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Rocco.O.D'Ascenzo@duke-energy.com
Rocco O. D'Ascenzo
Associate General Counsel

VIA OVERNIGHT DELIVERY

November 17, 2014

Mr. Jeff Derouen
Executive Director
Kentucky Public Service Commission
211 Sower Blvd
Frankfort, KY 40601

RECEIVED

NOV 18 2014

PUBLIC SERVICE
COMMISSION

Re: Case No. 2012-00428
Consideration of the Implementation of Smart Grid and Smart Meter Technologies

Dear Mr. Derouen:

Enclosed please find an original and twelve copies of the Motion to Adopt Testimony of Mark Wyatt and the Motion to Adopt Testimony of Timothy Duff along with the Direct Testimony of James Rainear and Direct Testimony of Bruce L. Sailers, respectively, in the above captioned matter. Please date-stamp the extra 2 copies of the filing and return to me in the enclosed overnight envelope.

Sincerely,

Rocco D'Ascenzo
Associate General Counsel

cc: Jennifer Hans

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COMMONWEALTH OF KENTUCKY

BEFORE THE PUBLIC SERVICE COMMISSION

RECEIVED

In the Matter of:

CONSIDERATION OF THE
IMPLEMENTATION OF SMART
GRID AND SMART METER
TECHNOLOGIES

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)
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Case No. 2012-00428

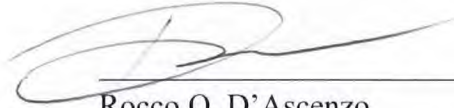
NOV 18 2014

PUBLIC SERVICE
COMMISSION

**DUKE ENERGY KENTUCKY, INC.'S
MOTION TO ADOPT TESTIMONY
OF TIMOTHY J. DUFF**

Comes now Duke Energy Kentucky, Inc. (Duke Energy Kentucky or the Company) and hereby moves the Kentucky Public Service Commission (Commission) for an Order granting Bruce L. Sailors, Rates & Regulated Strategy Manager of Duke Energy Business Services, LLC, permission to adopt the January 10, 2013 pre-filed written direct testimony of Timothy J. Duff in support of Duke Energy Kentucky's position in this matter. As grounds for this motion, Duke Energy Kentucky states that Mr. Duff has taken on a different role with different responsibilities within the Company since the filing of his Direct Testimony. Mr. Sailors, as Rates and Regulated Strategy Manager for the Company will adopt the testimony filed on behalf of Duke Energy Kentucky. This is necessary in the event the Commission has further questions for Duke Energy Kentucky in this matter related to the subject matter of Mr. Duff's pre-filed Direct Testimony. Mr. Sailors's Direct Testimony with background information and affirmation of adoption of Mr. Duff's testimony and Affidavit of is attached hereto and filed contemporaneously with this Motion.

Respectfully submitted,



Rocco O. D'Ascenzo
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Rocco.D'Ascenzo@duke-energy.com
Counsel for Duke Energy Kentucky, Inc.

AFFIDAVIT OF BRUCE L. SAILERS

STATE OF OHIO)
)
COUNTY OF HAMILTON)

I, Bruce L. Sailers, being first duly and cautioned and sworn, state upon my personal knowledge and belief that:

1. I am the Rates & Regulated Strategy Manager of Duke Energy Business Services, LLC.
2. I have read the Direct Testimony of Timothy J. Duff filed in this case on behalf of Duke Energy Kentucky on January 28, 2013.
3. Timothy J. Duff, who was formerly the General Manager, Retail Customer & Regulated Strategy for Duke Energy Business Services, Inc. (DEBS) has taken on a new role with different responsibilities since the filing of his Direct Testimony in this proceeding in January, 2013.
4. Attached hereto is a true and accurate description of my introduction and background information for purposes of adopting Mr. Duff's testimony in this proceeding.
5. I affirm the questions and answers in the Direct Testimony are correct to the best of my information and adopt the Direct Testimony of Mr. Duff as my own.

