilling (except for securing pipe in place) over pipe will be allowed until the Engineer has had an opportunity to make an inspection of the joints, alignment and grade in the section laid, but such inspection shall not relieve the Contractor of further liability in case of defective joints, misalignment caused by backfilling and other such deficiencies that are noted later.

F. Anchorage of Bends, Tees, Plugs and Valves:

1. At all tees, plugs, caps and bends of 11-1/4 degrees and over, and at reducers or in fittings where changes in pipe diameter occur, movement shall be prevented by using suitable harness, thrust blocks or ballast. Valves shall be provided with similar protection. Thrust blocks and supports shall be as shown in the typical details, with sufficient volumes of concrete being provided; however, care shall be taken to leave

weep holes unobstructed and allow for future tightening of all nearby joints. Unless otherwise directed by the Engineer, thrust blocks shall be placed so that the pipe and fitting joints will be accessible for repair. Thrust blocks shall bear on undisturbed earth or rock.

2. Bridles, harness or pipe ballasting shall meet with the approval of the Engineer. Steel rods and clamps shall be galvanized.
3. No extra pay shall be allowed for work on proper anchorage of pipe, fittings or other appurtenances; such items shall be included in the unit price bid for the supported item.

3.04 HORIZONTAL DIRECTIONAL DRILLING (HDPE PIPE)

Horizontal directional drilling technique shall be used for installing pipes and utility lines below ground using a surface-mounted drill rig that launches and places a drill string at a shallow angle to the surface and has tracking and steering capabilities. The drill shall be advanced underground, creating a borehole along its path. As the destination is reached, the drill string is angled upwards to penetrate the surface. After the borehole has been opened, a backreamer shall be attached to the head of the drill string and the HDPE pipe shall be attached to the backreamer. The drill string shall then be retracted. During retraction, the borehole will be expanded by the backreamer and the HDPE pipe drawn into the borehole. To protect HDPE pipe against excessive pulling load, a weak-link or breakaway device shall always be used at the head of the HDPE pipe. The allowable tensile load for setting weak-link devices shall be determined using ASTM F 1804 Standard Practice for Determining Allowable Tensile Load for Polyethylene (PE) Gas Pipe During Pull-In Installation. Horizontal Directional Drilling (HDD) applications shall be installed in accordance with ASTM F1962 Standard Guide for Use of Maxi-Horizontal Directional Drilling for Placement of Polyethylene Pipe or Conduit under Obstacle, Including river Crossings, Plastic Pipe Institute (PPI) Polyethylene Pipe for Horizontal Directional Drilling, and the Mini Horizontal Directional Drilling Manual published by the North American Society of Trenchless Technology (NASTT). Additional information is available in Plexco® Literature Trenchless Technology Bulletin No. 1 - Horizontal Directional Drilling Note.

3.05 WATER MAINS PUSHED UNDER DRIVEWAYS

The Contractor may be required to tunnel or bore under a bituminous or concrete surface driveway instead of open trenching as requested by the property owner. The opening under the driveway shall be of the smallest diameter possible to accommodate the water main to minimize settlement of the driveway. Should settlement occur, the Contractor shall repair the driveway at his own expense in a manner satisfactory to the Engineer and the property owner.

3.06 JOINTING

Jointing shall be accomplished in accordance with the manufacturer's recommendation.

3.07 TYPES OF CRUSHED STONE MATERIAL

Two classes of crushed stone material are mentioned in the Detailed Specifications. The Type of material used in each class is as follows:

Class I	No. 9 Aggregate
Class II	Dense Graded Aggregate

3.08 BACKFILLING

- A. Initial Backfill:

1. This backfill is defined as that material which is placed over the water main from the spring line in an earth trench to a point 6" above the top of the pipe or from the trench bottom in a rock trench to a point 12" above the top of the pipe. The initial backfill for Case I situations shall be earth material free of rocks, acceptable to the Engineer or Class I material (No. 9 crushed stone aggregate). The initial backfill for Case II, Case III and Case IV situations shall be compacted earth material or be Class I material (No.9 crushed stone aggregate).
2. In areas where large quantities of rock are excavated, and the excavated earth is insufficient, then the Contractor must either haul in earth or order crushed stone aggregate for backfilling over the top of the pipe. Neither earth nor the crushed stone aggregate used to fulfill the backfill requirements is considered a pay item.

B. Final Backfill: There are four cases where the method final backfilling varies. The various cases and their trench situations are as follows:

1. Case I: Areas not subject to vehicular traffic.
2. Case II: Gravel areas subject to light vehicular traffic such as residential driveways; church and commercial parking lots and entrances; and farm drives.
3. Case III: City and County gravel roads; gravel and bituminous road shoulders; all bituminous surface areas such as City and County streets, residential driveways, church and commercial parking lots, and entrances; City and County road shoulders.
4. Case IV: State maintained streets and roads; road shoulders for State roads and streets.

C. In all cases, walking or working on the completed pipelines, except as may be necessary in backfilling, will not be permitted until the trench has been backfilled to a point twelve (12) inches above the top of the pipe. The method of final backfilling for each of the above cases is as follows:

1. Case I - The trench shall be backfilled from a point 6" (12" for a rock trench) above the top of the pipe to a point 8" below the surface of the ground with earth material free from large rock (over one-half cubic foot in volume), acceptable to the Engineer. The remainder of the trench to existing grade shall be backfilled with earth material reasonably free of any rocks.

Earth backfill used in this Case is not a separate pay item but will be paid under the pay item "Water Main".

2. Case II - The trench shall be backfilled from a point 6" (12" for a rock trench) above the top of the pipe to a point 12" below the surface of the ground with Class I (No. 9 crushed stone aggregate) material. The trench shall be tamped to assure maximum possible compaction (approximately 80 to 85 percent of Standard Proctor density). Extreme care shall be exercised to prevent damage to the pipe during tamping operation. The remainder of the trench to existing grade shall be backfilled with Class II (dense graded aggregate) material with the material being mounded over the trench. The trench shall be tamped again to assure additional compaction. The trench may be left with a slight mound if permitted by the Engineer.

Class I material used and method of backfilling used in this case is not a separate pay item and is considered incidental to the work and will be paid for under the item "Water Main".

Class II material used in this method of backfill is not a separate pay item and will be included in the unit price per linear foot under the item "Water Main".

Sufficient stockpiles of Class II material shall be placed throughout the project area to insure immediate replacement by the Contractor of any settled areas. No extra payment will be made for the filling of settled areas by the Contractor.

3. Case III - The trench shall be backfilled from a point 6" (12" for a rock trench) above the top of pipe to the height indicated in the "City and County Maintained Streets, Roads and Driveway Pavement Replacement" detail with Class I (No. 9 crushed stone aggregate) material. Said material shall be tamped as described for Case II. A 12-inch layer of Class II (dense graded aggregate) material shall be placed over the compacted backfill before bituminous or concrete surface is placed as shown in the previously mentioned details. The 12-inch layer of Class II material is NOT a separate pay item but such expense will be borne by the Contractor and is considered incidental to the bid items "Bituminous Surface Replacement" and "Concrete Surface Replacement". Also considered incidental is all temporary stone required for a temporary surface between backfilling and pavement replacement.

Sufficient stockpiles of Class II material shall be placed throughout the project area to insure immediate replacement by the Contractor of any settled areas. No extra payment will be made for the filling in of settled areas by the Contractor. Class II material used in this method of backfill is paid for as a support item under item "Bituminous Surface Replacement" or "Concrete Surface Replacement" as its unit price per linear foot.

Class I material used for backfilling is not a separate pay item and is considered incidental to the bid item "Water Main".

4. Case IV - The trench shall be backfilled from the spring line to a point one 12-inches above the top of the pipe with earth material free from rock and acceptable to the Engineer, it shall be carefully and solidly tamped by approved mechanical methods. The remainder of the trench shall be backfilled to the height indicated in the "State Maintained Streets and Roads Pavement Replacement Detail" in the Contract Drawings, with material free from rock and acceptable to the Engineer; said material shall be mechanically tamped in approximately six-inch layers to obtain the maximum possible compaction. The backfilling method is NOT a separate pay item. A 12-inch layer of dense graded aggregate shall be placed over the compacted earth backfill when a bituminous or concrete surface street or road has been trenched. The 12-inch layer of stone is not a separate pay item but such expense will be borne by the Contractor.

D. Excavated materials from trenches and tunnels, in excess of quantity required for trench backfill, shall be disposed of by the Contractor. The Contractor may contact the Owner regarding the location of a suitable disposal site; however, if the Owner cannot recommend a site, it shall be the responsibility of the Contractor to obtain locations or permits for the disposal of the waste material. Unit prices for the various pipe sizes shall include the cost of disposing of excess excavated materials, as set forth herein, no additional compensation being allowed for hauling or overhaul.

3.09 CRUSHED STONE BACKFILL

A. The Class I granular material used in Case II and Case III backfill situations shall be No. 9 Crushed Stone aggregate (No.9 Stone). Granular material will not be paid for as a separate bid item.

B. The twelve inches 12-inch of crushed stone backfill that is required in "City and County Maintained Streets, Roads and Driveway Pavement Replacement" or "State Maintained Streets and Roads Pavement Replacement" will not be paid for under the provisions of this article.

3.10 BITUMINOUS PAVEMENT REPLACEMENT

A. Sections of pavement shall be replaced as required to install the pipelines under the work of this Section. Disturbed pavement shall be reconstructed to original lines and grades with bituminous binder as detailed on the Drawings and in such manner as to leave all such surfaces in fully as good or better condition than that which existed prior to these operations.

B. Prior to trenching, the pavement shall be scored or cut to straight edges along each side of the proposed trench to avoid unnecessary damage to the remainder of the paving. Edges of the existing pavement shall be recut and trimmed as necessary to square, straight edges after the pipe has been installed and prior to placement of the binder course.

C. Backfilling of trenches shall be in accordance with the applicable portions of this section.

D. Bituminous concrete binder shall be one course construction in accordance with applicable provisions of the Kentucky Department of Highways Standard Specifications, Section 402. Placement and compaction of binder course shall be in accordance with Section 402 of the Kentucky Department of Highways Standard Specifications. Minimum thickness after compaction shall be as shown on the Drawings.

3.11 CRUSHED STONE SURFACE REPLACEMENT

The Class II granular material used in Case II backfill situations shall be dense graded aggregate (D.G.A.). Granular material will be included in the unit price per linear foot for "Water Mains".

3.12 CONCRETE SEPARATOR FOR UTILITY CROSSING OR CASING PIPE WATER/SAN. SEWER CROSSING

A. At locations shown on the Contract Drawings, or as required by the Specifications and Contract Drawings, concrete separator shall be used when the clearance between the proposed water main and any existing non-contaminating utility pipe is one (1) foot or less. Utility pipe includes underground gas, telephone and electrical conduit, storm sewers, or any other underground utility pipe.

B. There are two cases of non-contaminating utility crossing encasement. Case I is applicable when the proposed water main is below the existing utility line. Case II is applicable when the proposed water main is laid above the utility line. In either case, the concrete shall extend to at least the spring line of each pipe involved.

C. When a water main crosses an existing sanitary sewer line, either above or below and less than two feet vertical or ten feet horizontal separation, the water main shall be encased as shown on the Standard Details, or as required by the Specifications and Contract Documents.

D. Concrete shall be Class B (2500 psi) and shall be mixed sufficiently wet to permit it to flow between the pipes to form a continuous bridge. In tamping the concrete, care shall be taken not to disturb the grade of line of either pipe or damage the joints.

3.13 CONCRETE FOR CREEK CROSSING (Type B and C Creek Crossing)

A. At locations shown on the Contract Drawings, or as required by the Specifications and Contract Drawings, concrete encasement shall be used when the water main crosses a stream or creek which is in rock or as directed by the Engineer.

B. All creek crossings (Types B and C) shall be constructed as per the detail shown on the Contract Drawings.

C. Concrete shall be Class B (3000 psi) and shall be mixed sufficiently wet to permit flow around the pipe and to form a continuous bed. In tamping the concrete, care shall be taken not to disturb the grade or line of the pipe or injure the joints. Concrete shall be protected from excess water.

D. Concrete placed outside the specified limits or without authorization from the Engineer will not be subject to payment. Concrete will be paid under the pay items "Crossing Type B and Creek Crossing Type C."

3.14 TESTING OF WATER MAINS

The completed work shall comply with the provisions listed below, or similar requirements which will insure equal or better results:

A. Before any allowable leakage calculation are performed the pipeline being tested must pass the hydrostatically test.

B. The pipe shall be hydrostatically tested at 1.5 times the design pressure at the point of testing. The duration of the test(s) shall be at least 2 hours during which time the pressure shall not fall more than 5 psi. The pipe shall be tested for allowable leakage according to AWWA C-600 (latest revision) concurrently with the pressure test.

C. Where practicable, pipelines shall be tested between line valves or plugs in lengths of not more than 3000 feet. Testing shall proceed from the source of water toward the termination of the line. The line shall be tested upon the completion of the first 3000 feet. After the completion of two consecutive tests without failure, the Contractor, at his option and with the Engineer's approval, may discontinue testing until the system is complete.

D. Duration of test shall be not less than 2 hours.

E. Lines which fail to meet tests shall be repaired and retested as necessary until test requirements are complied with.

F. All pipe, fittings and other materials found to be defective under test shall be removed and replaced at the Contractor's expense.

