

October 14, 2008

Mr. Richard W. Bertelson III Staff Attorney Public Service Commission 211 Sower Blvd. P.O. Box 615 Frankfort, KY 40602 RECEIVED

OCT 2 0 2008

PUBLIC SERVICE

COMMISSION

Re: Case No. 2008-0069

Dear Mr Bertelson:

Enclosed is a copy of the safety audits performed at Shelby Energy from September 1, 2008 and September 30, 2008. This is done in accordance with Item 9 of the settlement agreement dated September 29, 2008.

If you have any questions or need further information please feel free to contact me at (502)643-2778 or by e-mail at jason@shelbyenergy.com.

Sincerely,

Jason Ginn

Safety & Loss Control

Coordinator



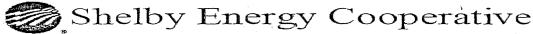


RIGHT OF WAY FIELD INSPECTION FORM

Inspector: Jason Ginn	Date: 9-14-08
Position: Sufety + Luss Control Cour	Time: 5:00 pm
Company: Shelby Energy	County: Trimble
Address: 620 Old Finchville Rd	Location: Milhor Bed ford Pike
Shelby ville Rel 40065	Name of Contractor Observed:
Phone#: 502-643-2778	At G Tony + Ronnie
Weather Conditions: 1/e91 90	Job Description: Luthing Tree off
	30 during Storm

PPE Being Used	Yes	No i	N/A	If NoCorrective Action Taken
Hard hat	7			
Safety glasses	V			-
Fall protection/harness	•			
Harness Attached to Boom			i/	
Ear Plugs/ Ear Muffs	V			
Chaps		t		· · · · · · · · · · · · · · · · · · ·
Gloves		N-9 ,		
Work Area Protection	Yes	No .		If NoCorrective Action Taken
Appropriate work signs				
Flagman required/used			V	
Flag person properly equipped	1		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	
Traffic cones in place				
Trucks Grounded			V	·
Truck Chocks Used				
Miscelaneous Observations	Yeş/	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals				
In Place		/		
Public Hazards Present		V		
Gaff Guards on Hooks	V			

Notes/Comments:	Irow 3\$ Lin	Did	Safe	Job	Rem	oving	
Tree off	3\$ Lin	2					
					· • • • • • • • • • • • • • • • • • • •		
	:15	4 T. T.	•			-	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
						2	
Job Site Findings Di	scussed With C	rew: `	YES_V	_ NO		1 1 2 4 4 7	
Corrective Actions N	leeded: Yes	No			•		· ·
If Corrective Actions	Taken Explain	In Detail:		, , , , , , , , , , , , , , , , , , ,			
							 -
\$				÷ .			سفه پ
			- All and	. 1		· • • • • • • • • • • • • • • • • • • •	
Line Supervisor's Si	gnature:	All					
Inspector's Signatur	re:	· ·	 	* 3 ⁸			
Safety & Loss Contr	ol Coordinator S	Signature					
						1 1 2 1 2 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	en e



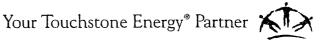


RIGHT OF WAY FIELD INSPECTION FORM

Inspector: Jason Girn	Date: 9-15-08 thru 9-18-08
Position: Safety + Loss Control Cour	Time: All Day
Company: Shelby Energy	County: Trimble
Address: 620 Old Finchville Pol	Location:
Shellyville Ky 40065	Name of Contractor Observed:
Phone#: 502-643-2778	A+ G Tree Serv (Chris Douglas)
Weather Conditions: Sunny 85°	Job Description: Cathing ROW to
	Helpin Storm Restoration

PPE Being Used	Yes	Ů No ∷	∵.N/A	If NoCorrective Action Taken
Hard hat	1			
Safety glasses	V			
Fall protection/harness	Ø		V .	
Harness Attached to Boom				
Ear Plugs/ Ear Muffs	1			
Chaps				
Gloves				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs			V	
Flagman required/used				
Flag person properly equippe	ed			
Traffic cones in place				
Trucks Grounded				
Truck Chocks Used				
Miscelaneous Observation	Yes	No	N/A	If NoCorrective Action Taken
Shelby Energy Decals		The state of the s		
In Place				
Public Hazards Present		V		
Gaff Guards on Hooks	V		**************************************	