Further affiant sayeth naught.

Bruce L. Sailers
Bruce L. Sailers

Subscribed to and subscribed in my presence this 31 day of October, 2014.

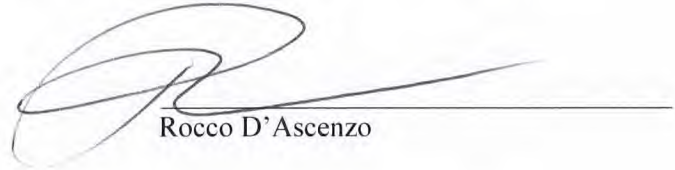


E. MINNA ROLFES
Notary Public, State of Ohio
My Commission Expires
July 8, 2017

E. Minna Rolfes
Notary Public

CERTIFICATE OF SERVICE

I hereby certify that a true and accurate copy of the foregoing was delivered by U.S. mail (postage prepaid), personal, or electronic mail, on this 17th day of November, 2014, to the following parties.


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Rocco O. D'Ascenzo

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

RECEIVED

NOV 18 2014
PUBLIC SERVICE
COMMISSION

In the Matter of:

CONSIDERATION OF THE IMPLEMENTATION)
OF SMART GRID AND SMART METER) CASE NO. 2012-00428
TECHNOLOGIES)

DIRECT TESTIMONY OF
BRUCE L. SAILERS ON BEHALF OF
DUKE ENERGY KENTUCKY, INC.

November 17, 2014

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I. INTRODUCTION AND PURPOSE OF TESTIMONY..... 1

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I. INTRODUCTION AND PURPOSE OF TESTIMONY

1 **Q. BY WHOM ARE YOU EMPLOYED AND IN WHAT CAPACITY?**

2 A. I am employed by Duke Energy Business Services LLC (DEBS), as Rates and
3 Regulatory Strategy Manager, Pricing & Rate Options. DEBS provides various
4 administrative and other services to Duke Energy Kentucky, Inc., (Duke Energy
5 Kentucky or Company) and other affiliated companies of Duke Energy Corporation
6 (Duke Energy).

7 **Q. PLEASE BRIEFLY DESCRIBE YOUR EDUCATIONAL BACKGROUND AND**
8 **PROFESSIONAL EXPERIENCE.**

9 A. I received a Bachelor's Degree in Finance and Quantitative Analysis and a Masters
10 Degree in Marketing from the University of Cincinnati. After three years working with
11 Marathon Oil Company as a systems analyst, I began my career with The Cincinnati Gas
12 & Electric Company, the predecessor to Duke Energy Ohio, in Load Forecasting. I
13 worked in the Load Forecasting area for approximately five years in various capacities,
14 and then transferred to Market Research for approximately ten years. In early 2006, I
15 became Manager, Product Development Analytics where I was responsible for demand
16 response product support analysis, certain demand response product operational support
17 functions, demand response product measurement and verification, and demand response
18 product Regional Transmission Organization (RTO) integration for Duke Energy
19 affiliates, including Duke Energy Kentucky. Having these same responsibilities, my title
20 changed to Manager, Retail Energy Desk and then Manager, Demand Response
21 Analytics. I assumed my current role as Rates and Regulatory Strategy Manager, Pricing
22 & Rate Options, in January, 2014.

1 **Q. PLEASE SUMMARIZE YOUR RESPONSIBILITIES AS RATES AND**
2 **REGULATORY STRATEGY MANAGER.**

3 A. As Rates and Regulatory Strategy Manager, Pricing & Rate Options, I am responsible for
4 performing analysis and cost studies to support new or revised rates, providing oral and
5 written testimony before regulatory agencies and support for expert witnesses, meeting
6 with commission staff members in support of filings, rate changes, or tariff
7 administration issues, assisting in administration of rates and programs, preparing or
8 coordinating preparation of required regulatory compliance filings, and leading projects
9 related to new or revised rates.