G. Test pressures shall not be less than 1.5 times the working pressure at the highest point along the test section, not exceed pipe or thrust restraint design pressure, not vary more than ± 4 psi and not exceed twice the rated pressure of the valves when the pressure boundary of the test sections include closed gate valves.

H. Before applying the specified test pressure, air shall be expelled completely from the pipes and valves. If permanent air vents are not located at high points within the test section, the Contractor shall install corporation cocks at such points so that the air can be expelled as the line is filled with water.

3.15 LEAKAGE TEST

A. The leakage shall be defined as the quantity of water that must be supplied to the tested section to maintain pressure within 4 psi of the specified test pressure after the air in the pipeline has been expelled and the pipe has been filled with water.

- B. The allowable leakage shall not be greater than that determined by the following formula:

$$L = \frac{SD(P)^{1/2}}{133,200}$$

Where L is the allowable leakage in gallons per hour; S is the length of the pipeline tested; D is the nominal diameter of the pipe, in inches; and P is the average test pressure during the leakage test, in pounds per square inch gage.

- C. All visible leaks are to be repaired regardless of the amount of leakage.

3.16 DISINFECTION OF WATER LINES

A. New potable water lines shall not be placed into service, either temporarily or permanently, until they have been thoroughly disinfected in accordance with the following requirements and to the satisfaction of the OWNER.

B. New or relocated water lines shall be thoroughly disinfected in accordance with AWWA C651, latest version, upon completion of construction and before being placed into service. After pressure testing, a solution of chlorine or chlorine compounds in such amounts shall be introduced into the section of the line being disinfected sufficient to insure a chlorine dosage of at least 50 parts per million (PPM) in the water main. Open and close all valves and cocks while chlorinating agent is in the piping system. The chlorinated water shall remain in the pipe for 24 hours. Disinfection shall be repeated until a minimum chlorine residual of 25 PPM is measured after 24 hours. Once a chlorine residual of 25 PPM is obtained after 24 hours, the water main shall be thoroughly flushed until the residual chlorine content is not greater than 1.0 PPM.

C. Following disinfection of the line, bacteriological samples shall be collected and analyzed in accordance with the requirements of Kentucky Department of Natural Resources and Environmental Protection, 401 KAR 8:150. When the samples have been tested and reported safe from contamination, the water line may be connected to the system. The Contractor shall provide to OWNER written documentation that the water sample passed the bacteriological test and is safe.

D. Bacteriological samples shall be taken in the following manner. A sample shall be taken in the newly-constructed line at each of the following points:

- 1) Within 1,200 feet downstream of each connection point between the existing and new lines;
- 2) One (1) mile intervals; and
- 3) Each dead end, without omitting any branch.

E. All sampling shall be taken in the presence of the Engineer or his representative. All bacteriological sampling and testing shall be paid for by the Contractor and included in the unit price for the bid item "water main".

3.17 DECHLORINATING OF HEAVILY CHLORINATED WATER

A. Dechlorination of heavily chlorinated water shall be in accordance with AWWA C651 and shall be accomplished using sodium bisulfite, sodium thiosulfate, sodium sulfite, or calcium thiosulfate solution of a concentration sufficient to remove all chlorine to a level not to exceed 0.019 mg/l. The solution shall be applied by a metering pump directly into the chlorinated water flow stream by injection into a discharge line or into the free discharge from a hydrant. The treated water may then be conveyed to the nearest sanitary sewer, storm sewer, or local stream.

B. The feed rate (gpm) of solution shall be governed by the chlorine (ppm) concentration of the water to be dechlorinated and the rate (gpm) at which it can be discharged. Constant monitoring of the chlorine residual concentration shall be made using the colorimetric method to ensure the optimum solution feed rate.

a. Feed System

C. The dechlorinating agent shall be fed from prepared carboys utilizing a metering pump equipped with a suitable meter and valve to adjust/monitor the feed rate.

3.18 PLACEMENT OF TRACING WIRE

Detectable underground copper tracing wire shall be installed with all utility lines. Insulated copper trace wire shall be attached to the top of the pipe with adhesive tape or other suitable devices. At each hydrant, valve, and end of new pipe installation, the trace wire shall be daylighted and the ends connected together with split bolt connectors covered with waterproof tape or wrap. For long runs of pipe, the maximum unbroken length of the trace wire shall be 2500 feet. Underground splicing shall be made using brass split bolt electrical connectors. The trace wire shall be #12 AWG THWN copper.

3.19 PLACEMENT OF IDENTIFICATION TAPE

A. The placement of detectable underground marking tape shall be installed over all utility lines. Care shall be taken to insure that the buried marking tape is not broken when installed. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

B. The identification tape shall bear the printed identification of the utility line below it, such as "CAUTION - BURIED WATER LINE BELOW". Tape shall be reverse printed, surface printing will not be acceptable. The tape shall be visible in all types and colors of soil and provide maximum color contrast to the soil. The tape shall meet the APWA color code, and shall be two (2) inches in width. Colors are: yellow - gas, green - sewer, red - electric, blue - water, orange - telephone, brown - force main.

C. The tape shall be the last equipment installed in the ditch so as to be first out. The tape shall be buried 4 - 6 inches below top of grade. After trench backfilling, the tape shall be placed in the backfill and allowed to settle into place with the backfill. The tape may be plowed in after final settlement, installed with a tool during the trench backfilling process, unrolled before final restoration or installed in any other way acceptable to the Owner or his agent or Engineer.

3.20 CLEAN-UP

Upon completion of the installation of the piping and appurtenances, the Contractor shall remove all debris and surplus construction materials resulting from the work. The Contractor shall grade the ground along each side of pipe trenches in a uniform and neat manner leaving the construction area in a shape as near as possible to the original ground line.

3.21 CONNECTING TO THE WATER SYSTEM

Unless otherwise directed by the OWNER, the CONTRACTOR shall connect the new water main to the existing water system. The CONTRACTOR shall notify the OWNER when the connection is to be made so that representatives of the OWNER may operate existing valves and witness the connection. A minimum notice of at least 24 hours in advance of the connection shall be given to the UTILITY. The Contractor shall coordinate all connections and other work which require disruption of water service so as to minimize the amount of time the affected water lines are out of service.

- END OF SECTION -

SECTION 02601

HORIZONTAL DIRECTIONAL DRILLING (HDD) INSTALLATION OF DUCTILE IRON PIPE

PART 1 - GENERAL

1.01 SCOPE

A. This section is applicable to the installation of Flex-Ring® or approved equal, flexible restrained joint ductile iron pipe, in sizes 4-in. through 48-in. manufactured per ANSI/AWWA C151/A21.51, using horizontal directional drilling (HDD). It includes minimum requirements for design, materials, and equipment used for the horizontal directional drilling installation of ductile iron pipes and joints for the substantially trenchless construction of pipelines or portions of pipelines.

B. This section also includes materials, dimensions, and other pertinent properties of pipes and required accessories. They provide several minimum performance requirements for various components including joints.

3.2 REFERENCE

A. The following standards contain provisions that, through reference in this section, constitute provisions of these specifications. All standards are subject to revision and the most recent editions of the standards indicated below shall apply.

- 3.2 ANSI/AWWA C150/A21.50 -- American National Standard for the Thickness Design of Ductile-Iron Pipe
- 3.3 ANSI/AWWA C151/A21.51 -- American National Standard for Ductile-Iron Pipe, Centrifugally Cast, for Water
- 3.4 ANSI/AWWA C111/A21.11 -- American National Standard for Rubber-Gasket Joints for Ductile-Iron Pressure Pipe and Fittings
- 3.5 ANSI/AWWA C104/A21.4 -- American National Standard for Cement-Mortar Lining for Ductile-Iron Pipe and Fittings for Water
- 3.6 ASTM A746 - Ductile Iron Gravity Sewer Pipe
- 3.7 ASTM A716 - Standard Specification for Ductile Iron Culvert Pipe
- 3.8 ANSI/AWWA C105/A21.5 -- American National Standard for Polyethylene Encasement for Ductile-Iron Pipe Systems
- 3.9 ANSI/AWWA C110/A21.10 -- American National Standard for Ductile-Iron and Gray-Iron Fittings, 3-inch through 48-inch, for Water and Other Liquids
- 3.10 ANSI/AWWA C153/A21.53 -- American National Standard for Ductile-Iron Compact Fittings 3-inch through 24-inch and 54-inch through 64-inch, for Water Service
- 3.11 ANSI/AWWA C600 -- AWWA Standard for Installation of Ductile-Iron Water Mains and Their Appurtenances

PART 2 - PRODUCTS

3.2 SUBMITTALS

The Contractor or sub-contractor must submit the following information as described in the sections below for review by the Engineer 30 days prior to mobilizing to the project site for the work involving the installation of the ductile iron pipe by the horizontal directional drilling method.

A. General: Prior to the start of drilling, reaming, and pipe placement operations, the Contractor shall properly locate and identify all existing utilities in proximity to the pipeline alignment. The Contractor

shall confirm the alignment of all critical utilities, by pot-holing/day-lighting using vacuum excavation or other suitable excavation method, for further detailed confirmations as necessary.

B. Equipment: Provide the description of the using horizontal directional drilling (HDD) equipment proposed for use on the project including the thrust and torque capacities.

C. Operator Experience: Provide project references for the operator and project manager or supervisor that they were directly involved in completing. This reference list shall include the projects description, location, owner and contact information, quantity, size and type of pipe installed by using horizontal directional drilling.

D. Drilling Plan: The drilling plan shall provide a detail of the planned drilled borepath and the method for monitoring and controlling the speed, line, grade, and rate of fluids delivery. It shall include the sequence, size and description of each reamer and the capabilities of each through various geologic formations. The Contractor or HDD sub-contractor must maintain the alignment and minimum radii as detailed on the plan sheets. Any drill plan should include a final swabbing of the borepath prior to pipe pullback. Unless approved by the Engineer prior to the start of drilling operations, pipe pullback of the new Flex-Ring® joint pipe without prior swabbing of the borepath to the finished borepath inside diameter will not be permitted.

E. Estimated Pullback Thrust: The Contractor shall submit to the Engineer an estimate of the anticipated pullback thrust that will be required to install the new Flex-Ring® pipe. This estimate shall include the calculated buoyant force or buoyant weight of the new pipe and any proposed method for counter-weighting the pipe during pullback.

F. Drilling Fluids Management: A fluids management plan shall be submitted to the Engineer for review. This plan shall include the proposed mix design for each specific geological strata or formation anticipated during drilling of the borepath, an estimate of quantities, delivery volume and pressure for each and the proposed method for monitoring. This plan shall also include details of the drilling fluid / soil slurry solids separation, recycling or disposal plan that will describe the equipment and capacities for separation and recirculation. If direct vacuum excavation of the slurry is selected the disposal site shall be identified and copies of all required permits shall be presented to the Engineer for approval. The Contractor shall submit a written plan that details the estimated quantity of slurry to be vacuum excavated and provide substantiation that there is sufficient equipment to adequately pump or shuttle the slurry to and from the disposal site(s) as required to maintain a near continuous drilling and pipe pull-back.

No drilling fluid or soil slurry shall be allowed to flow into rivers, streams or large bodies of water.

G. Inadvertent surface discharge of drilling fluid (Frac-out): The CONTRACTOR shall submit to the Engineer a plan for a quick response team to address inadvertent fluid discharges to the surface (frac-outs).

H. Equipment and Expertise: The Contractor shall have equipment and expertise, appropriate for horizontal directional drilling installations of the size and scope of the project covered by this specification. This includes the preparation and maintenance of the borepath using drilling fluids appropriate for the geology of the soils. The Contractor shall also have experience in safely and dependably installing, in similar geology, similar size and length of piping involved.

I. Safety Plan: The Contractor shall be responsible for securing a safe worksite that meets all Federal, State, and Local government codes.

3.3 DUCTILE IRON PIPE FOR HDD

A. General: Ductile iron pipe used for directional drilling shall meet all requirements of ANSI/AWWA C151/ A21.51. Unless otherwise specified pipe shall be lined with cement mortar per ANSI/AWWA C104/ A21.4, with all operations completed in a single facility by a one manufacturer. Pipe shall be AMERICAN Flex-Ring® or approved equal.

B. Pipe Joints: Joints used for directional drilling shall be boltless, flexible restrained, with smooth contoured bells and shall have the minimum properties as shown in Table 1. Joints with bulky glands or flanges that may prevent the smooth flow of the drilling fluid/soil slurry over the joint are not acceptable. Pipe shall be AMERICAN Flex-Ring® or approved equal.

C. Pressure and Thrust (Pulling): Joint seals and Flex-Ring® joint pipe used for HDD, when properly assembled and installed, shall be capable of dependably handling the specified internal pressure and pulling loads, in straight alignment or at maximum rated joint deflection. Maximum internal pressure and allowable pulling loads for all sizes are provided in Table 02601-1.

D. Proof-of-Design Tests: The manufacturer shall make available to the Engineer representative proof-of-design tests for each size and type of flexible restrained joint pipe used. These tests shall establish the basis for the maximum allowable pulling loads shown in Table 02601-1. Proof-of-design tests for the pulling heads shall also be made available to the Engineer.