Notes/Comments: <u>Chr</u> Outage Restora	is threw	Worked	with	me du	ring
Outage Restora	tion for	4 day	s wit	L not	
/ single rule	Violatio	N		# 1	
1 single rule	Great J.	6 Crew	,		
•			_	The second of th	4
Job Site Findings Discus	sed With Crew:	YES	NO_		
Corrective Actions Need	ed: Yes	No			1 1 4 1 1 1 <u>1</u>
If Corrective Actions Tak	en Explain In Det	ail:	:	5	v
			* ,	1	
	*				
Line Supஞvisor's Signat	ure: Mu	i Duz	les		
Inspector's Signature: <u>᠘</u>	Isa Ma	•	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		and hamily 3 and
Safety & Loss Control Co	pordinator Signat	ure:	1.		
			er gegr		
	÷				
					The grown was a second



Inspector: JASON GIA	<i>s</i> ~		Date: 9-	22-08
	,		Time:	2:30
Position: Safety + Loss	ontro/ C	<i>∞</i> (County:	Trimble
,				•
Company: Shelby Ener Address: 620 Old Fin	ሜ ሃ		Crew Me	
Address: 620 Old Fin	chille Rel			
Shelbyville Ky	40065	_	Chip	Thomas mes Crume
Phone #: 502 - 643 - 277				
			Dule	Thomas
Weather Conditions: Sun	~			
Weather Conditions: <u>Su</u> ~^	880		10	mes (rume
				V-1
0 11	_			
Job Description: Building Span tup off Bo of 30 Dead end pole	19 2			
Span tup off B	ack Side			
OS 30 Dead end	Bonk	•••		
no le				
7				
Energized Work Being Prefo	rmed: YE	s V	NO	Truck#'s 9+/3
		<u> </u>		
Overhead Underg	iround	V	oltane .	7200
Orderig	ji Quilu		Ortuge	Anguar and
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Signe	ed V			
Job procedure covered	V			
Energy control procedure	V,			
PPE used	V ,			
Job hazards	V.			
Emergency procedures				
Special precautions				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs				
Flagman required			V.	
Flag person used			1.	
Flag person properly equipped	d			
Traffic cones in place	1			
Trucks Grounded	V.			
Truck Chocks Used	V,			
Personal Protective Grounds				

PPE Being Used	Yeş	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses	~		4	
Rubber gloves				
Rubber sleeves	, 1			
FR Clothing	1			
Fall protection	/			
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	/			
Sleeves	V			
Line hoses				
Blankets			V	
Job Site Findings Discussed				NO
Corrective Actions Needed: If Corrective Actions Taken	Yes	No		

Inspector's Signature:

Safety & Loss Control Coordinator Signature:

CO-OP CREW FIELD INSPECTION FORM

Inspector: <u>DAUID MARTIN</u> Date: 9-23-08

	,		Time:	10:30
Position: OPERATIONS	MANAGRI	2		TRIMBIR
				: BEDFORD SUBSTATION
Company: SHRIBY FINE	eg y		Crew Me	
Company: SHR/by FNE! Address: 620 61D Fine	Huilla RI			
			Rick	SHAW
5HN/byv///R Ky Phone #: (502) 633-44	20			
,			MICHI	OR NETHERY
Weather Conditions: <u></u> <u> </u>	NY			
***	/	•	GAR	y WARFORD
			,	
			BRN	TON BOHANNON
Job Description: REMOUL	75			
Job Description: REMOULD PPGROUNDS + RECO. FERDERS TO NEW	NUICTING		Tim	WOIPERT
FEEDERS TO NEW	RébuilT			
SUBSTATION				
				-
Overhead Under	ground	\	∕oltage <u> </u>	7200
Job Briefing	Yes	No	N/A	If NoCorrective Action Taken
Conducted before job & Sign	ed 🗸			
Job procedure covered	V			
Energy control procedure	V			
PPE used				
Job hazards	1	·		
Emergency procedures	1			
Special precautions				
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taker
Appropriate work signs			\ \ \ \ \	
Flagman required			V	
Flag person used			V	
Flag person properly equippe	ed			
Traffic cones in place			\ V	
Trucks Grounded	V			
Truck Chocks Used	V			
Personal Protective Grounds	1 1			

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses	V			
Rubber gloves	V			
Rubber sleeves	V			
FR Clothing	ν			-
Fall protection	V	,		
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	V			
Sleeves				
Line hoses	V			
Blankets			1	