10 **Q. HAVE YOU PREVIOUSLY TESTIFIED BEFORE THE KENTUCKY PUBLIC**
11 **SERVICE COMMISSION?**

12 A. Yes. I provided testimony in Case No. 2006-00045 regarding the Commission's
13 investigation into the 2005 Energy Policy Act. I have also provided testimony in cases
14 before the Indiana Utilities Regulatory Commission, the North Carolina Public Utilities
15 Commission, and the Public Utilities Commission of Ohio.

16 **Q. WHAT IS THE PURPOSE OF YOUR TESTIMONY IN THIS PROCEEDING?**

17 A. The purpose of my testimony is to adopt the testimony of Duke Energy Kentucky's
18 witness Timothy Duff which was filed in January 2013 in this proceeding. Mr. Duff now
19 has different responsibilities within the Company. I have read Mr. Duff's testimony and
20 responses to data requests. I agree with his responses.

21 **Q. DO YOU HEREBY ADOPT MR. DUFF'S TESTIMONY AND DATA**
22 **RESPONSES FOR PURPOSES OF YOUR TESTIMONY IN THIS**
23 **PROCEEDING?**

1 A. Yes.

II. CONCLUSION

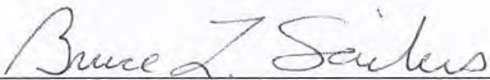
2 **Q. DOES THIS CONCLUDE YOUR TESTIMONY?**

3 A. Yes.

VERIFICATION

STATE OF OHIO)
)
COUNTY OF HAMILTON) **SS:**


The undersigned, Bruce L. Sailers, being duly sworn, deposes and says that I am employed by Duke Energy Business Services, LLC as the Rates & Regulated Strategy Manager, that on behalf of Duke Energy Kentucky, Inc., I have personal knowledge of the matters set forth in the foregoing testimony, and that the answers contained therein are true and correct to the best of my information, knowledge and belief.



Bruce L. Sailers, Affiant

Subscribed and sworn to before me by Bruce L. Sailers on this 13th day of
November 2014.

ADELE M. FRISCH
Notary Public, State of Ohio
My Commission Expires 01-05-2019



NOTARY PUBLIC

My Commission Expires: 1/5/2019

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

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NOV 18 2014

PUBLIC SERVICE
COMMISSION

In the Matter of:)

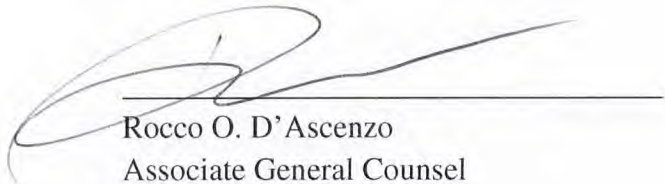
CONSIDERATION OF THE)
IMPLEMENTATION OF SMART)
GRID AND SMART METER)
TECHNOLOGIES)

Case No. 2012-00428

DUKE ENERGY KENTUCKY, INC.'S
MOTION TO ADOPT TESTIMONY
OF MARK D. WYATT

Comes now Duke Energy Kentucky, Inc. (Duke Energy Kentucky or the Company) and hereby moves the Kentucky Public Service Commission (Commission) for an Order granting James R. Rainear, Vice President, Grid Modernization with Duke Energy Business Services, LLC, permission to adopt the January 23, 2013 pre-filed written direct testimony of Mark D. Wyatt in support of Duke Energy Kentucky's position in this matter. As grounds for this motion, Duke Energy Kentucky states that Mr. Wyatt is no longer with the Company. Mr. Rainear currently serves as Vice President, Grid Modernization of Duke Energy Business Services, LLC, and will adopt the testimony filed on behalf of Duke Energy Kentucky. This is necessary in the event the Commission has further questions for Duke Energy Kentucky in this matter. An Affidavit of Mr. Rainear is attached.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Rocco O. D'Ascenzo', is written over a horizontal line.