F. External Loads and Buckling: In cases where the borepath alignment is at an extreme depth or if the Contractor anticipates high pumping pressures particularly for larger sizes of pipes, the Contractor shall consult the pipe Manufacturer to assure that the buckling strength of the pipe has been properly evaluated.

G. Lining and Coating: Ductile iron pipe for water service shall be lined with cement mortar per ANSI/AWWA C104/A21.4. Ductile iron pipe for wastewater service and for application as culvert pipes shall be lined with cement mortar per ANSI/AWWA C104/A21.4 unless otherwise specified. The exterior of all ductile iron pipe and fittings shall be coated with an asphalt-based coating as required by ANSI/AWWA C151/A21.51.

H. Special Linings (For wastewater applications): The interior of the ductile iron pipe shall be coated with 40 mils of Protecto 401® as manufactured by Induron Coatings. The applicator shall apply the coating to the interior of the pipe in strict accordance with the procedure approved by the coating manufacturer.

I. Pipe Weight – Net Unit Buoyancy: Pipe buoyant force or buoyant weight required in section 2.01, E shall be calculated based on the density of drilling fluid(s) to be used. Any counter-weight placed inside the pipe shall be free from any dirt, grease, oil, or other contaminants that may prevent proper disinfection for waterlines.

J. Entry and Exit Angles: The entry angle of the drill string shall range from 8 degrees to 20 degrees. Exit angles for the drill string shall take into consideration the allowable deflection (reference Table 02601-1) and the method of installation proposed for the new Flex-Ring®, flexible restrained joint ductile iron pipe. The Contractor shall submit a detailed plan showing the connection between the HDD installed piping and the next section of pipeline

K. Minimum Radius of Curvature: The Contractor shall maintain the borepath alignment and radii that are indicated on the project drawings. Any alternate designs must be submitted to the Engineer for approval prior to commencement of drilling operations, and shall be based on a range from 50-feet to 100-feet per inch of nominal diameter, using 20-foot joint lengths.

L. Borepath Inside Diameter: The finished inside diameter of the borepath shall be nominally 1.5 times the outside diameter of the Flex-Ring bell (see Table 02601-1) for pipe sizes 4-inch through 24-inch. The inside diameter of the borepath for pipe sizes 30-inch through 48-inch shall be equal to the outside diameter of the Flex-Ring bell (see Table 02601-1) plus 12-inches. To assure proper borepath size and integrity, the borepath shall be swabbed prior to final pipe pullback.

Nominal Pipe Size (in.)	Maximum Working Pressure (psi) ⁽¹⁾	Pipe Barrel O.D. (in.)	Pipe Bell Outside Diameter (in.)	Unit Weight Lined CL 350 DIP (lb/ft)	Bulk Density of Empty Pipe (lb/ft ³)	Net Unit Buoyancy, Empty Pipe in Water (lb/ft)	Allowable Pulling Loads (lbs)	Allowable Deflection (Deg.)
4	350	4.80	7.06	13	100	Minus 5	10,000	5
6	350	6.90	9.19	18	69	Minus 2	20,000	5
8	350	9.05	11.33	25	55	3	30,000	5
10	350	11.10	13.56	31	46	11	45,000	5
12	350	13.20	15.74	40	42	19	60,000	5
14	350	15.30	19.31	53	41	27	75,000	4
16	350	17.40	21.43	65	40	38	95,000	3.75
18	350	19.50	23.70	78	37	52	120,000	3.75
20	350	21.60	25.82	90	35	69	150,000	3.5
24	350	25.80	29.88	122	34	104	210,000	3
30	250	32.00	36.34	173	31	175	220,000	2.5
36	250	38.30	42.86	233	29	266	310,000	2
42	250	44.50	49.92	315	29	359	390,000	2
48	250	50.80	56.36	395	28	484	500,000	2

(1) Working pressure is the maximum pressure rating of the joint and is based on its capability to resist thrust due to internal pressure. If higher working pressure is required, check AMERICAN. Pressure rating of the joint is limited by the pressure rating of the parent pipe.

(2) Based on weight of empty (full of air) Pressure Class 350 Flex-Ring pipe with standard cement lining immersed in water. Positive numbers indicate such pipe will float.

M. External Protection (If required or as indicated on the drawings) - Polyethylene Encasement: Polyethylene (PE) encasement shall be applied by the Contractor according to the following procedure. Using only tube-type polyethylene sleeves, the polyethylene tube shall be centered onto the barrel of the pipe and firmly secured as per the requirements of AWWA C105, Method A and other requirements as described herein. The contractor shall insure that all excess material along the barrel of the pipe is creased and the excess folded over itself longitudinally so that the polyethylene wrap is tight up against the pipe barrel. The Contractor shall then secure the wrap tightly to the pipe by applying circumferential wraps of tape applied over the folded polyethylene encasement, and applied at intervals of approximately 2-ft to within 18 to 24-inches of either end of the pipe. Applying tape in a helical pattern should only be used as a supplementary wrap

The excess PE encasement shall be pulled back over itself to expose approximately 18" to 24" of both end of the pipe. The Contractor must first overlap the PE encasement so that this first layer can be securely anchored to the pipe barrel, without interference from the PE encasement on that pipe section, using tape. The excess material should be trimmed, or the length and/or positioning of the PE tube may need adjustment to accomplish this.

After engaging the spigot into the bell and verifying engagement of the restraining flex-ring or flex-

ring segments, the following sequence is recommended for securing and completing the PE encasement at the pipe joints. This sequence should be followed so that the final overlap is made opposite to the direction of the pull, preventing any catching of the edge and minimizing any collection of drilling fluids etc. inside the wrap. For each layer of PE encasement the Contractor shall always complete the joint by first overlapping the end of the tube from the spigot end over the bell and secure the end of the tube onto the pipe barrel with several contiguous circumferential wraps of tape. It is important to assure that the PE encasement is secured to the pipe barrel with sufficient number of circumferential wraps to anchor the PE encasement so that any possibility of slippage is reduced. The PE encasement from the pipe closest to the HDD drilling machine (bell end of pipe) shall then be overlapped over its' bell and secured to the barrel on the spigot end of the pipe being installed with circumferential wraps of tape. When double PE encasement is specified the same procedure is repeated, with the final overlap being secured to the barrel on the spigot end of the pipe with circumferential wraps of tape.

In the case of double polyethylene wrapping, each layer shall be applied in the same manner with the exception that the excess PE encasement should be folded over itself in such a way as to avoid the excess in the first layer. Also, all bell over-laps (and fastening to the pipe barrel etc.) should be accomplished one layer at a time.

The Contractor should apply one final, tight circumferential wrap a few inches from the bell face on the last polyethylene wrap overlap over the most recently assembled spigot end. This final wrap should consist of strong strapping tape or other firm fastening means (that will not damage the wrap and) that will further minimize any slippage or bunching of the wrap in installation. The Contractor must have any other proposed methods of installing and fastening PE encasement approved by the Engineer.

N. **Joint Bonding** (If required or as indicated on the drawings): The Contractor shall provide electrical continuity for each joint using the number and size insulated AWG copper wire bonding cable given in Table 02601-2. Each wire bonding cable shall have approximately 1.5 to 2-inches of the insulation removed from one end with the opposite end prepared with a crimped-on electrical terminal suitable for connection to a tapped pipe with a ¼-inch bolt. In all cases the Contractor shall apply the bonding cables in the field prior to the pipe pull back using the following procedure and as modified by the Corrosion Engineer.

Pipe Size (Inches)	AWG Wire Gauge	No. Bonding Cables
4 through 14	8	2
16 through 36	4	2
42 through 48	2	2

1. Spigot End - at the "Field-Top" position and at a distance not to exceed 4-inches from the assembly stripe painted on the spigot end of the pipe, the bare end of the copper wire shall be CAD-welded to the pipe barrel. The CAD welds and any exposed wire shall be coated using an aerosol primer and then covered with mastic filled Handi-Cap as manufactured by Royston or approved equal.
2. Bell End - at the "Field-Top" position the vertical face of the bell shall be drilled and tapped, to a depth of 5/8-inch, to accept a ¼-inch NC-Thread x 0.5-inch NC-Thread bolt for the number of copper wire bonding cables shown in Table 2.

PART 3 - EXECUTION

3.01 INSTALLATION

A. Cartridge Assembly (Option 1): Cartridge assembly option shall be defined by the assembling of individual sections of Flex-Ring®, flexible restrained joint ductile iron pipe in a secured entry and assembly pit. The pipe sections are assembled individually and then progressively pulled into the borepath a distance equivalent to a single pipe section. This assembly-pull process is repeated for each pipe length until the entire line is pulled through the borepath to the exit point. At all times prior to the pipe entering the bore path the Contractor shall monitor the pipe to assure that the allowable joint deflection, as shown in Table 02601-1, is not exceeded. When polyethylene encasement is required the Contractor shall repair any damage to the wrap prior to the pipe section entering the borepath.

B. Assembled-Line (Option 2): Assembled-line option shall be defined by the pre-assembly of multiple pieces of Flex-Ring®, flexible restrained joint ductile iron pipe, with subsequent pulling installation into the borepath as one continuous pipe string. With this option the Contractor shall provide an entry ramp to the entrance of the borepath. The ramp should be of sufficient length and grade such that no pipe joint exceeds the allowable joint deflection as shown in Table 02601-1, at any point prior to the pipe string entering the properly designed and prepared borepath. The Contractor shall be responsible for providing the necessary equipment or ground surface preparation to allow the pipe to be pulled back along the surface prior to the entry ramp and borepath. If polyethylene encasement is required, the contractor shall provide a sufficient number of pipe rollers such that the pipe is supported every 20-feet for the entire length of the assembled pipe length. At all times prior to the pipe entering the bore path the Contractor shall monitor the pipe to assure that the allowable joint deflection, as shown in Table 02601-1, is not exceeded. When polyethylene encasement is required the Contractor shall repair any damage to the wrap prior to the pipe section entering the borepath.

3.2 BASIC ASSEMBLY/PULLING METHODS

Pulling Head Assemblies: Pulling head assembly for ductile iron pipe shall be designed and furnished by American Ductile Iron Pipe. The pulling bell shall be a boltless, glandless, flexible restrained joint that will allow for the smooth flow of the drilling fluid/soil slurry over the joint and must also have the same performance characteristics as the pipe to which it is connecting. They shall also be fabricated with filling/testing ports, of appropriate size, for testing of the pipe after it is pulled through the borepath. For pipe that is installed using the Assembled Line method the pulling bell may also be used to test the pipe prior to pull back.

3.3 JOINT ASSEMBLY

Joint Assembly: The Contractor shall be responsible for the proper assembly of all pipe and appurtenances in accordance with the Manufacturers written procedure and as supplemented by these guidelines. Prior to joint assembly all joints and joint components shall be thoroughly cleaned and examined to assure proper assembly and performance. In the event that the Contractor is not experienced with the assembly of the type of flexible restrained joint being used, it shall be the responsibility of the Contractor to contact a factory-trained representative for recommendations on the proper and efficient installation of the joint.

3.4 TESTING

Testing: The contractor shall be responsible for hydrostatically testing the installed pipeline per the requirements of Section 02600, 3.13 - TESTING OF WATER MAINS and 3.14 - LEAKAGE TEST. An air test is not a substitute for, nor is it intended to replace a properly specified and accomplished hydrostatic test after complete installation.

- END OF SECTION -

SECTION 02626**CUSTOMER METER SERVICE AND SERVICE TUBING****PART 1 GENERAL****1.01 SUMMARY**

- A. This Section includes service pipelines constructed of seamless copper tube as shown on the Contract Drawings, complete with fittings and accessories.
- B. Certain features of copper tubing shall be as scheduled.
- C. The Contractor shall furnish all labor, tools, equipment, and materials necessary to complete the meter service connections as shown on the Contract Drawings and herein specified.

1.02 REFERENCES

- A. Materials and installation shall be in accordance with the latest revisions of the following codes, standards and specifications, except where more stringent requirements have been specified herein:
 - 1. American Society for Testing and Materials (ASTM)
 - 2. American Water Works Association (AWWA)

1.03 SUBMITTALS

- A. In addition to those submittals identified in the General Provisions, the following items shall be submitted:
 - 1. Manufacturer's certification that all materials furnished are in compliance with the applicable requirements of the referenced standards and this specification.
 - 2. Layout drawings showing the location of copper tube including details of the support system, sleeves, unions and appurtenances.

PART 2 PRODUCTS**2.01 SERVICE CLAMPS**

All service connections of all sizes shall be made through the use of service clamps or saddles. Service saddles shall have ductile iron body, double strapped with O-ring resilient gasket, suitable for use on ductile iron pipe or PVC pipe, and tapped with same threads as the corporation stops. Saddles for all mains shall be double strap type saddles and have a maximum working pressure of 350 psi SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.02 CORPORATION STOPS

Corporation stops for use in service clamps shall be equal for 3/4", 1" and 2" service tubing and have a maximum working pressure of 300 psi. Corporation stops shall have iron pipe threads with compression coupling connection for copper tubing outlets. A rigid stainless steel insert stiffener shall be used inside the PE tubing, when encountered. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.03 SERVICE TUBING 3/4", 1" AND 2" POLYETHYLENE TUBING (CTS SERVICE TUBING)

A. Pipe shall be made from virgin, ultra-high molecular weight polyethylene resin meeting the requirements of Type III, Class C, Category P34 polyethylene as defined by ASTM D-1248, latest revision, "Polyethylene Plastics Molding and Extrusion Materials".