Notes/Comments:
Job Site Findings Discussed With Crew: YES NO
Corrective Actions Needed: Yes No
If Corrective Actions Taken Explain In Detail:
Line Supervisor's Signature: Muk Shaw
Inspector's Signature: Journal Manual Property of the State of the Sta
Safety & Loss Control Coordinator Signature:





RIGHT OF WAY FIELD INSPECTION FORM

Inspector: DAVID MARTIN	Date: 1-13-08
Position: OPERATION MANIEGER	Time://:00
Company: Attelby RNERGY	County: Trimble
Address: 620 O(1) FineHullak	Location:
	Name of Contractor Observed:
Phone#:	A4 G
Weather Conditions: (lex 85°	Job Description: (a Hing (ross
	Country

PPE Being Used	Yes	No 🖟	N/A	If NoCorrective Action Taken
Hard hat	<u>. </u>			
Safety glasses	L			
Fall protection/harness			u	
Harness Attached to Boom	÷.		~	
Ear Plugs/ Ear Muffs	a			
Chaps				
Gloves			·	
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taken
Appropriate work signs	V			
Flagman required/used				
Flag person properly equipped	1			
Traffic cones in place			L	
Trucks Grounded		"	L	
Truck Chocks Used				
Miscelaneous Observations	Yes	- No	N/A	If NoCorrective Action Taken
Shelby Energy Decals	<u>`</u>			
In Place				
Public Hazards Present		/	,	
Gaff Guards on Hooks			1	

Notes/Comments:	<u>-</u> 1. 1	-		
		•		
	•			_{es} d
Job Site Findings Discussed With Crew:	YES	NO		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
Corrective Actions Needed: Yes	_ No			is the file
If Corrective Actions Taken Explain In De	etail:			(Mariana)
				a de la companya de l
	01/	<u></u>	·	manage of the second se
Line Supervisor's Signature:				
Inspector's Signature:	Mant			
Safety & Loss Control Coordinator Sign	ature:			
dataty & 2000 Control Coolemator Organ				



Inspector: Jony Demp	J			-26-08 0:50AM
Position: MANAger of 5	Arelu			Shelby
			Location	1
Company: OWEN EL	ecolvic		Crew Me	
Address: 8205 Hwy 12, Owenton, Ky 4	0359		Wike	Nethery Bohannon
Phone #: 502.563 - 3	3548		1, 4,00	
1 Holle #	<i></i>	-	Boxi:	Pohanna
Weather Conditions: <u>Clea</u>	× 750		<u> </u>	10.0000
Weather Conditions.	7			

Job Description: Relocation	٠,			
Job Description: Fe loca-	16			
A-5, overhead.	service			
to undergrand				•
				/ //
Energized Work Being Pref	ormed: YE	ES	NO	_ Truck#'s // 22
. /			,	
Overhead Under	ground	V	oltage <u>7</u>	2 RV
Tab Driefing	T V	N.	T NI/A	lishing Compating Anti-
Job Briefing	Yes	No	N/A	If NoCorrective Action Taker
Conducted before job & Sign	ed V			
Job procedure covered				
Energy control procedure	2			
PPE used			ļ	
Job hazards	1			
Emergency procedures				
Special precautions	W			
Work Area Protection	Yes	No	N/A	If NoCorrective Action Taker
Appropriate work signs			L	
Flagman required			V	
Flag person used				
Flag person properly equippe	ed		سن	
Traffic cones in place			1	
Trucks Grounded				
Truck Chacks Head	 			

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	اسسا			
Safety glasses	W			
Rubber gloves				
Rubber sleeves				
FR Clothing	سا			
Fall protection			i	
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	سا			
Sleeves				
Line hoses			·	
Blankets				

Notes/Comments: Check dates on FA Kif Items
regularly.
Job Site Findings Discussed With Crew: YES NO
Corrective Actions Needed: Yes No
If Corrective Actions Taken Explain In Detail:
Line Supervisor's Signature: Line Supervisor's Signature:
Inspector's Signature: Dany Deny Deny Deny Deny Deny Deny Deny De
Safety & Loss Control Coordinator Signature:



Inspector: ONY Dem	5.00		Time:	-26-08 2:10 pm	
Position: WANAger of	2APRAY			Trimble	
	1			: Hwy 42	
Company: Owen Fleat	ác		Crew Me	mbers: "	
Address: 8205 Huy 12			۸۱	. 1.0	
	359			ONC	
Phone #: 502-563-3	548				
Weather Conditions: Pty (lardy				
Job Description: Cut Ty off meter pole. Iman Joh.	ee			· · · · · · · · · · · · · · · · · · ·	
Overhead Undergr	rmed: YE	V	NOoltage	_ Truck#'s_33	
		i No	Ν/Δ	If NoCorrective Action Take	en
		No	N/A	If NoCorrective Action Take	en
Conducted before job & Signed		No	N/A	If NoCorrective Action Take	en
Conducted before job & Signed Job procedure covered		No	N/A	If NoCorrective Action Take	en
Conducted before job & Signed Job procedure covered Energy control procedure		NO	N/A	If NoCorrective Action Take	en
Conducted before job & Signed Job procedure covered Energy control procedure PPE used		NO	N/A	If NoCorrective Action Take	en
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards		NO	N/A	If NoCorrective Action Take	en
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures		NO	N/A	If NoCorrective Action Take	en
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions	d				
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection		No	N/A	If NoCorrective Action Take	
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection Appropriate work signs	d				
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection Appropriate work signs Flagman required	d				
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection Appropriate work signs Flagman required Flag person used	Yes				
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection Appropriate work signs Flagman required Flag person used Flag person properly equipped	Yes				
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection Appropriate work signs Flagman required Flag person used Flag person properly equipped Traffic cones in place	Yes				
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection Appropriate work signs Flagman required Flag person used Flag person properly equipped Traffic cones in place Trucks Grounded	Yes				
Conducted before job & Signed Job procedure covered Energy control procedure PPE used Job hazards Emergency procedures Special precautions Work Area Protection Appropriate work signs Flagman required Flag person used Flag person properly equipped Traffic cones in place	Yes				

Hard hat Safety glasses			N/A	If NoCorrective Action Taken
	V			
Rubber gloves			V	
Rubber sleeves				
FR Clothing	V			
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	i			
Sleeves				
Line hoses	رن	-		
Blankets	1			
Job Site Findings Discusse Corrective Actions Needed: If Corrective Actions Taken	Yes	No <u>L</u>		



Inspector: TONY Dem	upsey			-26-08	
Position: MANAGER OF	L Sulla		Time:_ <i>_&</i> County:_	Tomble	
Tosition. To the for the	- SPAPER	,		: TANdywood Dr.	
Company: Owen Elect	-ric		Crew Me		
Address: 8205 Hwy 12	17/		`		
Overton, Ky 403	359		DALe	Thomas	
Phone #: 502 - 563 - 3	3548	,			
			JAmes	s Crume	
Weather Conditions: Pty	Cldy				
Job Description: Remove	Service				
And pole					
	LATER THE STREET				
Engraph Mark Daine Duck	armadı V	:c \/	NO	_ Truck#'s <u>13,</u>	
Energized Work Being Prefe	ormea: 1	=3	NO	Truck# s_/	
Overhead Underg	around	Vo	oltaga .7	200	
Overnead Onderg	ground		maye/	300	
Job Briefing	Yes	No	N/A	If NoCorrective Actio	n Taken
Conducted before job & Signe	ed 🗸				
Job procedure covered					
Energy control procedure					
PPE used	1				
Job hazards	1				
Emergency procedures	1				
Special precautions					
Work Area Protection	Yes	No	N/A	If NoCorrective Actio	n Taken
Appropriate work signs			V		
Flagman required			V		
Flag person used			V		
Flag person properly equippe	ed				
Traffic cones in place	T				
Trucks Grounded	V				
Truck Chocks Used					
Personal Protective Grounds					
		1		1	

PPE Being Used	Yes	No	N/A	If NoCorrective Action Taken
Hard hat	V			
Safety glasses				
Rubber gloves	1			
Rubber sleeves				
FR Clothing	レ	_		
Fall protection				
Rubber Goods Inspections	Yes	No	N/A	If NoCorrective Action Taken
Gloves	<i></i>			
Sleeves				
Line hoses				
Blankets				

•

Notes/Comments:
Job Site Findings Discussed With Crew: YES NO
Corrective Actions Needed: Yes No
If Corrective Actions Taken Explain In Detail:
Line Supervisor's Signature: hglille
Inspector's Signature:
Safety & Loss Control Coordinator Signature: