



Steven L. Beshear
Governor

Leonard K. Peters
Secretary
Energy and Environment Cabinet

Commonwealth of Kentucky
Public Service Commission

211 Sower Blvd.
P.O. Box 615
Frankfort, Kentucky 40602-0615
Telephone: (502) 564-3940
Fax: (502) 564-3460
psc.ky.gov

David L. Armstrong
Chairman

James Gardner
Vice Chairman

Charles R. Borders
Commissioner

May 25, 2011

Honorable W. Randall Jones
Attorney at Law
Rubin & Hays
Kentucky Home Trust Building
450 South Third Street
Louisville, KY 40202

RE: Case No. 2011-00170
North Mercer Water District

The Commission staff has reviewed your application in the above case and finds that it meets the minimum filing requirements. Enclosed please find a stamped filed copy of the first page of your filing. This case has been docketed and will be processed as expeditiously as possible.

If you need further assistance, please contact my staff at 502/564-3940.

Sincerely,

A handwritten signature in cursive script that reads "Linda Faulkner".

Linda Faulkner
Filings Division Director

LF/tw
Enclosure



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Roy Short
Chairman
North Mercer Water District
P. O. Box 79
Salvisa, KY 40372

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Filings Division Director

LF/tw
Enclosure

Rubin & Hays

ATTORNEYS AT LAW

Kentucky Home Trust Building, 450 South Third Street, Louisville, Kentucky 40202-1410
Telephone (502) 569-7525 Telefax (502) 569-7555 www.rubinhays.com

CHARLES S. MUSSON
W. RANDALL JONES
CHRISTIAN L. JUCKETT

May 11, 2011

FILED
MAY 13 2011
PUBLIC SERVICE
COMMISSION
RECEIVED

MAY 13 2011
PUBLIC SERVICE
COMMISSION

Mr. Jeff Derouen
Executive Director
Public Service Commission
P.O. Box 615
Frankfort, Kentucky 40602

Re: North Mercer Water District - KRS 278.023 Application - **PHASE 1**

Dear Mr. Derouen:

Enclosed please find the original and ten (10) copies of the Application of the North Mercer Water District for a Certificate of Public Convenience and Necessity to construct, finance and increase rates pursuant to KRS 278.023.

Also enclosed are eleven (11) copies of the exhibits required pursuant to 807 KAR 5.069, **with the exception of the Preliminary and Final Engineering Reports, of which two copies are enclosed.**

If you need any additional information or documentation, please let us know.

Sincerely,

Rubin & Hays

By 
W. Randall Jones

WRJ:jlm
Enclosures
cc: Distribution List

2011-170

DISTRIBUTION LIST

Account No. 2059.0000

Re: North Mercer Water District Waterworks Revenue Bonds, Series 2011 (Phase 1 Project), in the principal amount of \$1,874,000

Mr. Thomas G. Fern,
State Director
USDA, Rural Development
771 Corporate Drive, Suite 200
Lexington, Kentucky 40503-5477

Telephone: (859) 224-7336
Fax: (859) 224-7340

Mr. John Johnson
USDA, Rural Development
90 Howard Drive, Suite 3
Shelbyville, Kentucky 40065

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Mr. Gerald Sheperson, Chairman
Ms. Mischell Lee, Manager
North Mercer Water District
P.O. Box 79
Salvisa, Kentucky 40372

Telephone: (859) 865-2292

Mr. Ron Gastineau
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COMMONWEALTH OF KENTUCKY
BEFORE THE PUBLIC SERVICE COMMISSION

RECEIVED

MAY 13 2011

PUBLIC SERVICE
COMMISSION

In the Matter of:

THE APPLICATION OF NORTH)
MERCER WATER DISTRICT)
FOR A CERTIFICATE OF PUBLIC)
CONVENIENCE AND NECESSITY TO)
CONSTRUCT, FINANCE AND INCREASE)
RATES PURSUANT TO KRS 278.023.)

CASE NO. 2011 - 170

APPLICATION

This Application of the North Mercer Water District ("Applicant") respectfully shows:

1. That Applicant is a water district of Mercer, Boyle, Anderson and Washington Counties, Kentucky, created and existing under and by virtue of Chapter 74 of the Kentucky Revised Statutes.

2. That the post office address of Applicant is:

North Mercer Water District
c/o Mr. Gerald Sheperson, Chairman
P.O. Box 79
Salvisa, Kentucky 40372

3. That Applicant, pursuant to the provisions of KRS 278.020 and 278.023, seeks (i) a Certificate of Public Convenience and Necessity, permitting Applicant to construct a waterworks construction project, consisting of extensions, additions, and improvements (the "Phase 1 Project") to the existing waterworks system of Applicant; (ii) an Order approving increased rates; and (iii) approval of the proposed plan of financing said Phase 1 Project.

4. That the Phase 1 Project consists of the extensions, additions and improvements to the existing waterworks system of the Applicant, consisting of (i) the installation of approximately 20,100 linear feet of 8 inch water line and 17,800 of 6 inch water line; and (ii) a connection to the South Anderson Water District, including the installation of a booster pumping station.

5. That Applicant proposes to finance the construction of the Phase 1 Project through the issuance of \$1,847,000 of its Waterworks Revenue Bonds, Series 2011 (Phase 1 Project). Applicant has a commitment from the U.S. Department of Agriculture, acting through Rural Development ("RD") to purchase said \$1,847,000 of Bonds maturing over a 40-year period, at an