B. Dimensions and tolerances shall meet the values as listed in AWWA C-901, latest revision, "Polyethylene (PE) Pressure Pipe Tubing and Fittings". Standard dimension ratio shall be DR-7.3 (OD base), Pressure Class 200 psi.

C. Pipe shall be rated for use with water at 73.4 degrees F. at a hydrostatic design stress of 630 psi and a maximum working pressure of 200 psi. The pipe shall sustain a water pressure as defined in ASTM D 1598 for 1000 hours with water at 73.4 degrees F.

D. Surface shall be homogeneous inside and out and completely free of irregularity. Random testing shall be performed at intervals during all production runs to assure uniformity in all respects. The tubing shall carry the National Sanitation Foundation seal of approval for drinking water.

E. Pipe shall be marked in lettering at intervals of not more than five (5) feet and such marking shall include nominal size; manufacturer's name or trademark; pressure rating for water at 73.4 degrees F., 200 psi; applicable ASTM specification; ASTM material specification, PE 3406; standard dimension ratio, DR-7.3; the National Sanitation Foundation Seal of Approval (NSF mark) and production code.

F. Pipe shall be guaranteed in writing against rot, corrosion and defects for 50 years from date of installation, with pipe replacement and labor cost warranted in writing for 25 years from date of installation.

2.04 COPPER SERVICE TUBING

A. Buried, Exterior - Copper Pipe: Type K hard drawn copper per ASTM B-88. Fittings: Wrought copper or cast brass. Joints: Lead free, tin-silver solder.

B. Buried, Below Slab: Copper Pipe, 2" and Smaller: Type K soft drawn copper per ASTM B-88. Fittings and joints shall not be permitted below slab.

C. Buried: Copper Pipe, 2" and Smaller: Type K soft drawn copper per ASTM B-88. Fittings and joints shall not be permitted in the service tubing.

D. All solder joints shall be soldered with an approved, lead free tin-silver solder. Acid core solder shall not be used.

E. Copper tube shall be as specified herein unless otherwise shown on the Contract Drawings or in the pipe schedule.

F. Copper tube shall conform to the following standards:

	<u>ASTM</u>
Seamless Copper Water Tube	B88
Copper Drainage Tube (DWV)	B306
Seamless Copper Tube, Bright Annealed	B68

1. Seamless copper water tube shall be used for hot and cold water and compressed air.
 - a. Type K where installed in concrete, underground or when immersed in liquids.
 - b. Type L where exposed and in concealed locations inside structures.
 - c. Soft temper when installed in concrete or underground.
 - d. Hard temper when installed in exposed and concealed locations.
 2. Copper drainage tube will be permitted only for sanitary waste, drain and vent piping above ground and inside structures.
 3. Bright annealed seamless copper tube shall be used for liquid fuel and refrigerant and all small (3/8 inch and smaller) tubing unless otherwise specified.
- G. Wall thickness shall be at least equal to Type K seamless copper water tube unless heavier walls are specified.

2.05 METER SETTING EQUIPMENT

A. Meters shall be placed inside meter boxes using coppersetters with 3/4" or 1" saddle nut connection for the meter. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE. All coppersetters shall have a ball angle meter valve (lockable) stop at the meter inlet and dual check valve on the outlet. Coppersetters shall be 12 inches in height with connections for the appropriate service tubing and have a maximum working pressure of 300 psi.

B. For larger meters (1-1/2" and 2") the meters shall be installed with ball meter valves on inlet side and the meter outlet side. Meters shall be placed on concrete block or equivalent support inside the meter box.

C. For individual meter with pressure reducing valves or more than one meter the coppersetters shall be the Tandem type coppersetters. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

D. A rigid stainless steel insert stiffener shall be used inside the PE tubing at all connections to the coppersetters.

2.06 SERVICE METERS

The service meter main body shall be of high grade bronze, with hinges, single lid cover and raised characters cast on the body indicating the direction of flow. Meter shall have a working pressure

rating of 150 psi. The register shall be straight reading gallon type. The register unit shall be hermetically sealed, and driven by permanent magnets. The register shall have a center sweep hand and a test circle shall be divided into 100 equal parts and include a flow finder. The register shall carry a minimum 10-year warranty. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

2.07 METER BOXES

Meter boxes shall be plastic or "Ultra-Rib" circular with dimension as shown on the Drawings. The meter box cover where installation is to be in roadways or sidewalks and shall have heavy duty lid for light vehicular traffic. The meter box where installation is to be roadways or sidewalks shall be of concrete construction for vehicular traffic. The meter box, cover and meter setting shall be constructed as shown on the drawings or as directed by the Owner or Engineer. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.08 ACCESSORIES

A. Fittings and Couplings

1. Fittings for copper tube shall be wrought copper or cast bronze for soldered joints and brass for flared joints.
2. Flexible couplings as shown or required for copper tube shall be flexible metal hose couplings.

B. Joints

1. Joints for seamless copper water tube to be installed in concrete and underground shall be flared type and shall have threads in accordance with AWWA C 800.
2. Joints for seamless copper water tube and copper drainage tube installed exposed and inside structures shall be soldered.
 - a. Solder and flux used in joints of water lines, shall contain no more than 0.2% lead.
 - b. Solder shall be Tin-Silver or approved equal.
 - c. Solder flux shall be as recommended by the solder manufacturer.
3. Joints for bright annealed seamless copper tube used in liquid fuel lines shall have flared joints, approved by Underwriter's Laboratories.
4. Joints for small tubing (3/8 inch and smaller) shall be of the locking type compression fittings or soldered as shown in the piping schedule and as directed.

PART 3 - EXECUTION

3.01 INSTALLATION OF METER SERVICES

All customer meter services shall be installed as shown on the Contract Drawings and shall be set near the property owner's property line and outside of the highway right-of-way. The Owner reserves the right to change the location of the meter services prior to installation for ease of daily

operation of the system and reading the individual meters. Existing customer services must be connected on customer side of meter.

3.02 INSTALLATION OF SERVICE TUBING

A. All service tubing installed beneath bituminous or concrete roads shall be jacked under the roads. When State maintained roads are being jacked and rock is encountered, permission to open cut the road shall be obtained by the Contractor from the Department of Transportation's District Permit Engineer. If permission is refused, the Contractor shall attempt to jack at another location and shall continue to do so until a successful crossing is obtained.

B. Minimum cover for all service lines shall be 36 inches (at all locations) when within the proposed and existing highway right-of-way and construction easements. Additional cover may be required at proposed drainage ditch, storm sewer, or other noted locations.

3.03 BACKFILLING SERVICE TUBING

When service tubing is laid in an open cut across a road of any type surface (crushed stone, bituminous or concrete), the backfill shall consist of Class II granular material (dense graded aggregate) and shall be placed full depth. Payment for Class II material used will not be paid as a separate pay item, but will be included in the price for installing the service tubing.

3.04 INSTALLATION OF COPPER TUBING

- A. Install copper tubing, fittings, specials, and accessories in accordance with the applicable configuration shown on the Contract Drawings and the provisions of the Sections entitled "Trenching, Backfilling and Compacting" and "Pipeline Installation".
- B. Exposed copper tube shall be carefully erected and neatly arranged.
 - 1. Copper tube shall be run parallel with walls inside structures and shall be pitched to drain.
 - 2. Drain valves shall be installed at the low points of liquid filled systems.
 - 3. Valved fill connections shall be provided for closed systems.
- C. Copper tube installed for a compressed air or gas system shall be pitched in the direction of flow.
 - 1. Connections shall be at the top of the main.
 - 2. Low points of the system shall have drip pipes not less than 12 inches long and drain pet-cocks unless automatic moisture traps are shown.
- D. Unions shall be provided on copper tube systems with soldered joints.
 - 1. Unions shall be located at control valves, solenoid valves, moisture and steam traps, other items of connected equipment and as shown on Contract Drawings.
 - 2. Unions shall be of cast bronze or brass construction.
 - 3. Dielectric unions shall be used when connecting copper tube to ferrous metals.

- E. Copper tubing shall be supported and anchored in place by the use of copper or brass units spaced not greater than 10 feet on center and each side of each change of direction.

3.05 FIELD TESTING AND CHLORINATION

- A. Perform hydrostatic and leakage tests in accordance with the applicable provisions of the Section entitled "Leakage Tests", at the test pressure specified or scheduled.
- B. Disinfect piping and appurtenances in accordance with the Section entitled "Chlorination", where specified or scheduled.

-END OF SECTION-

SECTION 02630**TAPPED CONNECTIONS****PART 1 GENERAL****1.01 SUMMARY**

- A. This Section includes tapping and installing of corporation stops and valves on existing or newly installed pipes without interruption of service, as shown on the Contract Drawings, complete with connections and accessories.
- B. Installing of curb stops and boxes where specified or directed.

1.02 REFERENCES

- A. Materials and installation shall be in accordance with the latest revisions of the following codes, standards and specifications, except where more stringent requirements have been specified herein:
 - 1. American Water Works Association (AWWA)

1.03 SUBMITTALS

- A. In addition to those submittals identified in the General Provisions, the following items shall be submitted:
 - 1. Detail drawings for each size corporation stop, curb stop, tapping sleeve and valve, and service box.

PART 2 PRODUCTS**2.01 CORPORATION STOPS**

- A. Corporation stops shall be threaded to conform to AWWA C800 with standard corporation stop thread at the inlet. The outlet shall be fitted with coupling nut for flared tube service unless otherwise specified.

SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.02 CURB STOPS

- A. Curb stops shall be threaded to conform to AWWA C 800 with coupling nuts for flared tube service.
1. $\frac{3}{4}$ -inch shall be of the inverted new type.
 2. 1-inch to 2-inch shall be of the plug-type with "O" ring seals to withstand a minimum working pressure of 175 psi.
 3. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.03 SERVICE CLAMPS

- A. Service clamps shall be designed for use on the type of pipe to which the connection is being made.
1. Ductile iron and asbestos-cement service clamps shall be the double strap type with neoprene gaskets.
 2. Polyvinyl chloride pipe service clamps shall be of a full circle design with a minimum width of 2 inches.
 3. Prestressed concrete pipe service clamps shall be made by or approved for use by the pipe manufacturer.
 4. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.04 SERVICE BOXES

- A. Service boxes shall be constructed of cast iron and sized for the curb stop upon which it is being installed.
1. Stationary shut-off rod shall be provided unless otherwise specified.
 2. Boxes shall be telescopic with a minimum of 1-foot adjustment.
 3. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.05 TAPPING SLEEVES AND VALVES

- A. Tapping sleeves and valves shall be used for connections larger than 2 inches.
 - 1. Tapping sleeves shall be designed and sized in accordance with the recommendations of the manufacturer.
 - 2. Working pressure shall be 200 psi unless higher pressures are scheduled.
 - 3. The seal of the tapping sleeve shall be mechanical joint or low lead 2.5% or less. Low lead as conforming to current regulations.
 - 4. Valves for tapping sleeves shall be designed for the intended service and shall conform to the requirements of the Section entitled "Valves".
 - 5. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

PART 3 EXECUTION**3.01 INSTALLATION**

- A. Install connections and accessories under the direction of personnel who have performed at least ten similar connections in accordance with the configuration shown on the Contract Drawings and the applicable provisions of the referenced Standards.
 - 1. Threaded taps shall be made using a machine designed for cutting, threading and inserting the corporation without interruption of service.
 - a. Teflon tape may be used on corporation threads.
 - 2. Tapping sleeve connections shall be made using a machine to cut and remove the segment through the valve without interruption of service.
- B. Service boxes shall be set plumb and shall be independently supported on two bricks so no weight will be transmitted to the curb stop or carrier pipe.
- C. Service clamps and tapping sleeves installed on prestressed concrete pipe shall be encased in a minimum of 2 inches of concrete mortar after installation.

-END OF SECTION-

SECTION 02640**VALVES****PART 1 - GENERAL****1.01 WORK INCLUDED**

A. The Contractor shall furnish and install valves and miscellaneous piping appurtenances, as indicated on the Drawings and as herein specified.

B. The Drawings and Specifications direct attention to certain features of the equipment, but do not purport to cover all the details of their design. The equipment furnished shall be designed and constructed equal to the high quality equipment manufactured by such firms as are mentioned hereinafter, or as permitted by the Engineer. The Contractor shall furnish and install the equipment complete in all details and ready for operation.

C. Electrical work and equipment specified herein shall conform to the requirements of the applicable electrical sections.

D. Enclosures shall be of a suitable type for the atmospheres in which they are installed.

E. Sizes and capacities not specified herein are indicated on the Drawings.

F. Valves required within pre-engineered pump stations are not covered by this specification section.

PART 2 - PRODUCTS**2.01 BUTTERFLY VALVES**

A. Butterfly valves and operators shall conform to the AWWA Standard Specifications for rubber seated butterfly valves, Designation C504, Class 150, except as hereinafter specified. Valves shall have a minimum 150 psi pressure rating.

B. All butterfly valves shall be of cast iron body per ASTM A-126, Class B. Valve discs shall be of ductile iron per ASTM A-536 and provide uninterrupted 360 degree seating edge. Permanently self-lubricating body bushings shall be provided and shall be sized to withstand bearing loads. Valve shafts shall be Type 304 stainless steel with V-type packing. O-ring seals are not acceptable.

C. Valve seats shall be full resilient seats of Buna - N or Hycar and retained in the body or on the disc edge. If the resilient seat is in the body, the disc shall conform to ASTM A-436 Type 1 (Ni-Resist) or gray/ductile iron with corrosion resistant seating surface. If the resilient seat is mounted on the disc edge, it shall be securely attached with Type 304 stainless steel retaining ring or pins. The disc seating edge shall be Type 316 stainless steel.

D. Valve operators shall be electric actuators as specified elsewhere in the specifications. The valve shaft and actuators shall be designed for both torsional and shearing stresses when the valve is operated under its greatest torque.

E. All valves shall conform with the latest revision of AWWA Standard for Butterfly Valves for Ordinary Water Service, AWWA C504. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.02 GATE VALVES AND BOXES

A. All gate valves shall be of the resilient seat wedge, iron body, non-rising stem, fully bronze mounted with O-ring seals. Valves shall be of standard manufacture and of the highest quality both as to materials and workmanship and shall conform to the latest revisions of AWWA Specification C-500. Valves shall have a rated working pressure of 250 psi.

B. Gate valves for buried service shall be furnished with mechanical joint end connections, unless otherwise shown on the plans or specified herein. The end connections shall be suitable to receive ductile iron or PVC pipe.

C. All gate valves shall have the name or monogram of the manufacturer, the year the valve casting was made, the size of the valve, and the working pressure cast on the body of the valve.

D. Buried service gate valves shall be provided with a 2" square operating nut and shall be opened by turning to the left (counterclockwise).

E. Buried service gate valves shall be installed in a vertical position with valve box as detailed on the plans. They shall be set vertically and properly adjusted so that the cover will be in the same plane as the finished surface of the ground or street. All underground gate valves which have nuts deeper than 36 inches below the valve box top shall have extended stems with nuts located within one foot of the valve box cap.

F. Valve boxes shall be cast iron, two-piece, screwtype (as shown on the drawings) with drop-cover marked "Water". They shall be set vertically and properly adjusted so that the cover will be in the same plane as the finished surface of the ground or street. A concrete pad shall be placed around the valve box cover as shown on the drawings.

G. The Contractor shall furnish two (2) T-operating wrenches in the lengths necessary to operate the buried gate valves for an operator of average height in a normal working position.

H. Gate valves for installation in building, drywells, pits or vaults shall be flanged ANSI B16.1, Class 125 with handwheel operator, non-rising stem or OS&Y as indicated on the drawings.

I. Gate valves installed with tapping sleeves shall have a mechanical joint outlet and a flanged joint connection to the sleeves.

J. All valves shall conform with the latest revision of AWWA Standard for Gate Valves for Ordinary Water Works Service, AWWA C500. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

K. All 24" or larger gate valves shall be supplied with spur gearing and grease case.

L. All gate valves shall receive a two part thermosetting epoxy protective coating both inside and outside of the valve and shall be listed for use as with potable water by the Federal EPA. The epoxy coating shall meet or exceed ANSI/AWWA C550 Standard and ASTM D1763 Standard.

2.03 CONTROL VALVE

A. The control valve shall be a hydraulically operated, single diaphragm-actuated, solenoid controlled, globe pattern design. A 3-way solenoid pilot valve either applies upstream pressure to the upper control chamber to close the main valve or vents the upper control chamber to atmosphere allowing the main valve to open. The solenoid and a limit switch assembly on the main valve are electrically synchronized with the telemetry controls to allow the valve to open or close to fill the tank.

B. In the event of a power failure the valve will open immediately, regardless of the operational mode of the valve at the time of the power failure.

C. The main valve shall be a center guided diaphragm actuated globe valve design. The body and cover shall be ductile iron, ASTM A536, with stainless steel disc guide, seat and cover bearing. The internal and external surfaces of the valve body shall be fusion bonded coated. End connections shall meet the ANSI, or other internationally recognized standard required. The body shall have a replaceable non-threaded seat ring that is held in place by set screws which tighten into a body groove. This seat should be accessible and serviceable without removing the valve from the pipeline. The seat area shall have a flow opening with no stem guides, bearings or supporting ribs.

D. The electric solenoid valve shall be a 3-way solenoid with a manual override system to allow the valve to be operated manually should electrical power be unavailable. The solenoid and limit switch shall be properly rated for the intended service. Liquid to the pilot must be filtered and a cock valve must be provided to isolate the control loop.

F. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

2.04 DUAL DISK VALVE

A. Dual Disc Check Valves shall be suitable for pressures up to 250 psig water service. The check valve shall be of the dual disc, wafer style with torsion spring induced closure. The valves shall be provided for installation between ANSI B16.1 Class 125 iron flanges.

B. The body shall be of one piece construction incorporating a vulcanized synthetic seal. Seal design must allow for positive seating at both high and low pressures. This shall be achieved by a minimal seal contact at low pressure with progressively increased contact at higher pressures. The disc shall fully overlap the synthetic seal, preventing pressure indentations. Opening and closing of the valve must utilize a lift and

pivot action to prevent seal wear and ensure long seal life. The stop and pivot pins shall be stabilized by the use of synthetic spheres to prevent wear due to vibration during operating conditions.

C. The valve body shall be constructed of ASTM A536 Grade 65-45-12 ductile iron. The disc shall be constructed of ASTM B584, Alloy C83600 (2"-12") cast bronze or ASTM B148, Alloy C95200 (14" and larger) cast aluminum bronze. The disc pins and stop pins shall be Type 316 stainless steel. The torsion spring shall be ASTM A313 Type 316 stainless steel up to 16 in. sizes and ASTM A313 Type 17-7 PH on 18 in. and larger sizes. The seal shall be Buna - N per ASTM D2000-BG or Viton per D2000-CA.

D. End connections shall be full diameter threaded flanges.

E. The valves shall be hydrostatically tested at 1.5 times their rated cold working pressure. A seat closure test at the valve rating shall be conducted to demonstrate zero leakage. The manufacturer shall provide test certificates, dimensional drawings, parts list drawings, and operation and maintenance manuals.

F. The exterior of the valve shall be coated with a universal alkyd primer.

G. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

2.05 CHECK VALVES

A. The check valves shall be a swing check valve with flanged ends; outside lever and weight and function to prevent reverse flow. The valve shall be tight seating when closed and full ported when open. The hinged shaft shall be completely out of the water way employing a disc with a convex shape facing the normal flow. The valve shall be manufactured where the closing of the valve will not cause water hammer and minimize disc slam. The valve shall be capable of a tight seal at pressures above 5 psi.

B. The valve body shall be cast iron with a bronze seat ring. The valve disc shall be cast iron and suspended from a non-corrosive shaft. Valves shall be rated at a minimum working pressure of 175 psi.

C. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

2.06 TAPPING VALVES AND SLEEVES

A. Tapping valves and sleeves shall be installed in the locations shown the Contract Drawings. The valves shall be a resilient seat wedge, iron body, non-rising stem, gate valve with a mechanical joint outlet and a flanged joint connection to the sleeves. They shall be provided with a valve box, counterclockwise opening and installed as described in detail on the plans.

B. Tapping Sleeves: Tapping sleeves of the sizes indicated for connection to existing main shall be the cast gray, ductile, or malleable-iron, split-sleeve type with flanged outlet, and with bolts, follower rings and gaskets on each end of the sleeve. Construction shall be suitable for a maximum working pressure of 200 psi. Bolts shall have hexagonal heads and nuts. Longitudinal gaskets and mechanical joints with gaskets shall be as recommended by the manufacturer of the sleeve. When using grooved mechanical tee, it shall consist of an upper housing with full locating collar for rigid positioning which engages a machine-cut hole in pipe, encasing an elastomeric gasket which conforms to the pipe outside diameter around the hole and a lower housing with positioning lugs, secured together during assembly by nuts and bolts as specified, pretorqued to 50 foot-pound.

C. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

D. Tapping valves shall be suitable for a maximum working pressure of 200 psi with 125 lb. flanges

2.07 CUSTOMER SERVICE PRESSURE REDUCING VALVE

A. The individual customer service pressure reducing valve shall be hydraulically operated, spring loaded, diaphragm type control regulator. The valve shall be held open by the force of the compression spring above the diaphragm and shall maintain a constant delivery pressure downstream without shock or water hammer. Adjustments shall be made by an adjusting screw on top of the valve. Setting shall be as shown on the plans. The valve shall have a cast brass or bronze body and cover per ASTM B-62, stainless steel seat (Stainless Steel 303) and adjustment ranges of 40 to 300 psi.

B. The individual pressure reducing valve shall be equipped with a built-in by-pass to prevent a closed system on the customer's side of the meter service.

C. All valves shall be preceded by a strainer provided by the valve manufacturer and have a maximum working pressure the same as the pressure reducing valve.

D. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

2.08 MAIN LINE PRESSURE REDUCING VALVE

A. The pressure reducing valve shall maintain a constant downstream pressure regardless of varying inlet pressure. This valve shall be a hydraulically operated, diaphragm actuated, globe pattern valve. It shall contain a resilient, synthetic rubber disc, having a rectangular cross section, contained on three and one-half sides by a disc retainer and forming a tight seal against a single removable seat insert. The diaphragm assembly containing a valve stem shall be fully guided at both ends by a bearing in the valve cover and integral bearing in the valve seat. This diaphragm assembly shall be the only moving part and shall form a sealed chamber in the upper portion of the valve, separating operating pressure from line pressure. The diaphragm shall consist of nylon fabric bonded with synthetic rubber and shall not be used as a seating surface. Packing glands and/or stuffing boxes are not permitted and there shall be no pistons operating the valve or pilot controls. All necessary repairs shall be possible without removing valve from the line.

B. The main valve body and cover shall be Cast Iron per ASTM A48, and the main valve trim shall be 303 stainless steel. The valve shall come equipped with a valve position indicator. The valve shall be equipped with a flow clean strainer, closing speed control, opening speed control and flow stabilizer. The valve shall be equipped with a V-port diaphragm plug for low flow conditions or approved equal by the Engineer.

C. The pilot control shall be a direct acting, adjustable, spring loaded, normally open, diaphragm valve, designed to permit flow when controlled pressure is less than the spring setting. The control system shall include a fixed orifice. The pilot control valve trim shall be 303 stainless steel.

D. The valve shall have a maximum working pressure rating as stated on the Drawings.

E. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

2.09 AIR RELEASE VALVE

A. The valve shall have a 1" screwed inlet diameter with a 1" corporation stop and a minimum of 3/32" size orifice. The body and cover shall be constructed of cast iron while the float shall be stainless steel. All internal parts, such as lever pins, retaining rings, screws, etc. shall be of stainless steel or bronze construction. Valves shall be suitable for use in lines with an operating pressure up to 175 psi. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

B. A service clamp shall be used to connect the air release valve to the water main. Service clamps and corporation stops shall be those as previously specified in Section 02650, except the corporation stops shall have a female IP thread outlet.

C. The air release valve box shall be a standard meter box with dimensions of 18" I.D. and a height of 36". The valve box cover shall be a standard water meter box cover.

PART 3 - EXECUTION

3.01 INSTALLATION

A. Valves shall be installed as nearly as possible in the positions indicated on the Drawings consistent with conveniences of operating the handwheel or wrench. All valves shall be carefully erected and supported in their respective positions free from all distortion and strain on appurtenances during handling and installation.

B. All material shall be carefully inspected for defects in workmanship and material, all debris and foreign material cleaned out of valve openings and seats, all operating mechanisms operated to check their proper functioning, and all nuts and bolts checked for tightness.

C. Valves and other equipment which do not operate easily or are otherwise defective shall be repaired or replaced at the Contractor's expense.

D. Valves shall be set plumb and supported adequately in conformance with the instructions of the manufacturer. Valves mounted on the face of concrete shall be shimmed vertically and grouted in place. Valves in the control piping shall be installed so as to be easily accessible.

E. All buried valves require a concrete collar for stability. Collar shall be no less than 4" thick and poured to flow water away from the valve. The concrete valve box protector ring is to be with copper locate pin.

3.02 INTERIOR PIPING INSTALLATION

A. It shall be the Contractor's responsibility to furnish a complete system of pipe supports, to provide expansion joints and to anchor all piping. The pipe support system shall be installed complete with all necessary inserts, bolts, nuts, rods, washers, miscellaneous steel, and other accessories.

B. In some instances, expansion joints have been shown on the drawings, but no attempt has been made to indicate every expansion joint for piping included under this portion of the specifications. Portions of the piping are shown on the detail drawings. Some of the piping, however, is shown only on the schematics.

C. Reaction Anchorage and Blocking: All piping exposed in interior locations and subject to internal pressure in which flexible connectors are used shall be blocked, anchored, or harnessed, as shown on the drawings, or as directed by the Engineer to preclude separation of joints.

3.03 PAINTING

Field painting is specified in elsewhere in these specifications.

- END OF SECTION -

SECTION 02645**HYDRANT ASSEMBLY****PART 1 - GENERAL****1.01 SCOPE**

The Contractor shall furnish and install, where shown on the plans and additional locations as directed by the Owner, hydrant assemblies and blow-hydrants manufactured and equipped as described below.