Rocco O. D'Ascenzo
Associate General Counsel
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Counsel for Duke Energy Kentucky, Inc.

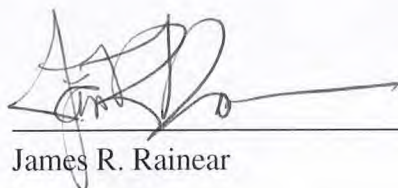
AFFIDAVIT OF JAMES R. RAINEAR

STATE OF NORTH CAROLINA)
)
COUNTY OF MECKLENBURG)

I, James R. Rainear, being first duly and cautioned and sworn, state upon my personal knowledge and belief that:

1. I am the Vice President, Grid Modernization with Duke Energy Business Services.
2. I have read the Direct Testimony of Mark D. Wyatt filed in this case on behalf of Duke Energy Kentucky on January 28, 2013.
3. Mark D. Wyatt, who was formerly the Vice President, Grid Modernization of Duke Energy Business Services, LLC, is no longer with the Company.
4. Attached hereto is a true and accurate description of my introduction and background information for purposes of adopting the testimony of Mr. Wyatt in this proceeding.
5. I affirm the questions and answers in the Direct Testimony are correct to the best of my information and adopt the Direct Testimony of Mr. Wyatt as my own.

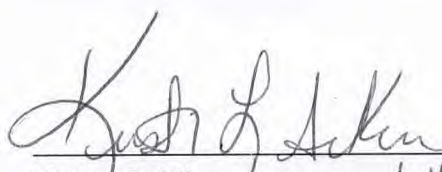
Further affiant sayeth naught.



James R. Rainear

Subscribed to and subscribed in my presence this 14th day of November, 2014.





Notary Public Kristi L. Aiken

CERTIFICATE OF SERVICE

I hereby certify that a true and accurate copy of the foregoing was delivered by U.S. mail (postage prepaid), personal, or electronic mail, on this 17th day of November, 2014, to the following parties.



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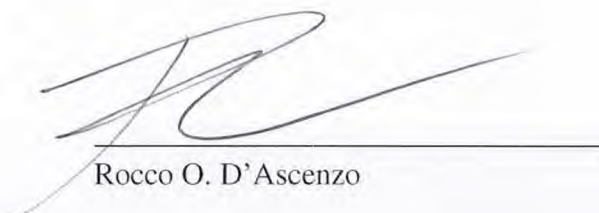
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COMMONWEALTH OF KENTUCKY
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In the Matter of:

CONSIDERATION OF THE IMPLEMENTATION)
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DIRECT TESTIMONY OF
JAMES RAINEAR ON BEHALF OF
DUKE ENERGY KENTUCKY, INC.

November 17, 2014

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I. INTRODUCTION AND PURPOSE OF TESTIMONY..... 1

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I. INTRODUCTION AND PURPOSE OF TESTIMONY

1 **Q. PLEASE STATE YOUR NAME AND BUSINESS ADDRESS.**

2 A. My name is James Rainear. My business address is 526 South Church Street, Charlotte,
3 North Carolina 28202.

4 **Q. BY WHOM ARE YOU EMPLOYED AND IN WHAT CAPACITY?**

5 A. I am employed by Duke Energy Business Services LLC (DEBS), as Vice President of
6 Grid Modernization. DEBS provides various administrative and other services to Duke
7 Energy Kentucky, Inc., (Duke Energy Kentucky or Company) and other affiliated
8 companies of Duke Energy Corporation (Duke Energy).

9 **Q. PLEASE BRIEFLY DESCRIBE YOUR EDUCATIONAL BACKGROUND AND**
10 **PROFESSIONAL EXPERIENCE.**

11 A. I have a Bachelor of Science in Electrical Engineering from Clemson University and a
12 Masters of Business Administration from Queens University of Charlotte. I am a
13 registered professional engineer in North and South Carolina. I have utility experience in
14 distribution engineering and operations, business planning and strategy, information
15 technology, customer services, revenue services, and grid modernization. I have been
16 employed by Duke Energy Corp. or its predecessor or affiliate companies since June
17 1986.

