

COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

In the Matter of:

ALLEGED FAILURE OF ESTILL COUNTY)	CASE NO.
WATER DISTRICT NO. 1 TO FILE REQUIRED)	2011-00341
REPORTS)	

O R D E R

KRS 278.230(3) directs every utility, when required by the Commission, to file with it any report or other information that the Commission reasonably requires. 807 KAR 5:006, Section 3(1), requires every utility to file with the Commission, on or before March 31 of each year, a financial and statistical report of its utility operations for the preceding calendar year on forms furnished by the Commission. KRS 278.990 provides penalties for utilities that fail to file the required reports.

Estill County Water District No. 1 (“Estill County Water”) owns, controls, operates, and manages facilities in the Commonwealth of Kentucky which are used for and in connection with the distribution and furnishing of water to the public for compensation, and facilities which are used for and in connection with the collection, transmission, or treatment of sewage for the public for compensation. It is, therefore, a utility subject to Commission jurisdiction.¹

¹ KRS 278.010(3)(d) and KRS 278.010(3)(f).

KRS 278.990(1) states that any utility which willfully violates any provision of KRS Chapter 278 or any Commission regulation shall be subject to a civil penalty not less than \$25.00 and not more than \$2,500.

On December 15, 2010, the Commission provided to Estill County Water the standard forms for the annual financial and statistical reports. According to Commission records, Estill County Water has yet to file its water district and sewer annual reports for the 2010 calendar year. The absence of these reports is *prima facie* evidence that Estill County Water willfully failed to comply with KRS 278.230(3).

IT IS THEREFORE ORDERED that:

1. Estill County Water shall file with the Commission, no later than October 20, 2011, its water district and sewer annual reports for the 2010 calendar year.
2. Estill County Water shall appear on October 27, 2011, at 10:00 a.m., Eastern Daylight Time, in Hearing Room 1 of the Commission's offices at 211 Sower Boulevard, Frankfort, Kentucky, for the purpose of showing cause why it should not be subjected to the penalties of KRS 278.990 for its failure to comply with 278.230(3).
3. If Estill County Water desires to waive its right to a hearing in this matter, it shall, no later than October 20, 2011, file the required reports and pay a penalty of \$250. Payment of the penalty shall be made by certified check or money order made payable to the Treasurer, Commonwealth of Kentucky, and shall be delivered to the Office of General Counsel, Public Service Commission, 211 Sower Boulevard, P.O. Box 615, Frankfort, Kentucky 40602-0615.

By the Commission

ENTERED ^{PA}
OCT 11 2011
KENTUCKY PUBLIC
SERVICE COMMISSION

ATTEST:

David D. Greenwell for
Executive Director

Audrea Miller
Office Manager
Estill County Water District #1
76 Cedar Grove Road
Irvine, KY 40336