PART 2 - PRODUCTS**2.01 FLUSHING HYDRANT ASSEMBLY**

A. Hydrants shall conform in all respects to the requirements of AWWA C502. All hydrants shall have 6-inch mechanical joint shoe connection, two (2) 2-1/2" hose outlets, one (1) 4-1/2" pumper nozzle with caps. Connection threads and operation nuts shall conform to National Standard Specifications as adopted by National Board of Fire Underwriters. The hydrant shall be equipped with safety flanges designed to prevent barrel breakage when struck by a vehicle and an auxiliary gate valve.

B. Each hydrant shall be fully bronze mounted with the main valve having a threaded bronze seat ring assembly of such design that it is easily removable by unscrewing from a threaded bronze drain ring. Bronze drain ring shall have multiple ports providing positive automatic drainage as the main valve is opened or closed. Drainage waterways shall be completely bronze to prevent rust or corrosion.

C. Operating stems shall be equipped with anti-friction thrust bearing to reduce operating torque and assure easy opening. Stops shall be provided to limit stem travel. Stem threads shall be enclosed in a permanently sealed lubricant reservoir protected from weather and the waterway with O-ring seals.

D. Hydrants shall be designed for 250 psi working pressure and shop tested to 1250 psi pressure with main valve both opened and closed. Under test the valve shall not leak, the automatic drain shall function and there shall be no leakage into the bonnet. Hydrants shall have a UL/FM approved rating.

E. Each hydrant shall be installed with an auxiliary shut-off valve and valve box; valve box cover shall be marked "WATER" as required. Hydrants shall be secured to the shut-off valve by AWWA approved restraint joints, rodding with four (4) equally spaced all thread rods and "Duc-Lugs", or other equally approved method.

F. Inlet cover depth shall be 36" and the minimum dimension from ground to centerline of lowest opening shall be 18". Hydrants shall be supported on a poured-in-place concrete thrust block and provided with a drainage pit as indicated on Standard Detail Sheet.

G. All hydrants shall receive two (2) field coats of Koppers Company, Inc. Glamortex enamel (red). The Owner shall be furnished with two (2) hydrant barrel wrenches, four (4) spanner wrenches and two (2) operating nut wrenches.

H. Below ground hydrants shall be flush type with the upper barrel and nozzles contained in a cast iron box with a non locking lid.

I. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE

J. Hydrant assemblies shall include the isolation valve and both valve and hydrant shall have a UL/FM approved rating.

2.02 UNDERGROUND BLOW-OFF ASSEMBLY

A. Blow-off hydrants shall be of non-freezing, self-draining type, with an overall length of 30". Set underground in a 30" meter box, these hydrants will be furnished with a 2 $\frac{1}{8}$ " Main Valve Opening inlet, a non-turning operating rod, 7/16 inch square operating nut, and shall open to the left. All of the working parts shall be of bronze-to-bronze design, and be serviceable from above grade with no digging. The outlet shall also be bronze and be 2-1/2" NST. Hydrants shall be lockable to prevent unauthorized use. SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

B. The Contractor shall furnish two (2) T-operating wrenches in the lengths necessary to operate the blow-off hydrants for an operator of average height in a normal working position.

2.02 BLOWOFF HYDRANT ASSEMBLY

A. 3-inch Hydrants shall be self-draining, non-freezing, compression type with 2 $\frac{1}{8}$ " main valve opening. Inlet connection shall be MJ. Outlet shall be 2" IP. Hydrants shall be post type SEE SECTION 01600 MATERIAL AND EQUIPMENT for APPROVED MANUFACTURE.

B. Hydrants shall have a ductile iron pipe riser with a cast iron stock top, and non-turning operating rod. Principal interior operating parts shall be brass and removable from the hydrant for servicing without excavating the hydrant.

C. Flushing assembly installation shall also include all excavation, backfill, thrust blocking, and #9 crushed stone.

PART 3 - EXECUTION

3.01 INSTALLATION

A. Hydrants shall be located as shown on the drawings unless otherwise specified by the Owner. Each hydrant shall be connected to the main with a 6-inch branch line having at least as much cover as the distribution main. Hydrants shall be set plumb with pumper nozzle facing the roadway and the cast-iron valve box set flush with the finished surrounding grade. Except where approved otherwise, the backfill around hydrants shall be thoroughly compacted to the finished gradeline immediately after installation to obtain beneficial use of the hydrant as soon as practicable. All hydrants shall be provided with a shut-off valve in the hydrant lateral as shown. All hydrants shall be installed in accordance with the manufacturer's directions and as detailed on the Contract Drawings.

B. Blow-off hydrants shall be located as shown on the drawings unless otherwise specified by the Utility. Each blow-off hydrant shall be connected to the main with at least as much cover as the distribution main. Blow-off hydrants shall be set plumb with nozzle facing the roadway and with the box cover set flush with the finished surrounding grade. The backfill around each hydrant shall be thoroughly compacted to the finished gradeline immediately after installation to obtain beneficial use of the hydrant as soon as practicable. All blow-off hydrants shall be provided with a shut-off valve in the lateral as shown.

- END OF SECTION -

SECTION 02700**SITE RESTORATION****PART 1 - GENERAL****1.01 CLEAN-UP**

Upon completion of the installation of the water main and appurtenances, the Contractor shall remove all debris and surplus construction materials resulting from his work. The Contractor shall grade the ground along each side of the pipe trench and/or structure in a uniform and neat manner leaving the construction area in a shape as near as possible to the original ground line.

1.02 RELATED WORK

Section 02936 – SEEDING

PART 2 - PRODUCTS**2.01 SEEDING**

A. All graded areas shall be seeded at the rate of six (6) pounds of seed per 1,000 square feet. The mixture shall consist of:

Kentucky 31 Fescue	60%
Creeping Red Fescue	20%
Annual Rye Grass	20%

B. After seed has been distributed, the Contractor shall cover areas with straw to a depth of 1-1/2". Any necessary re-seeding or repairing shall be accomplished by the Contractor before final acceptance. Seeding is not a pay item.

PART 3 - EXECUTION**3.01 SITE RESTORATION**

A. After installation of water lines, the construction site will be restored to its original condition or better. All paved streets, roads, sidewalks, curbs, etc. removed or disturbed during construction shall be replaced, and all materials and workmanship shall conform to standard practices and specifications of the Owner, and/or to the Kentucky Department of Highways requirements, and specifications, whichever applies. Gravel, cinder or dirt streets, drives and shoulders shall be replaced and sufficiently compacted to provide a surface suitable for carrying the type of traffic normally imposed at the location.

B. All seeded areas shall be watered daily during the germination period, unless rain supplies the required moisture. The Contractor shall replace, at his own expense, trees, shrubs, etc. disturbed during construction.

C. The Contractor shall remove from the site all equipment, unused materials and other items at his expense. The construction site shall be left in a neat, orderly condition, clear of all unsightly items, before the Work is finally accepted.

- END OF SECTION -

SECTION 02936**SEEDING****PART 1 - GENERAL****1.01 WORK INCLUDED**

- A. Preparation of subgrade to receive topsoil.
- B. Spreading topsoil.
- C. Seeding and fertilizing.
- D. Seed protection on slopes.
- E. Hydroseeding.
- F. Maintaining seeded areas until acceptance.

1.02 RELATED WORK

Section 01450 - Quality Control.

1.03 QUALITY ASSURANCE

Test top soil under provisions of Section 01450.

1.04 DELIVERY, STORAGE, AND HANDLING

- A. Deliver grass seed in original containers showing analysis of seed mixture, percentage of pure seed, year of production, new weight, date of packaging and location of packaging. Damaged packages are not acceptable.
- B. Deliver fertilizer in waterproof bags showing weight, chemical analysis, and name of manufacturer.

1.05 EXISTING CONDITIONS

Beginning work of this Section means acceptance of existing conditions.

PART 2 - PRODUCTS**2.01 GROWING MEDIA**

- A. Existing Topsoil: Natural, fertile agricultural soil capable of sustaining vigorous plant growth, not in frozen or muddy condition, containing not less than 6 percent organic matter, and corrected to pH value of 5.9 to 7.0. Free from subsoil, slag, clay, stones, lumps, live plants, roots, sticks, crabgrass, couchgrass, noxious weeds, and foreign matter.
- B. Fertilizer: 10-10-10 commercial type with 50 percent of the elements derived from organic sources.

2.02 SEED

Seed shall be proportioned by weight as follows: Kentucky 31 Fescue, 60%; Creeping Red Fescue, 20%, Annual Rye Grass, 20%.

2.03 ACCESSORIES

A. Mulching Material: Straw from oat, wheat, rye or barley, reasonably free from seeds, foreign matter detrimental to plant life, and in dry condition.

B. Mulching Material: Wood or wood cellulose fiber free of growth or germination inhibiting ingredients.

C. Establishment Blanket: Uniform, open weave jute matting.

PART 3 - EXECUTION**3.01 PREPARATION**

A. Protect existing underground improvements from damage.

B. Remove foreign materials, plants, roots, stones, and debris, from site. Do not bury foreign material.

C. Remove contaminated subsoil.

D. Cultivate to depth of 3 inches, area to receive topsoil. Repeat cultivation areas where equipment has compacted subgrade.

3.02 SPREADING TOPSOIL

A. Spread topsoil to depth of 6 inches over area to be seeded. Place during dry weather, and on dry unfrozen subgrade.

B. Cultivate topsoil to depth of 6 inches with mechanical tiller. Cultivate inaccessible areas by hand. Rake until surface is smooth.

C. Remove from site, foreign materials collected during cultivation.

D. Grade to eliminate rough spots and low areas where ponding may occur. Maintain smooth, uniform grade.

E. Assure positive drainage away from buildings.

F. Finish ground level firm and sufficient to prevent sinkage pockets when irrigation is applied.

3.03 FERTILIZING

A. Apply fertilizer, at a rate of 15 lbs. per 1,000 sq. ft.

B. Do not apply grass seed and fertilizer at same time in same machine.

C. Lightly water to aid breakdown of fertilizer and to provide moist soil for seed.

3.04 SEED

- A. Apply seed at a rate of 6 lbs. per 1,000 sq. ft. evenly in two intersecting directions. Rake in lightly.
- B. Do not sow immediately following rain, when ground is too dry, or during windy periods.
- C. Roll seeded area with roller not exceeding 112 lbs.
- D. Apply water with fine spray immediately after each area has been sown.
- E. Seed shall be sown from March 15 to April 15 or from August 25 to September 25.

3.05 HYDROSEEDING

- A. Apply slurry at rate of 6 lbs., per 1,000 sq. ft. evenly in two intersecting direction and with hydraulic seeder.
- B. Immediately following hydroseeding, mulch areas by means of mulch blower at rate of 1,200 pounds per acre on level grades, 2,000 lbs. on slopes.
- C. Do not seed area in excess of that which can be mulched on the same day.

3.06 SEED PROTECTION ON SLOPES

- A. Cover seeded slopes where grade is 3:1 or greater with jute matting. Roll matting down over slopes without stretching or pulling.
- B. Lay matting smoothly on soil surface, boring top end of each section in narrow 6-inch trench. Leave 12-inch overlap from top roll over bottom roll. Leave 4-inch overlap over adjacent section.
- C. Staple outside edges and overlaps at 36-inch intervals.
- D. Lightly dress slopes with topsoil to ensure close contact between matting and soil.
- E. In ditches, unroll matting in direction of flow. Overlap ends of strips 6 inches with upstream section on top.

3.07 MAINTENANCE PERIOD

Maintenance Period: Until final acceptance.

3.09 RESTORATION

Restore grassed areas damaged during execution of work of this Section.

3.10 ACCEPTANCE

Seeded areas will be accepted at end of maintenance period when seeded areas are properly established and otherwise acceptable.

- END OF SECTION -

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

RECEIVED
NOV 26 2012
PUBLIC SERVICE
COMMISSION

In the Matter of:

THE APPLICATION OF MARION COUNTY WATER)
DISTRICT FOR A CERTIFICATE OF PUBLIC)
CONVENIENCE AND NECESSITY TO CONSTRUCT) CASE NO. ____
AND FINANCE IMPROVEMENT PROJECTS)
PURSUANT TO KRS 278.020 and 278.300)

A P P L I C A T I O N

This Application of the Marion County Water District (the "Applicant") of Marion County, Kentucky, respectfully shows:

1. That Applicant is a water district in Marion County, Kentucky, created and existing under and by virtue of Chapter 74 of the Kentucky Revised Statues.

2. The District's office address is PO Box 528, Lebanon KY 40033.

3. That Applicant, pursuant to the provisions of KRS 278.020 and 278.300, seeks (i) a Certificate of Public Convenience and Necessity, permitting Applicant to construct a waterworks construction project, consisting of extensions, additions, and improvements (the "Project") to the existing waterworks system of Applicant and (ii) approval of the proposed plan of financing said Project.

4. The water system improvements projects consist of:

Contract No. 2 – Water System Improvements KY 84 Water Main Replacement,

5. That Applicant proposes to finance the construction of the Project through a 20 year loan from the Kentucky Infrastructure Authority Fund B (non-federal funding) in the amount of \$548,180 at 1.75 percent interest.

6. That Applicant does not contemplate having the Project constructed with any deviation from minimum construction standards of the Public Service Commission.