18 **Q. PLEASE SUMMARIZE YOUR RESPONSIBILITIES AS VICE PRESIDENT**
19 **GRID MODERNIZATION.**

20 A. As Vice President Grid Modernization, I am the lead executive responsible for the overall
21 deployment of Grid Modernization in the six states in which Duke Energy Corp. has
22 regulated utility affiliates. My accountabilities include leadership of the program

1 management function for deployment of the following aspects of the Grid Modernization
2 program: (1) Automated Metering Infrastructure (AMI); (2) Distribution Automation
3 (DA); (3) the two-way digital communications network; and (4) all supporting
4 information technology (IT) systems required to enable the collection and management of
5 Grid Modernization generated data in support of Duke Energy's business goals and
6 objectives.

7 The program management function consists of the following functional areas: (1)
8 managing the integrated cost and schedule for all projects under the Grid Modernization
9 program; (2) managing Grid Modernization vendor/supplier relationships; (3) performing
10 periodic quality assurance audits of Grid Modernization vendors/suppliers; (4) providing
11 independent assessments of Grid Modernization projects; and (5) management oversight
12 of the U. S. Department of Energy (DOE) Smart Grid Investment Grant (SGIG) award.

13 **Q. PLEASE SUMMARIZE YOUR WORK EXPERIENCE.**

14 A. Prior to my current role I served as vice president of revenue services for Duke Energy
15 where my responsibilities included the revenue cycle services functions of billing,
16 customer payment processing, and accounts receivable for retail electric and gas
17 customers in Florida, Indiana, Kentucky, North Carolina, and South Carolina.

18 I joined the company in 1986 as an assistant engineer for Duke Power in
19 Charlotte, NC. Through a series of assignments I have gained experience in distribution
20 engineering and operations, warehousing, scheduling, business planning and strategy,
21 geographic information systems, process and work management, information technology,
22 customer services, and revenue services, and now grid modernization. I was named
23 director of customer applications and project services in the Duke Power IT department

1 in 2003, where I was responsible for leading the information technology division which
2 provided enhancement, development, and maintenance services for Duke Power's
3 customer-facing information technology applications used for billing, payment
4 processing, customer self-service, and customer contact. As general manager customer
5 service platforms for Duke Energy's customer service organization, I was responsible for
6 the business design, implementation, and user support of the company's customer
7 information systems and online customer service tools and execution of the business
8 project management office. I served as the team leader for the customer information and
9 systems merger integration team during the Duke Energy-Cinergy integration in 2005,
10 and served as the co-team leader of the meter-to-cash merger integration team during the
11 Duke Energy – Progress Energy integration in 2011.

12 **Q. HAVE YOU PREVIOUSLY TESTIFIED BEFORE THE KENTUCKY PUBLIC**
13 **SERVICE COMMISSION?**

14 A. No.

15 **Q. WHAT IS THE PURPOSE OF YOUR TESTIMONY IN THIS PROCEEDING?**

16 A. The purpose of my testimony is to adopt the testimony of Duke Energy Kentucky's
17 witness Mark Wyatt which was filed on January 23, 2013 in this proceeding. Mr. Wyatt
18 is no longer with the Company. I have read Mr. Wyatt's testimony and Responses to data
19 requests. I agree with his responses.

20 **Q. DO YOU HEREBY ADOPT MR. WYATT'S TESTIMONY AND DATA**
21 **RESPONSES FOR PURPOSES OF YOUR TESTIMONY IN THIS**
22 **PROCEEDING?**

23 A. Yes.

II. CONCLUSION

1 Q. **DOES THIS CONCLUDE YOUR TESTIMONY?**

2 A. Yes.