7. That Applicant files herewith the following Exhibits pursuant to 807 KAR 5:001 in support of this Application.

A. Articles of Incorporation

B. Copy of KIA Conditional Commitment Letter,

- C. A full description of the proposed location of the new construction and map showing the location; Engineering plans and specifications signed by a Kentucky registered Engineer; As Bid project budget; and Certified Bid Tabs.
- D. Certified statement from the Chairman of the District based upon statements of the Engineers for the District, concerning the following:
 - (1) The proposed plans and specifications for the Project have been designed to meet the minimum construction and operating requirements set out in 807 KAR 5:066 Section 4(3) and (4); Section 5(1); Sections 6 and 7; Sections 8(1) through (3); Section 9(1) and Section 10;
 - (2) All other state approvals or permits have already been obtained;
 - (3) Setting out the dates when it is anticipated that construction will begin and end.

8. That the foregoing constitutes the documents necessary to obtain the approval of the Public Service Commission in accordance with Section 278.020 and Section 278.0300 of the Kentucky Revised Statutes and in accordance with the "Filing Requirements" specified in 807 KAR 5:001, Section 9.

WHEREFORE, the Applicant, Marion County Water District asks that the Public Service Commission of Kentucky grant to the Applicant the following:

- a. A Certificate of Public Convenience and Necessity permitting Applicant to construct a waterworks project consisting of extensions, additions, and improvements to the existing waterworks system of Applicant.
- b. An Order approving the financing arrangements made by Applicant, utilizing a \$548,180 loan.

MARION COUNTY WATER DISTRICT

By: Barbara May
Barbara May
Chairperson

Joseph H. Mattingly III, Attorney
By: Joseph H. Mattingly III
Joseph H. Mattingly III
Attorney

MARION COUNTY COURT JUNE TERM JUNE 5, 1961

RE: IN THE MATTER OF THE ESTABLISHMENT OF A WATER DISTRICT FOR MARION COUNTY:

It appearing to the Court that a Petition of more than seventy five (75) resident free holders of the proposed district hereinafter described has been filed asking that a water district in the hereinafter described territory of Marion County, and it appearing to the Court that a notice of said Petition has been given and the Court being of the opinion that all the legal regulations have been met,

IT IS ORDERED AND ADJUDGED BY THE COURT:

1. that a water district be and it is hereby established in the following district:

Situated in Marion County, Kentucky, and being all that portion of Marion County, which lies outside of the corporate territory of the City of Lebanon, Kentucky, and which lies to the West of the line one-tenth mile east of Kentucky 55 and it traverses Marion County, Kentucky.

2. The reason for the establishment of the said water district is, to promote the general welfare of the people in the said territory, to make available fire protection, to provide for ~~#####~~ better health, purified water, and to promote industrialization and urbanization.

3. The said district shall be known as the Marion County Water District and the Commissioners who shall manage and run the said district according to the laws of this Commonwealth shall be ~~####~~ John R. Wheatley, Henry Lyons and Ed O'Daniel each of whom shall serve until further order of this Court at no salary. The said John R. Wheatley shall serve for a term of two years; the said Henry Lyons for a term of three years; and, the said Ed O'Daniel each of whom shall serve until further order of this Court at no salary. The said John R. Wheatley shall serve for a term of two years; the said Henry Lyons for a term of three years; and, the said Ed O'Daniel for a term of four years unless removed by order of this Court hereafter.

4. The Court finds that the establishment of such a District is reasonably necessary for the public health, convenience, fire protection, and the comfort of the resident in the said district.

5. Each of the named Commissioners shall execute a Bond, proved by the Court, conditioned on the faithful performance of the duties of his position.

Dated this the 5th day of June, 1961.

Joe B. Jarboe Jr.
Joe B. Jarboe Jr. Judge, Marion County Court.

State of Kentucky
County of Marion

I, Philip Jarboe, Clerk of the aforesaid County of the State:

do hereby certify that the foregoing Order
is a true and correct copy, as recorded in my office in
Order Book 17 Page 634
and same is still in full force and effect.

Given under my hand, this the 13 day of Aug.
19 61

Philip Jarboe, Marion County Court Clerk
Philip Jarboe

Copy



RECEIVED

NOV 26 2012

PUBLIC SERVICE

KENTUCKY INFRASTRUCTURE AUTHORITY COMMISSION

1024 Capital Center Drive, Suite 340

Frankfort, Kentucky 40601

Phone (502) 573-0260

Fax (502) 573-0157

<http://kia.ky.gov>

John E. Covington III
Executive Director

Steven L. Beshear
Governor

August 7, 2012

Ms. Barbara R. May, Chairperson
Marion County Water District
P.O. Box 528
Lebanon, KY 40033

KENTUCKY INFRASTRUCTURE AUTHORITY
INFRASTRUCTURE REVOLVING LOAN FUND
CONDITIONAL COMMITMENT LETTER (B12-06)

Dear Ms. May:

The Kentucky Infrastructure Authority ("the Authority") commends your efforts to improve public service facilities in your community. On August 2, 2012, the Authority approved your loan for the Highway 84 Water Line Upgrades project subject to the conditions stated below. The total cost of the project shall not exceed \$548,180 of which the Authority loan shall provide \$548,180 of the funding. The final loan amount will be equal to the Authority's portion of estimated project cost applied to the actual project cost. Attachment A incorporated herein by reference fully describes the project.

An Assistance Agreement will be executed between the Authority and the Marion County Water District upon satisfactory performance of the conditions set forth in this letter. A period of twelve months from the date of this letter (8/7/2013) will be allowed for you to meet the conditions set forth in this letter and enter into an Assistance Agreement. A one-time extension of up to six months may be granted for applicants that experience extenuating circumstances. Funds will be available for disbursement only after execution of the Assistance Agreement.

The Assistance Agreement and this commitment shall be subject, but not limited to, the following terms:

1. The Authority project loan shall not exceed \$548,180.
2. The loan shall bear interest at the rate of 1.75% per annum commencing with the first draw of funds.

3. The loan shall be repaid over a period not to exceed 20 years from the date the loan is closed.
4. Interest shall be payable on the amount of actual funds received. The first payment shall be due on June 1 or December 1 immediately succeeding the date of the initial draw of funds, provided that if such June 1 or December 1 shall be less than three months since the date of the initial draw of funds, then the first interest payment date shall be the June 1 or December 1 which is at least six months from the date of the initial draw of funds. Interest payments will be due each six months thereafter until the loan is repaid.
5. Full principal payments will commence on June 1 or December 1 immediately succeeding the date of the last draw of funds, provided that if such June 1 or December 1 shall be less than three months since the date of the last draw of funds, then the first principal payment date shall be the June 1 or December 1 which is at least six months from the date of the last draw of funds. Full payments will be due each six months thereafter until the loan is repaid.
6. A loan servicing fee of 0.20% of the annual outstanding loan balance shall be payable to the Authority as a part of each interest payment.
7. Loan funds will be disbursed after execution of the Assistance Agreement as project costs are incurred.
8. The final Assistance Agreement must be approved by ordinance or resolution, as applicable, of the city council or appropriate governing board.

The following is a list of the standard conditions to be satisfied prior to execution of the Assistance Agreement or incorporated in the Assistance Agreement. Any required documentation must be submitted to the party designated.

1. Upon completion of final design of the facilities in the attached project description, favorable approval shall be obtained of such design by all appropriate parties as required by Kentucky statute or administrative regulation.
2. Applicant must provide certification from their legal counsel stating that they have prepared construction specifications in accordance with all applicable state or federal wage rate laws, and that the procurement procedures, including those for construction, land, equipment and professional services that are a part of the project, are in compliance with applicable federal, state and local procurement laws.

3. Documentation of final funding commitments from all parties other than the Authority as reflected in the Attachment A description shall be provided prior to preparation of the Assistance Agreement and disbursement of the loan monies. Rejections of any anticipated project funding or any new sources of funding not reflected in Attachment A shall be immediately reported and may cause this loan to be subject to further consideration.
4. Upon receipt of construction bids a tabulation of such bids and engineer's recommendations on compliance with bid specifications and recommendation for award, shall be forwarded to the Authority for final approval and sizing of this loan and the project.
5. Based on the final "as bid" project budget, the community must provide satisfactory proof, based on then existing conditions, that the revenue projections in the attached descriptions are still obtainable and that the projections of operating expenses have not materially changed. The "as bid" project budget shall be reviewed and approved by your consultant engineer
6. Any required adjustment in utility service rates shall be adopted by ordinance, municipal order or resolution by the appropriate governing body of the Borrower. Public hearings as required by law shall be held prior to the adoption of the service rate ordinance, order, or resolution. Any required approvals by the Kentucky Public Service Commission shall be obtained.
7. All easements or purchases of land shall be completed prior to commencement of construction. Certification of all land or easement acquisitions shall be provided to the Authority.
8. The loan must undergo review by the Capital Projects and Bond Oversight Committee of the Kentucky Legislature prior to the state's execution of the Assistance Agreement. The committee meets monthly on the third Tuesday. At this time we know of no further submission required for their review; however, they may request information as needed.
9. Documentation of Clearinghouse Endorsement and Clearinghouse Comments.
10. The Borrower must complete and return to the Authority the attached "Authorization For Electronic Deposit of Vendor Payment" Form.

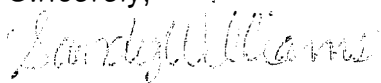
Ms. Barbara R. May
8/7/2012
Page 4

11. Implement the Kentucky Uniform System of Accounting (KUSoA), or an alternative approved by the Authority and assure that rates and charges for services are based upon the cost of providing such service.
12. Final Design Plans in an AutoCAD Drawing File Format (DWG), referenced to the appropriate (North, South or Single) Kentucky State Plane Coordinate System (NAD83-Survey Feet) on a Compact Disc (CD). If there is a significant deviation from the Final Design Plan during construction, As-built plans shall also be provided to the Authority in the same format.

Any special conditions listed below and/or stated in Attachment A must be resolved.

Please inform the Authority of any changes in your financing plan as soon as possible. We will assist you in a final evaluation of the financing plan when construction bids are available. We wish you every success for this project which will benefit both your community and the Commonwealth as a whole.

Sincerely,

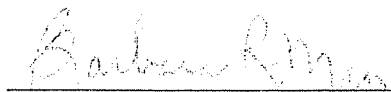


Sandy Williams
Financial Analyst

Attachments

cc: Jimmy Mudd, Manager, Marion County Water District
Holly Nicholas, Kentucky Engineering Group, PLLC
James C. Thompson, PE, Kentucky Engineering Group, PLLC
Dirk Bedarff, Peck, Shaffer & Williams LLP
State and Local Debt Office, DLG
Borrower File - Marion County Water District - B12-06

Please sign and return a copy of this letter indicating your acceptance of this commitment and its terms. Also attach the completed "Authorization For Electronic Deposit of Vendor Payment" Form.



Accepted

Date

**MARION COUNTY WATER DISTRICT
B12-06 - \$548,180**

Checklist of Required Documents to Send to KIA

1. Conditional Commitment Letter:
 - a. Send original signed Conditional Commitment Letter to KIA
 - b. Send original signed Electronic Funds Transfer Form
2. Send copies of documentation of Public Service Commission approval to incur debt and/or Certificate of Public Convenience and Necessity
3. Send certification of acquisition of all easements or purchases of land prior to the commencement of construction.
4. Send Legal Counsel Procurement and Wage Certification - (Certification from legal counsel stating construction specifications have been prepared in accordance with all applicable state or federal wage rate laws, and that the procurement procedures, including those for construction, land, equipment and professional service that are a part of the project, are in compliance with applicable federal, state and local procurement laws.)
5. Send documentation of approval of plans and specifications by appropriate party.
6. Send "As bid" tabulations and Engineer's recommendation on compliance with bid specifications and recommendation for award.
7. Send "As bid" project budget; must be reviewed and approved by consulting engineer.
8. Send certification from city/district that revenues and expenses projections (as presented to KIA Board in Cash Flow and Balance Sheets) are still attainable based on existing conditions and "as bid" budget.
9. Upon completion of project, send final design plans in an AutoCAD Drawing File Format (DWG), referenced to the appropriate (North, South or Single) Kentucky State Plane Coordinate System (NAD83-Survey Feet) on a compact disc (CD). If there is a significant deviation from the Final Design Plan during construction, As-built plans shall also be provided to KIA in the same format.

AUTHORIZATION FOR ELECTRONIC DEPOSIT
OF BORROWER PAYMENT
KENTUCKY INFRASTRUCTURE AUTHORITY
(FUND B12-06)

Borrower Information:

Name: MARION COUNTY WATER DISTRICT

Address: P. O. BOX 528, 1835 CAMPBELLSVILLE RD.

City: LEBANON State: KY Zip: 40033

Telephone: 270-692-2004 Contact: JIMMY MUDD

Federal I.D. # [REDACTED]

Financial Institution Information:

Bank Name: CITIZENS NATIONAL BANK

Branch: _____ Phone No: 270-692-2113

City: LEBANON State: KY Zip: 40033

Transit / ABA No.: 083901702

Account Name: MARION COUNTY WATER DISTRICT KIA-TDF ACCOUNT

Account Number: [REDACTED]

I, the undersigned, authorize payments directly to the account indicated above and to correct any errors which may occur from the transactions. I also authorize the Financial Institution to post these transactions to that account.

Signature: *Barbara R May* Date: 8-14-10

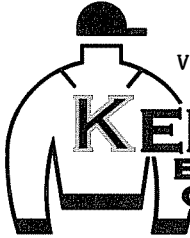
Name Printed: BARBARA R. MAY Job Title: MADAM CHAIR

Please return completed form to: **Kentucky Infrastructure Authority**
1024 Capital Center Drive, Suite 340
Frankfort, KY 40601
phone: 502-573-0260
fax: 502-573-0157

PROJECT DESCRIPTION

This project is the upgrading of approximately 25,000 linear feet of water line along Highway 84 in the Raywick area of Marion County. The current line is a 4 inch line that is nearly 40 years old. The demand in this area and beyond requires a 6 inch line to provide the necessary pressure and volume. A map showing the location of the new line to be installed on existing utility right of way follows.

P:\PROJECTS\Marion County\General\Exhibit-KY84.dwg KEG 10/06/11



P.O. Box 1034
VERSAILLES, KENTUCKY 40383

KENTUCKY
ENGINEERING
GROUP, PLLC

**KY 84 WATER MAIN
REPLACEMENT PROJECT**

FOR THE
MARION COUNTY WATER DISTRICT
LEBANON, KENTUCKY

Project No.
Date OCT., 2011
Dwg. No. 1
Sheet 1

**KENTUCKY INFRASTRUCTURE AUTHORITY
PROJECT BUDGET
PROJECT #**

Cost Classification		Infrastructure Revolving Loan (Fund B)	Other Funding Source A	Other Funding Source B	Other Funding Source C	Local Funds	Total Project Cost
1	Administrative Expenses (Including Interim Financing)	10,000					
2	Legal Expenses	5,000					
3	Land, Appraisals, Easements, Right-of-Way	0					
4	Relocation Expense & Payments	0					
5	Planning	0					
6	Engineering Fees - Design	35,310					
7	Engineering Fees - Construction	8,820					
8	Engineering Fees - Inspection	32,800					
9	Construction	337,249					
10	Equipment						
11	Contingency	119,001					
12	Other						
	Total	548,180					

Funding Sources	Amount	Date Committed
A		
B		
C		

Please identify all sources and amounts of Local Funding		Amount
1		
2		
3		

**MARION COUNTY WATER DISTRICT
1835 CAMPBELLSVILLE ROAD
P O BOX 528
LEBANON, KY 40033**

•
Telephone: 270-692-2004
Fax: 270-692-1010
TTY 1-800-648-6956 or 711

November 5, 2012

Mr. Jeff R. Derouen
Executive Director
KY Public Service Commission
PO Box 615
Frankfort KY 40602

RE: Certificate of Public Convenience and Necessity

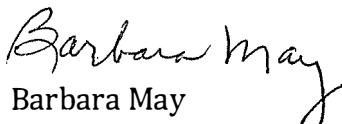
Dear Mr. Derouen:

As Chairperson of the Marion County Water District, I certify that the proposed plans and specifications for our KY 84 Water Line Replacement project have been designed to meet the minimum construction and operating requirements set out in 807 KAR 5:066 Section 4(3) ; Section 5(1); Sections 6 and 7; Sections 8(1) through (3); Section 9(1) and Section 10.

We have obtained approvals from the Kentucky Division of Water and the Kentucky Department of Highways.

The bids were opened November 1, 2012, and are good for 90 days, and construction is to take 90 days once we can execute contracts.

Sincerely,


Barbara May
Chairperson

KENTUCKY ENGINEERING GROUP, PLLC				Engineer's Estimate		PACKS, Inc. Morehead, KY		HORSLEY CONSTRUCTION Hudson, KY		UNITED PIPELINE, INC. Bowling Green, KY		BEAUTY RIDGE GENERAL CONTRACTORS South Shore, KY		CLAY PIPELINE, INC. Manchester, KY		K. CARRENDER CONST. Somerset, KY		NORRIS BROS. EXCAVATING Crossville, TN		CLEARY CONSTRUCTION, INC. Tompkinsville, KY		TWIN STATES UTILITIES, INC. Mt. Hermon, KY		AVERAGE BID AMOUNTS	
Item No.	Description	Quantity	Unit	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount	\$ / Unit	Bid Amount
1	6" PVC Water Main SDR 21, Class 200	13,900	LF	\$ 10.50	\$ 145,950.00	\$ 7.90	\$ 109,810.00	\$ 9.05	\$ 125,795.00	\$ 12.00	\$ 166,800.00	\$ 9.90	\$ 137,610.00	\$ 10.90	\$ 151,510.00	\$ 13.00	\$ 180,700.00	\$ 13.95	\$ 193,905.00	\$ 17.00	\$ 236,300.00	\$ 25.00	\$ 347,500.00	\$ 13.19	\$ 183,325.56
2	6" PVC Water Main SDR 17, Class 250	9,550	LF	\$ 11.50	\$ 109,825.00	\$ 8.65	\$ 82,607.50	\$ 9.84	\$ 93,972.00	\$ 12.00	\$ 114,600.00	\$ 10.70	\$ 102,185.00	\$ 12.00	\$ 114,600.00	\$ 14.00	\$ 133,700.00	\$ 15.95	\$ 152,322.50	\$ 19.00	\$ 181,450.00	\$ 26.00	\$ 248,300.00	\$ 14.24	\$ 135,970.78
3	4" PVC Water Main SDR 21, Class 200	200	LP	\$ 9.00	\$ 1,800.00	\$ 6.24	\$ 1,248.00	\$ 10.00	\$ 2,000.00	\$ 10.00	\$ 2,000.00	\$ 7.00	\$ 1,400.00	\$ 8.80	\$ 1,760.00	\$ 11.75	\$ 2,350.00	\$ 16.00	\$ 3,200.00	\$ 22.00	\$ 4,400.00	\$ 23.00	\$ 4,600.00	\$ 12.75	\$ 2,550.89
4	3" PVC Water Main SDR 17, Class 250	200	LF	\$ 8.00	\$ 1,600.00	\$ 6.14	\$ 1,228.00	\$ 10.00	\$ 2,000.00	\$ 10.00	\$ 2,000.00	\$ 7.00	\$ 1,400.00	\$ 8.15	\$ 1,630.00	\$ 11.50	\$ 2,300.00	\$ 15.00	\$ 3,000.00	\$ 21.00	\$ 4,200.00	\$ 22.00	\$ 4,400.00	\$ 12.31	\$ 2,462.00
5	6" Gate Valve and Box	25	EA	\$ 850.00	\$ 21,250.00	\$ 874.00	\$ 21,850.00	\$ 700.00	\$ 17,500.00	\$ 700.00	\$ 17,500.00	\$ 825.00	\$ 20,625.00	\$ 1,085.00	\$ 27,125.00	\$ 800.00	\$ 20,000.00	\$ 700.00	\$ 17,500.00	\$ 880.00	\$ 22,000.00	\$ 700.00	\$ 17,500.00	\$ 807.11	\$ 20,177.78
6	4" Gate Valve and Box	2	EA	\$ 750.00	\$ 1,500.00	\$ 745.00	\$ 1,490.00	\$ 600.00	\$ 1,200.00	\$ 600.00	\$ 1,200.00	\$ 710.00	\$ 1,420.00	\$ 972.00	\$ 1,944.00	\$ 700.00	\$ 1,400.00	\$ 600.00	\$ 1,200.00	\$ 690.00	\$ 1,380.00	\$ 600.00	\$ 1,200.00	\$ 690.78	\$ 1,381.56
7	3" Gate Valve and Box	6	EA	\$ 650.00	\$ 3,900.00	\$ 725.00	\$ 4,350.00	\$ 600.00	\$ 3,600.00	\$ 555.00	\$ 3,330.00	\$ 690.00	\$ 4,140.00	\$ 947.00	\$ 5,682.00	\$ 600.00	\$ 3,600.00	\$ 500.00	\$ 3,000.00	\$ 625.00	\$ 3,750.00	\$ 550.00	\$ 3,300.00	\$ 643.56	\$ 3,861.33
8	2" Gate Valve and Box	1	EA	\$ 650.00	\$ 650.00	\$ 565.00	\$ 565.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 510.00	\$ 510.00	\$ 838.00	\$ 838.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 500.00	\$ 540.00	\$ 540.00	\$ 500.00	\$ 500.00	\$ 550.33	\$ 550.33
9	Connection to Existing Water Main	11	EA	\$ 1,750.00	\$ 19,250.00	\$ 2,200.00	\$ 24,200.00	\$ 875.00	\$ 9,625.00	\$ 700.00	\$ 7,700.00	\$ 2,900.00	\$ 31,900.00	\$ 1,890.00	\$ 20,790.00	\$ 900.00	\$ 9,900.00	\$ 2,200.00	\$ 24,200.00	\$ 1,400.00	\$ 15,400.00	\$ 1,800.00	\$ 19,800.00	\$ 1,651.67	\$ 18,168.33
10	Reconnection of Customer Services	43	EA	\$ 500.00	\$ 21,500.00	\$ 425.00	\$ 18,275.00	\$ 860.00	\$ 36,980.00	\$ 400.00	\$ 17,200.00	\$ 600.00	\$ 25,800.00	\$ 450.00	\$ 19,350.00	\$ 250.00	\$ 10,750.00	\$ 585.00	\$ 25,155.00	\$ 450.00	\$ 19,350.00	\$ 350.00	\$ 15,050.00	\$ 485.56	\$ 20,878.89
11	Flushing Hydrant Assembly	5	EA	\$ 3,000.00	\$ 15,000.00	\$ 2,980.00	\$ 14,900.00	\$ 2,600.00	\$ 13,000.00	\$ 3,500.00	\$ 17,500.00	\$ 3,167.00	\$ 15,835.00	\$ 3,775.00	\$ 18,875.00	\$ 3,000.00	\$ 15,000.00	\$ 3,300.00	\$ 16,500.00	\$ 3,050.00	\$ 15,250.00	\$ 3,600.00	\$ 18,000.00	\$ 3,219.11	\$ 16,095.56
12	Bore w/Steel Casing Pipe	210	LF	\$ 150.00	\$ 31,500.00	\$ 100.00	\$ 21,000.00	\$ 94.00	\$ 19,740.00	\$ 110.00	\$ 23,100.00	\$ 130.00	\$ 27,300.00	\$ 122.00	\$ 25,620.00	\$ 120.00	\$ 25,200.00	\$ 135.00	\$ 28,350.00	\$ 135.00	\$ 28,350.00	\$ 200.00	\$ 42,000.00	\$ 127.33	\$ 26,740.00
13	Bore w/PVC Casing Pipe	320	LF	\$ 100.00	\$ 32,000.00	\$ 80.00	\$ 25,600.00	\$ 35.00	\$ 11,200.00	\$ 65.00	\$ 20,800.00	\$ 80.00	\$ 25,600.00	\$ 43.00	\$ 13,760.00	\$ 75.00	\$ 24,000.00	\$ 135.00	\$ 43,200.00	\$ 60.00	\$ 19,200.00	\$ 160.00	\$ 51,200.00	\$ 81.44	\$ 26,062.22
14	Type "A" Creek Crossing	60	LF	\$ 75.00	\$ 4,500.00	\$ 90.00	\$ 5,400.00	\$ 50.00	\$ 3,000.00	\$ 80.00	\$ 4,800.00	\$ 75.00	\$ 4,500.00	\$ 41.00	\$ 2,460.00	\$ 60.00	\$ 3,600.00	\$ 95.00	\$ 5,700.00	\$ 120.00	\$ 7,200.00	\$ 100.00	\$ 6,000.00	\$ 79.00	\$ 4,740.00
15	Type "B" Creek Crossing	20	LF	\$ 100.00	\$ 2,000.00	\$ 90.00	\$ 1,800.00	\$ 100.00	\$ 2,000.00	\$ 80.00	\$ 1,600.00	\$ 125.00	\$ 2,500.00	\$ 60.00	\$ 1,200.00	\$ 90.00	\$ 1,800.00	\$ 95.00	\$ 1,900.00	\$ 160.00	\$ 3,200.00	\$ 150.00	\$ 3,000.00	\$ 105.56	\$ 2,111.11
16	Air Release Valve	3	EA	\$ 800.00	\$ 2,400.00	\$ 975.00	\$ 2,925.00	\$ 1,000.00	\$ 3,000.00	\$ 500.00	\$ 1,500.00	\$ 690.00	\$ 2,070.00	\$ 815.00	\$ 2,445.00	\$ 900.00	\$ 2,700.00	\$ 1,000.00	\$ 3,000.00	\$ 970.00	\$ 2,910.00	\$ 1,000.00	\$ 3,000.00	\$ 872.22	\$ 2,616.67
TOTAL CALCULATED BID AMOUNT					\$ 414,625.00		\$ 337,248.50		\$ 345,112.00		\$ 402,130.00		\$ 404,795.00		\$ 409,589.00		\$ 437,500.00		\$ 522,632.50		\$ 564,880.00		\$ 785,350.00		\$ 467,693.00

I certify that this is true and accurate tabulation of the bids.
The above is a true and complete tabulation of the bids received at 11:00 a.m. local time, Thursday, November 1, 2012 at the Marion County Water District office, Lebanon, Kentucky.

KENTUCKY ENGINEERING GROUP, PLLC

By: James C. Thompson

James C. Thompson, PE